KROLL MARK W Form 4

November 09, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Print or Type Responses)

KROLL MARK W

400 WOOD ROAD

(Last)

(City)

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading

Symbol

HAEMONETICS CORP [HAE]

3. Date of Earliest Transaction (Month/Day/Year)

11/07/2018

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

**OMB APPROVAL** 

Estimated average

burden hours per

Expires:

response...

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

10% Owner

Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_\_ Director

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### BRAINTREE, MA 02184

(First)

(State)

(Middle)

(Zip)

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative S	Securi	ties Acqu	ired, Disposed of	, or Beneficiall	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 3, 4 and 5)		5. Amount of 6. Securities Ownership Beneficially Form: Direct Owned (D) or Following Indirect (I) Reported (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Common			Code V	1 11110 01110	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	· · ·	
Stock	08/14/2018		G <u>(1)</u> V	1,000	D	\$ 0	27,459 <u>(2)</u>	D	
Common Stock	08/16/2018		G(1) V	200	D	\$ 0	27,259 (2)	D	
Common Stock	11/07/2018		M(3)	8,196	A	\$ 36.37	35,455 <u>(2)</u>	D	
Common Stock	11/07/2018		S(3)	10,707	D	\$ 114	24,748 (2)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not

(9-02)

### Edgar Filing: KROLL MARK W - Form 4

required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number opposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secu (Instr. 3 and 4)	
			Code V	ŕ	Date Exercisable	Expiration Date	Title	Ar or Nu of Sh
Non-qualified Stock Option (Right to Buy)	\$ 36.37	11/07/2018	M(3)	8,196	07/27/2013(4)	07/27/2019	Common Stock	8

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer Oth				
KROLL MARK W							
400 WOOD ROAD	X						

## **Signatures**

BRAINTREE, MA 02184

/s/ Thomas V. Powers, attorney-in-fact for Dr.
Kroll 11/09/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares transferred as a bona fide gift without any consideration.
- (2) This number includes unvested restricted stock units previously reported.
- (3) Transaction pursuant to an existing 10b5-1 trading plan.
- (4) Grant to reporting person of right to buy shares of common stock exercisable 100 percent on the first anniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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