

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-B

JAPAN SMALLER CAPITALIZATION FUND INC

Form NSAR-B

May 12, 2006

PAGE 1
000 B000000 02/28/2006
000 C000000 0000859796
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 JAPAN SMALLER CAPITALIZATION FUND, INC.
001 B000000 811-05992
001 C000000 2126671858
002 A000000 TWO WORLD FINANCIAL CENTER, BUILDING B
002 B000000 NEW YORK
002 C000000 NY
002 D010000 10281
002 D020000 1712
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
007 C011100 11
007 C011200 12
007 C011300 13
007 C011400 14
007 C011500 15
007 C011600 16
007 C011700 17
007 C011800 18
007 C011900 19
007 C012000 20
007 C012100 21
007 C012200 22
007 C012300 23
007 C012400 24
007 C012500 25
007 C012600 26
007 C012700 27
007 C012800 28
PAGE 2
007 C012900 29
007 C013000 30
008 A000001 NOMURA ASSET MANAGEMENT USA INC.
008 B000001 A
008 C000001 801-12129
008 D010001 NEW YORK

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-B

008 D020001 NY
008 D030001 10281
008 D040001 1712
008 A000002 NOMURA ASSET MANAGEMENT CO.,LTD.
008 B000002 S
008 C000002 801-24357
008 D010002 TOKYO
008 D050002 JAPAN
008 D060002 103-8260
008 A000003 DELETE
008 A000004 NOMURA ASSET MANAGEMENT HONG KONG, LIMITED
008 B000004 S
008 C000004 801-57909
008 D010004 HONG KONG
008 D050004 HONG KONG
008 D060004 .
010 A000001 NOMURA ASSET MANAGEMENT USA INC
010 B000001 801-12129
010 C010001 NEW YORK
010 C020001 NY
010 C030001 10281
012 A000001 COMPUTERSHARE INVESTOR SERVICES
012 B000001 85-11340
012 C010001 CANTON
012 C020001 MA
012 C030001 02021
013 A000001 ERNST AND YOUNG, LLP
013 B010001 NEW YORK
013 B020001 NY
013 B030001 10036
014 A000001 NOMURA SECURITIES INTERNATIONAL , INC.
014 B000001 8-15255
015 A000001 BROWN BROTHERS HARRIMAN AND CO.
015 B000001 C
015 C010001 BOSTON
015 C020001 MA
015 C030001 02109
015 E010001 X
018 000000 Y
019 A000000 Y
019 B000000 2
019 C000000 NOMURAASSE
020 A000001 DAIWA SECURITIES
020 C000001 82
020 A000002 TOKYO-MITSUBISHI INTL
PAGE 3
020 C000002 71
020 A000003 DEUTSCHE SECURITIES
020 C000003 47
020 A000004 UBS WARBURG
020 C000004 43
020 A000005 MIZUHO SECURITIES
020 C000005 42
020 A000006 MORGAN STANLEY
020 C000006 34
020 A000007 MERRILL LYNCH
020 C000007 27
020 A000008 JP MORGAN
020 C000008 22
020 A000009 CITIGROUP
020 C000009 21
020 A000010 CREDIT SUISSE

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-B

| | | |
|-----|---------|---------------------------------|
| 020 | C000010 | 17 |
| 021 | 000000 | 442 |
| 022 | A000001 | BROWN BROTHERS HARRIMAN AND CO. |
| 022 | B000001 | 13-4973745 |
| 022 | C000001 | 127187 |
| 022 | D000001 | 127523 |
| 022 | C000002 | 0 |
| 022 | D000002 | 0 |
| 022 | C000003 | 0 |
| 022 | D000003 | 0 |
| 022 | C000004 | 0 |
| 022 | D000004 | 0 |
| 022 | C000005 | 0 |
| 022 | D000005 | 0 |
| 022 | C000006 | 0 |
| 022 | D000006 | 0 |
| 022 | C000007 | 0 |
| 022 | D000007 | 0 |
| 022 | C000008 | 0 |
| 022 | D000008 | 0 |
| 022 | C000009 | 0 |
| 022 | D000009 | 0 |
| 022 | C000010 | 0 |
| 022 | D000010 | 0 |
| 023 | C000000 | 127187 |
| 023 | D000000 | 127523 |
| 024 | 000000 | N |
| 025 | D000001 | 0 |
| 025 | D000002 | 0 |
| 025 | D000003 | 0 |
| 025 | D000004 | 0 |
| 025 | D000005 | 0 |
| 025 | D000006 | 0 |
| 025 | D000007 | 0 |
| 025 | D000008 | 0 |
| | PAGE | 4 |
| 026 | A000000 | N |
| 026 | B000000 | Y |
| 026 | C000000 | Y |
| 026 | D000000 | Y |
| 026 | E000000 | Y |
| 026 | F000000 | Y |
| 026 | G010000 | N |
| 026 | G020000 | N |
| 026 | H000000 | N |
| 027 | 000000 | N |
| 028 | A010000 | 0 |
| 028 | A020000 | 0 |
| 028 | A030000 | 0 |
| 028 | A040000 | 0 |
| 028 | B010000 | 0 |
| 028 | B020000 | 0 |
| 028 | B030000 | 0 |
| 028 | B040000 | 0 |
| 028 | C010000 | 0 |
| 028 | C020000 | 0 |
| 028 | C030000 | 0 |
| 028 | C040000 | 0 |
| 028 | D010000 | 0 |
| 028 | D020000 | 0 |
| 028 | D030000 | 0 |
| 028 | D040000 | 0 |

