

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

NOMURA HOLDINGS INC  
Form 13F-HR  
May 09, 2013

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Form 13F  
Form 13F COVER PAGE

Report for the Calendar Year or Quarter Ended: 3/31/2013  
Check here if Amendment ; Amendment Number:

-----  
This Amendment (Check only one.):  is a restatement.  
 adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: Nomura Holdings Inc.  
Address: 1-9-1, Nihonbashi, Chuo-ku, Tokyo 103-8011, Japan

Form 13F File Number: 028-10594

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this submission.

Persons Signing this Report on Behalf of Reporting Manager:

Name: Fernando del Puerto  
Title: Executive Director  
Phone: 212 667 9857

Signature, Place, and Date of Signing:

|                         |               |          |
|-------------------------|---------------|----------|
| /s/ Fernando del Puerto | New York, NY  | 5/9/2013 |
| -----                   | -----         | -----    |
| [Signature]             | [City, State] | [Date]   |

Report Type (Check only one):

- 13F HOLDINGS REPORT. (Check here if all holdings of this reporting manager are reported in this report.)
- 13F NOTICE. (Check here if no holdings reported are in this report, and all holdings are reported by other reporting manager(s).)
- 13F COMBINATION REPORT. (Check here if a portion of the holdings for this reporting manager are reported in this report and a portion are reported by other reporting manager(s).)

List of Other Managers Reporting for this Manager:

13F File Number Name

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|           |   |
|-----------|---|
| 028-04983 | Nomura Securities International, Inc.             |
| -----     | -----   |
| 028-04985 | Nomura International plc                          |
| -----     | -----   |
| 028-04979 | Nomura Securities Company, Ltd                    |
| -----     | -----   |
| 028-13982 | Nomura Corporate Research & Asset Management Inc. |
| -----     | -----   |
|           | Nomura Funds Research & Technologies Co., Ltd     |
| -----     | -----   |
| 028-10594 | Nomura Holdings Inc.                              |
| -----     | -----   |
| 028-04993 | Nomura Securities Bermuda Ltd.                    |
| -----     | -----   |
|           | Nomura Capital Markets plc                        |
| -----     | -----   |
|           | Nomura Global Financial Products INC.             |
| -----     | -----   |
|           | Nomura Principal Investments Asia Limited         |
| -----     | -----   |

Form 13F SUMMARY PAGE

Report Summary:

|   |                |
|---|----------------|
| Number of Other Included Managers:      | 10             |
| Form 13F Information Table Entry Total: | 3,729          |
| Form 13F Information Table Value Total: | \$ 9,427,777   |
|   | -----          |
|   | (In Thousands) |

List of Other Included Managers:

Provide a numbered list of the name(s) and 13F file number(s) of all institutional investment managers with respect to which this report is filed, other than the manager filing this report.

| No.   | Form 13F File Number | Name  |
|-------|----------------------|---|
| 1     | 028-04983            | Nomura Securities International, Inc.             |
| ----- | -----                | -----   |
| 2     | 028-04985            | Nomura International plc                          |
| ----- | -----                | -----   |
| 3     | 028-04979            | Nomura Securities Company, Ltd                    |
| ----- | -----                | -----   |
| 4     | 028-13982            | Nomura Corporate Research & Asset Management Inc. |
| ----- | -----                | -----   |
| 5     |                      | Nomura Funds Research & Technologies Co., Ltd     |
| ----- | -----                | -----   |
| 6     | 028-10594            | Nomura Holdings Inc.                              |
| ----- | -----                | -----   |
| 7     | 028-04993            | Nomura Securities Bermuda Ltd.                    |
| ----- | -----                | -----   |
| 8     |                      | Nomura Capital Markets plc                        |
| ----- | -----                | -----   |
| 9     |                      | Nomura Global Financial Products INC.             |
| ----- | -----                | -----   |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

