

COLUMBUS MCKINNON CORP
Form 4
July 01, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
TEVENS TIMOTHY T

2. Issuer Name and Ticker or Trading Symbol
COLUMBUS MCKINNON CORP
[CMCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)
06/27/2013

Director 10% Owner
 Officer (give title below) Other (specify below)
President & CEO

140 JOHN JAMES AUDUBON PARKWAY

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

AMHERST, NY 14228-1197

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock					255,672 ⁽¹⁾	D	
Common Stock	06/27/2013		M		5,329	A	\$ 5.46
Common Stock	06/27/2013		M		14,467	A	\$ 5.46
Common Stock	06/27/2013		S		10,419	D	\$ 22.0025
Common Stock	06/28/2013		M		1,252	A	\$ 5.46
					266,301 ⁽¹⁾	D	

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Common Stock	06/28/2013	M	3,399	A	\$ 5.46	269,700 ⁽¹⁾	D	
Common Stock	06/28/2013	S	2,448	D	\$ 22.0025	267,252 ⁽¹⁾	D	
Common Stock						6,038.6504 ⁽²⁾	D	
Common Stock						7,000	I	By spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Incentive Stock Options (Right to Buy)	\$ 5.46	06/27/2013		M	5,329	05/17/2005 05/16/2014	Common Stock 8,
Non-Qualified Stock Options (Right to Buy)	\$ 5.46	06/27/2013		M	14,467	05/17/2005 05/16/2014	Common Stock 23
Incentive Stock Options (Right to Buy)	\$ 5.46	06/28/2013		M	1,252	05/17/2005 05/16/2014	Common Stock 3,
Non-Qualified Stock Options (Right to Buy)	\$ 5.46	06/28/2013		M	3,399	05/17/2005 05/16/2016	Common Stock 8,
Incentive Stock Options (Right to Buy)	\$ 28.45					05/19/2009 05/18/2018	Common Stock 8,
Non-Qualified Stock Options	\$ 13.27					05/18/2010 05/17/2019	Common Stock 45

(Right to Buy)						
Non-Qualified Stock Options (Right to Buy)	\$ 18.24		05/17/2011	05/16/2020	Common Stock	33
Non-Qualified Stock Options (Right to Buy)	\$ 19.5		05/23/2011	05/22/2021	Common Stock	31
Non-Qualified Stock Options (Right to Buy)	\$ 13.43		05/21/2012	05/20/2022	Common Stock	53
Non-Qualified Stock Options (Right to Buy)	\$ 18.95		05/20/2014	05/20/2023	Common Stock	44

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
TEVENS TIMOTHY T 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228-1197	X		President & CEO	

Signatures

Mary C. O'Connor, Power of Attorney for Timothy T.
Tevens

07/01/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - (1) Includes 84,443 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 6,868 shares become fully vested and non-forfeitable on 5/17/2014; 8,449 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/23/2014; 20,032 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/21/2014, 21,183 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/20/2014, and the remaining 27,911 shares become fully vested and non-forfeitable on 5/21/2015, if reporting person remains an employee of issuer.
 - (2) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
 - (3) The reporting person exercised 5,329 options on 6/27/13. The remaining 3,196 options are fully exercisable, subject to IRS limitations.
 - (4) The reporting person exercised 14,467 options on 6/27/13. The remaining 8,675 options are fully exercisable, subject to IRS limitations.
 - (5) The reporting person exercised 1,252 options on 6/28/13. The remaining 1,944 options are fully exercisable, subject to IRS limitations.
 - (6) The reporting person exercised 3,399 options on 6/28/13. The remaining 5,276 options are fully exercisable, subject to IRS limitations.
 - (7) All exercisable, pursuant to IRS limitations.
 - (8) Exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.
 - (9) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.

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- (10) Exercisable 25% per year for four years beginning 5/23/2011, if reporting person remains an employee of issuer.
- (11) Exercisable 25% per year for four years, beginning 5/21/2012, if reporting person remains an employee of issuer.
- (12) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an officer of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.