

MASUCCI ROBERT N
Form 4
August 20, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
MASUCCI ROBERT N

2. Issuer Name and Ticker or Trading Symbol
INTRICON CORP [IIN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
08/16/2018

Director 10% Owner
 Officer (give title below) Other (specify below)

C/O INTRICON CORPORATION, 1260 RED FOX ROAD

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

ARDEN HILLS, MN 55112

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | | (A) or (D) | Price | | |
| Common Stock | 08/16/2018 | | M | 3,333 ⁽¹⁾ | A \$ 8.8 | 65,631 | D |
| Common Stock | 08/16/2018 | | F | 501 ⁽¹⁾ | D \$ 58.55 | 65,130 | D |
| Common Stock | 08/16/2018 | | M | 10,000 ⁽³⁾ | A \$ 7.96 | 75,130 | D |
| Common Stock | 08/16/2018 | | F | 1,360 ⁽³⁾ | D \$ 58.55 | 73,770 | D |
| Common Stock | 08/16/2018 | | M | 10,000 ⁽⁴⁾ | A \$ 6.96 | 83,770 | D |

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| | | | | | | | |
|--------------|------------|---|----------------------|---|----------|---------|---|
| Common Stock | 08/16/2018 | F | <u>1,189</u> (4) | D | \$ 58.55 | 82,581 | D |
| Common Stock | 08/16/2018 | M | <u>10,000</u> (5) | A | \$ 6.82 | 92,581 | D |
| Common Stock | 08/16/2018 | F | <u>1,165</u> (5) | D | \$ 58.55 | 91,416 | D |
| Common Stock | 08/16/2018 | M | <u>6,667</u> (6) | A | \$ 5.85 | 98,003 | D |
| Common Stock | 08/16/2018 | F | <u>667</u> (6) | D | \$ 58.55 | 97,416 | D |
| Common Stock | 08/16/2018 | M | <u>10,000</u> (7) | A | \$ 4.53 | 107,416 | D |
| Common Stock | 08/16/2018 | F | <u>774</u> (7) | D | \$ 58.55 | 106,642 | D |
| Common Stock | 08/16/2018 | M | <u>10,000</u> (8) | A | \$ 4.1 | 116,642 | D |
| Common Stock | 08/16/2018 | F | <u>701</u> (8) | D | \$ 58.55 | 115,941 | D |
| Common Stock | 08/16/2018 | M | <u>3,987</u> (9) | A | \$ 4 | 119,928 | D |
| Common Stock | 08/16/2018 | F | <u>273</u> (9) | D | \$ 58.55 | 119,655 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Option to Purchase | \$ 8.8 | 08/16/2018 | | M | 3,333 | (2) | 04/27/2027 | Common Stock | 3,333 |

| | | | | | | | | | |
|-----------------------|---------|------------|---|--------|-----|------------|-----------------|--------|--|
| - Common Stock | | | | | | | | | |
| Option to Purchase | | | | | | | | | |
| - Common Stock | \$ 7.96 | 08/16/2018 | M | 10,000 | (2) | 04/24/2025 | Common Stock | 10,000 | |
| Option to Purchase | | | | | | | | | |
| - Common Stock | \$ 6.96 | 08/16/2018 | M | 10,000 | (2) | 05/01/2022 | Common Stock | 10,000 | |
| Option to Purchase | | | | | | | | | |
| - Common Stock | \$ 6.82 | 08/16/2018 | M | 10,000 | (2) | 04/23/2024 | Common Stock | 10,000 | |
| Option to Purchase | | | | | | | | | |
| - Common Stock | \$ 5.85 | 08/16/2018 | M | 6,667 | (2) | 04/28/2026 | Common Stock | 6,667 | |
| Option to Purchase | | | | | | | | | |
| - Common Stock | \$ 4.53 | 08/16/2018 | M | 10,000 | (2) | 04/27/2021 | Common Stock | 10,000 | |
| Option to Purchase | | | | | | | | | |
| - Common Stock | \$ 4.1 | 08/16/2018 | M | 10,000 | (2) | 04/24/2023 | Common Stock | 10,000 | |
| Option to Purchase | | | | | | | | | |
| - Common Stock | \$ 4 | 08/16/2018 | M | 3,987 | (2) | 04/21/2020 | Common Stock | 3,987 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| MASUCCI ROBERT N C/O INTRICON CORPORATION | X | | | |

1260 RED FOX ROAD
ARDEN HILLS, MN 55112

Signatures

/s/ Scott Longval,
attorney-in-fact

08/20/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) "Cashless Exercise" Resulting in Net Acquisition of 2,832 Share.
- (2) The option is Fully Vested and May BE Exercised Immediately.
- (3) "Cashless Exercise" Resulting in Net Acquisition of 8,640 Share.
- (4) "Cashless Exercise" Resulting in Net Acquisition of 8,811 Share.
- (5) "Cashless Exercise" Resulting in Net Acquisition of 8,835 Share.
- (6) "Cashless Exercise" Resulting in Net Acquisition of 6,000 Share.
- (7) "Cashless Exercise" Resulting in Net Acquisition of 9,226 Share.
- (8) "Cashless Exercise" Resulting in Net Acquisition of 9,299 Share.
- (9) "Cashless Exercise" Resulting in Net Acquisition of 3,714 Share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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