10

Nomura Principal Investments Asia Limited

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           |                     | COLUMN 6                 | COLUMN 7 |
|------------------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|----------|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION | MA       |
| 1ST UNITED BANCORP INC/NORTH | CMN            | 33740N105 | 75.26              | 11,701             | SH                  | SOLE                     | 1        |
| 3D SYSTEMS CORP              | CMN            | 88554D205 | 890.31             | 28,203             | SH                  | DEFINED                  | 2        |
| 3D SYSTEMS CORP              | CMN            | 88554D205 | 388.92             | 12,320             | SH                  | DEFINED                  | 3        |
| 3D SYSTEMS CORP              | CMN            | 88554D205 | 2550.87            | 80,806             | SH                  | DEFINED                  | 1        |
| 3M CO                        | CMN            | 88579Y101 | 796.06             | 7,501              | SH                  | DEFINED                  | 2        |
| 3M CO                        | CMN            | 88579Y101 | 5501.74            | 51,841             | SH                  | DEFINED                  | 1        |
| 3M CO                        | CMN            | 88579Y101 | 8.07               | 76                 | SH                  | DEFINED                  | 9        |
| 8X8 INC                      | CMN            | 282914100 | 304.76             | 44,621             | SH                  | DEFINED                  | 2        |
| 8X8 INC                      | CMN            | 282914100 | 101.17             | 14,812             | SH                  | DEFINED                  | 1        |
| AAR CORP                     | CMN            | 000361105 | 73.89              | 4,000              | SH                  | DEFINED                  | 2        |
| AAR CORP                     | CMN            | 000361105 | 365.52             | 19,786             | SH                  | DEFINED                  | 1        |
| AARON'S INC                  | CMN            | 002535300 | 337.56             | 11,775             | SH                  | DEFINED                  | 2        |
| AARON'S INC                  | CMN            | 002535300 | 196.74             | 6,863              | SH                  | DEFINED                  | 1        |
| AARON'S INC                  | CMN            | 002535300 | 4.73               | 165                | SH                  | DEFINED                  | 9        |
| AASTROM BIOSCIENCES INC      | CMN            | 00253U305 | 0.75               | 1,000              | SH                  | DEFINED                  | 2        |
| AASTROM BIOSCIENCES INC      | CMN            | 00253U305 | 37.58              | 50,200             | SH                  | DEFINED                  | 1        |
| ABB LTD-REG                  | CMN            | 000375204 | 4208.12            | 187,298            | SH                  | DEFINED                  | 2        |
| ABB LTD-REG                  | CMN            | 000375204 | 143.61             | 6,392              | SH                  | DEFINED                  | 1        |
| ABBOTT LABORATORIES          | CMN            | 002824100 | 708.37             | 20,132             | SH                  | DEFINED                  | 2        |
| ABBOTT LABORATORIES          | CMN            | 002824100 | 5738.29            | 163,084            | SH                  | DEFINED                  | 1        |
| ABBOTT LABORATORIES          | CMN            | 002824100 | 4.68               | 133                | SH                  | DEFINED                  | 9        |
| ABBVIE INC                   | CMN            | 00287Y109 | 197.49             | 4,861              | SH                  | DEFINED                  | 2        |
| ABBVIE INC                   | CMN            | 00287Y109 | 5133.04            | 126,345            | SH                  | DEFINED                  | 1        |
| ABBVIE INC                   | CMN            | 00287Y109 | 5.44               | 134                | SH                  | DEFINED                  | 9        |
| ABERCROMBIE & FITCH CO-CL A  | CMN            | 002896207 | 332.43             | 7,204              | SH                  | DEFINED                  | 8        |
| ABERCROMBIE & FITCH CO-CL A  | CMN            | 002896207 | 74.06              | 1,605              | SH                  | DEFINED                  | 2        |
| ABERCROMBIE & FITCH CO-CL A  | CMN            | 002896207 | 9079.72            | 196,762            | SH                  | DEFINED                  | 1        |
| ABERCROMBIE & FITCH CO-CL A  | CMN            | 002896207 | 0.55               | 12                 | SH                  | DEFINED                  | 9        |
| ABM INDUSTRIES INC           | CMN            | 000957100 | 260.24             | 11,741             | SH                  | SOLE                     | 1        |
| ACACIA RESEARCH CORP         | CMN            | 003881307 | 9.84               | 325                | SH                  | DEFINED                  | 2        |
| ACACIA RESEARCH CORP         | CMN            | 003881307 | 641.81             | 21,199             | SH                  | DEFINED                  | 1        |
| ACADIA REALTY TRUST          | CMN            | 004239109 | 29.57              | 1,066              | SH                  | DEFINED                  | 2        |
| ACADIA REALTY TRUST          | CMN            | 004239109 | 7747.51            | 279,267            | SH                  | DEFINED                  | 1        |
| ACCENTURE PLC-CL A           | CMN            | G1151C101 | 128.76             | 1,699              | SH                  | SOLE                     | 2        |
| ACCENTURE PLC-CL A           | CMN            | G1151C101 | 4687.71            | 61,853             | SH                  | SOLE                     | 1        |
| ACCENTURE PLC-CL A           | CMN            | G1151C101 | 2.73               | 36                 | SH                  | SOLE                     | 9        |
| ACCO BRANDS CORP             | CMN            | 00081T108 | 933.00             | 137,422            | SH                  | SOLE                     | 1        |
| ACCURAY INC                  | CMN            | 004397105 | 206.87             | 44,592             | SH                  | DEFINED                  | 2        |
| ACCURAY INC                  | CMN            | 004397105 | 2.32               | 500                | SH                  | DEFINED                  | 1        |
| ACCURIDE CORP                | CMN            | 00439T206 | 422.63             | 78,506             | SH                  | SOLE                     | 1        |
| ACCURIDE CORP                | CMN            | 00439T206 | 948                | 175811             | SH                  | SOLE                     | 4        |
| ACE LTD                      | CMN            | H0023R105 | 163.33             | 1,838              | SH                  | SOLE                     | 2        |
| ACE LTD                      | CMN            | H0023R105 | 2372.58            | 26,700             | SH                  | SOLE                     | 1        |
| ACE LTD                      | CMN            | H0023R105 | 1.33               | 15                 | SH                  | SOLE                     | 9        |
| ACETO CORP                   | CMN            | 004446100 | 163.61             | 14,807             | SH                  | SOLE                     | 1        |
| ACHILLION PHARMACEUTICALS    | CMN            | 00448Q201 | 168.57             | 19,250             | SH                  | DEFINED                  | 2        |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                           |     |           |         |            |              |   |
|---------------------------|-----|-----------|---------|------------|--------------|---|
| ACHILLION PHARMACEUTICALS | CMN | 00448Q201 | 240.44  | 27,458 SH  | DEFINED      | 1 |
| ACME PACKET INC           | CMN | 004764106 | 11.69   | 400 SH     | DEFINED      | 2 |
| ACME PACKET INC           | CMN | 004764106 | 636.27  | 21,767 SH  | DEFINED      | 1 |
| ACORDA THERAPEUTICS INC   | CMN | 00484M106 | 250.83  | 7,894 SH   | SOLE         | 1 |
| ACORN ENERGY INC          | CMN | 004848107 | 714.50  | 96,641 SH  | DEFINED      | 8 |
| ACORN ENERGY INC          | CMN | 004848107 | 1478.67 | 200,000 SH | CALL DEFINED | 2 |
| ACTAVIS INC               | CMN | 00507K103 | 455.39  | 4,961 SH   | DEFINED      | 2 |
| ACTAVIS INC               | CMN | 00507K103 | 925.29  | 10,080 SH  | DEFINED      | 1 |
| ACTAVIS INC               | CMN | 00507K103 | 1.01    | 11 SH      | DEFINED      | 9 |
| ACTIVE NETWORK INC/THE    | CMN | 00506D100 | 427.97  | 103,087 SH | SOLE         | 1 |
| ACTIVISION BLIZZARD INC   | CMN | 00507V109 | 144.76  | 9,963 SH   | DEFINED      | 2 |
| ACTIVISION BLIZZARD INC   | CMN | 00507V109 | 2126.19 | 146,331 SH | DEFINED      | 1 |
| ADA-ES INC                | CMN | 005208103 | 275.37  | 10,387 SH  | SOLE         | 1 |
| ADOBE SYSTEMS INC         | CMN | 00724F101 | 666.03  | 15,372 SH  | DEFINED      | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6            | COLUMN 7                 |
|------------------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION |
| ADOBE SYSTEMS INC            | CMN            | 00724F101 | 2118.33            | 48,891 SH          |                     | DEFINED 1                |
| ADOBE SYSTEMS INC            | CMN            | 00724F101 | 1.21               | 28 SH              |                     | DEFINED 9                |
| ADT CORP/THE                 | CMN            | 00101J106 | 16.22              | 332 SH             |                     | DEFINED 2                |
| ADT CORP/THE                 | CMN            | 00101J106 | 889.17             | 18,200 SH          |                     | DEFINED 1                |
| ADT CORP/THE                 | CMN            | 00101J106 | 1.37               | 28 SH              |                     | DEFINED 9                |
| ADVANCE AUTO PARTS INC       | CMN            | 00751Y106 | 24.56              | 298 SH             |                     | DEFINED 2                |
| ADVANCE AUTO PARTS INC       | CMN            | 00751Y106 | 16437.68           | 199,419 SH         |                     | DEFINED 1                |
| ADVANCE AUTO PARTS INC       | CMN            | 00751Y106 | 14.26              | 173 SH             |                     | DEFINED 9                |
| ADVANCED ENERGY INDUSTRIES   | CMN            | 007973100 | 50.02              | 2,718 SH           |                     | DEFINED 2                |
| ADVANCED ENERGY INDUSTRIES   | CMN            | 007973100 | 359.75             | 19,549 SH          |                     | DEFINED 1                |
| ADVANCED MICRO DEVICES       | CMN            | 007903107 | 1006.67            | 395,015 SH         |                     | DEFINED 2                |
| ADVANCED MICRO DEVICES       | CMN            | 007903107 | 139.49             | 54,734 SH          |                     | DEFINED 1                |
| ADVANCED MICRO DEVICES       | CMN            | 007903107 | 0.09               | 34 SH              |                     | DEFINED 9                |
| ADVANCED SEMICONDUCTOR ENGR  | CMN            | 00756M404 | 51.91              | 12,640 SH          |                     | SOLE 1                   |
| ADVENT SOFTWARE INC          | CMN            | 007974108 | 312.48             | 11,233 SH          |                     | DEFINED 1                |
| ADVENT SOFTWARE INC          | CMN            | 007974108 | 0.75               | 27 SH              |                     | DEFINED 9                |
| AEGEAN MARINE PETROLEUM NETW | CMN            | Y0017S102 | 242.63             | 36,097 SH          |                     | SOLE 2                   |
| AEGON NV                     | CMN            | 007924103 | 450.87             | 75,000 SH          |                     | DEFINED 2                |
| AEGON NV                     | CMN            | 007924103 | 0.60               | 100 SH             |                     | DEFINED 1                |
| AEP INDUSTRIES INC           | CMN            | 001031103 | 16.52              | 230 SH             |                     | DEFINED 2                |
| AEP INDUSTRIES INC           | CMN            | 001031103 | 400.46             | 5,575 SH           |                     | DEFINED 1                |
| AEROFLEX HOLDING CORP        | CMN            | 007767106 | 123.61             | 16,144 SH          |                     | SOLE 1                   |
| AES CORP                     | CMN            | 00130H105 | 10.27              | 817 SH             |                     | DEFINED 2                |
| AES CORP                     | CMN            | 00130H105 | 2096.37            | 166,842 SH         |                     | DEFINED 1                |
| AFFILIATED MANAGERS GROUP    | CMN            | 008252108 | 3.52               | 23 SH              |                     | DEFINED 2                |
| AFFILIATED MANAGERS GROUP    | CMN            | 008252108 | 966.36             | 6,318 SH           |                     | DEFINED 1                |
| AFFILIATED MANAGERS GROUP    | CMN            | 008252108 | 5.35               | 35 SH              |                     | DEFINED 9                |
| AFFYMAX INC                  | CMN            | 00826A109 | 61.96              | 25,161 SH          |                     | DEFINED 2                |
| AFFYMAX INC                  | CMN            | 00826A109 | 202.13             | 82,085 SH          |                     | DEFINED 1                |
| AFFYMETRIX INC               | CMN            | 00826T108 | 75.58              | 16,050 SH          |                     | DEFINED 2                |
| AFFYMETRIX INC               | CMN            | 00826T108 | 310.89             | 66,017 SH          |                     | DEFINED 1                |
| AFLAC INC                    | CMN            | 001055102 | 195.01             | 3,750 SH           |                     | DEFINED 2                |
| AFLAC INC                    | CMN            | 001055102 | 1907.46            | 36,680 SH          |                     | DEFINED 1                |
| AFLAC INC                    | CMN            | 001055102 | 1.04               | 20 SH              |                     | DEFINED 9                |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                              |     |           |         |            |         |   |
|------------------------------|-----|-----------|---------|------------|---------|---|
| AGCO CORP                    | CMN | 001084102 | 75.32   | 1,451 SH   | DEFINED | 2 |
| AGCO CORP                    | CMN | 001084102 | 2014.27 | 38,802 SH  | DEFINED | 1 |
| AGCO CORP                    | CMN | 001084102 | 10.69   | 206 SH     | DEFINED | 9 |
| AGILENT TECHNOLOGIES INC     | CMN | 00846U101 | 423.23  | 10,063 SH  | DEFINED | 2 |
| AGILENT TECHNOLOGIES INC     | CMN | 00846U101 | 1683.41 | 40,026 SH  | DEFINED | 1 |
| AGILENT TECHNOLOGIES INC     | CMN | 00846U101 | 1.22    | 29 SH      | DEFINED | 9 |
| AGL RESOURCES INC            | CMN | 001204106 | 6.53    | 156 SH     | DEFINED | 2 |
| AGL RESOURCES INC            | CMN | 001204106 | 861.86  | 20,583 SH  | DEFINED | 1 |
| AGNICO-EAGLE MINES LTD       | CMN | 008474108 | 277.95  | 6,790 SH   | SOLE    | 2 |
| AGRIUM INC                   | CMN | 008916108 | 43.90   | 447 SH     | DEFINED | 2 |
| AGRIUM INC                   | CMN | 008916108 | 157.12  | 1,600 SH   | DEFINED | 1 |
| AIR METHODS CORP             | CMN | 009128307 | 1432.51 | 29,669 SH  | DEFINED | 2 |
| AIR METHODS CORP             | CMN | 009128307 | 314.61  | 6,516 SH   | DEFINED | 1 |
| AIR PRODUCTS & CHEMICALS INC | CMN | 009158106 | 47.04   | 541 SH     | DEFINED | 2 |
| AIR PRODUCTS & CHEMICALS INC | CMN | 009158106 | 3027.03 | 34,816 SH  | DEFINED | 1 |
| AIR PRODUCTS & CHEMICALS INC | CMN | 009158106 | 0.35    | 4 SH       | DEFINED | 9 |
| AIRCASTLE LTD                | CMN | G0129K104 | 226.77  | 16,665 SH  | SOLE    | 1 |
| AIRGAS INC                   | CMN | 009363102 | 35.40   | 358 SH     | DEFINED | 2 |
| AIRGAS INC                   | CMN | 009363102 | 942.01  | 9,527 SH   | DEFINED | 1 |
| AIRGAS INC                   | CMN | 009363102 | 0.10    | 1 SH       | DEFINED | 9 |
| AK STEEL HOLDING CORP        | CMN | 001547108 | 863.25  | 259,350 SH | DEFINED | 2 |
| AK STEEL HOLDING CORP        | CMN | 001547108 | 391.90  | 117,742 SH | DEFINED | 1 |
| AKAMAI TECHNOLOGIES INC      | CMN | 00971T101 | 286.30  | 8,121 SH   | DEFINED | 2 |
| AKAMAI TECHNOLOGIES INC      | CMN | 00971T101 | 615.40  | 17,456 SH  | DEFINED | 1 |
| AKAMAI TECHNOLOGIES INC      | CMN | 00971T101 | 0.35    | 10 SH      | DEFINED | 9 |
| AKORN INC                    | CMN | 009728106 | 118.66  | 8,581 SH   | DEFINED | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1                    | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6   | COLUMN 7                 |
|-----------------------------|----------------|-----------|--------------------|--------------------|------------|--------------------------|
| NAME OF ISSUER              | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PRN | PUT/<br>CALL             |
|                             |                |           |                    |                    |            | INVESTMENT<br>DISCRETION |
| AKORN INC                   | CMN            | 009728106 | 465.46             | 33,660 SH          |            | DEFINED                  |
| ALCATEL-LUCENT              | CMN            | 013904305 | 7737.01            | 5,826,686 SH       |            | SOLE                     |
| ALCOA INC                   | CMN            | 013817101 | 4861.40            | 571,463 SH         |            | DEFINED                  |
| ALCOA INC                   | CMN            | 013817101 | 3019.10            | 354,898 SH         |            | DEFINED                  |
| ALCOA INC                   | CMN            | 013817101 | 0.20               | 23 SH              |            | DEFINED                  |
| ALERE INC                   | CMN            | 01449J105 | 470.26             | 18,432 SH          |            | SOLE                     |
| ALEXCO RESOURCE CORP        | CMN            | 01535P106 | 304.73             | 91,006 SH          |            | SOLE                     |
| ALEXION PHARMACEUTICALS INC | CMN            | 015351109 | 737.21             | 7,969 SH           |            | DEFINED                  |
| ALEXION PHARMACEUTICALS INC | CMN            | 015351109 | 2793.71            | 30,199 SH          |            | DEFINED                  |
| ALEXION PHARMACEUTICALS INC | CMN            | 015351109 | 1.57               | 17 SH              |            | DEFINED                  |
| ALEXZA PHARMACEUTICALS INC  | CMN            | 015384209 | 144.53             | 32,992 SH          |            | SOLE                     |
| ALIGN TECHNOLOGY INC        | CMN            | 016255101 | 348.99             | 10,450 SH          |            | SOLE                     |
| ALLEGHENY TECHNOLOGIES INC  | CMN            | 01741R102 | 13.72              | 434 SH             |            | DEFINED                  |
| ALLEGHENY TECHNOLOGIES INC  | CMN            | 01741R102 | 265.58             | 8,400 SH           |            | DEFINED                  |
| ALLEGHENY TECHNOLOGIES INC  | CMN            | 01741R102 | 0.06               | 2 SH               |            | DEFINED                  |
| ALLERGAN INC                | CMN            | 018490102 | 427.58             | 3,837 SH           |            | DEFINED                  |
| ALLERGAN INC                | CMN            | 018490102 | 2972.88            | 26,678 SH          |            | DEFINED                  |
| ALLERGAN INC                | CMN            | 018490102 | 2.90               | 26 SH              |            | DEFINED                  |
| ALLIANCE DATA SYSTEMS CORP  | CMN            | 018581108 | 1995.39            | 12,389 SH          |            | DEFINED                  |
| ALLIANCE DATA SYSTEMS CORP  | CMN            | 018581108 | 6.76               | 42 SH              |            | DEFINED                  |
| ALLIANCE ONE INTERNATIONAL  | CMN            | 018772103 | 170.12             | 43,850 SH          |            | SOLE                     |
| ALLIANT TECHSYSTEMS INC     | CNV            | 018804AK0 | 6805.24            | 6,275,000 PRN      |            | SOLE                     |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                              |     |           |          |         |        |         |   |
|------------------------------|-----|-----------|----------|---------|--------|---------|---|
| ALLIED NEVADA GOLD CORP      | CMN | 019344100 | 206.50   | 12,500  | SH     | DEFINED | 2 |
| ALLIED NEVADA GOLD CORP      | CMN | 019344100 | 42.69    | 2,584   | SH     | DEFINED | 1 |
| ALLSTATE CORP                | CMN | 020002101 | 124.98   | 2,552   | SH     | DEFINED | 2 |
| ALLSTATE CORP                | CMN | 020002101 | 2384.39  | 48,687  | SH     | DEFINED | 1 |
| ALLSTATE CORP                | CMN | 020002101 | 0.98     | 20      | SH     | DEFINED | 9 |
| ALON USA ENERGY INC          | CMN | 020520102 | 10.08    | 530     | SH     | DEFINED | 2 |
| ALON USA ENERGY INC          | CMN | 020520102 | 337.85   | 17,767  | SH     | DEFINED | 1 |
| ALPHA NATURAL RESOURCES INC  | CMN | 02076X102 | 273.47   | 33,210  | SH     | DEFINED | 2 |
| ALPHA NATURAL RESOURCES INC  | CMN | 02076X102 | 372.88   | 45,282  | SH     | DEFINED | 1 |
| ALPHA NATURAL RESOURCES INC  | CMN | 02076X102 | 4.98     | 605     | SH     | DEFINED | 9 |
| ALPHA NATURAL RESOURCES INC  | CNV | 02076XAA0 | 600.08   | 630,000 | PRN    | SOLE    | 1 |
| ALTERA CORP                  | CMN | 021441100 | 14.98    | 424     | SH     | DEFINED | 2 |
| ALTERA CORP                  | CMN | 021441100 | 892.44   | 25,260  | SH     | DEFINED | 1 |
| ALTERA CORP                  | CMN | 021441100 | 0.64     | 18      | SH     | DEFINED | 9 |
| ALTISOURCE PORTFOLIO SOL     | CMN | L0175J104 | 73.19    | 1,049   | SH     | SOLE    | 2 |
| ALTISOURCE PORTFOLIO SOL     | CMN | L0175J104 | 495.65   | 7,104   | SH     | SOLE    | 1 |
| ALTRIA GROUP INC             | CMN | 02209S103 | 2317.83  | 67,488  | SH     | DEFINED | 8 |
| ALTRIA GROUP INC             | CMN | 02209S103 | 434.80   | 12,660  | SH     | DEFINED | 2 |
| ALTRIA GROUP INC             | CMN | 02209S103 | 6743.91  | 196,362 | SH     | DEFINED | 1 |
| ALTRIA GROUP INC             | CMN | 02209S103 | 0.38     | 11      | SH     | DEFINED | 9 |
| ALUMINA LTD                  | CMN | 022205108 | 68.69    | 15,000  | SH     | SOLE    | 2 |
| ALVARION LTD                 | CMN | M0861T100 | 28.73    | 76,600  | SH     | SOLE    | 2 |
| AMAZON.COM INC               | CMN | 023135106 | 266.51   | 1,001   | SH     | DEFINED | 8 |
| AMAZON.COM INC               | CMN | 023135106 | 13703.09 | 51,469  | SH     | DEFINED | 2 |
| AMAZON.COM INC               | CMN | 023135106 | 263.58   | 990     | SH     | DEFINED | 3 |
| AMAZON.COM INC               | CMN | 023135106 | 11244.37 | 42,234  | SH     | DEFINED | 1 |
| AMAZON.COM INC               | CMN | 023135106 | 15.18    | 57      | SH     | DEFINED | 9 |
| AMAZON.COM INC               | CMN | 023135106 | 532.48   | 2,000   | SH PUT | DEFINED | 1 |
| AMC NETWORKS INC-A           | CMN | 00164V103 | 20.08    | 318     | SH     | DEFINED | 2 |
| AMC NETWORKS INC-A           | CMN | 00164V103 | 271.57   | 4,300   | SH     | DEFINED | 1 |
| AMC NETWORKS INC-A           | CMN | 00164V103 | 8.59     | 136     | SH     | DEFINED | 9 |
| AMCOL INTERNATIONAL CORP     | CMN | 02341W103 | 359.13   | 11,875  | SH     | SOLE    | 1 |
| AMDOCS LTD                   | CMN | G02602103 | 144.64   | 4,000   | SH     | SOLE    | 2 |
| AMDOCS LTD                   | CMN | G02602103 | 316.65   | 8,757   | SH     | SOLE    | 1 |
| AMERESCO INC-CL A            | CMN | 02361E108 | 121.87   | 16,357  | SH     | SOLE    | 1 |
| AMERICA MOVIL SAB DE C-SER L | CMN | 02364W105 | 162.82   | 7,762   | SH     | DEFINED | 2 |
| AMERICA MOVIL SAB DE C-SER L | CMN | 02364W105 | 372.49   | 17,757  | SH     | DEFINED | 1 |
| AMERICAN ASSETS TRUST INC    | CMN | 024013104 | 59.67    | 1,859   | SH     | DEFINED | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6   | COLUMN 7     |                          |
|------------------------------|----------------|-----------|--------------------|--------------------|------------|--------------|--------------------------|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PRN | PUT/<br>CALL | INVESTMENT<br>DISCRETION |
| AMERICAN ASSETS TRUST INC    | CMN            | 024013104 | 349.84             | 10,900             | SH         |              | DEFINED                  |
| AMERICAN CAPITAL AGENCY CORP | CMN            | 02503X105 | 7298.44            | 223,312            | SH         |              | DEFINED                  |
| AMERICAN CAPITAL AGENCY CORP | CMN            | 02503X105 | 283.39             | 8,671              | SH         |              | DEFINED                  |
| AMERICAN CAPITAL LTD         | CMN            | 02503Y103 | 90.47              | 6,200              | SH         |              | DEFINED                  |
| AMERICAN CAPITAL LTD         | CMN            | 02503Y103 | 370.86             | 25,415             | SH         |              | DEFINED                  |
| AMERICAN EAGLE OUTFITTERS    | CMN            | 02553E106 | 364.00             | 19,536             | SH         |              | DEFINED                  |
| AMERICAN EAGLE OUTFITTERS    | CMN            | 02553E106 | 2463.71            | 132,229            | SH         |              | DEFINED                  |
| AMERICAN EAGLE OUTFITTERS    | CMN            | 02553E106 | 7.86               | 422                | SH         |              | DEFINED                  |
| AMERICAN ELECTRIC POWER      | CMN            | 025537101 | 169.92             | 3,501              | SH         |              | DEFINED                  |
| AMERICAN ELECTRIC POWER      | CMN            | 025537101 | 2216.01            | 45,658             | SH         |              | DEFINED                  |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                              |     |           |          |         |    |         |         |   |
|------------------------------|-----|-----------|----------|---------|----|---------|---------|---|
| AMERICAN EXPRESS CO          | CMN | 025816109 | 2031.90  | 30,161  | SH | DEFINED | 2       |   |
| AMERICAN EXPRESS CO          | CMN | 025816109 | 5083.63  | 75,460  | SH | DEFINED | 1       |   |
| AMERICAN EXPRESS CO          | CMN | 025816109 | 2.76     | 41      | SH | DEFINED | 9       |   |
| AMERICAN INTERNATIONAL GROUP | CMN | 026874784 | 542.08   | 14,018  | SH | DEFINED | 8       |   |
| AMERICAN INTERNATIONAL GROUP | CMN | 026874784 | 0.08     | 2       | SH | DEFINED | 2       |   |
| AMERICAN INTERNATIONAL GROUP | CMN | 026874784 | 9199.45  | 237,894 | SH | DEFINED | 2       |   |
| AMERICAN INTERNATIONAL GROUP | CMN | 026874784 | 450.74   | 11,656  | SH | DEFINED | 3       |   |
| AMERICAN INTERNATIONAL GROUP | CMN | 026874784 | 11434.17 | 295,683 | SH | DEFINED | 1       |   |
| AMERICAN INTERNATIONAL GROUP | CMN | 026874784 | 2.44     | 63      | SH | DEFINED | 9       |   |
| AMERICAN RAILCAR INDUSTRIES  | CMN | 02916P103 | 26.61    | 578     | SH | DEFINED | 2       |   |
| AMERICAN RAILCAR INDUSTRIES  | CMN | 02916P103 | 306.25   | 6,651   | SH | DEFINED | 1       |   |
| AMERICAN REALTY CAPITAL PROP | CMN | 02917T104 | 177.42   | 12,100  | SH | SOLE    | 1       |   |
| AMERICAN TOWER CORP          | CMN | 03027X100 | 450.21   | 5,854   | SH | DEFINED | 2       |   |
| AMERICAN TOWER CORP          | CMN | 03027X100 | 3425.41  | 44,540  | SH | DEFINED | 1       |   |
| AMERICAN TOWER CORP          | CMN | 03027X100 | 1.31     | 17      | SH | DEFINED | 9       |   |
| AMERICAN VANGUARD CORP       | CMN | 030371108 | 775.43   | 25,395  | SH | SOLE    | 1       |   |
| AMERICAN WATER WORKS CO INC  | CMN | 030420103 | 133.21   | 3,221   | SH | DEFINED | 2       |   |
| AMERICAN WATER WORKS CO INC  | CMN | 030420103 | 400.17   | 9,676   | SH | DEFINED | 1       |   |
| AMERICAN WOODMARK CORP       | CMN | 030506109 | 246.88   | 7,282   | SH | SOLE    | 1       |   |
| AMERIPRISE FINANCIAL INC     | CMN | 03076C106 | 65.04    | 885     | SH | DEFINED | 2       |   |
| AMERIPRISE FINANCIAL INC     | CMN | 03076C106 | 1425.77  | 19,399  | SH | DEFINED | 1       |   |
| AMERIPRISE FINANCIAL INC     | CMN | 03076C106 | 0.66     | 9       | SH | DEFINED | 9       |   |
| AMERIS BANCORP               | CMN | 03076K108 | 154.79   | 10,775  | SH | SOLE    | 1       |   |
| AMERISOURCEBERGEN CORP       | CMN | 03073E105 | 17.69    | 345     | SH | DEFINED | 2       |   |
| AMERISOURCEBERGEN CORP       | CMN | 03073E105 | 2533.04  | 49,400  | SH | DEFINED | 1       |   |
| AMERISOURCEBERGEN CORP       | CMN | 03073E105 | 1.03     | 20      | SH | DEFINED | 9       |   |
| AMGEN INC                    | CMN | 031162100 | 577.52   | 5,700   | SH | DEFINED | 2       |   |
| AMGEN INC                    | CMN | 031162100 | 5943.43  | 58,660  | SH | DEFINED | 1       |   |
| AMGEN INC                    | CMN | 031162100 | 6.38     | 63      | SH | DEFINED | 9       |   |
| AMKOR TECHNOLOGY INC         | CMN | 031652100 | 22.16    | 5,546   | SH | DEFINED | 2       |   |
| AMKOR TECHNOLOGY INC         | CMN | 031652100 | 524.42   | 131,241 | SH | DEFINED | 1       |   |
| AMN HEALTHCARE SERVICES INC  | CMN | 001744101 | 273.14   | 17,550  | SH | SOLE    | 1       |   |
| AMPHENOL CORP-CL A           | CMN | 032095101 | 40.03    | 539     | SH | DEFINED | 2       |   |
| AMPHENOL CORP-CL A           | CMN | 032095101 | 925.32   | 12,460  | SH | DEFINED | 1       |   |
| AMPHENOL CORP-CL A           | CMN | 032095101 | 0.67     | 9       | SH | DEFINED | 9       |   |
| ANADARKO PETROLEUM CORP      | CMN | 032511107 | 794.07   | 9,054   | SH | DEFINED | 2       |   |
| ANADARKO PETROLEUM CORP      | CMN | 032511107 | 12690.01 | 144,692 | SH | DEFINED | 1       |   |
| ANADARKO PETROLEUM CORP      | CMN | 032511107 | 3.16     | 36      | SH | DEFINED | 9       |   |
| ANALOG DEVICES INC           | CMN | 032654105 | 398.70   | 8,598   | SH | DEFINED | 2       |   |
| ANALOG DEVICES INC           | CMN | 032654105 | 1110.12  | 23,940  | SH | DEFINED | 1       |   |
| ANALOG DEVICES INC           | CMN | 032654105 | 0.79     | 17      | SH | DEFINED | 9       |   |
| ANDERSONS INC/THE            | CMN | 034164103 | 61.15    | 1,148   | SH | DEFINED | 2       |   |
| ANDERSONS INC/THE            | CMN | 034164103 | 397.23   | 7,457   | SH | DEFINED | 1       |   |
| ANGLOGOLD ASHANTI LTD        | CMN | 035128206 | 529.66   | 22,468  | SH | DEFINED | 2       |   |
| ANGLOGOLD ASHANTI LTD        | CMN | 035128206 | 11.79    | 500     | SH | DEFINED | 1       |   |
| ANIXTER INTERNATIONAL INC    | CMN | 035290105 | 12823.65 | 183,296 | SH | DEFINED | 8       |   |
| ANIXTER INTERNATIONAL INC    | CMN | 035290105 | 0.84     | 12      | SH | DEFINED | 2       |   |
| ANIXTER INTERNATIONAL INC    | CMN | 035290105 | 186.38   | 2,664   | SH | DEFINED | 1       |   |
| ANIXTER INTERNATIONAL INC    | CMN | 035290105 | 52471.08 | 750,000 | SH | CALL    | DEFINED | 2 |
| ANN INC                      | CMN | 035623107 | 54.48    | 1,875   | SH | DEFINED | 2       |   |

