Quotient Ltd Form 4 November 03, 2015

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

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Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* von Prondzynski Heino

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(First) (Last)

(Middle)

(Zip)

Quotient Ltd [QTNT] 3. Date of Earliest Transaction

(Check all applicable)

(Month/Day/Year)

10/31/2015 below)

X\_ Director 10% Owner Officer (give title Other (specify

C/O OUOTIENT LIMITED, ELIZABETH HOUSE, 9

(State)

CASTLE STREET

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

ST HELIER, Y9 JE2 3RT

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned **Following** 

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Ownership Indirect (I) (Instr. 4) (Instr. 4)

Reported Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

D 12,500

Ordinary **Shares** 

(City)

(A)

or

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number conf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	(1)					(2)	(2)	Ordinary Shares	37,500
Restricted Stock Units	(1)					(3)	<u>(3)</u>	Ordinary Shares	9,867
Ordinary Share Option (Right to Buy)	\$ 11.62	10/31/2015		A	4,303	<u>(4)</u>	10/31/2025	Ordinary Shares	4,303
Restricted Stock Units	<u>(1)</u>	10/31/2015		A	2,582	<u>(5)</u>	<u>(5)</u>	Ordinary Shares	2,582

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Reporting Owner Funct/Funces	Director	10% Owner	Officer	Other		
von Prondzynski Heino C/O QUOTIENT LIMITED ELIZABETH HOUSE, 9 CASTLE STREET ST HELIER, Y9 JE2 3RT	X					

# **Signatures**

/s/ Heino von Prondzynski, by Allein Sabel, his attorney-in-fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Resticted Stock Units convert into ordinary shares on a one-for-one basis.
- (2) On September 15, 2014, the reporting person was granted 50,000 restricted stock units, of which 12,500 restricted stock units have vested and been exercised, as reported on the Form 4 filed September 9, 2015. The remaining 37,500 restricted stock units will vest in three

Reporting Owners 2

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equal annual installments beginning on September 4, 2016.

- (3) On September 4, 2015, the reporting person was granted 9,867 restricted stock units. The restricted stock units vest in their entirety on September 4, 2017.
- (4) The options vest and become exercisable in three equal annual installments beginning October 31, 2016.
- On October 31, 2015, the reporting person was granted 2,582 restricted stock units, vesting in two equal installments on the first and second anniversary of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.