Edgar Filing: FEDERAL AGRICULTURAL MORTGAGE CORP - Form 4

FEDERAL AGRICULTURAL MORTGAGE CORP

Form 4

November 26, 2013

Non-Voting

Non-Voting

Common

Common

Stock Class C 11/22/2013

11/25/2013

FORM	4								OMB AF	PPROVAL		
	UNITED		ΓΙΕS AN ington, D			OMMISSION	OMB Number:	3235-0287				
Check this bif no longer										January 31,		
subject to Section 16. Form 4 or Form 5 obligations may continu See Instruct	Filed pur Section 17(Filed pursuant to Section 16(a) of the Securities Exchange Action 17(a) of the Public Utility Holding Company Act of 1930(h) of the Investment Company Act of 1940						Act of 1934, 1935 or Section	Expires: Estimated a burden hour response	_		
1(b). (Print or Type Res	sponses)											
1. Name and Add STENSON TO	Symbol						5. Relationship of Reporting Person(s) to Issuer					
			MORTGAGE CORP [AGM]					(Check all applicable)				
(Last) C/O FARMER STREET NW.	(Month/Day						Director 10% Owner Specify Other (specify below) Below) Chief Operating Officer					
WASHINGTO	(Street) 4. If Amend Filed(Month NGTON, DC 20006				Original		1	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
		(7 :)					I	Person				
(City)	(State)	(Zip)	Table I	- Non-Der	rivative Se	curiti	ies Acqu	ired, Disposed of,	or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Do (Month/Day/Yea	r) Execu any	eemed tion Date, if h/Day/Year)	3. Transactio Code (Instr. 8)	4. Securion(A) or Di (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Class C Non-Voting Common Stock	11/21/2013			Code V	Amount 976 (1)	(D)	Price \$ 35.04 (2)	(Instr. 3 and 4) 29,626 (3)	D			
Class C							ф					

\$

(4)

\$

35.01 (5)

35.03 28,857 <u>(3)</u>

28,457 (3)

D

D

769 (1) D

400 (1) D

S

S

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Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	0 S S A (A C			ate	Amou Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)
				Code	V ((A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

STENSON TOM D C/O FARMER MAC 1999 K STREET NW, 4TH FLOOR WASHINGTON, DC 20006

Chief Operating Officer

Signatures

Anjali Desai, as attorney-in-fact for Tom D. Stenson

11/26/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction effected during an open trading window for key employees of the Federal Agricultural Mortgage Corporation ("Farmer Mac").
- (2) The price reported in Column 4 is a weighted average price. These shares of Class C Non-Voting Common Stock were sold in multiple transactions at prices ranging from \$35.00 to \$35.11, inclusive. The Reporting Person undertakes to provide to Farmer Mac, any security holder of Farmer Mac, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of

Reporting Owners 2

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shares sold at each separate price within the range set forth in this footnote to Form 4.

- (3) Includes 17,227 shares of unvested restricted stock granted pursuant to Farmer Mac's 2008 Omnibus Incentive Plan. The grants of restricted stock have been described in detail in Farmer Mac's prior filings with the Securities and Exchange Commission.
- The price reported in Column 4 is a weighted average price. These shares of Class C Non-Voting Common Stock were sold in multiple transactions at prices ranging from \$35.00 to \$35.09, inclusive. The Reporting Person undertakes to provide to Farmer Mac, any security holder of Farmer Mac, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to Form 4.
- The price reported in Column 4 is a weighted average price. These shares of Class C Non-Voting Common Stock were sold in multiple transactions at prices ranging from \$35.00 to \$35.02, inclusive. The Reporting Person undertakes to provide to Farmer Mac, any security holder of Farmer Mac, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.