FORM 13F INFORMATION TABLE

| COLUMN 1       | COLUMN 2       | COLUMN 3 | COLUMN 4           | COLUMN 5           | COLUMN 6                | COLUMN 7                 |
|----------------|----------------|----------|--------------------|--------------------|-------------------------|--------------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP    | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>PRN CALL | INVESTMENT<br>DISCRETION |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                              |     |           |           |           |     |         |         |   |
|------------------------------|-----|-----------|-----------|-----------|-----|---------|---------|---|
| ANN INC                      | CMN | 035623107 | 1763.72   | 60,696    | SH  | DEFINED | 1       |   |
| ANN INC                      | CMN | 035623107 | 3.28      | 113       | SH  | DEFINED | 9       |   |
| ANNALY CAPITAL MANAGEMENT IN | CMN | 035710409 | 60.24     | 3,803     | SH  | DEFINED | 8       |   |
| ANNALY CAPITAL MANAGEMENT IN | CMN | 035710409 | 1807.60   | 114,116   | SH  | DEFINED | 2       |   |
| ANNALY CAPITAL MANAGEMENT IN | CMN | 035710409 | 548.06    | 34,600    | SH  | DEFINED | 1       |   |
| ANNALY CAPITAL MANAGEMENT IN | CMN | 035710409 | 14241.74  | 899,100   | SH  | PUT     | DEFINED | 1 |
| ANNALY CAPITAL MANAGEMENT IN | CNV | 035710AB8 | 4645.51   | 4,483,000 | PRN | SOLE    | 1       |   |
| ANTHERA PHARMACEUTICALS INC  | CMN | 03674U102 | 17.41     | 27,100    | SH  | DEFINED | 2       |   |
| ANTHERA PHARMACEUTICALS INC  | CMN | 03674U102 | 8.69      | 13,528    | SH  | DEFINED | 1       |   |
| AOL INC                      | CMN | 00184X105 | 55.59     | 1,446     | SH  | DEFINED | 2       |   |
| AOL INC                      | CMN | 00184X105 | 4358.79   | 113,390   | SH  | DEFINED | 1       |   |
| AOL INC                      | CMN | 00184X105 | 2.50      | 65        | SH  | DEFINED | 9       |   |
| AON PLC                      | CMN | G0408V102 | 88.00     | 1,433     | SH  | DEFINED | 2       |   |
| AON PLC                      | CMN | G0408V102 | 2600.68   | 42,349    | SH  | DEFINED | 1       |   |
| AON PLC                      | CMN | G0408V102 | 0.80      | 13        | SH  | DEFINED | 9       |   |
| AON PLC                      | CMN | G0408V102 | 1419.82   | 23,120    | SH  | PUT     | DEFINED | 2 |
| AON PLC                      | CMN | G0408V102 | 32179.21  | 524,000   | SH  | CALL    | DEFINED | 1 |
| APACHE CORP                  | CMN | 037411105 | 919.12    | 11,963    | SH  | DEFINED | 2       |   |
| APACHE CORP                  | CMN | 037411105 | 6752.16   | 87,884    | SH  | DEFINED | 1       |   |
| APACHE CORP                  | CMN | 037411105 | 2.15      | 28        | SH  | DEFINED | 9       |   |
| APARTMENT INVT & MGMT CO -A  | CMN | 03748R101 | 866.96    | 28,641    | SH  | DEFINED | 2       |   |
| APARTMENT INVT & MGMT CO -A  | CMN | 03748R101 | 347.50    | 11,480    | SH  | DEFINED | 1       |   |
| APARTMENT INVT & MGMT CO -A  | CMN | 03748R101 | 0.18      | 6         | SH  | DEFINED | 9       |   |
| APOLLO COMMERCIAL REAL ESTAT | CMN | 03762U105 | 4437.71   | 253,237   | SH  | SOLE    | 1       |   |
| APOLLO GROUP INC-CL A        | CMN | 037604105 | 476.86    | 27,435    | SH  | DEFINED | 2       |   |
| APOLLO GROUP INC-CL A        | CMN | 037604105 | 739.64    | 42,553    | SH  | DEFINED | 1       |   |
| APOLLO GROUP INC-CL A        | CMN | 037604105 | 0.28      | 16        | SH  | DEFINED | 9       |   |
| APPLE INC                    | CMN | 037833100 | 20877.58  | 46,817    | SH  | DEFINED | 8       |   |
| APPLE INC                    | CMN | 037833100 | 4.01      | 9         | SH  | DEFINED | 2       |   |
| APPLE INC                    | CMN | 037833100 | 124553.77 | 279,306   | SH  | DEFINED | 2       |   |
| APPLE INC                    | CMN | 037833100 | 45309.31  | 101,604   | SH  | DEFINED | 1       |   |
| APPLE INC                    | CMN | 037833100 | 23.19     | 52        | SH  | DEFINED | 9       |   |
| APPLE INC                    | CMN | 037833100 | 17837.61  | 40,000    | SH  | PUT     | DEFINED | 1 |
| APPLE INC                    | CMN | 037833100 | 11170.80  | 25,050    | SH  | CALL    | DEFINED | 2 |
| APPLE INC                    | CMN | 037833100 | 224084.95 | 502,500   | SH  | CALL    | DEFINED | 1 |
| APPLIED MATERIALS INC        | CMN | 038222105 | 115.09    | 8,545     | SH  | DEFINED | 2       |   |
| APPLIED MATERIALS INC        | CMN | 038222105 | 1337.71   | 99,320    | SH  | DEFINED | 1       |   |
| APPLIED MATERIALS INC        | CMN | 038222105 | 0.90      | 67        | SH  | DEFINED | 9       |   |
| APTARGROUP INC               | CMN | 038336103 | 329.75    | 5,767     | SH  | DEFINED | 1       |   |
| APTARGROUP INC               | CMN | 038336103 | 4.40      | 77        | SH  | DEFINED | 9       |   |
| AQUA AMERICA INC             | CMN | 03836W103 | 12.08     | 390       | SH  | DEFINED | 2       |   |
| AQUA AMERICA INC             | CMN | 03836W103 | 391.10    | 12,623    | SH  | DEFINED | 1       |   |
| AQUA AMERICA INC             | CMN | 03836W103 | 1.18      | 38        | SH  | DEFINED | 9       |   |
| ARBITRON INC                 | CMN | 03875Q108 | 956.13    | 20,400    | SH  | DEFINED | 2       |   |
| ARBITRON INC                 | CMN | 03875Q108 | 36.51     | 779       | SH  | DEFINED | 1       |   |
| ARBOR REALTY TRUST INC       | CMN | 038923108 | 237.48    | 30,321    | SH  | SOLE    | 1       |   |
| ARCELORMITTAL                | CMN | 03938L104 | 2228.50   | 171,010   | SH  | DEFINED | 2       |   |
| ARCELORMITTAL                | CMN | 03938L104 | 41.70     | 3,200     | SH  | DEFINED | 1       |   |
| ARCH CAPITAL GROUP LTD       | CMN | G0450A105 | 427.22    | 8,160     | SH  | SOLE    | 2       |   |
| ARCH COAL INC                | CMN | 039380100 | 297.27    | 54,275    | SH  | DEFINED | 2       |   |
| ARCH COAL INC                | CMN | 039380100 | 56.96     | 10,400    | SH  | DEFINED | 1       |   |
| ARCH COAL INC                | CMN | 039380100 | 3.19      | 582       | SH  | DEFINED | 9       |   |
| ARCH COAL INC                | CMN | 039380100 | 109.54    | 20,000    | SH  | CALL    | DEFINED | 2 |
| ARCHER-DANIELS-MIDLAND CO    | CMN | 039483102 | 13685.44  | 407,786   | SH  | DEFINED | 8       |   |
| ARCHER-DANIELS-MIDLAND CO    | CMN | 039483102 | 307.85    | 9,173     | SH  | DEFINED | 2       |   |
| ARCHER-DANIELS-MIDLAND CO    | CMN | 039483102 | 1814.27   | 54,060    | SH  | DEFINED | 1       |   |
| ARCHER-DANIELS-MIDLAND CO    | CMN | 039483102 | 0.13      | 4         | SH  | DEFINED | 9       |   |
| ARCHER-DANIELS-MIDLAND CO    | CMN | 039483102 | 50340.54  | 1,500,000 | SH  | PUT     | DEFINED | 2 |
| ARCHER-DANIELS-MIDLAND CO    | CMN | 039483102 | 4927.21   | 146,816   | SH  | CALL    | DEFINED | 2 |
| ARCOS DORADOS HOLDINGS INC-A | CMN | G0457F107 | 195.80    | 14,836    | SH  | SOLE    | 1       |   |



Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6                | COLUMN 7                 |
|------------------------------|----------------|-----------|--------------------|--------------------|-------------------------|--------------------------|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>PRN CALL | INVESTMENT<br>DISCRETION |
| ARENA PHARMACEUTICALS INC    | CMN            | 040047102 | 406.56             | 49,335             | SH                      | DEFINED                  |
| ARENA PHARMACEUTICALS INC    | CMN            | 040047102 | 0.82               | 100                | SH                      | DEFINED                  |
| ARES CAPITAL CORP            | CNV            | 04010LAB9 | 188.53             | 174,000            | PRN                     | SOLE                     |
| ARIAD PHARMACEUTICALS INC    | CMN            | 04033A100 | 543.47             | 29,944             | SH                      | DEFINED                  |
| ARIAD PHARMACEUTICALS INC    | CMN            | 04033A100 | 1808.79            | 99,660             | SH                      | DEFINED                  |
| ARKANSAS BEST CORP           | CMN            | 040790107 | 44.94              | 3,822              | SH                      | DEFINED                  |
| ARKANSAS BEST CORP           | CMN            | 040790107 | 381.46             | 32,439             | SH                      | DEFINED                  |
| ARMOUR RESIDENTIAL REIT INC  | CMN            | 042315101 | 5399.43            | 831,550            | SH                      | DEFINED                  |
| ARMOUR RESIDENTIAL REIT INC  | CMN            | 042315101 | 5.84               | 900                | SH                      | DEFINED                  |
| ARMSTRONG WORLD INDUSTRIES   | CMN            | 04247X102 | 1036.69            | 18,563             | SH                      | SOLE                     |
| ARRAY BIOPHARMA INC          | CMN            | 04269X105 | 24.63              | 5,000              | SH                      | DEFINED                  |
| ARRAY BIOPHARMA INC          | CMN            | 04269X105 | 113.69             | 23,081             | SH                      | DEFINED                  |
| ARRIS GROUP INC              | CMN            | 04269Q100 | 5.27               | 307                | SH                      | DEFINED                  |
| ARRIS GROUP INC              | CMN            | 04269Q100 | 440.51             | 25,678             | SH                      | DEFINED                  |
| ARROW ELECTRONICS INC        | CMN            | 042735100 | 203.94             | 5,021              | SH                      | DEFINED                  |
| ARROW ELECTRONICS INC        | CMN            | 042735100 | 1470.52            | 36,205             | SH                      | DEFINED                  |
| ARROW ELECTRONICS INC        | CMN            | 042735100 | 3.61               | 89                 | SH                      | DEFINED                  |
| ARROWHEAD RESEARCH CORP      | CMN            | 042797209 | 127.19             | 59,360             | SH                      | SOLE                     |
| ARTHUR J GALLAGHER & CO      | CMN            | 363576109 | 14.89              | 361                | SH                      | DEFINED                  |
| ARTHUR J GALLAGHER & CO      | CMN            | 363576109 | 795.64             | 19,293             | SH                      | DEFINED                  |
| ARTHUR J GALLAGHER & CO      | CMN            | 363576109 | 3.46               | 84                 | SH                      | DEFINED                  |
| ARTIO GLOBAL INVESTORS INC   | CMN            | 04315B107 | 111.90             | 41,051             | SH                      | SOLE                     |
| ARUBA NETWORKS INC           | CMN            | 043176106 | 2.49               | 100                | SH                      | DEFINED                  |
| ARUBA NETWORKS INC           | CMN            | 043176106 | 472.38             | 18,934             | SH                      | DEFINED                  |
| ASCENA RETAIL GROUP INC      | CMN            | 04351G101 | 184.27             | 9,947              | SH                      | DEFINED                  |
| ASCENA RETAIL GROUP INC      | CMN            | 04351G101 | 855.06             | 46,157             | SH                      | DEFINED                  |
| ASCENA RETAIL GROUP INC      | CMN            | 04351G101 | 5.50               | 297                | SH                      | DEFINED                  |
| ASHLAND INC                  | CMN            | 044209104 | 1461.39            | 19,603             | SH                      | DEFINED                  |
| ASHLAND INC                  | CMN            | 044209104 | 4088.88            | 54,848             | SH                      | DEFINED                  |
| ASHLAND INC                  | CMN            | 044209104 | 6.41               | 86                 | SH                      | DEFINED                  |
| ASPEN TECHNOLOGY INC         | CMN            | 045327103 | 2.57               | 80                 | SH                      | DEFINED                  |
| ASPEN TECHNOLOGY INC         | CMN            | 045327103 | 227.47             | 7,077              | SH                      | DEFINED                  |
| ASSOCIATED BANC-CORP         | CMN            | 045487105 | 409.32             | 26,951             | SH                      | DEFINED                  |
| ASSOCIATED BANC-CORP         | CMN            | 045487105 | 1.70               | 112                | SH                      | DEFINED                  |
| ASSURANT INC                 | CMN            | 04621X108 | 13.16              | 293                | SH                      | DEFINED                  |
| ASSURANT INC                 | CMN            | 04621X108 | 366.70             | 8,163              | SH                      | DEFINED                  |
| ASSURANT INC                 | CMN            | 04621X108 | 0.13               | 3                  | SH                      | DEFINED                  |
| ASSURED GUARANTY LTD         | CMN            | G0585R106 | 321.44             | 15,682             | SH                      | SOLE                     |
| AT&T INC                     | CMN            | 00206R102 | 1484.83            | 40,468             | SH                      | DEFINED                  |
| AT&T INC                     | CMN            | 00206R102 | 18436.12           | 502,462            | SH                      | DEFINED                  |
| AT&T INC                     | CMN            | 00206R102 | 11.63              | 317                | SH                      | DEFINED                  |
| ATHERSYS INC                 | CMN            | 04744L106 | 8.27               | 5,000              | SH                      | DEFINED                  |
| ATHERSYS INC                 | CMN            | 04744L106 | 92.09              | 55,700             | SH                      | DEFINED                  |
| ATLAS AIR WORLDWIDE HOLDINGS | CMN            | 049164205 | 810.11             | 19,833             | SH                      | SOLE                     |
| ATWOOD OCEANICS INC          | CMN            | 050095108 | 39.33              | 750                | SH                      | DEFINED                  |
| ATWOOD OCEANICS INC          | CMN            | 050095108 | 337.25             | 6,431              | SH                      | DEFINED                  |
| ATWOOD OCEANICS INC          | CMN            | 050095108 | 8.23               | 157                | SH                      | DEFINED                  |
| AU OPTRONICS CORP            | CMN            | 002255107 | 85.93              | 20,000             | SH                      | SOLE                     |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                           |     |           |         |           |         |   |
|---------------------------|-----|-----------|---------|-----------|---------|---|
| AUTODESK INC              | CMN | 052769106 | 33.70   | 825 SH    | DEFINED | 2 |
| AUTODESK INC              | CMN | 052769106 | 968.79  | 23,715 SH | DEFINED | 1 |
| AUTODESK INC              | CMN | 052769106 | 0.49    | 12 SH     | DEFINED | 9 |
| AUTOLIV INC               | CMN | 052800109 | 0.76    | 11 SH     | DEFINED | 2 |
| AUTOLIV INC               | CMN | 052800109 | 1015.41 | 14,652 SH | DEFINED | 1 |
| AUTOMATIC DATA PROCESSING | CMN | 053015103 | 105.00  | 1,617 SH  | DEFINED | 2 |
| AUTOMATIC DATA PROCESSING | CMN | 053015103 | 2472.80 | 38,080 SH | DEFINED | 1 |
| AUTOMATIC DATA PROCESSING | CMN | 053015103 | 1.75    | 27 SH     | DEFINED | 9 |
| AUTONATION INC            | CMN | 05329W102 | 3.24    | 74 SH     | DEFINED | 2 |
| AUTONATION INC            | CMN | 05329W102 | 1581.70 | 36,141 SH | DEFINED | 1 |
| AUTONATION INC            | CMN | 05329W102 | 0.26    | 6 SH      | DEFINED | 9 |
| AUTOZONE INC              | CMN | 053332102 | 85.75   | 217 SH    | DEFINED | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           |                     | COLUMN 6                 | COLUMN 7 |
|------------------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|----------|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION | MA       |
| AUTOZONE INC                 | CMN            | 053332102 | 10926.84           | 27,652 SH          |                     | DEFINED                  | 1        |
| AUTOZONE INC                 | CMN            | 053332102 | 2.37               | 6 SH               |                     | DEFINED                  | 9        |
| AUXILIUM PHARMACEUTICALS INC | CMN            | 05334D107 | 1466.58            | 84,570 SH          |                     | DEFINED                  | 8        |
| AUXILIUM PHARMACEUTICALS INC | CMN            | 05334D107 | 936.42             | 53,998 SH          |                     | DEFINED                  | 1        |
| AVALONBAY COMMUNITIES INC    | CMN            | 053484101 | 172.49             | 1,362 SH           |                     | DEFINED                  | 2        |
| AVALONBAY COMMUNITIES INC    | CMN            | 053484101 | 1134.75            | 8,960 SH           |                     | DEFINED                  | 1        |
| AVALONBAY COMMUNITIES INC    | CMN            | 053484101 | 0.63               | 5 SH               |                     | DEFINED                  | 9        |
| AVEO PHARMACEUTICALS INC     | CMN            | 053588109 | 62.11              | 8,350 SH           |                     | DEFINED                  | 2        |
| AVEO PHARMACEUTICALS INC     | CMN            | 053588109 | 341.18             | 45,868 SH          |                     | DEFINED                  | 1        |
| AVERY DENNISON CORP          | CMN            | 053611109 | 5.68               | 132 SH             |                     | DEFINED                  | 2        |
| AVERY DENNISON CORP          | CMN            | 053611109 | 632.08             | 14,697 SH          |                     | DEFINED                  | 1        |
| AVERY DENNISON CORP          | CMN            | 053611109 | 0.52               | 12 SH              |                     | DEFINED                  | 9        |
| AVG TECHNOLOGIES             | CMN            | N07831105 | 1054.87            | 75,798 SH          |                     | SOLE                     | 2        |
| AVID TECHNOLOGY INC          | CMN            | 05367P100 | 57.84              | 9,140 SH           |                     | DEFINED                  | 2        |
| AVID TECHNOLOGY INC          | CMN            | 05367P100 | 141.74             | 22,398 SH          |                     | DEFINED                  | 1        |
| AVIS BUDGET GROUP INC        | CMN            | 053774105 | 6.40               | 230 SH             |                     | DEFINED                  | 2        |
| AVIS BUDGET GROUP INC        | CMN            | 053774105 | 17630.52           | 633,329 SH         |                     | DEFINED                  | 1        |
| AVISTA CORP                  | CMN            | 05379B107 | 106.67             | 3,900 SH           |                     | DEFINED                  | 2        |
| AVISTA CORP                  | CMN            | 05379B107 | 542.97             | 19,852 SH          |                     | DEFINED                  | 1        |
| AVNET INC                    | CMN            | 053807103 | 18.46              | 511 SH             |                     | DEFINED                  | 2        |
| AVNET INC                    | CMN            | 053807103 | 189.66             | 5,249 SH           |                     | DEFINED                  | 1        |
| AVNET INC                    | CMN            | 053807103 | 4.16               | 115 SH             |                     | DEFINED                  | 9        |
| AVON PRODUCTS INC            | CMN            | 054303102 | 1143.58            | 55,434 SH          |                     | DEFINED                  | 2        |
| AVON PRODUCTS INC            | CMN            | 054303102 | 1281.08            | 62,099 SH          |                     | DEFINED                  | 1        |
| AVON PRODUCTS INC            | CMN            | 054303102 | 0.04               | 2 SH               |                     | DEFINED                  | 9        |
| AXIS CAPITAL HOLDINGS LTD    | CMN            | G0692U109 | 0.04               | 1 SH               |                     | SOLE                     | 2        |
| AXIS CAPITAL HOLDINGS LTD    | CMN            | G0692U109 | 393.01             | 9,452 SH           |                     | SOLE                     | 1        |
| AZZ INC                      | CMN            | 002474104 | 211.28             | 4,380 SH           |                     | DEFINED                  | 2        |
| AZZ INC                      | CMN            | 002474104 | 55.13              | 1,143 SH           |                     | DEFINED                  | 1        |
| BABCOCK & WILCOX CO/THE      | CMN            | 05615F102 | 1434.84            | 50,744 SH          |                     | SOLE                     | 1        |
| BAKER HUGHES INC             | CMN            | 057224107 | 1072.10            | 23,138 SH          |                     | DEFINED                  | 2        |
| BAKER HUGHES INC             | CMN            | 057224107 | 18.53              | 400 SH             |                     | DEFINED                  | 3        |
| BAKER HUGHES INC             | CMN            | 057224107 | 3063.67            | 66,120 SH          |                     | DEFINED                  | 1        |
| BAKER HUGHES INC             | CMN            | 057224107 | 1.48               | 32 SH              |                     | DEFINED                  | 9        |
| BALL CORP                    | CMN            | 058498106 | 96.49              | 2,037 SH           |                     | DEFINED                  | 2        |
| BALL CORP                    | CMN            | 058498106 | 577.16             | 12,185 SH          |                     | DEFINED                  | 1        |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                              |     |           |           |            |    |              |   |
|------------------------------|-----|-----------|-----------|------------|----|--------------|---|
| BALL CORP                    | CMN | 058498106 | 0.14      | 3          | SH | DEFINED      | 9 |
| BANCO BILBAO VIZCAYA ARGENTA | CMN | 05946K101 | 377.57    | 42,900     | SH | SOLE         | 2 |
| BANCO BRADESCO SA-PREF       | CMN | 059460303 | 261.05    | 15,400     | SH | DEFINED      | 8 |
| BANCO BRADESCO SA-PREF       | CMN | 059460303 | 968.01    | 57,106     | SH | DEFINED      | 2 |
| BANCO BRADESCO SA-PREF       | CMN | 059460303 | 72.89     | 4,300      | SH | DEFINED      | 1 |
| BANCO SANTANDER BRASIL-UNIT  | CMN | 05967A107 | 226.61    | 31,331     | SH | DEFINED      | 2 |
| BANCO SANTANDER BRASIL-UNIT  | CMN | 05967A107 | 7.96      | 1,100      | SH | DEFINED      | 1 |
| BANCO SANTANDER SA           | CMN | 05964H105 | 462.26    | 68,080     | SH | DEFINED      | 2 |
| BANCO SANTANDER SA           | CMN | 05964H105 | 220.42    | 32,463     | SH | DEFINED      | 1 |
| BANCORP INC/THE              | CMN | 05969A105 | 205.17    | 14,848     | SH | SOLE         | 1 |
| BANK OF AMERICA CORP         | CMN | 060505104 | 0.02      | 2          | SH | DEFINED      | 2 |
| BANK OF AMERICA CORP         | CMN | 060505104 | 15168.89  | 1,231,388  | SH | DEFINED      | 2 |
| BANK OF AMERICA CORP         | CMN | 060505104 | 202836.07 | 16,465,932 | SH | DEFINED      | 7 |
| BANK OF AMERICA CORP         | CMN | 060505104 | 38900.51  | 3,157,886  | SH | DEFINED      | 1 |
| BANK OF AMERICA CORP         | CMN | 060505104 | 195010.75 | 15,830,684 | SH | DEFINED      | 9 |
| BANK OF AMERICA CORP         | CMN | 060505104 | 50698.14  | 4,115,600  | SH | PUT DEFINED  | 1 |
| BANK OF AMERICA CORP         | CMN | 060505104 | 52969.68  | 4,300,000  | SH | CALL DEFINED | 2 |
| BANK OF AMERICA CORP         | CMN | 060505153 | 304.28    | 381,458    | SH | SOLE         | 1 |
| BANK OF IRELAND              | CMN | 46267Q202 | 219.35    | 26,040     | SH | DEFINED      | 2 |
| BANK OF IRELAND              | CMN | 46267Q202 | 10.95     | 1,300      | SH | DEFINED      | 1 |
| BANK OF NEW YORK MELLON CORP | CMN | 064058100 | 264.80    | 9,467      | SH | DEFINED      | 2 |
| BANK OF NEW YORK MELLON CORP | CMN | 064058100 | 3362.79   | 120,227    | SH | DEFINED      | 1 |
| BANK OF NEW YORK MELLON CORP | CMN | 064058100 | 1.40      | 50         | SH | DEFINED      | 9 |
| BANKRATE INC                 | CMN | 06647F102 | 25.00     | 2,098      | SH | DEFINED      | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1                  | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           |                     | COLUMN 6                 | COLUMN 7 |
|---------------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|----------|
| NAME OF ISSUER            | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION | MA       |
| BANKRATE INC              | CMN            | 06647F102 | 117.97             | 9,900              | SH                  | DEFINED                  | 1        |
| BANKUNITED INC            | CMN            | 06652K103 | 613.88             | 23,970             | SH                  | SOLE                     | 1        |
| BANRO CORPORATION         | CMN            | 066800103 | 150.35             | 87,450             | SH                  | SOLE                     | 2        |
| BARCLAYS PLC              | CMN            | 06738E204 | 1356.87            | 76,562             | SH                  | DEFINED                  | 2        |
| BARCLAYS PLC              | CMN            | 06738E204 | 24.81              | 1,400              | SH                  | DEFINED                  | 1        |
| BARNES & NOBLE INC        | CMN            | 067774109 | 167.79             | 10,230             | SH                  | DEFINED                  | 2        |
| BARNES & NOBLE INC        | CMN            | 067774109 | 5105.20            | 311,252            | SH                  | DEFINED                  | 1        |
| BARNES & NOBLE INC        | CMN            | 067774109 | 1.44               | 88                 | SH                  | DEFINED                  | 9        |
| BARNES GROUP INC          | CMN            | 067806109 | 265.01             | 9,161              | SH                  | SOLE                     | 1        |
| BARRICK GOLD CORP         | CMN            | 067901108 | 2680.69            | 91,191             | SH                  | DEFINED                  | 8        |
| BARRICK GOLD CORP         | CMN            | 067901108 | 0.03               | 1                  | SH                  | DEFINED                  | 2        |
| BARRICK GOLD CORP         | CMN            | 067901108 | 8269.95            | 281,325            | SH                  | DEFINED                  | 2        |
| BARRICK GOLD CORP         | CMN            | 067901108 | 35.28              | 1,200              | SH                  | DEFINED                  | 1        |
| BASIC ENERGY SERVICES INC | CMN            | 06985P100 | 312.19             | 22,728             | SH                  | SOLE                     | 1        |
| BAXTER INTERNATIONAL INC  | CMN            | 071813109 | 185.35             | 2,556              | SH                  | DEFINED                  | 2        |
| BAXTER INTERNATIONAL INC  | CMN            | 071813109 | 6056.43            | 83,518             | SH                  | DEFINED                  | 1        |
| BAXTER INTERNATIONAL INC  | CMN            | 071813109 | 3.34               | 46                 | SH                  | DEFINED                  | 9        |
| BB&T CORP                 | CMN            | 054937107 | 154.20             | 4,916              | SH                  | DEFINED                  | 2        |
| BB&T CORP                 | CMN            | 054937107 | 1853.19            | 59,080             | SH                  | DEFINED                  | 1        |
| BB&T CORP                 | CMN            | 054937107 | 0.94               | 30                 | SH                  | DEFINED                  | 9        |
| BBCN BANCORP INC          | CMN            | 073295107 | 167.56             | 12,810             | SH                  | SOLE                     | 1        |
| BEAM INC                  | CMN            | 073730103 | 16.43              | 260                | SH                  | DEFINED                  | 2        |
| BEAM INC                  | CMN            | 073730103 | 859.31             | 13,602             | SH                  | DEFINED                  | 1        |
| BEAM INC                  | CMN            | 073730103 | 0.06               | 1                  | SH                  | DEFINED                  | 9        |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                             |     |           |          |         |    |         |   |
|-----------------------------|-----|-----------|----------|---------|----|---------|---|
| BECTON DICKINSON AND CO     | CMN | 075887109 | 24.71    | 260     | SH | DEFINED | 2 |
| BECTON DICKINSON AND CO     | CMN | 075887109 | 1469.19  | 15,460  | SH | DEFINED | 1 |
| BECTON DICKINSON AND CO     | CMN | 075887109 | 1.52     | 16      | SH | DEFINED | 9 |
| BED BATH & BEYOND INC       | CMN | 075896100 | 64.19    | 993     | SH | DEFINED | 2 |
| BED BATH & BEYOND INC       | CMN | 075896100 | 9872.67  | 152,724 | SH | DEFINED | 1 |
| BED BATH & BEYOND INC       | CMN | 075896100 | 2.26     | 35      | SH | DEFINED | 9 |
| BELDEN INC                  | CMN | 077454106 | 275.25   | 5,325   | SH | SOLE    | 1 |
| BELO CORPORATION-A          | CMN | 080555105 | 150.28   | 15,322  | SH | SOLE    | 1 |
| BEMIS COMPANY               | CMN | 081437105 | 19.41    | 483     | SH | DEFINED | 2 |
| BEMIS COMPANY               | CMN | 081437105 | 326.26   | 8,120   | SH | DEFINED | 1 |
| BEMIS COMPANY               | CMN | 081437105 | 0.08     | 2       | SH | DEFINED | 9 |
| BENCHMARK ELECTRONICS INC   | CMN | 08160H101 | 16.23    | 900     | SH | DEFINED | 2 |
| BENCHMARK ELECTRONICS INC   | CMN | 08160H101 | 507.75   | 28,152  | SH | DEFINED | 1 |
| BERKSHIRE HATHAWAY INC-CL A | CMN | 084670108 | 1712.14  | 11      | SH | SOLE    | 2 |
| BERKSHIRE HATHAWAY INC-CL B | CMN | 084670702 | 5146.32  | 49,554  | SH | DEFINED | 2 |
| BERKSHIRE HATHAWAY INC-CL B | CMN | 084670702 | 14859.27 | 143,080 | SH | DEFINED | 1 |
| BERKSHIRE HATHAWAY INC-CL B | CMN | 084670702 | 8.10     | 78      | SH | DEFINED | 9 |
| BERRY PETROLEUM CO-CLASS A  | CMN | 085789105 | 5.55     | 120     | SH | DEFINED | 2 |
| BERRY PETROLEUM CO-CLASS A  | CMN | 085789105 | 227.91   | 4,932   | SH | DEFINED | 1 |
| BEST BUY CO INC             | CMN | 086516101 | 821.39   | 37,064  | SH | DEFINED | 2 |
| BEST BUY CO INC             | CMN | 086516101 | 3160.57  | 142,616 | SH | DEFINED | 1 |
| BEST BUY CO INC             | CMN | 086516101 | 0.93     | 42      | SH | DEFINED | 9 |
| BGC PARTNERS INC-CL A       | CMN | 05541T101 | 171.20   | 40,956  | SH | DEFINED | 2 |
| BGC PARTNERS INC-CL A       | CMN | 05541T101 | 279.43   | 66,850  | SH | DEFINED | 1 |
| BHP BILLITON LTD            | CMN | 088606108 | 529.79   | 7,716   | SH | SOLE    | 2 |
| BIG LOTS INC                | CMN | 089302103 | 36.54    | 1,035   | SH | DEFINED | 2 |
| BIG LOTS INC                | CMN | 089302103 | 481.75   | 13,644  | SH | DEFINED | 1 |
| BIG LOTS INC                | CMN | 089302103 | 4.80     | 136     | SH | DEFINED | 9 |
| BILL BARRETT CORP           | CMN | 06846N104 | 24.35    | 1,200   | SH | DEFINED | 2 |
| BILL BARRETT CORP           | CMN | 06846N104 | 284.37   | 14,014  | SH | DEFINED | 1 |
| BILL BARRETT CORP           | CMN | 06846N104 | 2.68     | 132     | SH | DEFINED | 9 |
| BIOGEN IDEC INC             | CMN | 09062X103 | 463.67   | 2,440   | SH | DEFINED | 2 |
| BIOGEN IDEC INC             | CMN | 09062X103 | 47.51    | 250     | SH | DEFINED | 3 |
| BIOGEN IDEC INC             | CMN | 09062X103 | 3980.19  | 20,945  | SH | DEFINED | 1 |
| BIOGEN IDEC INC             | CMN | 09062X103 | 3.80     | 20      | SH | DEFINED | 9 |
| BIOMARIN PHARMACEUTICAL INC | CMN | 09061G101 | 2251.12  | 36,348  | SH | SOLE    | 1 |

