Edgar Filing: NACCO INDUSTRIES INC - Form 3

NACCO INDUSTRIES INC

Form 3

February 16, 2016

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

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January 31, 2005

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

(Print or Type Responses)

1. Name and Address of Reporting

A Taplin Di	iAhn		(Month/Day/Year)	NACCO IN	NACCO INDUSTRIES INC [NC]			
(Last)	(First)	(Middle)	02/16/2016	4. Relationshi Person(s) to I			5. If Amendment, Date Origin Filed(Month/Day/Year)	
INC., 5875 DRIVE, SU		RBROOK		(Check all applicable)				
(Street)				Director 10% Owner Officer X Other (give title below) (specify below) Member of a Group			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person	
CLEVELAN	ND,A OH.	A 44124					Form filed by More than One Reporting Person	
(City)	(State)	(Zip)	Tab	Table I - Non-Derivative Securities Beneficially Owned				
1.Title of Security (Instr. 4)				mount of Securities eficially Owned r. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Class A Common Stock			11,5	504	I	By Spouse/Proportionate membership interest in share held by Abigail LLC (1)		
Class A Common Stock			36,0	037	I	By Spouse (1)		
Class A Con	nmon Sto	ek	5,75	55	D	Â		
Reminder: Report on a separate line for each class of so towned directly or indirectly.				beneficially S	EC 1473 (7-02)		
	infor requ	mation cont ired to respo	pond to the collect ained in this form a and unless the form MB control numbe	are not n displays a				

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)

Expiration Date (Month/Day/Year)

2. Date Exercisable and 3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security: Direct (D)

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Expiration Date Exercisable Date

Amount or Title Number of

Shares

or Indirect (I) (Instr. 5)

Reporting Owners

Reporting Owner Name / Address

Relationships

Â

Director 10% Owner Officer Other

Taplin DiAhn

NACCO INDUSTRIES, INC. 5875 LANDERBROOK DRIVE, SUITE 220

Â

Member of a Group

Signatures

/s/ Jesse L. Adkins, attorney-in-fact

02/16/2016

**Signature of Reporting Person

CLEVELAND, OHÂ 44124

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- By Spouse. Reporting Person disclaims beneficial ownership of all such shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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