

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-A

JAPAN SMALLER CAPITALIZATION FUND INC

Form NSAR-A

October 26, 2006

PAGE 1
000 A000000 08/31/2006
000 C000000 0000859796
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 JAPAN SMALLER CAPITALIZATION FUND, INC.
001 B000000 811-05992
001 C000000 2126671858
002 A000000 TWO WORLD FINANCIAL CENTER, BUILDING B
002 B000000 NEW YORK
002 C000000 NY
002 D010000 10281
002 D020000 1712
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
007 C011100 11
007 C011200 12
007 C011300 13
007 C011400 14
007 C011500 15
007 C011600 16
007 C011700 17
007 C011800 18
007 C011900 19
007 C012000 20
007 C012100 21
007 C012200 22
007 C012300 23
007 C012400 24
007 C012500 25
007 C012600 26
007 C012700 27
007 C012800 28
PAGE 2
007 C012900 29
007 C013000 30
008 A000001 NOMURA ASSET MANAGEMENT USA INC.
008 B000001 A
008 C000001 801-12129
008 D010001 NEW YORK

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-A

008 D020001 NY
008 D030001 10281
008 D040001 1712
008 A000002 NOMURA ASSET MANAGEMENT CO.,LTD.
008 B000002 S
008 C000002 801-24357
008 D010002 TOKYO
008 D050002 JAPAN
008 D060002 103-8260
008 A000004 NOMURA ASSET MANAGEMENT HONG KONG, LIMITED
008 B000004 S
008 C000004 801-57909
008 D010004 HONG KONG
008 D050004 HONG KONG
008 D060004 .
010 A000001 NOMURA ASSET MANAGEMENT USA INC
010 B000001 801-12129
010 C010001 NEW YORK
010 C020001 NY
010 C030001 10281
012 A000001 COMPUTERSHARE TRUST COMPANY NA
012 B000001 85-11340
012 C010001 CANTON
012 C020001 MA
012 C030001 02021
013 A000001 ERNST AND YOUNG, LLP
013 B010001 NEW YORK
013 B020001 NY
013 B030001 10036
014 A000001 NOMURA SECURITIES INTERNATIONAL , INC.
014 B000001 8-15255
015 A000001 BROWN BROTHERS HARRIMAN AND CO.
015 B000001 C
015 C010001 BOSTON
015 C020001 MA
015 C030001 02109
015 E010001 X
018 000000 Y
019 A000000 Y
019 B000000 2
019 C000000 NOMURAASSE
020 A000001 DAIWA SECURITIES
020 C000001 41
020 A000002 TOKYO-MITSUBISHI INTL
020 C000002 35
PAGE 3
020 A000003 MORGAN STANLEY
020 C000003 30
020 A000004 GOLDMAN SACHS
020 C000004 26
020 A000005 MIZUHO SECURITIES ASIA
020 C000005 24
020 A000006 DEUTSCHE SECURITIES
020 C000006 18
020 A000007 LEHMAN BROTHERS
020 C000007 16
020 A000008 CITIGROUP GLOBAL
020 C000008 13
020 A000009 CREDIT SUISSE
020 C000009 13
020 A000010 UBS WARBURG
020 C000010 12

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-A

021	000000	247
022	A000001	BROWN BROTHERS HARRIMAN AND CO.
022	B000001	13-4973745
022	C000001	25641
022	D000001	25104
022	C000002	0
022	D000002	0
022	C000003	0
022	D000003	0
022	C000004	0
022	D000004	0
022	C000005	0
022	D000005	0
022	C000006	0
022	D000006	0
022	C000007	0
022	D000007	0
022	C000008	0
022	D000008	0
022	C000009	0
022	D000009	0
022	C000010	0
022	D000010	0
023	C000000	25641
023	D000000	25104
024	000000	N
025	D000001	0
025	D000002	0
025	D000003	0
025	D000004	0
025	D000005	0
025	D000006	0
025	D000007	0
025	D000008	0
026	A000000	N
	PAGE	4
026	B000000	Y
026	C000000	Y
026	D000000	Y
026	E000000	Y
026	F000000	Y
026	G010000	N
026	G020000	N
026	H000000	N
027	000000	N
028	A010000	0
028	A020000	0
028	A030000	0
028	A040000	0
028	B010000	0
028	B020000	0
028	B030000	0
028	B040000	0
028	C010000	0
028	C020000	0
028	C030000	0
028	C040000	0
028	D010000	0
028	D020000	0
028	D030000	0
028	D040000	0
028	E010000	0

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-A

028	E020000	0
028	E030000	0
028	E040000	0
028	F010000	0
028	F020000	0
028	F030000	0
028	F040000	0
028	G010000	0
028	G020000	0
028	G030000	0
028	G040000	0
028	H000000	0
030	A000000	0
030	B000000	0.00
030	C000000	0.00
031	A000000	0
031	B000000	0
032	000000	0
033	000000	0
035	000000	0
036	B000000	0
038	000000	0
042	A000000	0
042	B000000	0
042	C000000	0
	PAGE 5	
042	D000000	0
042	E000000	0
042	F000000	0
042	G000000	0
042	H000000	0
043	000000	0
044	000000	0
045	000000	Y
046	000000	N
047	000000	Y
048	000000	0.000
048	A010000	50000
048	A020000	1.100
048	B010000	50000
048	B020000	1.000
048	C010000	75000
048	C020000	0.900
048	D010000	0
048	D020000	0.000
048	E010000	0
048	E020000	0.000
048	F010000	0
048	F020000	0.000
048	G010000	0
048	G020000	0.000
048	H010000	0
048	H020000	0.000
048	I010000	0
048	I020000	0.000
048	J010000	0
048	J020000	0.000
048	K010000	175000
048	K020000	0.800
049	000000	N
050	000000	N
051	000000	N

