Edgar Filing: MONARCH CASINO & RESORT INC - Form 4

MONARCH CASINO & RESORT INC

Form 4

Stock

Stock

Stock

Common

Common

November 06	5, 2015							
FORM	4					PPROVAL		
. •	· · UNITED S		RITIES AND EXCHANGE shington, D.C. 20549	COMMISSION	OMB Number:	3235-0287		
Check this box if no longer subject to Section 16. Form 4 or Washington, D.C. 20349 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES					Expires: Estimated burden houresponse	ırs per		
Form 5 obligation may conti <i>See</i> Instru 1(b).	Section 17(a)) of the Public U	6(a) of the Securities Excharatility Holding Company Act avestment Company Act of 1	of 1935 or Section	·	-		
(Print or Type R	desponses)							
1. Name and A FARAHI JO	ddress of Reporting Po DHN	Symbol	r Name and Ticker or Trading RCH CASINO & RESORT	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
		INC [M	ICRI]	(Che	ск ан аррисаог	c)		
	I CASINO & RES	(Month/I SORT 10/21/2	f Earliest Transaction Day/Year) 015	X Director X Officer (giv below)	e titleOth	% Owner eer (specify		
RENO, NV	(Street)	Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
				Person				
(City)	(State) (Z	Zip) Tab	le I - Non-Derivative Securities A	cquired, Disposed o	f, or Beneficia	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Code Disposed of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock				272,047	I	by trust		
Common Stock				276,749	I	by trust		
Common				276,749	I	by trust		

276,749

276,749

1,788,387

I

I

D

by trust

by trust

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securition (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Option/right to buy	\$ 17.62	10/21/2015		A	66,666	10/21/2018	10/21/2025	Common Stock	66,6
Option/right to buy	\$ 12.32					10/21/2017	10/21/2024	Common Stock	66,6
Option/right to buy	\$ 21.82					10/21/2009	10/21/2016	Common Stock	66,6
Option/right to buy	\$ 29					10/21/2010	10/21/2017	Common Stock	66,6
Option/right to buy	\$ 9.33					10/21/2014	10/21/2021	Common Stock	66,6
Option/right to buy	\$ 8.56					10/09/2015	10/09/2022	Common Stock	66,6
Option/right to buy	\$ 21.71					10/21/2016	10/21/2023	Common Stock	66,6

Reporting Owners

Reporting Owner Name / Address	Relationships		ips	
reporting 6 mier rume, rumess	Director	10% Owner	Officer	Other
FARAHI JOHN MONARCH CASINO & RESORT INC	X	X	CEO	
3800 S. VIRGINIA STREET	Λ	Λ	CEO	

Reporting Owners 2

Signatures

John Farahi 11/06/2015

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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