#### **DUKES LAURA ALLISON**

Form 4 April 30, 2019

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

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**OMB APPROVAL** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

may continue.

See Instruction

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **DUKES LAURA ALLISON** Issuer Symbol SUNTRUST BANKS INC [STI] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner Other (specify X\_ Officer (give title 303 PEACHTREE STREET, NE 04/26/2019 below) Chief Financial Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting ATLANTA, GA 30308 Person

(City)	(State)	(Zip)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned
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		Table 1 - Non-Derivative Securities Acquired, Disposed of, of Deficiency Owned							ly Owned	
1.Title of	2. Transaction Date	2A. Deemed	3.		4. Securi	ties		5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if			nAcquired (A) or Disposed of (D)			Securities	Form: Direct	Indirect
(Instr. 3)		any						Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr.	8)	(Instr. 3, 4 and 5)		Owned	Indirect (I)	Ownership	
		•					Following	(Instr. 4)	(Instr. 4)	
						( )		Reported		
						(A)		Transaction(s)		
			Codo	v	Amount	or (D)	Price	(Instr. 3 and 4)		
C			Code	V	Amount	(D)	Price			
Common Stock	04/26/2019		G	V	399	D	\$0	23,565.425	D	
Common Stock	04/29/2019		G	V	3,956	D	\$0	19,609.425	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	of Deri Secu Acq (A) Disp of (I	ivative urities quired or posed	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Options (2)	\$ 27.41						02/26/2016	02/26/2023	Common Stock	5,061
Phantom Stock Units (1)	<u>(1)</u>						02/14/2020	02/14/2020	Common Stock	1,482.504
Phantom Stock Units (1)	<u>(1)</u>						02/13/2020	02/13/2020	Common Stock	1,840.333
Phantom Stock Units (1)	<u>(1)</u>						02/13/2021	02/13/2021	Common Stock	1,840.333
Phantom Stock Units (1)	<u>(1)</u>						08/09/2019	08/09/2019	Common Stock	24,273.897
Phantom Stock Units (3)	<u>(3)</u>						02/08/2020	(3)	Common Stock	10,689.6341
Phantom Stock Units (3)	<u>(3)</u>						02/08/2021	(3)	Common Stock	10,689.6341
Phantom Stock Units (3)	(3)						02/08/2022	(3)	Common Stock	10,689.6341

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
DUKES LAURA ALLISON			Chief Financial Officer					
303 PEACHTREE STREET, NE								

Reporting Owners 2

04/30/2019

Date

ATLANTA, GA 30308

## **Signatures**

Curt Phillips, Attorney-in-Fact for L. Allison
Dukes

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Represents time-vested restricted stock units granted pursuant to the SunTrust Banks, Inc. 2009 Stock Plan. The Plan is exempt under Paule 16b-3. Units will be settled in shares of common stock. The award agreements contain tax withholding features that allow us to withhold units to satisfy tax withholding obligations.
- (2) Granted pursuant to the SunTrust Banks, Inc. 2009 Stock Plan.
  - Represents time-vested restricted stock units granted pursuant to the SunTrust Banks, Inc. 2018 Omnibus Incentive Compensation Plan.
- (3) The Plan is exempt under Rule 16b-3. Units will be settled in shares of common stock. The award agreements contain tax withholding features that allow us to withhold units to satisfy tax withholding obligations.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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