

Edgar Filing: THIRY KENT J - Form 4

THIRY KENT J
Form 4
August 30, 2002

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/ OMB APPROVAL /
/-----/
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| FORM 4 |
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box if
no longer subject
to Section 16.
Form 4 or Form 5
obligations may
continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP
Filed pursuant to Section 16(a) of the Securities
Exchange Act of 1934, Section 17(a) of the
Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person*

Thiry Kent J

(Last) (First) (Middle)
21250 Hawthorne Boulevard, Suite 800

(Street)
Torrance CA 90503

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol DaVita Inc. (DVA)

3. I.R.S. Identification Number of Reporting Person, if an entity
(voluntary)

4. Statement for Month/Year 05/2001

5. If Amendment, Date of Original (Month/Year) 06/2001

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)
X Director X Officer ___ 10% Owner ___ Other

(give title below) (specify below)

Chairman & CEO

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7. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person

 Form filed by More than One Reporting Person

Table I--Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Trans-action Date (Month/Day/Year) | 3. Trans-action Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned at End of Month (Instr. 3 a | | |
|---------------------------------|---------------------------------------|---------------------------------|---|---|---------|--|------------|-------|
| | | | Code | V | Amount | | (A) or (D) | Price |
| Common Stock | 05/14/01 | M | | | 250,000 | A | \$2.6875 | |
| Common Stock | 05/14/01 | M | | | 25,000 | A | \$5.1354 | |
| Common Stock | 05/14/01 | S | | | 40,887 | D | \$18.7435 | |
| Common Stock | 05/15/01 | S | | | 166,950 | D | \$18.7081 | |
| Common Stock | 05/16/01 | S | | | 67,163 | D | \$18.6596 | 4 |
| Common Stock | | | | | | | | 55 |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.
 * If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

FORM 4 (continued) Table II--Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of | 3. Trans-action Date (Month/ | 4. Transac-tion Code (Instr. 8) |
|--|------------------------------------|------------------------------|---------------------------------|
|--|------------------------------------|------------------------------|---------------------------------|

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| | Deriv- ative Security | Day/ Year) | Code | V |
|------------------------------|-----------------------------|---------------|------|---|
| Stock Options (Right to buy) | \$2.6875 | 05/14/01 | M | |
| Stock Options (Right to buy) | \$5.1354 | 05/14/01 | M | |

Table II--Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| | 6. Date Exer- cisable and Expiration Date (Month/Day/ Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Deriv- ative Secur- ity (Instr. 5) | 9. Number of De- rivative Secur- ities Bene- ficial- ly Owned at En- d of Month (Inst | | |
|------------------------------|--|---|---|---|-----|------|
| | Date Exer- cisable | Expira- tion Date | Title | Amount or Number of Shares | | |
| Stock Options (Right to buy) | (2) 03/29/01 | 03/29/05 | Common Stock | 250,000 | \$0 | 250, |
| Stock Options (Right to buy) | 10/18/00 | 01/26/10 | Common Stock | 25,000 | \$0 | 475, |

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Explanation of Responses:

See attached page(s)

/s/ Kent J Thiry

**Signature of Re

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Thiry, Kent J
21250 Hawthorne B

Note: File three copies of this form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

Torrance CA 90503
DaVita Inc. (DVA)
05/2001

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FORM 4 (continued)

Explanation of Responses

Name: Thiry, Kent J
21250 Hawthorne Boulevard, Suite 800

Torrance CA 90503

Statement for Month/Year: 05/2001
Issuer Name: DaVita Inc. (DVA)

Note: 1 Acquired under the Company Employee Stock Purchase Plan in January 2001.

Note: 2 125,000 of the indicated options vested on 3/29/01. The vesting of the remaining 125,000 options was accelerated on 6/27/00 due to DaVita's stock price closing at \$5.01 or above for 10 of 20 consecutive trading days.