

Engelkes Jack
Form 4
June 25, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Engelkes Jack

2. Issuer Name and Ticker or Trading Symbol
HOME BANCSHARES INC
[HOMB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
06/21/2018

Director 10% Owner
 Officer (give title below) Other (specify below)

P.O. BOX 966

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

CONWAY, AR 72033

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V Amount (A) or (D) Price | | | |
| Common Stock | 02/27/2018 | | G | V 100 D \$ 0 | 127,241.9409 | D | |
| Common Stock | 06/21/2018 | | M | 1,425 A \$ 2.46 | 128,666.9409 | D | |
| Common Stock | 06/21/2018 | | M | 2,000 A \$ 17.12 | 130,666.9409 (1) | D | |
| Common Stock | | | | | 190,753.6516 (2) | I | Owned solely by wife |
| Common Stock | | | | | 400 | I | Held in wife's IRA |

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| | | | |
|---------------------------|--|---|-------------------------|
| Common Stock | 42,719.392 | I | Custodian for daughters |
| Common Stock - Restricted | 5,000 <u>(3)</u> <u>(4)</u> <u>(5)</u> | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Stock Option | \$ 2.46 | 06/21/2018 | | M | 1,425 | <u>(6)</u> <u>(6)</u> | Common Stock | 1,425 |
| Stock Option | \$ 17.12 | 06/21/2018 | | M | 2,000 | <u>(7)</u> 04/15/2025 | Common Stock | 2,000 |
| Stock Option | \$ 2.66 | | | | | <u>(8)</u> <u>(8)</u> | Common Stock | 1,425 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| Engelkes Jack P.O. BOX 966 CONWAY, AR 72033 | X | | | |

Signatures

/s/ Jack Engelkes by Micah
Osborne

06/25/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 507.796 shares acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.
- (2) Includes 108.216 shares acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.
- (3) Restricted Stock granted on January 19, 2018 will vest in 33 1/3% installments over three years beginning on the first anniversary of the award date.
- (4) Restricted Stock granted on January 20, 2017 will "cliff" vest 100% three years from award date.
- (5) Restricted Stock granted on January 25, 2016 will "cliff" vest 100% three years from award date.
- (6) The options became exercisable on December 31, 2008 and expire on December 31, 2018.
- (7) The option is exercisable in five equal annual installments. The first installment became exercisable on April 16, 2016.
- (8) 20% of the issued option is remaining. The remaining options became exercisable on December 31, 2009 and expire on December 31, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.