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6   | COLUMN 7     |                          |    |
|------------------------------|----------------|-----------|--------------------|--------------------|------------|--------------|--------------------------|----|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PRN | PUT/<br>CALL | INVESTMENT<br>DISCRETION | MA |
| BIOMED REALTY TRUST INC      | CMN            | 09063H107 | 6339.55            | 293,618            | SH         |              | DEFINED                  | 1  |
| BIOMED REALTY TRUST INC      | CMN            | 09063H107 | 2.42               | 112                | SH         |              | DEFINED                  | 9  |
| BIO-REFERENCE LABS INC       | CMN            | 09057G602 | 263.98             | 10,120             | SH         |              | SOLE                     | 1  |
| BJ'S RESTAURANTS INC         | CMN            | 09180C106 | 0.92               | 28                 | SH         |              | DEFINED                  | 2  |
| BJ'S RESTAURANTS INC         | CMN            | 09180C106 | 493.09             | 14,950             | SH         |              | DEFINED                  | 1  |
| BLACKROCK ENHANCED EQUITY DI | CMN            | 09251A104 | 112.71             | 14,400             | SH         |              | SOLE                     | 1  |
| BLACKROCK INC                | CMN            | 09247X101 | 115.24             | 449                | SH         |              | DEFINED                  | 2  |
| BLACKROCK INC                | CMN            | 09247X101 | 3482.88            | 13,570             | SH         |              | DEFINED                  | 1  |
| BLACKROCK INC                | CMN            | 09247X101 | 1.28               | 5                  | SH         |              | DEFINED                  | 9  |
| BLUE WOLF MONGOLIA HOLDINGS  | CMN            | G11962100 | 3993.33            | 400,000            | SH         |              | SOLE                     | 10 |
| BLYTH INC                    | CMN            | 09643P207 | 328.27             | 19,188             | SH         |              | SOLE                     | 1  |
| BMC SOFTWARE INC             | CMN            | 055921100 | 815.93             | 17,660             | SH         |              | DEFINED                  | 2  |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                              |     |           |          |         |     |         |   |
|------------------------------|-----|-----------|----------|---------|-----|---------|---|
| BMC SOFTWARE INC             | CMN | 055921100 | 478.66   | 10,360  | SH  | DEFINED | 1 |
| BMC SOFTWARE INC             | CMN | 055921100 | 0.32     | 7       | SH  | DEFINED | 9 |
| BOB EVANS FARMS              | CMN | 096761101 | 113.81   | 2,675   | SH  | DEFINED | 2 |
| BOB EVANS FARMS              | CMN | 096761101 | 2157.33  | 50,708  | SH  | DEFINED | 1 |
| BOB EVANS FARMS              | CMN | 096761101 | 2.77     | 65      | SH  | DEFINED | 9 |
| BOEING CO/THE                | CMN | 097023105 | 386.06   | 4,494   | SH  | DEFINED | 2 |
| BOEING CO/THE                | CMN | 097023105 | 19560.13 | 227,693 | SH  | DEFINED | 1 |
| BOEING CO/THE                | CMN | 097023105 | 6.96     | 81      | SH  | DEFINED | 9 |
| BOFI HOLDING INC             | CMN | 05566U108 | 149.14   | 4,155   | SH  | DEFINED | 2 |
| BOFI HOLDING INC             | CMN | 05566U108 | 68.20    | 1,900   | SH  | DEFINED | 1 |
| BOK FINANCIAL CORPORATION    | CMN | 05561Q201 | 333.85   | 5,357   | SH  | SOLE    | 1 |
| BORGWARNER INC               | CMN | 099724106 | 15.47    | 200     | SH  | DEFINED | 2 |
| BORGWARNER INC               | CMN | 099724106 | 935.81   | 12,096  | SH  | DEFINED | 1 |
| BORGWARNER INC               | CMN | 099724106 | 1.39     | 18      | SH  | DEFINED | 9 |
| BOSTON PROPERTIES INC        | CMN | 101121101 | 977.79   | 9,685   | SH  | DEFINED | 2 |
| BOSTON PROPERTIES INC        | CMN | 101121101 | 1211.51  | 12,000  | SH  | DEFINED | 1 |
| BOSTON PROPERTIES INC        | CMN | 101121101 | 0.61     | 6       | SH  | DEFINED | 9 |
| BOSTON SCIENTIFIC CORP       | CMN | 101137107 | 487.79   | 62,909  | SH  | DEFINED | 2 |
| BOSTON SCIENTIFIC CORP       | CMN | 101137107 | 3547.24  | 457,476 | SH  | DEFINED | 1 |
| BOSTON SCIENTIFIC CORP       | CMN | 101137107 | 0.89     | 115     | SH  | DEFINED | 9 |
| BOTTOMLINE TECHNOLOGIES (DE) | CMN | 101388106 | 1935.11  | 67,972  | SH  | DEFINED | 8 |
| BOTTOMLINE TECHNOLOGIES (DE) | CMN | 101388106 | 286.71   | 10,071  | SH  | DEFINED | 1 |
| BOX SHIPS INC                | CMN | Y09675102 | 121.24   | 25,706  | SH  | SOLE    | 2 |
| BP PLC                       | CMN | 055622104 | 1715.25  | 40,525  | SH  | DEFINED | 2 |
| BP PLC                       | CMN | 055622104 | 30506.64 | 720,759 | SH  | DEFINED | 1 |
| BPZ RESOURCES INC            | CMN | 055639108 | 271.92   | 119,600 | SH  | DEFINED | 2 |
| BPZ RESOURCES INC            | CMN | 055639108 | 77.43    | 34,058  | SH  | DEFINED | 1 |
| BRAVO BRIO RESTAURANT GROUP  | CMN | 10567B109 | 179.11   | 11,363  | SH  | SOLE    | 1 |
| BRF - BRASIL FOODS SA        | CMN | 10552T107 | 904.12   | 40,894  | SH  | DEFINED | 2 |
| BRF - BRASIL FOODS SA        | CMN | 10552T107 | 4.42     | 200     | SH  | DEFINED | 1 |
| BRIDGEPOINT EDUCATION INC    | CMN | 10807M105 | 170.37   | 16,700  | SH  | DEFINED | 2 |
| BRIDGEPOINT EDUCATION INC    | CMN | 10807M105 | 89.92    | 8,814   | SH  | DEFINED | 1 |
| BRINK'S CO/THE               | CMN | 109696104 | 16.96    | 600     | SH  | DEFINED | 2 |
| BRINK'S CO/THE               | CMN | 109696104 | 323.12   | 11,433  | SH  | DEFINED | 1 |
| BRINK'S CO/THE               | CMN | 109696104 | 2.88     | 102     | SH  | DEFINED | 9 |
| BRISTOL-MYERS SQUIBB CO      | CMN | 110122108 | 2233.14  | 54,349  | SH  | DEFINED | 2 |
| BRISTOL-MYERS SQUIBB CO      | CMN | 110122108 | 5379.36  | 130,920 | SH  | DEFINED | 1 |
| BRISTOL-MYERS SQUIBB CO      | CMN | 110122108 | 5.71     | 139     | SH  | DEFINED | 9 |
| BROADCOM CORP-CL A           | CMN | 111320107 | 93.63    | 2,701   | SH  | DEFINED | 2 |
| BROADCOM CORP-CL A           | CMN | 111320107 | 3334.74  | 96,194  | SH  | DEFINED | 1 |
| BROADCOM CORP-CL A           | CMN | 111320107 | 1.01     | 29      | SH  | DEFINED | 9 |
| BROADRIDGE FINANCIAL SOLUTIO | CMN | 11133T103 | 7.43     | 300     | SH  | DEFINED | 2 |
| BROADRIDGE FINANCIAL SOLUTIO | CMN | 11133T103 | 1892.93  | 76,380  | SH  | DEFINED | 1 |
| BROADRIDGE FINANCIAL SOLUTIO | CMN | 11133T103 | 2.53     | 102     | SH  | DEFINED | 9 |
| BROADSOFT INC                | CNV | 11133BAB8 | 21.20    | 21,000  | PRN | SOLE    | 1 |
| BROCADE COMMUNICATIONS SYS   | CMN | 111621306 | 308.42   | 53,526  | SH  | SOLE    | 1 |
| BROOKDALE SENIOR LIVING INC  | CMN | 112463104 | 3441.19  | 123,962 | SH  | SOLE    | 1 |
| BROOKFIELD OFFICE PROPERTIES | CMN | 112900105 | 508.45   | 29,693  | SH  | SOLE    | 1 |

FORM 13F INFORMATION TABLE

| COLUMN 1       | COLUMN 2       | COLUMN 3 | COLUMN 4           | COLUMN 5           | COLUMN 6                | COLUMN 7                 |
|----------------|----------------|----------|--------------------|--------------------|-------------------------|--------------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP    | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>PRN CALL | INVESTMENT<br>DISCRETION |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                              |     |           |          |            |         |   |
|------------------------------|-----|-----------|----------|------------|---------|---|
| BROWN SHOE COMPANY INC       | CMN | 115736100 | 581.58   | 36,196 SH  | SOLE    | 1 |
| BROWN-FORMAN CORP-CLASS B    | CMN | 115637209 | 103.53   | 1,455 SH   | DEFINED | 2 |
| BROWN-FORMAN CORP-CLASS B    | CMN | 115637209 | 1308.38  | 18,387 SH  | DEFINED | 1 |
| BROWN-FORMAN CORP-CLASS B    | CMN | 115637209 | 0.07     | 1 SH       | DEFINED | 9 |
| BRUKER CORP                  | CMN | 116794108 | 951.83   | 49,991 SH  | SOLE    | 1 |
| BRUNSWICK CORP               | CMN | 117043109 | 13.46    | 394 SH     | DEFINED | 2 |
| BRUNSWICK CORP               | CMN | 117043109 | 1760.88  | 51,549 SH  | DEFINED | 1 |
| BT GROUP PLC                 | CMN | 05577E101 | 919.13   | 21,902 SH  | SOLE    | 2 |
| BUCKLE INC/THE               | CMN | 118440106 | 5.65     | 121 SH     | DEFINED | 2 |
| BUCKLE INC/THE               | CMN | 118440106 | 789.11   | 16,911 SH  | DEFINED | 1 |
| BUFFALO WILD WINGS INC       | CMN | 119848109 | 11274.62 | 128,786 SH | SOLE    | 1 |
| BUNGE LTD                    | CMN | G16962105 | 316.16   | 4,278 SH   | SOLE    | 8 |
| BUNGE LTD                    | CMN | G16962105 | 388.07   | 5,251 SH   | SOLE    | 2 |
| BUNGE LTD                    | CMN | G16962105 | 110.86   | 1,500 SH   | SOLE    | 1 |
| C.H. ROBINSON WORLDWIDE INC  | CMN | 12541W209 | 20.67    | 349 SH     | DEFINED | 2 |
| C.H. ROBINSON WORLDWIDE INC  | CMN | 12541W209 | 970.15   | 16,377 SH  | DEFINED | 1 |
| C.H. ROBINSON WORLDWIDE INC  | CMN | 12541W209 | 1.13     | 19 SH      | DEFINED | 9 |
| CA INC                       | CMN | 12673P105 | 53.31    | 2,120 SH   | DEFINED | 2 |
| CA INC                       | CMN | 12673P105 | 1264.71  | 50,294 SH  | DEFINED | 1 |
| CA INC                       | CMN | 12673P105 | 0.45     | 18 SH      | DEFINED | 9 |
| CABELA'S INC                 | CMN | 126804301 | 91.55    | 1,519 SH   | DEFINED | 2 |
| CABELA'S INC                 | CMN | 126804301 | 185.74   | 3,082 SH   | DEFINED | 1 |
| CABELA'S INC                 | CMN | 126804301 | 6.51     | 108 SH     | DEFINED | 9 |
| CABLEVISION SYSTEMS-NY GRP-A | CMN | 12686C109 | 4.25     | 285 SH     | DEFINED | 2 |
| CABLEVISION SYSTEMS-NY GRP-A | CMN | 12686C109 | 266.69   | 17,899 SH  | DEFINED | 1 |
| CABLEVISION SYSTEMS-NY GRP-A | CMN | 12686C109 | 0.51     | 34 SH      | DEFINED | 9 |
| CABOT CORP                   | CMN | 127055101 | 354.72   | 10,376 SH  | DEFINED | 2 |
| CABOT CORP                   | CMN | 127055101 | 35.69    | 1,044 SH   | DEFINED | 1 |
| CABOT CORP                   | CMN | 127055101 | 2.39     | 70 SH      | DEFINED | 9 |
| CABOT OIL & GAS CORP         | CMN | 127097103 | 210.59   | 3,110 SH   | DEFINED | 2 |
| CABOT OIL & GAS CORP         | CMN | 127097103 | 1132.19  | 16,720 SH  | DEFINED | 1 |
| CABOT OIL & GAS CORP         | CMN | 127097103 | 1.02     | 15 SH      | DEFINED | 9 |
| CADENCE DESIGN SYS INC       | CMN | 127387108 | 189.00   | 13,550 SH  | DEFINED | 2 |
| CADENCE DESIGN SYS INC       | CMN | 127387108 | 2441.87  | 175,067 SH | DEFINED | 1 |
| CADENCE DESIGN SYS INC       | CMN | 127387108 | 3.29     | 236 SH     | DEFINED | 9 |
| CADENCE PHARMACEUTICALS INC  | CMN | 12738T100 | 171.34   | 25,753 SH  | SOLE    | 1 |
| CADIZ INC                    | CMN | 127537207 | 204.13   | 30,254 SH  | SOLE    | 2 |
| CAL DIVE INTERNATIONAL INC   | CMN | 12802T101 | 175.46   | 96,806 SH  | SOLE    | 1 |
| CALAMOS ASSET MANAGEMENT-A   | CMN | 12811R104 | 208.88   | 17,617 SH  | SOLE    | 1 |
| CALAMP CORP                  | CMN | 128126109 | 219.44   | 20,114 SH  | SOLE    | 1 |
| CALGON CARBON CORP           | CMN | 129603106 | 3.62     | 200 SH     | DEFINED | 2 |
| CALGON CARBON CORP           | CMN | 129603106 | 692.84   | 38,277 SH  | DEFINED | 1 |
| CALIX INC                    | CMN | 13100M509 | 123.50   | 14,990 SH  | SOLE    | 1 |
| CALLAWAY GOLF COMPANY        | CMN | 131193104 | 330.73   | 50,000 SH  | DEFINED | 2 |
| CALLAWAY GOLF COMPANY        | CMN | 131193104 | 15.88    | 2,400 SH   | DEFINED | 1 |
| CALPINE CORP                 | CMN | 131347304 | 0.04     | 2 SH       | DEFINED | 2 |
| CALPINE CORP                 | CMN | 131347304 | 1013.57  | 49,354 SH  | DEFINED | 1 |
| CAMERON INTERNATIONAL CORP   | CMN | 13342B105 | 70.26    | 1,081 SH   | DEFINED | 2 |
| CAMERON INTERNATIONAL CORP   | CMN | 13342B105 | 4613.35  | 70,978 SH  | DEFINED | 1 |
| CAMERON INTERNATIONAL CORP   | CMN | 13342B105 | 1.17     | 18 SH      | DEFINED | 9 |
| CAMPBELL SOUP CO             | CMN | 134429109 | 10.71    | 237 SH     | DEFINED | 2 |
| CAMPBELL SOUP CO             | CMN | 134429109 | 951.86   | 21,072 SH  | DEFINED | 1 |
| CAMPBELL SOUP CO             | CMN | 134429109 | 0.05     | 1 SH       | DEFINED | 9 |
| CANADIAN NATL RAILWAY CO     | CMN | 136375102 | 790.28   | 7,915 SH   | SOLE    | 2 |
| CANADIAN NATURAL RESOURCES   | CMN | 136385101 | 184.21   | 5,760 SH   | DEFINED | 2 |
| CANADIAN NATURAL RESOURCES   | CMN | 136385101 | 546.86   | 17,100 SH  | DEFINED | 1 |
| CANADIAN SOLAR INC           | CMN | 136635109 | 53.51    | 15,434 SH  | SOLE    | 2 |
| CAPELLA EDUCATION CO         | CMN | 139594105 | 331.23   | 10,623 SH  | SOLE    | 1 |
| CAPITAL ONE FINANCIAL CORP   | CMN | 14040H105 | 1189.25  | 21,656 SH  | DEFINED | 2 |
| CAPITAL ONE FINANCIAL CORP   | CMN | 14040H105 | 2992.69  | 54,496 SH  | DEFINED | 1 |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6            | COLUMN 7                 |
|------------------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION |
| CAPITAL ONE FINANCIAL CORP   | CMN            | 14040H105 | 1.37               | 25                 | SH                  | DEFINED                  |
| CAPITALSOURCE INC            | CMN            | 14055X102 | 5.08               | 529                | SH                  | DEFINED                  |
| CAPITALSOURCE INC            | CMN            | 14055X102 | 111.33             | 11,585             | SH                  | DEFINED                  |
| CAPSTONE TURBINE CORP        | CMN            | 14067D102 | 10.12              | 10,900             | SH                  | DEFINED                  |
| CAPSTONE TURBINE CORP        | CMN            | 14067D102 | 19.49              | 21,000             | SH                  | DEFINED                  |
| CARDINAL HEALTH INC          | CMN            | 14149Y108 | 724.06             | 17,380             | SH                  | DEFINED                  |
| CARDINAL HEALTH INC          | CMN            | 14149Y108 | 5133.31            | 123,217            | SH                  | DEFINED                  |
| CARDINAL HEALTH INC          | CMN            | 14149Y108 | 1.21               | 29                 | SH                  | DEFINED                  |
| CARDIUM THERAPEUTICS INC     | CMN            | 141916106 | 2.47               | 15,000             | SH                  | SOLE                     |
| CARDTRONICS INC              | CMN            | 14161H108 | 36.13              | 1,320              | SH                  | DEFINED                  |
| CARDTRONICS INC              | CMN            | 14161H108 | 316.46             | 11,561             | SH                  | DEFINED                  |
| CAREFUSION CORP              | CMN            | 14170T101 | 11.25              | 322                | SH                  | DEFINED                  |
| CAREFUSION CORP              | CMN            | 14170T101 | 1587.31            | 45,449             | SH                  | DEFINED                  |
| CAREFUSION CORP              | CMN            | 14170T101 | 0.66               | 19                 | SH                  | DEFINED                  |
| CARLISLE COS INC             | CMN            | 142339100 | 1.35               | 20                 | SH                  | DEFINED                  |
| CARLISLE COS INC             | CMN            | 142339100 | 2709.01            | 40,030             | SH                  | DEFINED                  |
| CARLISLE COS INC             | CMN            | 142339100 | 9.14               | 135                | SH                  | DEFINED                  |
| CARMAX INC                   | CMN            | 143130102 | 12.60              | 303                | SH                  | DEFINED                  |
| CARMAX INC                   | CMN            | 143130102 | 3277.35            | 78,834             | SH                  | DEFINED                  |
| CARMAX INC                   | CMN            | 143130102 | 1.50               | 36                 | SH                  | DEFINED                  |
| CARMIKE CINEMAS INC          | CMN            | 143436400 | 1.45               | 80                 | SH                  | DEFINED                  |
| CARMIKE CINEMAS INC          | CMN            | 143436400 | 379.66             | 20,889             | SH                  | DEFINED                  |
| CARNIVAL CORP                | CMN            | 143658300 | 198.45             | 5,814              | SH                  | DEFINED                  |
| CARNIVAL CORP                | CMN            | 143658300 | 1414.42            | 41,439             | SH                  | DEFINED                  |
| CARNIVAL CORP                | CMN            | 143658300 | 2.39               | 70                 | SH                  | DEFINED                  |
| CARRIZO OIL & GAS INC        | CMN            | 144577103 | 10.22              | 400                | SH                  | DEFINED                  |
| CARRIZO OIL & GAS INC        | CMN            | 144577103 | 345.89             | 13,533             | SH                  | DEFINED                  |
| CARTER'S INC                 | CMN            | 146229109 | 140.06             | 2,448              | SH                  | DEFINED                  |
| CARTER'S INC                 | CMN            | 146229109 | 442.96             | 7,742              | SH                  | DEFINED                  |
| CARTER'S INC                 | CMN            | 146229109 | 6.87               | 120                | SH                  | DEFINED                  |
| CASCADE CORP                 | CMN            | 147195101 | 467.67             | 7,200              | SH                  | SOLE                     |
| CASH AMERICA INTL INC        | CMN            | 14754D100 | 398.73             | 7,635              | SH                  | SOLE                     |
| CATALYST PHARMACEUTICAL PART | CMN            | 14888U101 | 14.26              | 29,000             | SH                  | SOLE                     |
| CATERPILLAR INC              | CMN            | 149123101 | 782.90             | 9,001              | SH                  | DEFINED                  |
| CATERPILLAR INC              | CMN            | 149123101 | 3251.39            | 37,381             | SH                  | DEFINED                  |
| CATERPILLAR INC              | CMN            | 149123101 | 108.72             | 1,250              | SH                  | DEFINED                  |
| CATERPILLAR INC              | CMN            | 149123101 | 5530.17            | 63,580             | SH                  | DEFINED                  |
| CATERPILLAR INC              | CMN            | 149123101 | 6.78               | 78                 | SH                  | DEFINED                  |
| CATHAY GENERAL BANCORP       | CMN            | 149150104 | 345.85             | 17,153             | SH                  | DEFINED                  |
| CATHAY GENERAL BANCORP       | CMN            | 149150104 | 0.99               | 49                 | SH                  | DEFINED                  |
| CBIZ INC                     | CMN            | 124805102 | 371.17             | 57,976             | SH                  | SOLE                     |
| CBRE GROUP INC - A           | CMN            | 12504L109 | 34.52              | 1,371              | SH                  | DEFINED                  |
| CBRE GROUP INC - A           | CMN            | 12504L109 | 689.85             | 27,399             | SH                  | DEFINED                  |
| CBRE GROUP INC - A           | CMN            | 12504L109 | 0.33               | 13                 | SH                  | DEFINED                  |
| CBS CORP-CLASS B NON VOTING  | CMN            | 124857202 | 133.37             | 2,866              | SH                  | DEFINED                  |
| CBS CORP-CLASS B NON VOTING  | CMN            | 124857202 | 2582.68            | 55,501             | SH                  | DEFINED                  |
| CBS CORP-CLASS B NON VOTING  | CMN            | 124857202 | 4.28               | 92                 | SH                  | DEFINED                  |
| CELANESE CORP-SERIES A       | CMN            | 150870103 | 199.52             | 4,540              | SH                  | DEFINED                  |
| CELANESE CORP-SERIES A       | CMN            | 150870103 | 2413.31            | 54,914             | SH                  | DEFINED                  |
| CELESTICA INC                | CMN            | 15101Q108 | 105.94             | 13,070             | SH                  | SOLE                     |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                          |     |           |          |              |         |   |
|--------------------------|-----|-----------|----------|--------------|---------|---|
| CELGENE CORP             | CMN | 151020104 | 3530.70  | 30,703 SH    | DEFINED | 2 |
| CELGENE CORP             | CMN | 151020104 | 4501.61  | 39,146 SH    | DEFINED | 1 |
| CELGENE CORP             | CMN | 151020104 | 4.14     | 36 SH        | DEFINED | 9 |
| CELLDEX THERAPEUTICS INC | CMN | 15117B103 | 41.97    | 3,724 SH     | DEFINED | 2 |
| CELLDEX THERAPEUTICS INC | CMN | 15117B103 | 173.17   | 15,367 SH    | DEFINED | 1 |
| CELSION CORP             | CMN | 15117N305 | 8.87     | 8,500 SH     | DEFINED | 2 |
| CELSION CORP             | CMN | 15117N305 | 3.13     | 3,000 SH     | DEFINED | 1 |
| CEMEX SAB-CPO            | CMN | 151290889 | 98752.24 | 8,102,985 SH | DEFINED | 8 |
| CEMEX SAB-CPO            | CMN | 151290889 | 8.53     | 700 SH       | DEFINED | 1 |
| CENTENE CORP             | CMN | 15135B101 | 317.28   | 7,155 SH     | SOLE    | 1 |

