

ing: Trust dated 9/28/2000 between Alfred M. Rankin, Jr., as Trustee & Bruce T. Rankin, for the benefit of Bruce T. Rankin

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Form 5
February 14, 2018

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if
no longer subject
to Section 16.
Form 4 or Form
5 obligations
may continue.
See Instruction
1(b).
Form 3 Holdings
Reported
Form 4
Transactions
Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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1. Name and Address of Reporting Person *
Trust dated 9/28/2000 between
Alfred M. Rankin, Jr., as Trustee &
Bruce T. Rankin, for the benefit of
Bruce T. Rankin

(Last) (First) (Middle)

NACCO INDUSTRIES,
INC., 5875 LANDERBROOK
DR., SUITE 220

(Street)

2. Issuer Name and Ticker or Trading
Symbol
NACCO INDUSTRIES INC [NC]

3. Statement for Issuer's Fiscal Year Ended
(Month/Day/Year)
12/31/2017

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____ Officer (give title below) ☒ Other (specify below)
Member of a Group

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

CLEVELAND, OH 44124

(City) (State) (Zip)

☒ Form Filed by One Reporting Person
☐ Form Filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	12/14/2017	5875	G	Amount (D) 81	Price (1) \$ 0	26,119 I	Proportionate interests in shares held by Rankin Associates II,

									L.P.
									Proportionate interests in shares held by Rankin Associates II, L.P.
Class A Common Stock	12/14/2017	Â	G	81	D	<u>\$ 0 (1)</u>	26,119	I	
Class A Common Stock	12/14/2017	Â	G	81	D	<u>\$ 0 (1)</u>	26,119	I	Proportionate interests in shares held by Rankin Associates II, L.P.
Class A Common Stock	12/14/2017	Â	G	81	D	<u>\$ 0 (1)</u>	26,119	I	Proportionate interests in shares held by Rankin Associates II, L.P.
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Class A Common Stock	12/14/2017	Â	G	81	D	<u>\$ 0 (1)</u>	26,119	I	Proportionate interests in shares held by Rankin Associates II, L.P.

									Associates II, L.P.
Class A Common Stock	12/14/2017	Â	G	81	D	\$ 0 (1)	26,119	I	Proportionate interests in shares held by Rankin Associates II, L.P.
Class A Common Stock	Â	Â	Â	Â	Â	Â	14,313	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. P Deri Secu (Instr
					(A) (D)	Date Exercisable Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	Â	12/14/2017	Â	G	Â 227	Â (1) (1)	Class A Common Stock	227 \$
Class B Common Stock	Â	12/14/2017	Â	G	Â 227	Â (1) (1)	Class A Common Stock	227 \$
Class B Common Stock	Â	12/14/2017	Â	G	Â 227	Â (1) (1)	Class A Common Stock	227 \$

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Class B Common Stock	Â	12/14/2017	Â	G	Â	227	Â <u>(1)</u>	Â <u>(1)</u>	Class A Common Stock	227	\$
Class B Common Stock	Â	12/14/2017	Â	G	Â	227	Â <u>(1)</u>	Â <u>(1)</u>	Class A Common Stock	227	\$
Class B Common Stock	Â	12/14/2017	Â	G	Â	227	Â <u>(1)</u>	Â <u>(1)</u>	Class A Common Stock	227	\$
Class B Common Stock	Â	12/14/2017	Â	G	Â	227	Â <u>(1)</u>	Â <u>(1)</u>	Class A Common Stock	227	\$
Class B Common Stock	Â	12/14/2017	Â	G	Â	227	Â <u>(1)</u>	Â <u>(1)</u>	Class A Common Stock	227	\$
Class B Common Stock	Â	12/14/2017	Â	G	Â	227	Â <u>(1)</u>	Â <u>(1)</u>	Class A Common Stock	227	\$
Class B Common Stock	Â	12/14/2017	Â	G	Â	227	Â <u>(1)</u>	Â <u>(1)</u>	Class A Common Stock	227	\$
Class B Common Stock	Â	12/14/2017	Â	G	Â	227	Â <u>(1)</u>	Â <u>(1)</u>	Class A Common Stock	227	\$
Class B Common Stock	Â	Â	Â	Â	Â	Â	Â <u>(1)</u>	Â <u>(1)</u>	Class A Common Stock	59,675	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Trust dated 9/28/2000 between Alfred M. Rankin, Jr., as Trustee & Bruce T. Rankin, for the benefit of Bruce T. Rankin NACCO INDUSTRIES, INC. 5875 LANDERBROOK DR., SUITE 220 CLEVELAND, OH 44124	Â	Â	Â	Member of a Group

Signatures

/s/ Jesse L. Adkins,
attorney-in-fact

02/14/2018

Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) N/A

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