

NACCO INDUSTRIES INC
Form 4
June 27, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
RANKIN ALFRED M ET AL

2. Issuer Name and Ticker or Trading Symbol
NACCO INDUSTRIES INC [NC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner
 Officer (give title below) Other (specify below)
CEO / Group Member

NACCO INDUSTRIES, INC., 5875 LANDERBROOK DRIVE, STE. 220

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(Street)

CLEVELAND, OH 44124

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned or Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock				(A) or (D)	14,160	I	AMR - IRA ⁽¹⁾
Class A Common Stock				(A) or (D)	753	I	AMR - RAI ⁽²⁾
Class A Common Stock				(A) or (D)	369	I	AMR - RAI ⁽³⁾
Class A Common Stock				(A) or (D)	1,975	I	AMR - RMI

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Common Stock			(Delaware) ⁽⁴⁾
Class A Common Stock	27,008	I	AMR - Trust2 (SR) ⁽⁵⁾
Class A Common Stock	18,400	I	AMR - Trust3 (Grandchildren) ⁽⁶⁾
Class A Common Stock	30,000	I	AMR JR 2012 GRAT(A) ⁽⁷⁾
Class A Common Stock	226,470	I	AMR Main Trust(A) ⁽⁸⁾
Class A Common Stock	6	I	AMR RAIIV GP
Class A Common Stock	28,700	I	AMR/Trust (Unitrust) ⁽⁹⁾
Class A Common Stock	29,379	I	BTR - RAI ⁽¹⁰⁾
Class A Common Stock	15,705	I	BTR - RAIIV ⁽¹¹⁾
Class A Common Stock	740	I	BTR - Class A Trust
Class A Common Stock	705	I	CTR - Trust ⁽¹²⁾
Class A Common Stock	2,116	I	VGR - RAI ⁽¹³⁾
Class A Common Stock	21,006	I	VGR - Trust ⁽¹⁴⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	<u>(15)</u>	06/26/2013		J ⁽¹⁶⁾	19,110	<u>(15)</u>	<u>(15)</u>	Class A Common Stock	19,110
Class B Common Stock	<u>(15)</u>	06/26/2013		J ⁽¹⁶⁾	19,110	<u>(15)</u>	<u>(15)</u>	Class A Common Stock	19,110
Class B Common Stock	<u>(15)</u>					<u>(15)</u>	<u>(15)</u>	Class A Common Stock	14,322
Class B Common Stock	\$ 0 ⁽¹⁵⁾					<u>(15)</u>	<u>(15)</u>	Class A Common Stock	1,035
Class B Common Stock	<u>(15)</u>					<u>(15)</u>	<u>(15)</u>	Class A Common Stock	19
Class B Common Stock	\$ 0 ⁽¹⁵⁾					<u>(15)</u>	<u>(15)</u>	Class A Common Stock	43,969
Class B Common Stock	<u>(15)</u>					<u>(15)</u>	<u>(15)</u>	Class A Common Stock	5,143
Class B Common Stock	<u>(15)</u>					<u>(15)</u>	<u>(15)</u>	Class A Common Stock	5,143

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- (15) N/A
- (16) Shares transferred out of GRAT into Main Trust per the terms of the GRAT.
- (17) Represents the proportionate limited partnership interest in shares held by Rankin Associates I, L.P., which is held in a qualified annuity interest trust for the benefit of Reporting Person.
- (18) Represents the proportionate limited partnership interest in shares held by Rankin Associates I, L.P., which is held in a trust for the benefit of Bruce T. Rankin. Reporting Person serves as the Trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.

Remarks:

"Remark on Insider Relationship" - As a member of a "group" deemed to own more than 10% of an equity security as a result

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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