Edgar Filing: GAIN Capital Holdings, Inc. - Form 4

GAIN Capita Form 4 August 01, 2	al Holdings, Inc.										
	_								OMB A	PPROVAL	
FORM	UNITEDS	STATES S		ITIES A hington,			NGE (COMMISSION		3235-0287	
Check th if no long	ter		CHLAN						Expires:	January 31, 2005	
subject to Section 1 Form 4 o	6. SIAIEM	STATEMENT OF CHANGES IN BENEFICIAL OWNER SECURITIES								average Irs per	
Form 4 or Form 5 obligations may continue. See Instruction 1(b). Form 5 obligations may continue. Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									0.0		
(Print or Type I	Responses)										
RIMA SENVEST MANAGEMENT, Symbol Issuer							-	p of Reporting Person(s) to			
L.L.C.		GAIN Capital Holdings, Inc. [GCAP]					(Check all applicable)				
				3. Date of Earliest Transaction (Month/Day/Year)				DirectorX10% Owner Officer (give title Other (specify below)			
540 MADISON AVENUE, 32ND 07/18/2014 below) below) below)											
				Amendment, Date Original I(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person			
NEW YORK, NY 10022									eporting		
(City)	(State) (Zip)	Table	e I - Non-D				uired, Disposed of		-	
1.Title of Security (Instr. 3)		Transaction Date 2A. Deemed Ionth/Day/Year) Execution Date, if any (Month/Day/Year)			4. Securi on(A) or Di (D) (Instr. 3,	ispose	d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common				Code V	Amount	(D)	Price	(Instr. 5 and 4)			
Stock, \$0.00001 par value	07/18/2014	07/23/20)14	Р	286	Α	\$ 6.58	457,783	I <u>(1)</u>	See footnote (1)	
Common Stock, \$0.00001 par value	07/18/2014	07/23/20)14	Р	784	A	\$ 6.58	458,567	I <u>(1)</u>	See footnote (1)	
Common Stock, \$0.00001	07/22/2014	07/25/20)14	Р	316	А	\$ 6.88	458,883	I <u>(1)</u>	See footnote (1)	

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par value									
Common Stock, \$0.00001 par value	07/22/2014	07/25/2014	Р	849	A	\$ 6.88	459,732	I <u>(1)</u>	See footnote (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Titl Amou Under Securi (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationsh		
	Director	10% Owner	Officer	Other
RIMA SENVEST MANAGEMENT, L.L.C. 540 MADISON AVENUE 32ND FLOOR NEW YORK, NY 10022		Х		
MASHAAL RICHARD R C/O RIMA SENVEST MANAGEMENT, L.L.C. 540 MADISON AVENUE, 32ND FLOOR NEW YORK, NY 10022				
Signatures				
RIMA Senvest Management, L.L.C. By: /s/ Richar Member	08/01/2014			
<u>**</u> Signature of Reporting Perso	Date			

/s/ Richard R. Mashaal

**Signature of Reporting Person

08/01/2014

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These securities are held in the accounts of several investment partnerships and investment funds (collectively, the "Investment Vehicles") for which RIMA Senvest Management, L.L.C. ("RIMA") serves as investment manager or general partner. Richard Mashaal is

(1) the managing member of RIMA. RIMA and Mr. Mashaal may be deemed to beneficially own the securities held by the Investment Vehicles by virtue of RIMA's position as investment manager or general partner of the Investment Vehicles and Mr. Mashaal's status as the managing member of RIMA.

Remarks:

Form 4 - 2 of 2

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.