#### **RLI CORP** Form 3

November 02, 2016

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

FICK JEFFREY D

(Last)

(First)

(Middle)

(Zip)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

10/28/2016

RLI CORP [RLI]

Director

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

9025 N. LINDBERGH DRIVE

(Street)

(Check all applicable)

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

\_X\_\_ Officer Other Person (give title below) (specify below) Form filed by More than One SVP, Chief Legal Officer Reporting Person

10% Owner

PEORIA, ÂILÂ 61615

(State)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

(City)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Form:

4. Nature of Indirect Beneficial Ownership Direct (D)

Ownership

(Instr. 5)

or Indirect (I) (Instr. 5)

43,487.574

Common Stock

 $D^{(1)}$ Â

Common Stock

9,473.5115

I

Employee Stock Ownership Plan

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

5. Conversion or Exercise Price of Derivative

6. Nature of Indirect Ownership Beneficial Form of Ownership

(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Option	05/03/2013(3)	05/03/2020	Common Stock	3,600	\$ 25.35 (4)	D	Â
Stock Option	05/02/2014(3)	05/02/2021	Common Stock	7,200	\$ 29.42 (4)	D	Â
Stock Option	05/01/2015(3)	05/01/2022	Common Stock	8,100	\$ 38.21 (5)	D	Â
Stock Option	05/07/2016(3)	05/07/2023	Common Stock	13,500	\$ 47.61 (5)	D	Â
Stock Option	05/05/2017(3)	05/05/2024	Common Stock	10,000	\$ 64.09	D	Â

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
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FICK JEFFREY D 9025 N. LINDBERGH DRIVE PEORIA  II. 61615	Â	Â	SVP, Chief Legal Officer	Â		

## **Signatures**

/s/ Jeffrey D.
Fick
11/02/2016

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Ownership reflects dividend reinvestment
- (2) Balance reflects annual company contributions and dividend reinvestment.
- (3) Pursuant to option schedule wherein 20% of the aggregate number of shares granted may be exercised commencing one year from grant date and each year thereafter in 20% increments.
- (4) Exercise price reflects prior adjustments as a result of special dividends and a stock split.
- (5) Exercise price reflects prior adjustments as a result of special dividends.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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