

Edgar Filing: ASHLAND INC - Form 4

ASHLAND INC
Form 4
May 09, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check this box if no longer subject to Section 16.
Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person
Hausrath, David L.

50 E. RiverCenter Boulevard
Covington, KY 41012-0391

2. Issuer Name and Ticker or Trading Symbol
Ashland Inc.
ASH

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year
April 30, 2001

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)
() Director () 10% Owner (X) Officer (give title below) () Other
(specify below)

Vice President:General Counsel

7. Individual or Joint/Group Filing (Check Applicable Line)
(X) Form filed by One Reporting Person
() Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security	2. Transaction Date	3. Code	4. Securities Acquired (A) or Disposed of (D) Amount	A/D	Price	5. Amount of Securities Beneficially Owned at End of Month
Common Stock						3,288 (1)
Common Stock						3,881 (2)
Common Stock	4-24-01	M	1,000	A	\$23.875	
Common Stock	4-24-01	S	600	D	\$42.72	
Common Stock	4-24-01	S	400	D	\$42.71	2,377

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Derivative | 2. Con- | 3. | 4. | 5. Number of De | 6. Date Exer | 7. Title and Amount | 8. P

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Security	Version	Transaction	Derivative Security	Acquirable and Expirable	Expiration Date (Month/Day/Year)	of Underlying Securities	Title and Number of Shares		
Option (3)	23.875	4-24-01	M	250	D	9-21-93	10-21-02	Common Stock	250
	23.875	4-24-01	M	375	D	9-21-94	10-21-02	Common Stock	375
	23.875	4-24-01	M	375	D	9-21-95	10-21-02	Common Stock	375
Option (4)	33.125					9-16-94	10-16-03	Common Stock	1,000
	33.125					9-16-95	10-16-03	Common Stock	500
	33.125					9-16-96	10-16-03	Common Stock	500
Option (5)	35.875					9-15-95	10-15-04	Common Stock	1,000
	35.875					9-15-96	10-15-04	Common Stock	500
	35.875					9-15-97	10-15-04	Common Stock	500
Option (5)	33.875					9-21-96	10-21-05	Common Stock	1,000
	33.875					9-21-97	10-21-05	Common Stock	500
	33.875					9-21-98	10-21-05	Common Stock	500
Option (5)	39.00					9-19-97	10-19-06	Common Stock	1,000
	39.00					9-19-98	10-19-06	Common Stock	500
	39.00					9-19-99	10-19-06	Common Stock	500
Option (6)	53.375					9-18-98	10-18-07	Common Stock	2,000
	53.375					9-18-99	10-18-07	Common Stock	1,000
	53.375					9-18-	10-18-	Common Stock	1,000

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							00	-07			
Option (6)	48.00						9-17-	10-17-	Common Stock	4,000	
							99	-08			
	48.00						9-17-	10-17-	Common Stock	2,000	
							00	-08			
	48.00						9-17-	10-17-	Common Stock	2,000	
							01	-08			
Option (6)	36.625						9-16-	10-16-	Common Stock	10,000	
							00	-09			
	36.625						9-16-	10-16-	Common Stock	10,000	
							01	-09			
	36.625						9-16-	10-16-	Common Stock	10,000	
							02	-09			
	36.625						9-16-	10-16-	Common Stock	10,000	
							03	-09			
Common Stock Units (7)	1-for-1		J		100	A			Common Stock	100	

Explanation of Responses:

- Shares accrued under Ashland's Leveraged Employee Stock Ownership Plan as of 4-30-01.
- Based on Employee Savings Plan information as of 5-2-01, the latest date for which such information is reasonably available, and includes transactions occurring on or after 2-23-01.
- Employee stock option (represents a right to buy Ashland Common Stock) granted pursuant to Ashland's Long-Term Incentive Plan. The employee stock option includes a tax withholding feature pursuant to the plan.
- Employee stock option (represents a right to buy Ashland Common Stock) granted pursuant to Ashland's Amended Stock Incentive Plan. The employee stock option includes a tax withholding feature pursuant to the plan.
- Employee stock option (represents a right to buy Ashland Common Stock) granted pursuant to Ashland's 1993 Stock Incentive Plan. The employee stock option includes a tax withholding feature pursuant to the plan.
- Employee stock option (represents a right to buy Ashland Common Stock) granted pursuant to Ashland's 1997 Stock Incentive Plan. The employee stock option includes a tax withholding feature pursuant to the plan.
- Common Stock Units acquired pursuant to Ashland's 1995 Deferred Compensation Plan as of 4-30-01 and includes transactions occurring on or after 11-15-00, and exempt under Rule 16b-3(d).
The price of the Common Stock Units on the applicable valuation dates ranged from \$31.938 - \$42.510. (One (1) Common Stock Unit in the 1995 Deferred Compensation Plan is the equivalent of one (1) share of Ashland Common Stock)

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SIGNATURE OF REPORTING PERSON

David L. Hausrath

DATE

May 8, 2001