

ADVANCED PHOTONIX INC

Form 8-K

December 01, 2006

**Table of Contents**

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549  
FORM 8-K  
CURRENT REPORT**

**Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934**

**Date of Report (Date of earliest event reported): November 13, 2006**

**Advanced Photonix, Inc.**

**(Exact Name of Registrant as specified in its Charter)**

|   |                                     |  |
|---|-------------------------------------|--|
| Delaware  | 1-11056                             | 33-0325836                                   |
| <b>(State or other jurisdiction<br/>of incorporation)</b> | <b>(Commission<br/>File Number)</b> | <b>(IRS Employer<br/>Identification No.)</b> |
| 2925 Boardwalk, Ann Arbor, Michigan                       |                                     | 48104  |

**(Address of Principal Executive Offices)**

**(ZIP Code)**

Registrant's telephone number, including area code: (734) 864-5647

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
  - Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
  - Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
  - Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))
-

**TABLE OF CONTENTS**

Item 2.02. Results of Operations and Financial Condition.

Item 4.02 Non-Reliance on Previously Issued Financial Statements or a Related Audit Report or Completed Interim Review

Item 9.01 Financial Statements and Exhibits.

**SIGNATURES**

Exhibit Index

Press Release

---

**Table of Contents**

**Item 2.02. Results of Operations and Financial Condition.**

\* This 8-K was prepared and submitted for filing on November 10, 2006. Due to an administrative error, the Company's outside filer failed to submit it to the SEC. The filing is being completed now promptly following the Company's discovery of the error.

On November 13, 2006, Advanced Photonix, Inc. issued a press release announcing its results for the first quarter ended September 30, 2006. A copy of the press release is furnished as Exhibit 99.1 and is incorporated herein by reference.

**Item 4.02 Non-Reliance on Previously Issued Financial Statements or a Related Audit Report or Completed Interim Review**

\* This 8-K was prepared and submitted for filing on November 10, 2006. Due to an administrative error, the Company's outside filer failed to submit it to the SEC. The filing is being completed now promptly following the Company's discovery of the error.

**Item 9.01 Financial Statements and Exhibits.**

(c) Exhibits:

Exhibit

Number

Exhibit

99.1      Advanced Photonix, Inc.'s press release reporting its results for the first quarter ended September 30, 2006.

---

**Table of Contents**

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

**ADVANCED PHOTONIX, INC.**

By: /s/ Richard Kurtz  
Richard Kurtz, Chief Executive Officer

Dated: November 13, 2006

---

**Table of Contents**

Exhibit Index

| Exhibit<br>Number | Exhibit   |
|-------------------|---|
| 99.1              | Advanced Photonix, Inc. s press release reporting its results for the first quarter ended September 30, 2006. |