#### **KOCH D CHRISTIAN**

Form 4

January 03, 2019

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

3235-0287 Number:

**OMB APPROVAL** 

January 31, Expires: 2005

burden hours per 0.5

Estimated average response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **KOCH D CHRISTIAN** 

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

CARLISLE COMPANIES INC

(Check all applicable)

[CSL]

(Last) (First) (Middle) 3. Date of Earliest Transaction

10% Owner Director X\_ Officer (give title Other (specify below)

(Month/Day/Year)

12/31/2018

CEO & President

6. Individual or Joint/Group Filing(Check

C/O CARLISLE COMPANIES INCORPORATED, 16430 N. SCOTTSDALE ROAD, SUITE 400

> (Street) 4. If Amendment, Date Original

> > (Zip)

Applicable Line)

Filed(Month/Day/Year) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

SCOTTSDALE, AZ 85254

(State)

(City)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | Execution Date, if any | Code               | 4. Securities Acquired (A order Disposed of (D) (Instr. 3, 4 and 5) | Securities<br>Beneficially   | 6. Ownership Form:                             | 7. Nature of Indirect Beneficial |
|--------------------------------------|---|------------------------|--------------------|---|--|--|----------------------------------|
|                                      |   | (Month/Day/Year)       | (Instr. 8)  Code V | (A) or Amount (D) Price   | Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | Ownership (Instr. 4)             |
| Common<br>Stock                      | 12/31/2018                              |                        | A                  | 17,189 A (2)  | 131,530  | D  |                                  |
| Common<br>Stock                      | 12/31/2018                              |                        | F                  | 12,895 D \$ 113.65  | 118,635  | D  |                                  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date |                    | 4.         | 5.         | 6. Date Exer     |            | 7. Title |          | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|------------------|------------|----------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | orNumber   | Expiration D     | ate        | Amou     | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/      | Year)      | Under    | lying    | Security    | Secur  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e                |            | Securi   | ties     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |                  |            | (Instr.  | 3 and 4) |             | Own    |
|             | Security    |                     |                    |            | Acquired   |                  |            |          |          |             | Follo  |
|             |             |                     |                    |            | (A) or     |                  |            |          |          |             | Repo   |
|             |             |                     |                    |            | Disposed   |                  |            |          |          |             | Trans  |
|             |             |                     |                    |            | of (D)     |                  |            |          |          |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |                  |            |          |          |             |        |
|             |             |                     |                    |            | 4, and 5)  |                  |            |          |          |             |        |
|             |             |                     |                    |            |            |                  |            |          |          |             |        |
|             |             |                     |                    |            |            |                  |            |          | Amount   |             |        |
|             |             |                     |                    |            |            | Date             | Expiration |          | or       |             |        |
|             |             |                     |                    |            |            | Exercisable      | Date       | Title    | Number   |             |        |
|             |             |                     |                    |            |            | Excicisable Date | Date       |          | of       |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |                  |            |          | Shares   |             |        |

# **Reporting Owners**

| Reporting Owner Name / Address      | Kelationships |           |           |       |  |  |
|-------------------------------------|---------------|-----------|-----------|-------|--|--|
|                                     | Director      | 10% Owner | Officer   | Other |  |  |
| KOCH D CHRISTIAN                    |               |           |           |       |  |  |
| C/O CARLISLE COMPANIES INCORPORATED |               |           | CEO &     |       |  |  |
| 16430 N. SCOTTSDALE ROAD, SUITE 400 |               |           | President |       |  |  |
| SCOTTSDALE, AZ 85254                |               |           |           |       |  |  |

# **Signatures**

D. Christian Koch by Ronald P. Fuss, attorney-in-fact

01/03/2019

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents a grant of performance shares from the issuer for services as an executive officer of the issuer.
- (2) Not applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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