Edgar Filing: CareDx, Inc. - Form 4

CareDx, Inc. Form 4 July 06, 2015OMB APPROVALFORM 4 July 06, 2015UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549OMB APPROVALCheck this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).TATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIESOMB APPROVALFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b).State Average Section 1940(Print or Type Responses)State Average Section 1940State Average Section 1940											
1. Name and Address of Reporting Person <u>*</u> BYERS BROOK H			2. Issuer Name and Ticker or Trading Symbol CareDx, Inc. [CDNA]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) C/O CAREDX, INC., 3260 07/01/2015 BAYSHORE BOULEVARD				unsaction			X_ DirectorX_ 10% Owner Officer (give title below)Other (specify below)				
BRISBANE	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
BRISBANE, CA 94005 (City) (State) (Zip) Table L Non Derivative Securities Acquired Disposed of or Repetitional One Repetition Acquired Disposed of an Repetitional One Repetition Acquired Disposed of an Repetition Acquired Disposed of Acquired Disposed October Disposed of Acquired Disposed of Acquired Disposed Disposed of Acquired Disposed Di											
(City)		-					ties Ac	quired, Disposed o		-	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	Execution any	Date, if	3. Transactic Code (Instr. 8) Code V	Disposed (Instr. 3, Amount	(A) o of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial	
Common Stock	07/01/2015			А	2,117 (1)	А	\$0	13,716	D		
Common Stock								751,174	Ι	See Footnote	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationsh					
	Director	10% Owner	Officer	Other			
BYERS BROOK H C/O CAREDX, INC. 3260 BAYSHORE BOULEVARD BRISBANE, CA 94005	Х	Х					
Signatures							
/s/ Ken Ludlum as attorney- in-fact for Brook H. Byers			07/0	6/2015			
<u>**</u> Signature of Reporting Person	n		D	ate			
Explanation of Dooponooo							

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents an automatic, quarterly grant of common stock to the reporting person in lieu of cash for non-employee director compensation pursuant to the issuer's Outside Director Compensation Policy.

751,174 shares of which 730,695 shares are directly held by Kleiner Perkins Caufield & Byers X-A, LP ("KPCB X-A") and 20,479 shares are directly held by Kleiner Perkins Caufield & Byers X-B, LP ("KPCB X-B"). KPCB X Associates, LLC ("Associates") is the general

(2) partner of KPCB X-A and KPCB X-B. Brook H. Byers is a managing member of Associates. Associates and Mr. Byers disclaim beneficial ownership of the shares held directly by KPCB X-A and KPCB X-B. Excludes 309,009 shares held in the name of "KPCB Holdings, Inc. as nominee" for the account of the Principals who each exercise their own voting and dispositive power over such shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.