

Seiz Brian  
Form 3  
March 02, 2018

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|  |         |                                      |  |  |
|--|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person *            |         | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol                                |  |
| Â Seiz Brian   |         | (Month/Day/Year)                     | Express Scripts Holding Co. [ESRX]   |  |
| (Last)   | (First) | (Middle)                             | 02/23/2018   |  |
| C/O EXPRESS SCRIPTS HOLDING COMPANY, ONE EXPRESS WAY |         |                                      | 4. Relationship of Reporting Person(s) to Issuer                           | 5. If Amendment, Date Original Filed(Month/Day/Year)                   |
| (Street)   |         |                                      | (Check all applicable)   |  |
| ST. LOUIS, MO 63121                                  |         |                                      | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner       | 6. Individual or Joint/Group Filing(Check Applicable Line)             |
| (City)   | (State) | (Zip)                                | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
|  |         |                                      | (give title below) (specify below)   | <input type="checkbox"/> Form filed by More than One Reporting Person  |
|  |         |                                      | Senior VP, Specialty   |  |

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock                    | 25,990.1  | D  | Â   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|---|---|---|
|--|--|---|---|---|---|

Edgar Filing: Seiz Brian - Form 3

|  | Date<br>Exercisable | Expiration<br>Date | Title   | Amount or<br>Number of<br>Shares | Security | Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) |   |
|--|---------------------|--------------------|---|----------------------------------|----------|--|---|
| Non-Qualified Stock<br>Option (Right-to-Buy) | Â <u>(1)</u>        | 11/21/2026         | Express<br>Scripts<br>Holding<br>Company<br>Common<br>Stock | 19,165                           | \$ 76.1  | D  | Â |
| Non-Qualified Stock<br>Option (Right-to-Buy) | Â <u>(2)</u>        | 03/09/2026         | Express<br>Scripts<br>Holding<br>Company<br>Common<br>Stock | 8,160                            | \$ 69.57 | D  | Â |
| Non-Qualified Stock<br>Option (Right-to-Buy) | Â <u>(3)</u>        | 03/04/2025         | Express<br>Scripts<br>Holding<br>Company<br>Common<br>Stock | 5,529                            | \$ 84.83 | D  | Â |
| Non-Qualified Stock<br>Option (Right-to-Buy) | Â <u>(4)</u>        | 03/05/2021         | Express<br>Scripts<br>Holding<br>Company<br>Common<br>Stock | 6,919                            | \$ 77.15 | D  | Â |
| Non-Qualified Stock<br>Option (Right-to-Buy) | Â <u>(5)</u>        | 03/06/2020         | Express<br>Scripts<br>Holding<br>Company<br>Common<br>Stock | 5,835                            | \$ 58.17 | D  | Â |
| Non-Qualified Stock<br>Option (Right-to-Buy) | Â <u>(6)</u>        | 05/15/2019         | Express<br>Scripts<br>Holding<br>Company<br>Common<br>Stock | 4,639                            | \$ 53.54 | D  | Â |
| Non-Qualified Stock<br>Option (Right-to-Buy) | Â <u>(7)</u>        | 02/27/2019         | Express<br>Scripts<br>Holding<br>Company<br>Common<br>Stock | 5,888                            | \$ 53.05 | D  | Â |

# Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                              |       |
|---|---------------|-----------|------------------------------|-------|
|   | Director      | 10% Owner | Officer                      | Other |
| Seiz Brian<br>C/O EXPRESS SCRIPTS HOLDING COMPANY<br>ONE EXPRESS WAY<br>ST. LOUIS, MO 63121 | X             | X         | X Senior<br>VP,<br>Specialty | X     |

## Signatures

/s/ Nick H. Varsam, as Attorney-in-Fact for Brian J. Seiz 03/02/2018

\_\_Signature of Reporting Person
Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant of stock options pursuant to the Express Scripts Holding Company 2016 Long-Term Incentive Plan. Vests in three (3) equal installments on November 21, 2017, November 21, 2018, and November 21, 2019.
  - (2) Grant of stock options pursuant to the Express Scripts, Inc. 2011 Long-Term Incentive Plan. Vests in three (3) equal installments on February 28, 2017, February 28, 2018, and February 28, 2019.
  - (3) Grant of stock options pursuant to the Grant of stock options pursuant to the Express Scripts, Inc. 2011 Long-Term Incentive Plan. Vests in three (3) equal installments on February 28, 2016, February 28, 2017, and February 28, 2018.
  - (4) Grant of stock options pursuant to the Express Scripts, Inc. 2011 Long-Term Incentive Plan. Vested in three (3) equal installments on February 28, 2015, February 28, 2016, and February 28, 2017.
  - (5) Grant of stock options pursuant to the Express Scripts, Inc. 2011 Long-Term Incentive Plan. Vested in three (3) equal installments on February 28, 2014, February 28, 2015, and February 28, 2016.
  - (6) Grant of stock options pursuant to the Express Scripts, Inc. 2011 Long-Term Incentive Plan. Vested in three (3) equal installments on May 15, 2013, May 15, 2014, and May 15, 2015.
  - (7) Grant of stock options pursuant to the Express Scripts, Inc. 2011 Long-Term Incentive Plan. Vested in three (3) equal installments on February 28, 2013, February 28, 2014, and February 28, 2015.

X

### Remarks:

EXHIBIT LIST - Exhibit 24 - Power of Attorney for Brian J. Seiz

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.