APPLICA INC Form 4 August 08, 2006

## FORM 4

#### OMB APPROVAL

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16. Form 4 or Washington, D.C. 20549

Expires: January 31, 2005

Section 16. Form 4 or Form 5 obligations STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

APPLICA INC [APN]

Symbol

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

HARBERT MANAGEMENT CORP

|                        |                     |                |                                       | (Check all applicable)                           |  |  |  |  |
|------------------------|---------------------|----------------|---------------------------------------|--|--|--|--|--|
| (Last)                 | (First)             | (Middle) 3. D  | Pate of Earliest Transaction          |  |  |  |  |  |
|                        |                     |                | onth/Day/Year)                        | DirectorX 10% Owner                              |  |  |  |  |
| ONE RIVERCHASE PARKWAY |                     |                | 04/2006                               | Officer (give title Other (specify               |  |  |  |  |
| SOUTH,                 |                     |                | 0 1/2000                              | below) below)                                    |  |  |  |  |
| 300111,                |                     |                |                                       |  |  |  |  |  |
|                        | (Street)            | 4. If          | Amendment, Date Original              | 6. Individual or Joint/Group Filing(Check        |  |  |  |  |
|                        |                     | File           | d(Month/Day/Year)                     | Applicable Line)                                 |  |  |  |  |
|                        |                     |                | • •                                   | Form filed by One Reporting Person               |  |  |  |  |
| BIRMINGHAM, AL 35244   |                     |                |                                       | _X_ Form filed by More than One Reporting Person |  |  |  |  |
| (City)                 | (State)             | (Zip)          | Table I - Non-Derivative Securities   | Acquired, Disposed of, or Beneficially Owned     |  |  |  |  |
|                        |                     |                | Tuble 1 Troil Bellvative Securities   | required, Disposed of, or Denementary Owned      |  |  |  |  |
| 1.Title of             | 2. Transaction Date | e 2A. Deemed   | 3. 4. Securities Acquire              | ed 5. Amount of 6. 7. Nature of                  |  |  |  |  |
| Security               | (Month/Day/Year)    | Execution Date | e, if Transaction(A) or Disposed of ( | D) Securities Ownership Indirect                 |  |  |  |  |
| (Instr. 3)             |                     | any            | Code (Instr. 3, 4 and 5)              | Beneficially Form: Beneficial                    |  |  |  |  |
|                        |                     | (Month/Day/Y   | ear) (Instr. 8)                       | Owned Direct (D) Ownership                       |  |  |  |  |
|                        |                     |                |                                       | Following or Indirect (Instr. 4)                 |  |  |  |  |
|                        |                     |                | (4)                                   | Reported (I)                                     |  |  |  |  |
|                        |                     |                | (A)                                   | Transaction(s) (Instr. 4)                        |  |  |  |  |
|                        |                     |                | or (D)                                | (Instr. 3 and 4)                                 |  |  |  |  |
|                        |                     |                | Code V Amount (D) I                   | Price  |  |  |  |  |
|                        |                     |                |                                       | Ву   |  |  |  |  |
|                        |                     |                |                                       | Harbinger  |  |  |  |  |
|                        |                     |                |                                       | Capital  |  |  |  |  |
| Common                 |                     |                |                                       | -  |  |  |  |  |
| Stock                  |                     |                |                                       | · ,> ==,== = = = = = = = = = = = = = = =         |  |  |  |  |
|                        |                     |                |                                       | Master   |  |  |  |  |
|                        |                     |                |                                       | Fund I,  |  |  |  |  |
|                        |                     |                |                                       | Ltd.   |  |  |  |  |
|                        |                     |                |                                       | Ett.   |  |  |  |  |
| Common                 | 08/04/2006          |                | P 655,200 A \$                        | 4 655,200 (2) I By                               |  |  |  |  |
| Stock                  |                     |                |                                       | Harbinger  |  |  |  |  |
| Stock                  |                     |                |                                       |  |  |  |  |  |
|                        |                     |                |                                       | Capital  |  |  |  |  |

