JEFFERY JOSEPH A Form 4

July 31, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or Form 5 obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

may continue. See Instruction 1(b).

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person * JEFFERY JOSEPH A

> (First) (Middle)

VICOR CORPORATION, 25

FRONTAGE RD.

(Street)

2. Issuer Name and Ticker or Trading

Symbol

VICOR CORP [VICR] 3. Date of Earliest Transaction

(Month/Day/Year) 07/26/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

Director 10% Owner _X__ Officer (give title Other (specify below)

VP-Chief Information Officer

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

ANDOVER, MA 01810

(City)	(State)	(Zip) Tab	le I - Non-	Derivativ	e Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	omr Dispo (Instr. 3,	sed of 4 and (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	07/26/2018		M	5,000	A	\$ 7.34	6,582	D	
Common Stock	07/26/2018		S	5,000	D	\$ 58.1854	1,582	D	
Common Stock	07/26/2018		M	5,000	A	\$ 8.38	6,582	D	
Common Stock	07/26/2018		S	5,000	D	\$ 58.1854	1,582	D	
Common Stock	07/26/2018		M	5,000	A	\$ 9.43	6,582	D	

Edgar Filing: JEFFERY JOSEPH A - Form 4

Common Stock	07/26/2018	S	5,000	D	\$ 58.1854	1,582	D
Common Stock	07/26/2018	M	5,000	A	\$ 10.48	6,582	D
Common Stock	07/26/2018	S	5,000	D	\$ 58.1854	1,582	D
Common Stock	07/26/2018	M	80	A	\$ 6.29	1,662	D
Common Stock	07/26/2018	S	80	D	\$ 58.1854	1,582	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non Qualified Stock Option	\$ 7.34	07/26/2018		M	5,000	<u>(1)</u>	06/17/2023	Common Stock	5,000
Non Qualified Stock Option	\$ 8.38	07/26/2018		M	5,000	<u>(1)</u>	06/17/2023	Common Stock	5,000
Non Qualified Stock Option	\$ 9.43	07/26/2018		M	5,000	<u>(1)</u>	06/17/2023	Common Stock	5,000
Non Qualified	\$ 10.48	07/26/2018		M	5,000	<u>(1)</u>	06/17/2023	Common Stock	5,000

Edgar Filing: JEFFERY JOSEPH A - Form 4

Stock Option

Non

Qualified \$ 6.29 07/26/2018 M 80 (1) 06/17/2023 Common Stock 80

Option

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

JEFFERY JOSEPH A VICOR CORPORATION 25 FRONTAGE RD. ANDOVER, MA 01810

VP-Chief Information Officer

Signatures

/s/Richard J. Nagel Jr. Attorney in fact for Joseph
Jeffery
07/31/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This stock option is exercisable in full.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3