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-B

| | | |
|-----|---------|--------|
| 028 | E010000 | 0 |
| 028 | E020000 | 0 |
| 028 | E030000 | 0 |
| 028 | E040000 | 0 |
| 028 | F010000 | 0 |
| 028 | F020000 | 0 |
| 028 | F030000 | 0 |
| 028 | F040000 | 0 |
| 028 | G010000 | 0 |
| 028 | G020000 | 0 |
| 028 | G030000 | 0 |
| 028 | G040000 | 0 |
| 028 | H000000 | 0 |
| 030 | A000000 | 0 |
| 030 | B000000 | 0.00 |
| 030 | C000000 | 0.00 |
| 031 | A000000 | 0 |
| 031 | B000000 | 0 |
| 032 | 000000 | 0 |
| 033 | 000000 | 0 |
| 035 | 000000 | 0 |
| 036 | B000000 | 0 |
| 038 | 000000 | 0 |
| 042 | A000000 | 0 |
| 042 | B000000 | 0 |
| | PAGE 5 | |
| 042 | C000000 | 0 |
| 042 | D000000 | 0 |
| 042 | E000000 | 0 |
| 042 | F000000 | 0 |
| 042 | G000000 | 0 |
| 042 | H000000 | 0 |
| 043 | 000000 | 0 |
| 044 | 000000 | 0 |
| 045 | 000000 | Y |
| 046 | 000000 | N |
| 047 | 000000 | Y |
| 048 | 000000 | 0.000 |
| 048 | A010000 | 50000 |
| 048 | A020000 | 1.100 |
| 048 | B010000 | 50000 |
| 048 | B020000 | 1.000 |
| 048 | C010000 | 75000 |
| 048 | C020000 | 0.900 |
| 048 | D010000 | 0 |
| 048 | D020000 | 0.000 |
| 048 | E010000 | 0 |
| 048 | E020000 | 0.000 |
| 048 | F010000 | 0 |
| 048 | F020000 | 0.000 |
| 048 | G010000 | 0 |
| 048 | G020000 | 0.000 |
| 048 | H010000 | 0 |
| 048 | H020000 | 0.000 |
| 048 | I010000 | 0 |
| 048 | I020000 | 0.000 |
| 048 | J010000 | 0 |
| 048 | J020000 | 0.000 |
| 048 | K010000 | 175000 |
| 048 | K020000 | 0.800 |
| 049 | 000000 | N |
| 050 | 000000 | N |

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-B

051 000000 N
 052 000000 N
 053 A000000 N
 054 A000000 Y
 054 B000000 Y
 054 C000000 Y
 054 D000000 N
 054 E000000 N
 054 F000000 N
 054 G000000 Y
 054 H000000 Y
 054 I000000 N
 054 J000000 Y
 054 K000000 N
 054 L000000 N
 PAGE 6
 054 M000000 Y
 054 N000000 N
 054 O000000 N
 055 A000000 N
 055 B000000 N
 056 000000 Y
 057 000000 N
 058 A000000 N
 059 000000 Y
 060 A000000 N
 060 B000000 N
 061 000000 0
 062 A000000 N
 062 B000000 0.0
 062 C000000 0.0
 062 D000000 0.0
 062 E000000 0.0
 062 F000000 0.0
 062 G000000 0.0
 062 H000000 0.0
 062 I000000 0.0
 062 J000000 0.0
 062 K000000 0.0
 062 L000000 0.0
 062 M000000 0.0
 062 N000000 0.0
 062 O000000 0.0
 062 P000000 0.0
 062 Q000000 0.0
 062 R000000 0.0
 063 A000000 0
 063 B000000 0.0
 066 A000000 Y
 066 B000000 N
 066 C000000 Y
 066 D000000 N
 066 E000000 N
 066 F000000 N
 066 G000000 N
 067 000000 N
 068 A000000 N
 068 B000000 Y
 069 000000 N
 070 A010000 Y
 070 A020000 N
 070 B010000 N

