## Edgar Filing: Express Scripts Holding Co. - Form 4

Express Scripts Holding Co. Form 4 November 04, 2016

November 04	4, 2016										
FORM Check thi if no long subject to Section 10 Form 4 or Form 5 obligatior may conti <i>See</i> Instru 1(b).	s box er STAT 6. Filed J Inue. Section 1	JNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, ection 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								PROVAL 3235-0287 January 31, 2005 verage rs per 0.5	
(Print or Type R	•										
1. Name and Address of Reporting Person <u>*</u> Anderson Phyllis S.			2. Issuer Name <b>and</b> Ticker or Trading Symbol Express Scripts Holding Co. [ESRX]					5. Relationship of Reporting Person(s) to Issuer			
(Last) C/O EXPRE HOLDING ( EXPRESS V	3. Date of Earliest Transaction (Month/Day/Year) 11/02/2016					(Check all applicable) Director 10% Owner Officer (give title Other (specify below) Sr. VP & Ch. Marketing Officer					
				ndment, Da hth/Day/Year	e	1		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
ST. LOUIS,	MO 63121							Form filed by M Person	Iore than One Re	porting	
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							ly Owned	
1.Title of Security (Instr. 3)	2. Transaction I (Month/Day/Ye	ar) Executio any	med on Date, if Day/Year)	3. Transactic Code (Instr. 8) Code V	(Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	11/02/2016			F	322 <u>(1)</u>	D	\$ 65.09	8,815	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transact	5. ionNumber	6. Date Exercisable and Expiration Date		7. Title and Amount of		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne
Security (Instr. 3)	or Exercise Price of Derivative		any (Month/Day/Year)	Code (Instr. 8)	of Derivativ Securities			Underlying Securities (Instr. 3 and 4)			
	Security				Acquired (A) or			(IIISU	. 5 and <del>+</del> )		Follo Repo
					Disposed of (D) (Instr. 3,						Trans (Instr
					4, and 5)				A		
						Date Exercisable	Expiration Date	Title	Amount or Number of		
				Code V	7 (A) (D)				Shares		
Repo	rting O	wners									
	Reporting (	)wner Name / Addre		Relationships							

 Reporting Owner Name / Address
 Director
 10% Owner
 Officer
 Other

 Anderson Phyllis S.
 C/O EXPRESS SCRIPTS HOLDING COMPANY
 Sr. VP & Ch. Marketing Officer
 Sr. VP & Ch. Marketing Officer
 Vertical Address

 ST. LOUIS, MO 63121
 Signatures
 St. VP & Ch. Marketing Officer
 Vertical Address
 St. VP & Ch. Marketing Officer

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares tendered to Company to cover Reporting Person's tax liability due upon vesting of restricted stock units previously awarded under long-term incentive plans.

## **Remarks:**

## EXHIBIT LIST - Exhibit 24 - Power of Attorney for Phyllis S. Anderson

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.