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6            | COLUMN 7                 |
|------------------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION |
| CENTERPOINT ENERGY INC       | CMN            | 15189T107 | 58.86              | 2,462 SH           |                     | DEFINED                  |
| CENTERPOINT ENERGY INC       | CMN            | 15189T107 | 808.09             | 33,800 SH          |                     | DEFINED                  |
| CENTRAIS ELETRICAS BRASILIER | CMN            | 15234Q207 | 189.25             | 56,253 SH          |                     | DEFINED                  |
| CENTRAIS ELETRICAS BRASILIER | CMN            | 15234Q207 | 25.23              | 7,500 SH           |                     | DEFINED                  |
| CENTRAL EURO DISTRIBUTION CP | CMN            | 153435102 | 17.02              | 53,460 SH          |                     | SOLE                     |
| CENTRAL EUROPEAN MEDIA ENT-A | CMN            | G20045202 | 318.79             | 74,001 SH          |                     | SOLE                     |
| CENTRAL FUND CANADA LTD-A    | CMN            | 153501101 | 488.89             | 25,000 SH          |                     | SOLE                     |
| CENTRAL GARDEN AND PET CO-A  | CMN            | 153527205 | 207.19             | 25,190 SH          |                     | SOLE                     |
| CENTRAL GOLDTRUST            | CMN            | 153546106 | 244.71             | 4,100 SH           |                     | SOLE                     |
| CENTURY ALUMINUM COMPANY     | CMN            | 156431108 | 116.05             | 14,930 SH          |                     | DEFINED                  |
| CENTURY ALUMINUM COMPANY     | CMN            | 156431108 | 157.96             | 20,321 SH          |                     | DEFINED                  |
| CENTURYLINK INC              | CMN            | 156700106 | 209.43             | 5,972 SH           |                     | DEFINED                  |
| CENTURYLINK INC              | CMN            | 156700106 | 2083.29            | 59,405 SH          |                     | DEFINED                  |
| CENTURYLINK INC              | CMN            | 156700106 | 1.26               | 36 SH              |                     | DEFINED                  |
| CEPHEID INC                  | CMN            | 15670R107 | 302.38             | 7,873 SH           |                     | SOLE                     |
| CERAGON NETWORKS LTD         | CMN            | M22013102 | 56.56              | 12,947 SH          |                     | SOLE                     |
| CERNER CORP                  | CMN            | 156782104 | 221.69             | 2,366 SH           |                     | DEFINED                  |
| CERNER CORP                  | CMN            | 156782104 | 1472.54            | 15,716 SH          |                     | DEFINED                  |
| CERNER CORP                  | CMN            | 156782104 | 1.12               | 12 SH              |                     | DEFINED                  |
| CERUS CORP                   | CMN            | 157085101 | 7.73               | 1,750 SH           |                     | DEFINED                  |
| CERUS CORP                   | CMN            | 157085101 | 149.19             | 33,760 SH          |                     | DEFINED                  |
| CF INDUSTRIES HOLDINGS INC   | CMN            | 125269100 | 1958.35            | 10,243 SH          |                     | DEFINED                  |
| CF INDUSTRIES HOLDINGS INC   | CMN            | 125269100 | 63.09              | 330 SH             |                     | DEFINED                  |
| CF INDUSTRIES HOLDINGS INC   | CMN            | 125269100 | 1094.37            | 5,724 SH           |                     | DEFINED                  |
| CF INDUSTRIES HOLDINGS INC   | CMN            | 125269100 | 0.19               | 1 SH               |                     | DEFINED                  |
| CHARLES RIVER LABORATORIES   | CMN            | 159864107 | 6582.78            | 148,471 SH         |                     | DEFINED                  |
| CHARLES RIVER LABORATORIES   | CMN            | 159864107 | 2.53               | 57 SH              |                     | DEFINED                  |
| CHARLES RIVER LABORATORIES   | CMN            | 159864107 | 31.97              | 721 SH             |                     | DEFINED                  |
| CHARLES RIVER LABORATORIES   | CMN            | 159864107 | 5.45               | 123 SH             |                     | DEFINED                  |
| CHARLES RIVER LABORATORIES   | CNV            | 159864AB3 | 5921.46            | 5,892,000 PRN      |                     | SOLE                     |
| CHECK POINT SOFTWARE TECH    | CMN            | M22465104 | 158.31             | 3,336 SH           |                     | SOLE                     |
| CHECK POINT SOFTWARE TECH    | CMN            | M22465104 | 1579.00            | 33,274 SH          |                     | SOLE                     |
| CHECKPOINT SYSTEMS INC       | CMN            | 162825103 | 261.27             | 20,120 SH          |                     | SOLE                     |
| CHELSEA THERAPEUTICS INTERNA | CMN            | 163428105 | 52.50              | 26,000 SH          |                     | DEFINED                  |
| CHELSEA THERAPEUTICS INTERNA | CMN            | 163428105 | 15.14              | 7,500 SH           |                     | DEFINED                  |
| CHEMED CORP                  | CNV            | 16359RAC7 | 1093.50            | 1,000,000 PRN      |                     | SOLE                     |
| CHEMTURA CORP                | CMN            | 163893209 | 16.20              | 747 SH             |                     | DEFINED                  |
| CHEMTURA CORP                | CMN            | 163893209 | 552.32             | 25,465 SH          |                     | DEFINED                  |



Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                             |     |           |          |           |         |         |   |
|-----------------------------|-----|-----------|----------|-----------|---------|---------|---|
| CHENIERE ENERGY INC         | CMN | 16411R208 | 11232.72 | 402,287   | SH      | DEFINED | 8 |
| CHENIERE ENERGY INC         | CMN | 16411R208 | 221.84   | 7,945     | SH      | DEFINED | 2 |
| CHENIERE ENERGY INC         | CMN | 16411R208 | 2.79     | 100       | SH      | DEFINED | 1 |
| CHENIERE ENERGY INC         | CMN | 16411R208 | 2244.94  | 80,400    | SH PUT  | DEFINED | 1 |
| CHENIERE ENERGY INC         | CMN | 16411R208 | 1396.11  | 50,000    | SH CALL | DEFINED | 2 |
| CHENIERE ENERGY INC         | CMN | 16411R208 | 87050.07 | 3,117,600 | SH CALL | DEFINED | 1 |
| CHESAPEAKE ENERGY CORP      | CMN | 165167107 | 629.33   | 30,818    | SH      | DEFINED | 2 |
| CHESAPEAKE ENERGY CORP      | CMN | 165167107 | 1538.82  | 75,356    | SH      | DEFINED | 1 |
| CHESAPEAKE ENERGY CORP      | CMN | 165167107 | 0.76     | 37        | SH      | DEFINED | 9 |
| CHESAPEAKE ENERGY CORP      | CMN | 165167107 | 408.41   | 20,000    | SH PUT  | DEFINED | 1 |
| CHESAPEAKE ENERGY CORP      | CNV | 165167BZ9 | 2032.13  | 2,129,000 | PRN     | SOLE    | 1 |
| CHEVRON CORP                | CMN | 166764100 | 2207.81  | 18,415    | SH      | DEFINED | 2 |
| CHEVRON CORP                | CMN | 166764100 | 19684.83 | 164,188   | SH      | DEFINED | 1 |
| CHEVRON CORP                | CMN | 166764100 | 16.66    | 139       | SH      | DEFINED | 9 |
| CHICAGO BRIDGE & IRON CO NV | CMN | 167250109 | 22.69    | 368       | SH      | DEFINED | 2 |
| CHICAGO BRIDGE & IRON CO NV | CMN | 167250109 | 9186.64  | 149,005   | SH      | DEFINED | 1 |
| CHICO'S FAS INC             | CMN | 168615102 | 2243.71  | 133,500   | SH      | DEFINED | 1 |
| CHICO'S FAS INC             | CMN | 168615102 | 6.52     | 388       | SH      | DEFINED | 9 |
| CHILDREN'S PLACE            | CMN | 168905107 | 399.40   | 8,907     | SH      | SOLE    | 1 |
| CHIMERA INVESTMENT CORP     | CMN | 16934Q109 | 14.48    | 4,500     | SH      | DEFINED | 2 |
| CHIMERA INVESTMENT CORP     | CMN | 16934Q109 | 466.62   | 144,994   | SH      | DEFINED | 1 |
| CHINA MOBILE LTD            | CMN | 16941M109 | 534.86   | 10,024    | SH      | DEFINED | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           |                     | COLUMN 6                 | COLUMN 7 |
|------------------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|----------|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION | MA       |
| CHINA MOBILE LTD             | CMN            | 16941M109 | 144.07             | 2,700              | SH                  | DEFINED                  | 1        |
| CHIPMOS TECHNOLOGIES BERMUDA | CMN            | G2110R114 | 310.79             | 23,920             | SH                  | SOLE                     | 2        |
| CHIPOTLE MEXICAN GRILL INC   | CMN            | 169656105 | 224.62             | 695                | SH                  | DEFINED                  | 2        |
| CHIPOTLE MEXICAN GRILL INC   | CMN            | 169656105 | 1736.56            | 5,373              | SH                  | DEFINED                  | 1        |
| CHIPOTLE MEXICAN GRILL INC   | CMN            | 169656105 | 1.62               | 5                  | SH                  | DEFINED                  | 9        |
| CHIQUITA BRANDS INTL         | CMN            | 170032809 | 3.88               | 500                | SH                  | DEFINED                  | 2        |
| CHIQUITA BRANDS INTL         | CMN            | 170032809 | 476.53             | 61,369             | SH                  | DEFINED                  | 1        |
| CHUBB CORP                   | CMN            | 171232101 | 109.64             | 1,256              | SH                  | DEFINED                  | 2        |
| CHUBB CORP                   | CMN            | 171232101 | 2201.04            | 25,214             | SH                  | DEFINED                  | 1        |
| CHUBB CORP                   | CMN            | 171232101 | 0.96               | 11                 | SH                  | DEFINED                  | 9        |
| CHURCH & DWIGHT CO INC       | CMN            | 171340102 | 137.34             | 2,135              | SH                  | DEFINED                  | 2        |
| CHURCH & DWIGHT CO INC       | CMN            | 171340102 | 241.93             | 3,761              | SH                  | DEFINED                  | 1        |
| CHURCH & DWIGHT CO INC       | CMN            | 171340102 | 10.74              | 167                | SH                  | DEFINED                  | 9        |
| CIA BRASILEIRA DE DIS-PREF   | CMN            | 20440T201 | 555.40             | 10,449             | SH                  | SOLE                     | 2        |
| CIA DE BEBIDAS DAS AME-PREF  | CMN            | 20441W203 | 381.80             | 9,002              | SH                  | DEFINED                  | 2        |
| CIA DE BEBIDAS DAS AME-PREF  | CMN            | 20441W203 | 254.48             | 6,000              | SH                  | DEFINED                  | 1        |
| CIA ENERGETICA MINAS GER-PRF | CMN            | 204409601 | 188.76             | 16,000             | SH                  | SOLE                     | 2        |
| CIA SIDERURGICA NACIONAL SA  | CMN            | 20440W105 | 563.48             | 125,648            | SH                  | SOLE                     | 2        |
| CIBER INC                    | CMN            | 17163B102 | 313.29             | 66,959             | SH                  | SOLE                     | 1        |
| CIENA CORP                   | CMN            | 171779309 | 24.01              | 1,506              | SH                  | DEFINED                  | 2        |
| CIENA CORP                   | CMN            | 171779309 | 424.47             | 26,629             | SH                  | DEFINED                  | 1        |
| CIENA CORP                   | CMN            | 171779309 | 1.35               | 85                 | SH                  | DEFINED                  | 9        |
| CIGNA CORP                   | CMN            | 125509109 | 41.92              | 672                | SH                  | DEFINED                  | 2        |
| CIGNA CORP                   | CMN            | 125509109 | 1786.12            | 28,631             | SH                  | DEFINED                  | 1        |
| CIGNA CORP                   | CMN            | 125509109 | 1.50               | 24                 | SH                  | DEFINED                  | 9        |
| CIMAREX ENERGY CO            | CMN            | 171798101 | 5.28               | 70                 | SH                  | DEFINED                  | 2        |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                           |     |           |          |           |        |         |   |
|---------------------------|-----|-----------|----------|-----------|--------|---------|---|
| CIMAREX ENERGY CO         | CMN | 171798101 | 239.24   | 3,170     | SH     | DEFINED | 1 |
| CIMAREX ENERGY CO         | CMN | 171798101 | 17.89    | 237       | SH     | DEFINED | 9 |
| CINCINNATI BELL INC       | CMN | 171871106 | 84.05    | 25,850    | SH     | DEFINED | 2 |
| CINCINNATI BELL INC       | CMN | 171871106 | 15.51    | 4,771     | SH     | DEFINED | 1 |
| CINCINNATI FINANCIAL CORP | CMN | 172062101 | 45.02    | 957       | SH     | DEFINED | 2 |
| CINCINNATI FINANCIAL CORP | CMN | 172062101 | 540.05   | 11,480    | SH     | DEFINED | 1 |
| CINCINNATI FINANCIAL CORP | CMN | 172062101 | 0.28     | 6         | SH     | DEFINED | 9 |
| CINEMARK HOLDINGS INC     | CMN | 17243V102 | 20.53    | 700       | SH     | DEFINED | 2 |
| CINEMARK HOLDINGS INC     | CMN | 17243V102 | 1034.07  | 35,258    | SH     | DEFINED | 1 |
| CINEMARK HOLDINGS INC     | CMN | 17243V102 | 7.04     | 240       | SH     | DEFINED | 9 |
| CINTAS CORP               | CMN | 172908105 | 14.09    | 320       | SH     | DEFINED | 2 |
| CINTAS CORP               | CMN | 172908105 | 397.69   | 9,030     | SH     | DEFINED | 1 |
| CINTAS CORP               | CMN | 172908105 | 0.57     | 13        | SH     | DEFINED | 9 |
| CIRRUS LOGIC INC          | CMN | 172755100 | 249.08   | 10,929    | SH     | DEFINED | 2 |
| CIRRUS LOGIC INC          | CMN | 172755100 | 181.27   | 7,954     | SH     | DEFINED | 1 |
| CISCO SYSTEMS INC         | CMN | 17275R102 | 82.83    | 3,953     | SH     | DEFINED | 8 |
| CISCO SYSTEMS INC         | CMN | 17275R102 | 3321.65  | 158,528   | SH     | DEFINED | 2 |
| CISCO SYSTEMS INC         | CMN | 17275R102 | 9876.31  | 471,354   | SH     | DEFINED | 1 |
| CISCO SYSTEMS INC         | CMN | 17275R102 | 6.20     | 296       | SH     | DEFINED | 9 |
| CISCO SYSTEMS INC         | CMN | 17275R102 | 41.91    | 2,000     | SH PUT | DEFINED | 2 |
| CISCO SYSTEMS INC         | CMN | 17275R102 | 1047.65  | 50,000    | SH PUT | DEFINED | 1 |
| CITIGROUP INC             | CMN | 172967424 | 552.91   | 12,388    | SH     | DEFINED | 8 |
| CITIGROUP INC             | CMN | 172967424 | 0.13     | 3         | SH     | DEFINED | 2 |
| CITIGROUP INC             | CMN | 172967424 | 12748.28 | 285,624   | SH     | DEFINED | 2 |
| CITIGROUP INC             | CMN | 172967424 | 99084.12 | 2,219,970 | SH     | DEFINED | 1 |
| CITIGROUP INC             | CMN | 172967424 | 5.80     | 130       | SH     | DEFINED | 9 |
| CITRIX SYSTEMS INC        | CMN | 177376100 | 39.13    | 543       | SH     | DEFINED | 2 |
| CITRIX SYSTEMS INC        | CMN | 177376100 | 2144.48  | 29,758    | SH     | DEFINED | 1 |
| CITRIX SYSTEMS INC        | CMN | 177376100 | 0.72     | 10        | SH     | DEFINED | 9 |
| CLEAN HARBORS INC         | CMN | 184496107 | 17.50    | 300       | SH     | DEFINED | 2 |
| CLEAN HARBORS INC         | CMN | 184496107 | 842.88   | 14,447    | SH     | DEFINED | 1 |
| CLEAN HARBORS INC         | CMN | 184496107 | 6.53     | 112       | SH     | DEFINED | 9 |
| CLEARWIRE CORP-CLASS A    | CMN | 18538Q105 | 489.11   | 150,860   | SH     | DEFINED | 2 |
| CLEARWIRE CORP-CLASS A    | CMN | 18538Q105 | 34.59    | 10,668    | SH     | DEFINED | 1 |