Partners Special

### Edgar Filing: APPLICA INC - Form 4

|                 |            |   |        |   |            |             |   | Situations<br>Fund, L.P.                                    |
|-----------------|------------|---|--------|---|------------|-------------|---|---|
| Common<br>Stock | 08/04/2006 | P | 10,000 | A | \$<br>3.98 | 665,200 (2) | I | By Harbinger Capital Partners Special Situations Fund, L.P. |
| Common<br>Stock | 08/07/2006 | P | 34,700 | A | \$<br>4.28 | 699,900 (2) | I | By Harbinger Capital Partners Special Situations Fund, L.P. |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.      |       | 5.         | 6. Date Exerc | cisable and | 7. Titl | le and   | 8. Price of |
|-------------|-------------|---------------------|--------------------|---------|-------|------------|---------------|-------------|---------|----------|-------------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transa  | ction | Number     | Expiration D  | ate         | Amou    | ınt of   | Derivative  |
| Security    | or Exercise |                     | any                | Code    |       | of         | (Month/Day/   | Year)       | Under   | lying    | Security    |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. | 8)    | Derivative | •             |             | Secur   | ities    | (Instr. 5)  |
|             | Derivative  |                     |                    |         |       | Securities |               |             | (Instr. | 3 and 4) |             |
|             | Security    |                     |                    |         |       | Acquired   |               |             |         |          |             |
|             | ·           |                     |                    |         |       | (A) or     |               |             |         |          |             |
|             |             |                     |                    |         |       | Disposed   |               |             |         |          |             |
|             |             |                     |                    |         |       | of (D)     |               |             |         |          |             |
|             |             |                     |                    |         |       | (Instr. 3, |               |             |         |          |             |
|             |             |                     |                    |         |       | 4, and 5)  |               |             |         |          |             |
|             |             |                     |                    |         |       |            |               |             |         |          |             |
|             |             |                     |                    |         |       |            |               |             |         | Amount   |             |
|             |             |                     |                    |         |       |            | Date          | Expiration  |         | or       |             |
|             |             |                     |                    |         |       |            | Exercisable   | Date        | Title   | Number   |             |
|             |             |                     |                    |         |       |            | 2.1010184610  | 2           |         | of       |             |
|             |             |                     |                    | Code    | V     | (A) (D)    |               |             |         | Shares   |             |

## **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |       |  |  |
|---|---------------|-----------|---------|-------|--|--|
| . 9   | Director      | 10% Owner | Officer | Other |  |  |
| HARBERT MANAGEMENT CORP<br>ONE RIVERCHASE PARKWAY SOUTH<br>BIRMINGHAM, AL 35244 |               | X         |         |       |  |  |

Reporting Owners 2

FALCONE PHILIP 555 MADISON AVE

16TH FLOOR

NEW YORK, NY 10022

HARBERT RAYMOND J

ONE RIVERCHASE PARKWAY SOUTH X

BIRMINGHAM, AL 35244

LUCE MICHAEL D

ONE RIVERCHASE PARKWAY SOUTH X

BIRMINGHAM, AL 35244

## **Signatures**

Harbert Management Corporation By: /s/ Joel B.

Piassick

08/08/2006

\*\*Signature of Reporting Person Date

/s/ Philip Falcone 08/08/2006

\*\*Signature of Reporting Person Date

/s/ Raymond J. Harbert 08/08/2006

\*\*Signature of Reporting Person Date

/s/ Michael D. Luce 08/08/2006

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These securities are owned by Harbinger Capital Partners Master Fund I, Ltd. (the "Master Fund"). These securities may be deemed to be beneficially owned by Harbinger Capital Partners Offshore Manager, L.L.C. ("Harbinger Management"), the investment manager of the Master Fund, HMC Investors, L.L.C., its managing member ("HMC Investors"), Harbert Management Corporation ("HMC"), the managing member of HMC Investors, Philip Falcone, a member of HMC and the portfolio manager of the Master Fund, Raymond J.

- (1) managing member of HMC Investors, Philip Falcone, a member of HMC and the portfolio manager of the Master Fund, Raymond J.

  Harbert, a member of HMC, and Michael D. Luce, a member of HMC. Each such Reporting Person disclaims beneficial ownership of the reported securities except to the extent of his or its pecuniary interest therein, and this report shall not be deemed an admission that such Reporting Person is the beneficial owner of the securities for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.
  - These securities are owned by Harbinger Capital Partners Special Situations Fund, L.P. (the "Special Situations Fund"). These securities may be deemed to be beneficially owned by HMC, Philip Falcone, Raymond J. Harbert and Michael Luce. HMC wholly owns the managing member of the Special Situations Fund's general partner. Philip Falcone is the portfolio manager of the Special Situations Fund
- (2) and is a member of HMC. Raymond J. Harbert and Michael D. Luce are members of HMC. Each such Reporting Person disclaims beneficial ownership of the reported securities except to the extent of his or its pecuniary interest therein, and this report shall not be deemed an admission that such Reporting Person is the beneficial owner of the securities for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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