Edgar Filing: CRAFT BREW ALLIANCE, INC. - Form 4

| CRAFT BRE Form 4 April 28, 201 | EW ALLIANCE, | , INC. | | | | | | | | |
|--|---|--|-----------------|--|---|-----------------------------------|--|--|--------------------------|--|
| <u> </u> | | | | | | | | OMB A | PPROVAL | |
| FORM | UNITED | STATES | | RITIES A | | | COMMISSION | N OMB Number: | 3235-0287 | |
| Check thi if no long subject to Section 1 Form 4 o Form 5 obligation may cont <i>See</i> Instru 1(b). | 6. r Filed pur inue. | rsuant to S (a) of the I | ection Public U | SECUI 16(a) of th Itility Hol | RITIES ne Securi lding Cor | ties Excha | WNERSHIP OF nge Act of 1934, of 1935 or Section 940 | Estimated a burden hou response | urs per | |
| (Print or Type F | Responses) | | | | | | | | | |
| | ddress of Reporting Joseph Kirk | Person <u>*</u> | Symbol | | | [·] Trading ICE, INC. | 5. Relationship o Issuer (Che | of Reporting Per eck all applicable | | |
| (Month/I | | | | Date of Earliest Transaction Director onth/Day/Year) X Officer (g /27/2015 Chie | | | | ve title Other (specify below) f Financial Officer | | |
| | | | | ed(Month/Day/Year) Applicable Line) _X_ Form filed by 0 | | | oint/Group Filing(Check One Reporting Person More than One Reporting | | | |
| PORTLANI | D, OR 97227 | | | | | | Person | More than One K | eporting | |
| (City) | (State) | (Zip) | Tab | ole I - Non-l | Derivative | Securities A | cquired, Disposed | of, or Beneficia | lly Owned | |
| | 2. Transaction Date (Month/Day/Year) | 2A. Deemo Execution any (Month/Da | ed Date, if | 3. Transactic Code (Instr. 8) | 4. Securit onAcquired Disposed | ies (A) or of (D) | 5. Amount of Securities Beneficially Owned | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect | |
| Reminder: Ren | ort on a separate line | e for each cl | ass of sec | urities bene | ficially ow | ned directly | or indirectly | | | |
| Kenninder, Kep | | | 155 01 800 | unities belle | Perso | ons who res | spond to the colle ained in this form | | SEC 1474 (9-02) | |

information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number | 6. Date Exercisable and | 7. Title and Amount of | 8. |
|-------------|-------------|---------------------|--------------------|------------|-----------------|-------------------------|------------------------|----|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transactio | onof Derivative | Expiration Date | Underlying Securities | De |
| Security | or Exercise | | any | Code | Securities | (Month/Day/Year) | (Instr. 3 and 4) | Se |

Edgar Filing: CRAFT BREW ALLIANCE, INC. - Form 4

| (Instr. 3) | Price of Derivative Security | | (Month/Day/Year) | (Instr. | ĺ | Acquired (A) or Disposed (D) (Instr. 3, and 5) | d of | | | | |
|-------------------------------------|------------------------------------|------------|------------------|---------|---|---|------|---------------------|--------------------|-----------------|--|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Non Statutory Stock Option | \$ 13.66 | 04/27/2015 | | A | | 6,820 | | <u>(1)</u> | 04/27/2025 | Common Stock | 6,820 |
| Repor | ting Ow | vners | | | | | | | | | |

| Reporting Owner Name / Address | Relationships | | | | | | | | |
|---|---------------|-----------|-------------------|----------|--|--|--|--|--|
| I G G G G G G G G G G G G G G G G G G G | Director | 10% Owner | Officer | Other | | | | | |
| Vanderstelt Joseph Kirk 929 NORTH RUSSELL STREET PORTLAND, OR 97227 | | | Chief Financial C | Officer | | | | | |
| Signatures | | | | | | | | | |
| /s/Edwin A. Smith by Power of Att Vanderstelt | orney for | Joseph K. | 04 | /28/2015 | | | | | |
| <u>**</u> Signature of Reporti | ing Person | | | Date | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options were granted on 04/27/2015 under the 2014 Stock Incentive Plan and become exercisable for 25% of the Shares on each of the first four anniversaries of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

(Iı