

MKS INSTRUMENTS INC  
Form 4  
March 07, 2006

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
EMERSON ELECTRIC CO

2. Issuer Name and Ticker or Trading Symbol  
MKS INSTRUMENTS INC [MKSI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
8000 W. FLORISSANT AVE.  
  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
03/03/2006

\_\_\_ Director \_\_\_X\_\_\_ 10% Owner  
\_\_\_ Officer (give title below) \_\_\_ Other (specify below)

ST. LOUIS, MO 63136

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_\_\_ Form filed by One Reporting Person  
\_\_\_X\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V Amount (A) or (D) Price   |   |  |   |
| Common Stock                    | 03/03/2006                           |  | S                              | 24,432 D \$ 22.5996 (1) (2)                                       | 8,035,041   | I  | Through a subsidiary (3)                              |
| Common Stock                    | 03/06/2006                           |  | S                              | 33,000 D \$ 22.2673 (4) (5)                                       | 8,002,041   | I  | Through a subsidiary (3)                              |
| Common Stock                    |                                      |  |                                |   | 1,065,182   | D (6)  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)



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- (2) This footnote sets forth additional detail with respect to the transactions described in Footnote 1, as follows: 100 shares at \$22.57; 100 at \$22.61; 200 at \$22.63; 600 at \$22.64; 500 at \$22.65; 700 at \$22.66; 500 at \$22.67; 1,400 at \$22.68; 400 at \$22.69; 300 at \$22.70; 500 at \$22.71; 200 at \$22.72; 4,300 at \$22.73; 1,900 at \$22.74; 3,708 at \$22.75; and 100 at \$22.76. The weighted average sales price for these transactions was \$22.5996 per share.
- (3) The reported securities are owned directly by Astec America Inc. The Reporting Person is the ultimate parent company of Astec America Inc.
- (4) The Reporting Person gave a sell order to the broker to sell shares of MKS Instruments, Inc. common stock which resulted in sales of 33,000 shares on March 6, 2006. In the discretion of the broker, the sales were effected in multiple transactions, at varying prices, on March 6, 2006, as follows and as described in Footnote 5 below : 200 shares at \$22.00; 200 at \$22.01; 400 at \$22.04; 100 at \$22.06; 400 at \$22.07; 100 at \$22.08; 300 at \$22.09; 1,092 at \$22.10; 843 at \$22.11; 765 at \$22.12; 1,100 at \$22.13; 400 at \$22.14; 100 at \$22.15; 400 at \$22.17; 700 at \$22.18; 200 at \$22.19; 4,500 at \$22.20; 500 at \$22.21; 200 at \$22.24; 2,187 at \$22.25; 400 at \$22.26; 700 at \$22.27; 213 at \$22.28; 2,100 at \$22.30; 3,570 at \$22.31; 2,100 at \$22.32; 530 at \$22.33; and 200 at \$22.34.
- (5) This footnote sets forth additional detail with respect to the transactions described in Footnote 4, as follows: 1,300 shares at \$22.35; 900 at \$22.36; 600 at \$22.37; 300 at \$22.38; 1,700 at \$22.40; 1,100 at \$22.41; 1,000 at \$22.42; 500 at \$22.43; 600 at \$22.44; 100 at \$22.48; and 400 at \$22.49. The weighted average sales price for these transactions was \$22.2673 per share.
- (6) The reported securities are owned directly by Emerson Electric Co.

### Remarks:

See Exhibit 99.1 - Joint Filer Information

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.