

Downing Maxwell J  
Form 4  
September 04, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Downing Maxwell J

2. Issuer Name **and** Ticker or Trading  
Symbol  
LATTICE SEMICONDUCTOR  
CORP [LSCC]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

111 SW 5TH AVE., 7TH FL.

(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
08/31/2018

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)  
CFO

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

PORTLAND, OR 97204

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount	(A) or (D)	Price	
Common Stock	08/31/2018		M		10,496	A	\$ 5.4	36,367 D
Common Stock	08/31/2018		M		21,897	A	\$ 7.54	58,264 D
Common Stock	08/31/2018		M		26,247	A	\$ 5.85	84,511 D
Common Stock	08/31/2018		M		23,561	A	\$ 5.88	108,072 D
Common Stock	08/31/2018		M		17,121	A	\$ 5.73	125,193 D

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Common Stock	08/31/2018	S	99,322	D	\$ <u>(1)</u>	8.1282	25,871	D
Common Stock	08/31/2018	S	19,538	D	\$ <u>(1)</u>	8.1305	6,333	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Underlying Security (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Underlying Security
Non-Qualified Stock Option (right to buy)	\$ 5.4	08/31/2018		M		10,496		06/25/2013 <sup>(2)</sup>	03/25/2020	Common Stock	
Non-Qualified Stock Option (right to buy)	\$ 5.73	08/31/2018		M		17,121		01/18/2018 <sup>(3)</sup>	10/18/2024	Common Stock	
Non-Qualified Stock Option (right to buy)	\$ 5.85	08/31/2018		M		26,247		10/09/2015 <sup>(2)</sup>	07/09/2022	Common Stock	
Non-Qualified Stock Option (right to buy)	\$ 5.88	08/31/2018		M		23,561		10/19/2016 <sup>(2)</sup>	07/19/2023	Common Stock	
Non-Qualified Stock Option (right to buy)	\$ 7.54	08/31/2018		M		21,897		06/21/2014 <sup>(2)</sup>	03/21/2021	Common Stock	

## Reporting Owners

Reporting Owner Name / Address Relationships

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Director   10% Owner   Officer   Other

Downing Maxwell J  
111 SW 5TH AVE., 7TH FL.  
PORTLAND, OR 97204

CFO

## Signatures

By: Byron W. Milstead, Attorney, in-Fact For: Maxwell J.  
Downing

09/04/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The price indicated is the weighted average sale price for the shares sold. The individual sale prices for the shares indicated range from \$8.10 to \$8.15.
- (2) This option to purchase shares of common stock becomes exercisable over a four year period where in 1/16th (6.25%) of the total shares granted vest on each quarterly anniversary of the grant date.
- (3) This option to purchase shares of common stock becomes exercisable over a three and half year period where in 1/14th of the total shares granted vest on each quarterly anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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