

Edgar Filing: GOODRICH PETROLEUM CORP - Form 4

GOODRICH PETROLEUM CORP  
 Form 4  
 August 08, 2002

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 FORM 4  
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 WASHINGTON, D.C. 20549  
 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

(Print or Type Responses)

|   |         |          |   |  |
|---|---------|----------|---|--|
| 1. Name and Address of Reporting Person*      |         |          | 2. Issuer Name AND Ticker or Trading Symbol                                   | 6. R<br>t                                      |
| Malloy  | Patrick | E.       | Goodrich Petroleum Corp. (GDP)  | [X]<br>[ ]                                     |
| (Last)  | (First) | (Middle) |   |  |
| Bay Street at the Waterfront<br>P.O. Box 1979 |         |          | 3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary) | 4. Statement for Month/Year<br>July, 2002      |
| (Street)                                      |         |          |   |  |
| Sag Harbor,                                   | NY      | 11963    |   | 5. If Amendment, Date of Original (Month/Year) |
| (City)  | (State) | (Zip)    |   | [X]<br>[ ]                                     |

TABLE I -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned at End of Month (Instr. 3 and 4) |
|---------------------------------|--------------------------------------|--------------------------------|---|---|
|                                 |                                      | Code                           | V   | Amount (A) or (D) Price   |
| Common Stock                    | 7/02/02                              | A                              |   | 2,200 A \$3.60  |
| Common Stock                    | 7/03/02                              | A                              |   | 2,500 A \$3.55  |
| Common Stock                    | 7/08/02                              | A                              |   | 100 A \$3.55  |
| Common Stock                    | 7/08/02                              | A                              |   | 1,800 A \$3.52  |
| Common Stock                    | 7/18/02                              | A                              |   | 800 A \$3.45  |
| Common Stock                    | 7/23/02                              | A                              |   | 16,900 A \$3.25   |

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|              |         |   |       |   |        |           |
|--------------|---------|---|-------|---|--------|-----------|
| Common Stock | 7/25/02 | A | 100   | A | \$3.10 |           |
| Common Stock | 7/29/02 | A | 1,700 | A | \$3.00 |           |
| Common Stock | 7/30/02 | A | 1,700 | A | \$3.01 | 2,480,144 |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly by the reporting person.  
 \* If the form is filed by more than one reporting person, see Instruction 4(b) (v).

FORM 4 (continued)

TABLE II -- DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED  
 (E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Price of Underlying Security (Instr. 5) |
|--|--|--------------------------------------|--------------------------------|---|--|---|--|--|
|--|--|--------------------------------------|--------------------------------|---|--|---|--|--|

|                                      |          |          | Code | V | (A)   | (D) | Date Exercisable | Expir- ation Date | Title Amount or Number of Shares |               |
|--------------------------------------|----------|----------|------|---|-------|-----|------------------|-------------------|----------------------------------|---------------|
| Series A Convertible Preferred Stock | \$0.4125 | 1/11//02 | P    |   | 100   |     | immed.           | none              | Common Stock                     | 41.25 \$9.00  |
| Series A Convertible Preferred Stock | \$0.4125 | 1/24/02  | P    |   | 800   |     | immed.           | none              | Common Stock                     | 330 \$8.75    |
| Series A Convertible Preferred Stock | \$0.4125 | 1/24/02  | P    |   | 1,300 |     | immed.           | none              | Common Stock                     | 536.25 \$9.00 |
| Series A Convertible Preferred Stock | \$0.4125 | 2/07/02  | P    |   | 100   |     | immed.           | none              | Common Stock                     | 41.25 \$9.00  |

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|   |          |         |   |       |        |      |                 |        |        |
|---|----------|---------|---|-------|--------|------|-----------------|--------|--------|
| Series A<br>Convertible<br>Preferred<br>Stock | \$0.4125 | 2/22/02 | P | 1,000 | immed. | none | Common<br>Stock | 412.50 | \$9.00 |
| Series A<br>Convertible<br>Preferred<br>Stock | \$0.4125 | 2/27/02 | P | 1,300 | immed. | none | Common<br>Stock | 536.25 | \$9.00 |
| Series A<br>Convertible<br>Preferred<br>Stock | \$0.4125 | 7/23/02 | P | 800   | immed. | none | Common<br>Stock | 330    | \$8.80 |
| Series A<br>Convertible<br>Preferred<br>Stock | \$0.4125 | 7/31/02 | P | 1,900 | immed. | none | Common<br>Stock | 783.75 | \$9.00 |

Explanation of Responses:

/s/ Patrick E. Malloy

\*\* Signature of Reporting Person

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

POTENTIAL PERSONS WHO ARE TO RESPOND TO THE COLLECTION OF INFORMATION CONTAINED HEREIN ARE NOT REQUIRED TO RESPOND UNLESS THE FORM DISPLAYS A CURRENTLY VALID OMB CONTROL NUMBER