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-B

| | | | |
|-----|---------|----|--------|
| 070 | B020000 | N | |
| 070 | C010000 | N | |
| 070 | C020000 | N | |
| 070 | D010000 | N | |
| 070 | D020000 | N | |
| | PAGE | 7 | |
| 070 | E010000 | N | |
| 070 | E020000 | N | |
| 070 | F010000 | N | |
| 070 | F020000 | N | |
| 070 | G010000 | Y | |
| 070 | G020000 | N | |
| 070 | H010000 | N | |
| 070 | H020000 | N | |
| 070 | I010000 | Y | |
| 070 | I020000 | N | |
| 070 | J010000 | Y | |
| 070 | J020000 | N | |
| 070 | K010000 | Y | |
| 070 | K020000 | N | |
| 070 | L010000 | Y | |
| 070 | L020000 | Y | |
| 070 | M010000 | Y | |
| 070 | M020000 | Y | |
| 070 | N010000 | Y | |
| 070 | N020000 | N | |
| 070 | O010000 | Y | |
| 070 | O020000 | N | |
| 070 | P010000 | N | |
| 070 | P020000 | N | |
| 070 | Q010000 | N | |
| 070 | Q020000 | N | |
| 070 | R010000 | N | |
| 070 | R020000 | N | |
| 071 | A000000 | | 241205 |
| 071 | B000000 | | 170443 |
| 071 | C000000 | | 211566 |
| 071 | D000000 | | 81 |
| 072 | A000000 | 12 | |
| 072 | B000000 | | 101 |
| 072 | C000000 | | 2060 |
| 072 | D000000 | | 0 |
| 072 | E000000 | | 0 |
| 072 | F000000 | | 2102 |
| 072 | G000000 | | 0 |
| 072 | H000000 | | 0 |
| 072 | I000000 | | 14 |
| 072 | J000000 | | 372 |
| 072 | K000000 | | 0 |
| 072 | L000000 | | 45 |
| 072 | M000000 | | 107 |
| 072 | N000000 | | 25 |
| 072 | O000000 | | 0 |
| 072 | P000000 | | 0 |
| 072 | Q000000 | | 0 |
| 072 | R000000 | | 82 |
| 072 | S000000 | | 166 |
| | PAGE | 8 | |
| 072 | T000000 | | 0 |
| 072 | U000000 | | 0 |
| 072 | V000000 | | 32 |
| 072 | W000000 | | 46 |

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-B

| | |
|-------------|--------|
| 072 X000000 | 2991 |
| 072 Y000000 | 0 |
| 072 Z000000 | -830 |
| 072AA000000 | 36130 |
| 072BB000000 | 0 |
| 072CC010000 | 32591 |
| 072CC020000 | 0 |
| 072DD010000 | 0 |
| 072DD020000 | 0 |
| 072EE000000 | 0 |
| 073 A010000 | 0.0000 |
| 073 A020000 | 0.0000 |
| 073 B000000 | 0.0000 |
| 073 C000000 | 0.0000 |
| 074 A000000 | 1125 |
| 074 B000000 | 0 |
| 074 C000000 | 0 |
| 074 D000000 | 0 |
| 074 E000000 | 0 |
| 074 F000000 | 320172 |
| 074 G000000 | 0 |
| 074 H000000 | 0 |
| 074 I000000 | 746 |
| 074 J000000 | 2265 |
| 074 K000000 | 0 |
| 074 L000000 | 92 |
| 074 M000000 | 21 |
| 074 N000000 | 324421 |
| 074 O000000 | 1772 |
| 074 P000000 | 227 |
| 074 Q000000 | 0 |
| 074 R010000 | 0 |
| 074 R020000 | 0 |
| 074 R030000 | 0 |
| 074 R040000 | 397 |
| 074 S000000 | 0 |
| 074 T000000 | 322025 |
| 074 U010000 | 21128 |
| 074 U020000 | 0 |
| 074 V010000 | 15.24 |
| 074 V020000 | 0.00 |
| 074 W000000 | 0.0000 |
| 074 X000000 | 13000 |
| 074 Y000000 | 0 |
| 075 A000000 | 0 |
| 075 B000000 | 225979 |
| 076 000000 | 15.75 |

PAGE 9

| | |
|-------------|---|
| 077 A000000 | Y |
| 077 B000000 | Y |
| 077 C000000 | N |
| 077 D000000 | N |
| 077 E000000 | N |
| 077 F000000 | N |
| 077 G000000 | N |
| 077 H000000 | N |
| 077 I000000 | N |
| 077 J000000 | N |
| 077 K000000 | N |
| 077 L000000 | N |
| 077 M000000 | N |
| 077 N000000 | N |

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-B

077 O000000 N
077 P000000 N
077 Q010000 N
077 Q020000 N
077 Q030000 N
078 000000 N
080 A000000 FEDERAL INSURANCE COMPANY
080 C000000 1000
081 A000000 Y
081 B000000 1
082 A000000 Y
082 B000000 25
083 A000000 N
083 B000000 0
084 A000000 N
084 B000000 0
085 A000000 Y
085 B000000 N
086 A010000 0
086 A020000 0
086 B010000 0
086 B020000 0
086 C010000 0
086 C020000 0
086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0
087 A020000 47109U104
087 A030000 JOF
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 N

PAGE 10

SIGNATURE RITA CHOPRA-BRATHWAITE
TITLE TREASURER