

Edgar Filing: DUNES HOTELS & CASINOS INC - Form 5

DUNES HOTELS & CASINOS INC  
 Form 5  
 April 06, 2001

U. S. SECURITIES AND EXCHANGE COMMISSION

FORM 5

Check box if no longer subject to Section 16.  
 Form 4 or Form 5 obligations may continue.  
 See instruction 1(b).

Washington, D.C. 20549

Form 3 Holdings Reported.

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERS

Form 4 Transactions Reported.

Filed pursuant to Section 16(a) of the Securities Exchange Act of  
 Utility Holding Company Act of 1935 or Section 30(f) of the In

1. Name and Address of  
 Reporting Person\*

2. Issuer Name and Ticker or Trading Symbol

6. Re  
 Is

Dunes Hotels and Casinos, Inc.

\_\_\_ Di

(Last) (First) (Middle)

3. IRS or Social Security  
 Number of Reporting  
 Person (Voluntary)

4. Statement for  
 Month/Year

\_\_\_ Of  
 (g  
 b

General Financial Services, Inc.

12/2000

(Street)

5. If Amendment, Date of  
 Original (Month/Year)

7. Indi  
 (Che

8441 E. 32nd Street N

2/2001

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 --- Re

(City) (State) (Zip)

Table 1 - Non-Derivative Securities Acquired, Disposed of

Wichita, Kansas 67226

1. Title of Security  
 (Instr. 3)

2. Trans-  
 action  
 date:  
 (Month/  
 Day/  
 Year)

3. Trans-  
 action  
 Code  
 (Instr. 8)

4. Securities Acquired (A)  
 or Disposed of (D)  
 (Instr. 3, 4 and 5)

5. Amount of  
 Securities  
 Beneficial  
 Owned at  
 End of Iss  
 Fiscal Yea  
 (Instr. 3  
 and 4)

Amount (A) or Price  
 (D)

Series B Preferred Stock

08/11/00

P

120

A

\$20.0000

120

Common Stock

08/04/99

P5

10,000

A

\$0.6875



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Explanation of Responses: (1) The 120 shares of Series B preferred stock are beneficially owned by General Financial Services, Inc. ("GFS"). Steve Miller is the sole stockholder, officer and director of GFS and as a result beneficially owns the shares of common stock of GFS. (2) The 903,922 shares of common stock of GFS Acquisition Company, Inc. ("GFS Acquisition"). GFS is the sole stockholder of GFS Acquisition. Mr. Miller is the sole officer and director of GFS Acquisition. GFS beneficially owns the 903,922 shares of common stock directly owned by GFS Acquisition as a result of his ownership of GFS and his position as the sole officer and director of GFS and GFS Acquisition. Steve Miller beneficially owns the 903,922 shares of common stock of GFS Acquisition as a result of his ownership of GFS and his position as the sole officer and director of GFS and GFS Acquisition. Steve Miller is the sole stockholder of GFS and as a result beneficially owns the shares of common stock beneficially owned by GFS.

/s/ Steve K. Miller

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Steve K. Miller

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations  
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

GENERAL FINANCIAL SERVICES, INC.

By: /s/ Steve K. Miller

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Steve K. Miller, President

GFS Acquisition Company, Inc.

By: /s/ Steve K. Miller

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Steve K. Miller

Form 5

Reporting Person  
General Financial Services, Inc.

Date of Event Requiring Statement  
Year 2000

Issuer Name  
Dunes Hotels and Casinos Inc.

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### List of Other Joint Filers

#### Names and Addresses

Steve K. Miller; 8441 E. 32nd Street N, Wichita, Kansas 67226

GFS Acquisition Company, Inc., 8441 E. 32nd Street N, Wichita, Kansas 67226