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           |                     | COLUMN 6                 | COLUMN 7    |
|------------------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|-------------|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION | MAINTENANCE |
| CLIFFS NATURAL RESOURCES INC | CMN            | 18683K101 | 47.16              | 2,500              | SH                  | DEFINED                  | 8           |
| CLIFFS NATURAL RESOURCES INC | CMN            | 18683K101 | 952.57             | 50,501             | SH                  | DEFINED                  | 2           |
| CLIFFS NATURAL RESOURCES INC | CMN            | 18683K101 | 1791.91            | 94,999             | SH                  | DEFINED                  | 1           |
| CLIFFS NATURAL RESOURCES INC | CMN            | 18683K101 | 0.06               | 3                  | SH                  | DEFINED                  | 9           |
| CLOROX COMPANY               | CMN            | 189054109 | 29.69              | 338                | SH                  | DEFINED                  | 2           |
| CLOROX COMPANY               | CMN            | 189054109 | 897.78             | 10,220             | SH                  | DEFINED                  | 1           |
| CLOROX COMPANY               | CMN            | 189054109 | 0.09               | 1                  | SH                  | DEFINED                  | 9           |
| CME GROUP INC                | CMN            | 12572Q105 | 87.29              | 1,422              | SH                  | DEFINED                  | 2           |
| CME GROUP INC                | CMN            | 12572Q105 | 1491.42            | 24,296             | SH                  | DEFINED                  | 1           |
| CME GROUP INC                | CMN            | 12572Q105 | 0.80               | 13                 | SH                  | DEFINED                  | 9           |
| CMS ENERGY CORP              | CMN            | 125896100 | 28.59              | 1,029              | SH                  | DEFINED                  | 2           |
| CMS ENERGY CORP              | CMN            | 125896100 | 872.64             | 31,409             | SH                  | DEFINED                  | 1           |
| CNH GLOBAL N.V.              | CMN            | N20935206 | 41.23              | 1,000              | SH                  | SOLE                     | 2           |
| CNH GLOBAL N.V.              | CMN            | N20935206 | 3086.56            | 74,871             | SH                  | SOLE                     | 1           |

**Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR**

|                              |     |           |          |               |         |   |
|------------------------------|-----|-----------|----------|---------------|---------|---|
| CNO FINANCIAL GROUP INC      | CMN | 12621E103 | 104.26   | 9,100 SH      | DEFINED | 2 |
| CNO FINANCIAL GROUP INC      | CMN | 12621E103 | 1396.21  | 121,858 SH    | DEFINED | 1 |
| CNO FINANCIAL GROUP INC      | CNV | 12621EAC7 | 16000.42 | 7,612,000 PRN | SOLE    | 1 |
| CNO FINANCIAL GROUP INC      | CNV | 12621EAE3 | 3029.57  | 1,419,000 PRN | SOLE    | 1 |
| CNO FINANCIAL GROUP INC      | CNV | 12621EAF0 | 4204.00  | 2,000,000 PRN | SOLE    | 1 |
| CNOOC LTD                    | CMN | 126132109 | 442.98   | 2,310 SH      | DEFINED | 2 |
| CNOOC LTD                    | CMN | 126132109 | 134.24   | 700 SH        | DEFINED | 1 |
| COBALT INTERNATIONAL ENERGY  | CMN | 19075F106 | 688.23   | 24,411 SH     | DEFINED | 2 |
| COBALT INTERNATIONAL ENERGY  | CMN | 19075F106 | 42.29    | 1,500 SH      | DEFINED | 1 |
| COBALT INTERNATIONAL ENERGY  | CNV | 19075FAA4 | 2113.87  | 1,895,000 PRN | SOLE    | 1 |
| COCA-COLA CO/THE             | CMN | 191216100 | 531.14   | 13,146 SH     | DEFINED | 8 |
| COCA-COLA CO/THE             | CMN | 191216100 | 4192.34  | 103,762 SH    | DEFINED | 2 |
| COCA-COLA CO/THE             | CMN | 191216100 | 13046.54 | 322,907 SH    | DEFINED | 1 |
| COCA-COLA CO/THE             | CMN | 191216100 | 0.85     | 21 SH         | DEFINED | 9 |
| COCA-COLA ENTERPRISES        | CMN | 19122T109 | 13.18    | 357 SH        | DEFINED | 2 |
| COCA-COLA ENTERPRISES        | CMN | 19122T109 | 2813.72  | 76,237 SH     | DEFINED | 1 |
| COCA-COLA ENTERPRISES        | CMN | 19122T109 | 0.04     | 1 SH          | DEFINED | 9 |
| COCA-COLA FEMSA SAB-SER L    | CMN | 191241108 | 120.12   | 737 SH        | DEFINED | 2 |
| COCA-COLA FEMSA SAB-SER L    | CMN | 191241108 | 81.49    | 500 SH        | DEFINED | 1 |
| COGNIZANT TECH SOLUTIONS-A   | CMN | 192446102 | 983.80   | 12,922 SH     | DEFINED | 2 |
| COGNIZANT TECH SOLUTIONS-A   | CMN | 192446102 | 3433.72  | 45,101 SH     | DEFINED | 1 |
| COGNIZANT TECH SOLUTIONS-A   | CMN | 192446102 | 1.29     | 17 SH         | DEFINED | 9 |
| COINSTAR INC                 | CMN | 19259P300 | 15.17    | 260 SH        | DEFINED | 2 |
| COINSTAR INC                 | CMN | 19259P300 | 3061.40  | 52,453 SH     | DEFINED | 1 |
| COLFAX CORP                  | CMN | 194014106 | 24.10    | 521 SH        | DEFINED | 2 |
| COLFAX CORP                  | CMN | 194014106 | 712.11   | 15,394 SH     | DEFINED | 1 |
| COLGATE-PALMOLIVE CO         | CMN | 194162103 | 463.12   | 3,935 SH      | DEFINED | 2 |
| COLGATE-PALMOLIVE CO         | CMN | 194162103 | 6315.91  | 53,664 SH     | DEFINED | 1 |
| COLGATE-PALMOLIVE CO         | CMN | 194162103 | 0.24     | 2 SH          | DEFINED | 9 |
| COLONY FINANCIAL INC         | CMN | 19624R106 | 303.69   | 13,600 SH     | SOLE    | 1 |
| COLUMBIA BANKING SYSTEM INC  | CMN | 197236102 | 292.38   | 13,336 SH     | SOLE    | 1 |
| COLUMBUS MCKINNON CORP/NY    | CMN | 199333105 | 316.43   | 16,419 SH     | SOLE    | 1 |
| COMCAST CORP-CLASS A         | CMN | 20030N101 | 971.78   | 23,180 SH     | DEFINED | 2 |
| COMCAST CORP-CLASS A         | CMN | 20030N101 | 9957.31  | 237,513 SH    | DEFINED | 1 |
| COMCAST CORP-CLASS A         | CMN | 20030N101 | 17.36    | 414 SH        | DEFINED | 9 |
| COMCAST CORP-SPECIAL CL A    | CMN | 20030N200 | 79.06    | 2,003 SH      | DEFINED | 2 |
| COMCAST CORP-SPECIAL CL A    | CMN | 20030N200 | 896.11   | 22,704 SH     | DEFINED | 1 |
| COMERICA INC                 | CMN | 200340107 | 44.05    | 1,229 SH      | DEFINED | 2 |
| COMERICA INC                 | CMN | 200340107 | 534.02   | 14,900 SH     | DEFINED | 1 |
| COMERICA INC                 | CMN | 200340107 | 0.29     | 8 SH          | DEFINED | 9 |
| COMFORT SYSTEMS USA INC      | CMN | 199908104 | 152.66   | 10,943 SH     | SOLE    | 1 |
| COMMERCE BANCSHARES INC      | CMN | 200525103 | 270.24   | 6,621 SH      | DEFINED | 1 |
| COMMERCE BANCSHARES INC      | CMN | 200525103 | 2.08     | 51 SH         | DEFINED | 9 |
| COMMERCIAL VEHICLE GROUP INC | CMN | 202608105 | 96.02    | 12,273 SH     | SOLE    | 1 |
| COMMONWEALTH REIT            | CMN | 203233101 | 22.45    | 1,000 SH      | DEFINED | 2 |
| COMMONWEALTH REIT            | CMN | 203233101 | 6381.42  | 284,300 SH    | DEFINED | 1 |

