Edgar Filing: QUAINT OAK BANCORP INC - Form 4

QUAINT OA Form 4 April 17, 2017	K BANCORP II	NC									
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FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287			
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Check this box if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								irs per			
(Print or Type Re	esponses)										
CLARKE JAMES J Sym QU			Symbol	UAINT OAK BANCORP INC				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
~	(First) (N F OAK BANCO JOWLES AVEN		3. Date of (Month/Da 04/17/20		ansaction			X Director Officer (give below)	title $\frac{10\%}{\text{below}}$ Oth	6 Owner er (specify	
SOUTHAME	Filed(Month/Day/Year) A				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 						
SOUTHAMP	PTON, PA 1896	5						Person			
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ties Ac	quired, Disposed of	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	Execution any		3. Transactio Code (Instr. 8) Code V	4. Securi onAcquired Disposed (Instr. 3, Amount	l (A) o l of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	04/17/2017			М	3,000	A	\$ 5	44,354 <u>(1)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 5	04/17/2017		М	3,000	05/14/2013 <u>(2)</u>	05/14/2018	Common Stock	3,000
Stock Option (Right to Buy)	\$ 8.1					<u>(3)</u>	05/08/2023	Common Stock	6,000

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Reporting Owners

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer	Other			
CLARKE JAMES J C/O QUAINT OAK BANCORP, INC. 501 KNOWLES AVENUE SOUTHAMPTON, PA 18966	Х						
Signatures							
/s/Diane J. Colyer by P.O.A. for James J Clarke	ſ.	04/17/2017					
<u>**</u> Signature of Reporting Person		Date					
501 KNOWLES AVENUE SOUTHAMPTON, PA 18966 Signatures /s/Diane J. Colyer by P.O.A. for James J Clarke			2017				

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 800 shares granted pursuant to the 2013 Stock Incentive Plan which reflect the unvested portion of a grant amount originally
 (1) covering 2,000 shares (adjusted to reflect the September 8, 2015, 2-for-1 stock split) that are vesting ratably over five years at 20% per year commencing on May 8, 2014.

- (2) The options vested at a rate of 20% per year in five equal annual installments beginning on May 14, 2009.
- (3) The options are vesting at a rate of 20% per year in five equal annual installments beginning on May 8, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.