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-A

052 000000 N
 053 A000000 N
 054 A000000 Y
 054 B000000 Y
 054 C000000 Y
 054 D000000 N
 054 E000000 N
 054 F000000 N
 054 G000000 Y
 054 H000000 Y
 054 I000000 N
 054 J000000 Y
 054 K000000 N
 054 L000000 N
 054 M000000 Y
 PAGE 6
 054 N000000 N
 054 O000000 N
 055 A000000 N
 055 B000000 N
 056 000000 Y
 057 000000 N
 058 A000000 N
 059 000000 Y
 060 A000000 N
 060 B000000 N
 061 000000 0
 062 A000000 N
 062 B000000 0.0
 062 C000000 0.0
 062 D000000 0.0
 062 E000000 0.0
 062 F000000 0.0
 062 G000000 0.0
 062 H000000 0.0
 062 I000000 0.0
 062 J000000 0.0
 062 K000000 0.0
 062 L000000 0.0
 062 M000000 0.0
 062 N000000 0.0
 062 O000000 0.0
 062 P000000 0.0
 062 Q000000 0.0
 062 R000000 0.0
 063 A000000 0
 063 B000000 0.0
 066 A000000 Y
 066 B000000 N
 066 C000000 Y
 066 D000000 N
 066 E000000 N
 066 F000000 N
 066 G000000 N
 067 000000 N
 068 A000000 N
 068 B000000 Y
 069 000000 N
 070 A010000 Y
 070 A020000 N
 070 B010000 N
 070 B020000 N

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-A

070	C010000	N	
070	C020000	N	
070	D010000	N	
070	D020000	N	
070	E010000	N	
	PAGE	7	
070	E020000	N	
070	F010000	N	
070	F020000	N	
070	G010000	Y	
070	G020000	N	
070	H010000	N	
070	H020000	N	
070	I010000	Y	
070	I020000	N	
070	J010000	Y	
070	J020000	N	
070	K010000	Y	
070	K020000	N	
070	L010000	Y	
070	L020000	Y	
070	M010000	Y	
070	M020000	Y	
070	N010000	Y	
070	N020000	N	
070	O010000	Y	
070	O020000	N	
070	P010000	N	
070	P020000	N	
070	Q010000	N	
070	Q020000	N	
070	R010000	N	
070	R020000	N	
071	A000000		120223
071	B000000		138986
071	C000000		299593
071	D000000		40
072	A000000	6	
072	B000000		52
072	C000000		1903
072	D000000		0
072	E000000		0
072	F000000		1382
072	G000000		0
072	H000000		0
072	I000000		7
072	J000000		185
072	K000000		0
072	L000000		32
072	M000000		77
072	N000000		13
072	O000000		0
072	P000000		0
072	Q000000		0
072	R000000		41
072	S000000		78
072	T000000		0
	PAGE	8	
072	U000000		0
072	V000000		15
072	W000000		23
072	X000000		1853

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-A

072 Y000000	0
072 Z000000	102
072AA000000	22139
072BB000000	0
072CC010000	0
072CC020000	58472
072DD010000	876
072DD020000	0
072EE000000	17065
073 A010000	0.0415
073 A020000	0.0000
073 B000000	0.8077
073 C000000	0.0000
074 A000000	2781
074 B000000	0
074 C000000	0
074 D000000	0
074 E000000	0
074 F000000	265114
074 G000000	0
074 H000000	0
074 I000000	699
074 J000000	1174
074 K000000	0
074 L000000	215
074 M000000	40
074 N000000	270023
074 O000000	1046
074 P000000	208
074 Q000000	0
074 R010000	0
074 R020000	0
074 R030000	0
074 R040000	251
074 S000000	0
074 T000000	268518
074 U010000	21179
074 U020000	0
074 V010000	12.68
074 V020000	0.00
074 W000000	0.0000
074 X000000	12000
074 Y000000	0
075 A000000	0
075 B000000	304593
076 000000	12.74
077 A000000	N
	PAGE 9
078 000000	N
080 C000000	0
081 B000000	1
082 B000000	0
083 A000000	N
083 B000000	0
084 A000000	N
084 B000000	0
085 A000000	Y
085 B000000	N
086 A010000	0
086 A020000	0
086 B010000	0
086 B020000	0

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-A

086	C010000	0
086	C020000	0
086	D010000	0
086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0
087	A020000	47109U104
087	A030000	JOF
088	A000000	N
088	B000000	N
088	C000000	N
088	D000000	N
SIGNATURE	RITA CHOPRA-BRATHWAITE	
TITLE	TREASURER	