#### STURM RUGER & CO INC

Form 4 March 05, 2014

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Estimated average

burden hours per

Expires:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

January 31, 2005

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

**OMB APPROVAL** 

1(b).

(Print or Type Responses)

03/03/2014

Stock

1. Name and A Sullivan Th	Symbol	2. Issuer Name and Ticker or Trading Symbol STURM RUGER & CO INC [RGR]				5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	(First) (M, RUGER &		f Earliest Tr Day/Year) O14	ransaction			DirectorX_ Officer (give	10%	Owner er (specify
	Y, INC., ONE LA		014				below)  VP of N	below) Newport Operati	ons
	(Street)		endment, Da nth/Day/Year	Č	1		6. Individual or Jo Applicable Line) _X_ Form filed by	One Reporting Pe	rson
SOUTHPO (City)	(State)	(Zip) Tob	L. T. NI T		C	•4• • •	Person	More than One Re	
(City)	(State)	Tab	le I - Non-L	erivative	Secur	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	e 2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	(Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/03/2014		M(1)	5,131	A	\$ 63.74	20,131	D	
Common	03/03/2014		F(1)	2 152	D	\$	17 979	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $F^{(1)}$ 

2,152 D

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SEC 1474

(9-02)

17,979

D

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Numb onDerivation Securities Acquired Disposed (Instr. 3, 5)	ve es d (A) or d of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Securi (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Ame or Nun of Shar
Common Stock (Right to Buy)	(2)	03/03/2014		M <u>(1)</u>		5,131	03/01/2014	11/08/2021	Common Stock	5,1
Common Stock (Right to Buy) (3)	\$ 0 (4)	03/03/2014		A	2,892		03/05/2016(5)	03/05/2023	Common Stock	2,8

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Maress	Director	10% Owner	Officer	Other			
Sullivan Thomas Patrick C/O STURM, RUGER & COMPANY, INC. ONE LACEY PLACE SOUTHPORT, CT 06890			VP of Newport Operations				

### **Signatures**

/s/ Leslie M. Gasper, attorney-in-fact 03/05/2014

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Conversion of restricted stock units upon achievement of time-based vesting requirements, with payroll taxes related to the conversion paid in shares.
- (2) Securities converted to Common Stock on a one-to-one basis on the date vested in accordance with the terms of the award.
- (3) Restricted Stock Units subject to performance-based, time-based and other conditions of the award.
- (4) Securities convert to Common Stock on a one-to-one basis on the date vested in accordance with the terms of the award.
- (5) On March 3, 2014, four business days following the release of the Company's 2013 earnings, the performance-based vesting trigger for the performance-based RSUs awarded in 2013 was determined to have been met, and the awards became reportable for Section 16

Reporting Owners 2

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purposes. The awards remain subject to a three-year cliff vesting trigger which will be satisfied on March 5, 2016. The awards expire no less than 10 years from their date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.