#### PULTEGROUP INC/MI/

Form 4

October 30, 2014

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB

Washington, D.C. 20549 Check this box STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

3235-0287 Number: January 31, Expires:

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

2005 Estimated average burden hours per 0.5

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

response...

may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

obligations

(Print or Type Responses)

| 1. Name and Address of Reporting Person * SMITH HARMON D          | 2. Issuer Name and Ticker or Trading Symbol PULTEGROUP INC/MI/ [PHM] 3. Date of Earliest Transaction (Month/Day/Year) 10/28/2014 | 5. Relationship of Reporting Person(s) to Issuer   |  |  |
|---|--|--|--|--|
| (Last) (First) (Middle)  3350 PEACHTREE ROAD NORTHEAST, SUITE 150 |  | (Check all applicable)  Director 10% OwnerX_ Officer (give title Other (specify below)  Executive Vice President                               |  |  |
| (Street) ATLANTA, GA 30326  | 4. If Amendment, Date Original Filed(Month/Day/Year)   | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |
| (01.)   |  |  |  |  |

|                                      | ,                                    |   |  |  |         | Pe           | erson  |  |   |
|--------------------------------------|--------------------------------------|---|--|--|---------|--------------|--|--|---|
| (City)                               | (State)                              | (Zip) Tab   | ole I - Non-                           | Derivative S                           | Securi  | ties Acquii  | red, Disposed of,  | or Beneficiall   | y Owned   |
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securities of Disposed (Instr. 3, 4 | d of (L | <b>)</b> )   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 10/28/2014                           |   | M                                      | 40,000                                 | A       | \$<br>12.335 | 323,349  | D  |   |
| Common<br>Stock                      | 10/28/2014                           |   | M                                      | 25,000                                 | A       | \$<br>11.445 | 348,349  | D  |   |
| Common<br>Stock                      | 10/28/2014                           |   | S                                      | 114,240                                | D       | \$ 19.5      | 234,109  | D  |   |
| Units                                |                                      |   |  |  |         |              | 6,212.838  | I  | By 401(k) plan  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Expiration Date<br>(Month/Day/Year)<br>A)<br>d of |                    | 7. Title and Amount Underlying Securition (Instr. 3 and 4) |                              |
|---|---|---|---|--|---|---|--------------------|--|------------------------------|
|   |   |   |   | Code V                                 | (A) (D)   | Date Exercisable                                  | Expiration<br>Date | Title  | Amou<br>or<br>Numb<br>of Sha |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 12.335   | 10/28/2014                              |   | M                                      | 40,000  | 08/18/2011(1)                                     | 08/18/2019         | Common<br>Stock  | 40,0                         |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 11.445   | 10/28/2014                              |   | M                                      | 25,000  | 02/11/2012(2)                                     | 02/11/2020         | Common<br>Stock  | 25,0                         |

# **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |                                |       |  |  |  |
|---|---------------|-----------|--------------------------------|-------|--|--|--|
|   | Director      | 10% Owner | Officer                        | Other |  |  |  |
| SMITH HARMON D<br>3350 PEACHTREE ROAD NORTHEAST<br>SUITE 150<br>ATLANTA, GA 30326 |               |           | Executive<br>Vice<br>President |       |  |  |  |

# **Signatures**

/s/ Steven M. Cook, Attorney-In-Fact

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options vest 50% on 8/18/2011; and 25% on both 8/18/2012 and 8/18/2013.

Reporting Owners 2

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(2) These options vest 50% on 02/11/2012, and 25% on both 02/11/2013 and 02/11/2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.