FORM 13F INFORMATION TABLE

| COLUMN 1              | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6   | COLUMN 7                 |
|-----------------------|----------------|-----------|--------------------|--------------------|------------|--------------------------|
| NAME OF ISSUER        | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PRN | PUT/<br>CALL             |
|                       |                |           |                    |                    |            | INVESTMENT<br>DISCRETION |
| COMMVault SYSTEMS INC | CMN            | 204166102 | 316.59             | 3,850 SH           |            | DEFINED                  |
| COMMVault SYSTEMS INC | CMN            | 204166102 | 2.96               | 36 SH              |            | DEFINED                  |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                                |     |           |          |         |     |         |   |
|--------------------------------|-----|-----------|----------|---------|-----|---------|---|
| COMPASS MINERALS INTERNATIONAL | CMN | 20451N101 | 23.55    | 300     | SH  | DEFINED | 2 |
| COMPASS MINERALS INTERNATIONAL | CMN | 20451N101 | 615.23   | 7,836   | SH  | DEFINED | 1 |
| COMPASS MINERALS INTERNATIONAL | CMN | 20451N101 | 3.06     | 39      | SH  | DEFINED | 9 |
| COMPUTER PROGRAMS & SYSTEMS    | CMN | 205306103 | 289.33   | 5,396   | SH  | SOLE    | 1 |
| COMPUTER SCIENCES CORP         | CMN | 205363104 | 64.15    | 1,305   | SH  | DEFINED | 2 |
| COMPUTER SCIENCES CORP         | CMN | 205363104 | 3461.50  | 70,414  | SH  | DEFINED | 1 |
| COMPUTER SCIENCES CORP         | CMN | 205363104 | 0.44     | 9       | SH  | DEFINED | 9 |
| COMPUWARE CORP                 | CMN | 205638109 | 165.22   | 13,200  | SH  | DEFINED | 2 |
| COMPUWARE CORP                 | CMN | 205638109 | 1.25     | 100     | SH  | DEFINED | 1 |
| COMPUWARE CORP                 | CMN | 205638109 | 2.23     | 178     | SH  | DEFINED | 9 |
| COMSCORE INC                   | CMN | 20564W105 | 181.76   | 10,801  | SH  | SOLE    | 1 |
| COMTECH TELECOMMUNICATIONS     | CNV | 205826AF7 | 30.62    | 30,000  | PRN | SOLE    | 1 |
| CONAGRA FOODS INC              | CMN | 205887102 | 136.18   | 3,808   | SH  | DEFINED | 2 |
| CONAGRA FOODS INC              | CMN | 205887102 | 3570.31  | 99,839  | SH  | DEFINED | 1 |
| CONAGRA FOODS INC              | CMN | 205887102 | 0.07     | 2       | SH  | DEFINED | 9 |
| CONCUR TECHNOLOGIES INC        | CMN | 206708109 | 0.27     | 4       | SH  | DEFINED | 2 |
| CONCUR TECHNOLOGIES INC        | CMN | 206708109 | 2232.28  | 32,487  | SH  | DEFINED | 1 |
| CONCUR TECHNOLOGIES INC        | CMN | 206708109 | 2.61     | 38      | SH  | DEFINED | 9 |
| CONMED CORP                    | CMN | 207410101 | 296.72   | 8,692   | SH  | SOLE    | 1 |
| CONOCOPHILLIPS                 | CMN | 20825C104 | 1418.09  | 23,615  | SH  | DEFINED | 2 |
| CONOCOPHILLIPS                 | CMN | 20825C104 | 5906.49  | 98,359  | SH  | DEFINED | 1 |
| CONOCOPHILLIPS                 | CMN | 20825C104 | 5.22     | 87      | SH  | DEFINED | 9 |
| CONSOL ENERGY INC              | CMN | 20854P109 | 69.30    | 2,050   | SH  | DEFINED | 2 |
| CONSOL ENERGY INC              | CMN | 20854P109 | 1164.32  | 34,444  | SH  | DEFINED | 1 |
| CONSOL ENERGY INC              | CMN | 20854P109 | 0.54     | 16      | SH  | DEFINED | 9 |
| CONSOLIDATED EDISON INC        | CMN | 209115104 | 665.79   | 10,947  | SH  | DEFINED | 2 |
| CONSOLIDATED EDISON INC        | CMN | 209115104 | 1642.24  | 27,002  | SH  | DEFINED | 1 |
| CONSOLIDATED GRAPHICS INC      | CMN | 209341106 | 317.02   | 8,075   | SH  | SOLE    | 1 |
| CONSTELLATION BRANDS INC-A     | CMN | 21036P108 | 44.69    | 940     | SH  | DEFINED | 2 |
| CONSTELLATION BRANDS INC-A     | CMN | 21036P108 | 2424.16  | 50,990  | SH  | DEFINED | 1 |
| CONSTELLATION BRANDS INC-A     | CMN | 21036P108 | 0.05     | 1       | SH  | DEFINED | 9 |
| CONSUMER DISCRETIONARY SELT    | CMN | 81369Y407 | 164.40   | 3,106   | SH  | DEFINED | 2 |
| CONSUMER DISCRETIONARY SELT    | CMN | 81369Y407 | 312.29   | 5,900   | SH  | DEFINED | 1 |
| CONSUMER STAPLES SPDR          | CMN | 81369Y308 | 57.20    | 1,440   | SH  | DEFINED | 2 |
| CONSUMER STAPLES SPDR          | CMN | 81369Y308 | 893.80   | 22,500  | SH  | DEFINED | 1 |
| CONTINENTAL RESOURCES INC/OK   | CMN | 212015101 | 71.44    | 819     | SH  | DEFINED | 2 |
| CONTINENTAL RESOURCES INC/OK   | CMN | 212015101 | 683.24   | 7,833   | SH  | DEFINED | 1 |
| CONVERGYS CORP                 | CMN | 212485106 | 235.17   | 13,783  | SH  | DEFINED | 1 |
| CONVERGYS CORP                 | CMN | 212485106 | 1.52     | 89      | SH  | DEFINED | 9 |
| CONVERGYS CORP                 | CNV | 212485AE6 | 50.18    | 30,000  | PRN | SOLE    | 1 |
| CON-WAY INC                    | CMN | 205944101 | 966.90   | 27,574  | SH  | DEFINED | 1 |
| CON-WAY INC                    | CMN | 205944101 | 4.17     | 119     | SH  | DEFINED | 9 |
| COOPER TIRE & RUBBER           | CMN | 216831107 | 240.19   | 9,257   | SH  | SOLE    | 1 |
| COPART INC                     | CMN | 217204106 | 329.85   | 9,630   | SH  | DEFINED | 2 |
| COPART INC                     | CMN | 217204106 | 21856.88 | 638,114 | SH  | DEFINED | 1 |
| COPART INC                     | CMN | 217204106 | 7.74     | 226     | SH  | DEFINED | 9 |
| CORE MARK HOLDING CO INC       | CMN | 218681104 | 2        | 32      | SH  | SOLE    | 4 |
| CORELOGIC INC                  | CMN | 21871D103 | 5.16     | 200     | SH  | DEFINED | 2 |
| CORELOGIC INC                  | CMN | 21871D103 | 641.12   | 24,834  | SH  | DEFINED | 1 |
| CORELOGIC INC                  | CMN | 21871D103 | 2.12     | 82      | SH  | DEFINED | 9 |
| CORE-MARK HOLDING CO INC       | CMN | 218681104 | 7.14     | 140     | SH  | DEFINED | 2 |
| CORE-MARK HOLDING CO INC       | CMN | 218681104 | 382.60   | 7,502   | SH  | DEFINED | 1 |
| CORINTHIAN COLLEGES INC        | CMN | 218868107 | 270.65   | 128,929 | SH  | SOLE    | 1 |
| CORNING INC                    | CMN | 219350105 | 159.04   | 11,977  | SH  | DEFINED | 8 |
| CORNING INC                    | CMN | 219350105 | 0.01     | 1       | SH  | DEFINED | 2 |
| CORNING INC                    | CMN | 219350105 | 216.42   | 16,298  | SH  | DEFINED | 2 |
| CORNING INC                    | CMN | 219350105 | 1868.04  | 140,677 | SH  | DEFINED | 1 |
| CORNING INC                    | CMN | 219350105 | 1.09     | 82      | SH  | DEFINED | 9 |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

FORM 13F INFORMATION TABLE

| COLUMN 1                    | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6   | COLUMN 7                 |
|-----------------------------|----------------|-----------|--------------------|--------------------|------------|--------------------------|
| NAME OF ISSUER              | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PRN | PUT/<br>CALL             |
|                             |                |           |                    |                    |            | INVESTMENT<br>DISCRETION |
| CORRECTIONS CORP OF AMERICA | CMN            | 22025Y407 | 132.23             | 3,400              | SH         | DEFINED                  |
| CORRECTIONS CORP OF AMERICA | CMN            | 22025Y407 | 3142.31            | 80,800             | SH         | DEFINED                  |
| CORRECTIONS CORP OF AMERICA | CMN            | 22025Y407 | 2.61               | 67                 | SH         | DEFINED                  |
| COSAN LTD-CLASS A SHARES    | CMN            | G25343107 | 150.43             | 7,668              | SH         | SOLE                     |
| COSAN LTD-CLASS A SHARES    | CMN            | G25343107 | 276.73             | 14,106             | SH         | SOLE                     |
| COSTCO WHOLESALE CORP       | CMN            | 22160K105 | 640.27             | 6,049              | SH         | DEFINED                  |
| COSTCO WHOLESALE CORP       | CMN            | 22160K105 | 4368.83            | 41,275             | SH         | DEFINED                  |
| COSTCO WHOLESALE CORP       | CMN            | 22160K105 | 0.21               | 2                  | SH         | DEFINED                  |
| COVANTA HOLDING CORP        | CMN            | 22282E102 | 883.90             | 44,183             | SH         | SOLE                     |
| COVANTA HOLDING CORP        | CNV            | 22282EAC6 | 7173.40            | 5,518,000          | PRN        | SOLE                     |
| COVENTRY HEALTH CARE INC    | CMN            | 222862104 | 359.90             | 7,658              | SH         | DEFINED                  |
| COVENTRY HEALTH CARE INC    | CMN            | 222862104 | 703.44             | 14,968             | SH         | DEFINED                  |
| COVENTRY HEALTH CARE INC    | CMN            | 222862104 | 0.52               | 11                 | SH         | DEFINED                  |
| COVIDIEN PLC                | CMN            | G2554F113 | 273.55             | 4,040              | SH         | DEFINED                  |
| COVIDIEN PLC                | CMN            | G2554F113 | 2512.09            | 37,100             | SH         | DEFINED                  |
| COVIDIEN PLC                | CMN            | G2554F113 | 2.71               | 40                 | SH         | DEFINED                  |
| COWEN GROUP INC-CLASS A     | CMN            | 223622101 | 47.20              | 16,761             | SH         | SOLE                     |
| CR BARD INC                 | CMN            | 067383109 | 20.25              | 201                | SH         | DEFINED                  |
| CR BARD INC                 | CMN            | 067383109 | 4007.18            | 39,767             | SH         | DEFINED                  |
| CR BARD INC                 | CMN            | 067383109 | 0.60               | 6                  | SH         | DEFINED                  |
| CRANE CO                    | CMN            | 224399105 | 872.89             | 15,662             | SH         | DEFINED                  |
| CRANE CO                    | CMN            | 224399105 | 5.74               | 103                | SH         | DEFINED                  |
| CREDIT SUISSE GROUP AG-REG  | CMN            | 225401108 | 4001.66            | 152,297            | SH         | SOLE                     |
| CREE INC                    | CMN            | 225447101 | 630.82             | 11,554             | SH         | DEFINED                  |
| CREE INC                    | CMN            | 225447101 | 43.68              | 800                | SH         | DEFINED                  |
| CREE INC                    | CMN            | 225447101 | 5.35               | 98                 | SH         | DEFINED                  |
| CRH PLC                     | CMN            | 12626K203 | 742.45             | 33,704             | SH         | SOLE                     |
| CROCS INC                   | CMN            | 227046109 | 119.79             | 8,074              | SH         | DEFINED                  |
| CROCS INC                   | CMN            | 227046109 | 447.99             | 30,195             | SH         | DEFINED                  |
| CROSSTEX ENERGY INC         | CMN            | 22765Y104 | 12.79              | 664                | SH         | DEFINED                  |
| CROSSTEX ENERGY INC         | CMN            | 22765Y104 | 578.84             | 30,059             | SH         | DEFINED                  |
| CROWN CASTLE INTL CORP      | CMN            | 228227104 | 69.15              | 990                | SH         | DEFINED                  |
| CROWN CASTLE INTL CORP      | CMN            | 228227104 | 2872.70            | 41,125             | SH         | DEFINED                  |
| CROWN CASTLE INTL CORP      | CMN            | 228227104 | 1.19               | 17                 | SH         | DEFINED                  |
| CROWN HOLDINGS INC          | CMN            | 228368106 | 0.04               | 1                  | SH         | DEFINED                  |
| CROWN HOLDINGS INC          | CMN            | 228368106 | 7341.16            | 176,643            | SH         | DEFINED                  |
| CSG SYSTEMS INTL INC        | CMN            | 126349109 | 34.21              | 1,619              | SH         | DEFINED                  |
| CSG SYSTEMS INTL INC        | CMN            | 126349109 | 215.09             | 10,179             | SH         | DEFINED                  |
| CSX CORP                    | CMN            | 126408103 | 62.69              | 2,564              | SH         | DEFINED                  |
| CSX CORP                    | CMN            | 126408103 | 1965.14            | 80,380             | SH         | DEFINED                  |
| CSX CORP                    | CMN            | 126408103 | 2.98               | 122                | SH         | DEFINED                  |
| CTC MEDIA INC               | CMN            | 12642X106 | 504.95             | 42,800             | SH         | DEFINED                  |
| CTC MEDIA INC               | CMN            | 12642X106 | 167.60             | 14,206             | SH         | DEFINED                  |
| CUMMINS INC                 | CMN            | 231021106 | 411.76             | 3,563              | SH         | DEFINED                  |
| CUMMINS INC                 | CMN            | 231021106 | 2439.24            | 21,107             | SH         | DEFINED                  |
| CUMMINS INC                 | CMN            | 231021106 | 2.43               | 21                 | SH         | DEFINED                  |
| CUMULUS MEDIA INC-CL A      | CMN            | 231082108 | 47.42              | 14,100             | SH         | SOLE                     |
| CURTISS-WRIGHT CORP         | CMN            | 231561101 | 321.54             | 9,232              | SH         | SOLE                     |
| CVB FINANCIAL CORP          | CMN            | 126600105 | 381.62             | 33,793             | SH         | SOLE                     |
| CVR ENERGY INC              | CMN            | 12662P108 | 171.98             | 3,320              | SH         | DEFINED                  |
| CVR ENERGY INC              | CMN            | 12662P108 | 120.80             | 2,332              | SH         | DEFINED                  |
| CVS CAREMARK CORP           | CMN            | 126650100 | 112.71             | 2,050              | SH         | DEFINED                  |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                      |     |           |          |            |         |   |
|----------------------|-----|-----------|----------|------------|---------|---|
| CVS CAREMARK CORP    | CMN | 126650100 | 12883.52 | 234,334 SH | DEFINED | 1 |
| CVS CAREMARK CORP    | CMN | 126650100 | 0.38     | 7 SH       | DEFINED | 9 |
| CYMER INC            | CMN | 232572107 | 368.11   | 3,841 SH   | SOLE    | 2 |
| CYS INVESTMENTS INC  | CMN | 12673A108 | 2657.54  | 226,114 SH | DEFINED | 2 |
| CYS INVESTMENTS INC  | CMN | 12673A108 | 34.08    | 2,900 SH   | DEFINED | 1 |
| CYTEC INDUSTRIES INC | CMN | 232820100 | 322.21   | 4,354 SH   | DEFINED | 1 |
| CYTEC INDUSTRIES INC | CMN | 232820100 | 3.85     | 52 SH      | DEFINED | 9 |
| CYTOKINETICS INC     | CMN | 23282W100 | 104.50   | 92,348 SH  | SOLE    | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6            | COLUMN 7                 |
|------------------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION |
| DAKTRONICS INC               | CMN            | 234264109 | 426.88             | 40,723 SH          | SOLE                | 1                        |
| DANA HLDG CORP               | CMN            | 235825205 | 364                | 20388 SH           | SOLE                | 4                        |
| DANA HLDG CORP               | CMN            | 235825205 | 382.40             | 21,513 SH          | SOLE                | 1                        |
| DANAHER CORP                 | CMN            | 235851102 | 47.79              | 770 SH             | DEFINED             | 2                        |
| DANAHER CORP                 | CMN            | 235851102 | 12923.11           | 208,231 SH         | DEFINED             | 1                        |
| DANAHER CORP                 | CMN            | 235851102 | 4.28               | 69 SH              | DEFINED             | 9                        |
| DARDEN RESTAURANTS INC       | CMN            | 237194105 | 44.66              | 870 SH             | DEFINED             | 2                        |
| DARDEN RESTAURANTS INC       | CMN            | 237194105 | 976.34             | 19,019 SH          | DEFINED             | 1                        |
| DARDEN RESTAURANTS INC       | CMN            | 237194105 | 1.03               | 20 SH              | DEFINED             | 9                        |
| DARLING INTERNATIONAL INC    | CMN            | 237266101 | 4.95               | 275 SH             | DEFINED             | 2                        |
| DARLING INTERNATIONAL INC    | CMN            | 237266101 | 350.94             | 19,484 SH          | DEFINED             | 1                        |
| DAVITA HEALTHCARE PARTNERS I | CMN            | 23918K108 | 223.75             | 1,888 SH           | DEFINED             | 2                        |
| DAVITA HEALTHCARE PARTNERS I | CMN            | 23918K108 | 871.20             | 7,351 SH           | DEFINED             | 1                        |
| DAVITA HEALTHCARE PARTNERS I | CMN            | 23918K108 | 0.83               | 7 SH               | DEFINED             | 9                        |
| DAWSON GEOPHYSICAL CO        | CMN            | 239359102 | 17.10              | 570 SH             | DEFINED             | 2                        |
| DAWSON GEOPHYSICAL CO        | CMN            | 239359102 | 234.21             | 7,807 SH           | DEFINED             | 1                        |
| DCT INDUSTRIAL TRUST INC     | CMN            | 233153105 | 300.59             | 40,662 SH          | SOLE                | 1                        |
| DDR CORP                     | CNV            | 251591AX1 | 1187.50            | 1,000,000 PRN      | SOLE                | 1                        |
| DEALERTRACK TECHNOLOGIES INC | CMN            | 242309102 | 3904.03            | 133,073 SH         | SOLE                | 8                        |
| DEAN FOODS CO                | CMN            | 242370104 | 209.35             | 11,541 SH          | DEFINED             | 2                        |
| DEAN FOODS CO                | CMN            | 242370104 | 632.56             | 34,871 SH          | DEFINED             | 1                        |
| DEAN FOODS CO                | CMN            | 242370104 | 0.02               | 1 SH               | DEFINED             | 9                        |
| DEERE & CO                   | CMN            | 244199105 | 725.60             | 8,420 SH           | DEFINED             | 2                        |
| DEERE & CO                   | CMN            | 244199105 | 4755.47            | 55,183 SH          | DEFINED             | 1                        |
| DEERE & CO                   | CMN            | 244199105 | 4.05               | 47 SH              | DEFINED             | 9                        |
| DELGATH SYSTEMS INC          | CMN            | 24661P104 | 29.72              | 16,900 SH          | SOLE                | 1                        |
| DELEK US HOLDINGS INC        | CMN            | 246647101 | 43.20              | 1,091 SH           | DEFINED             | 2                        |
| DELEK US HOLDINGS INC        | CMN            | 246647101 | 192.55             | 4,863 SH           | DEFINED             | 1                        |
| DELHAIZE GROUP               | CMN            | 29759W101 | 297.89             | 5,461 SH           | SOLE                | 2                        |
| DELL INC                     | CMN            | 24702R101 | 2891.39            | 201,777 SH         | DEFINED             | 2                        |
| DELL INC                     | CMN            | 24702R101 | 5202.28            | 363,043 SH         | DEFINED             | 1                        |
| DELL INC                     | CMN            | 24702R101 | 1.16               | 81 SH              | DEFINED             | 9                        |
| DELPHI AUTOMOTIVE PLC        | CMN            | G27823106 | 174.53             | 3,938 SH           | DEFINED             | 2                        |
| DELPHI AUTOMOTIVE PLC        | CMN            | G27823106 | 1301.19            | 29,360 SH          | DEFINED             | 1                        |
| DELPHI AUTOMOTIVE PLC        | CMN            | G27823106 | 2.04               | 46 SH              | DEFINED             | 9                        |
| DELTA AIR LINES INC          | CMN            | 247361702 | 57.22              | 3,466 SH           | DEFINED             | 2                        |
| DELTA AIR LINES INC          | CMN            | 247361702 | 45.35              | 2,747 SH           | DEFINED             | 1                        |
| DELTA AIR LINES INC          | CMN            | 247361702 | 3095.49            | 187,500 SH         | PUT                 | DEFINED                  |
| DELUXE CORP                  | CMN            | 248019101 | 12.42              | 300 SH             | DEFINED             | 2                        |
| DELUXE CORP                  | CMN            | 248019101 | 236.85             | 5,720 SH           | DEFINED             | 1                        |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                             |     |           |          |           |         |         |   |
|-----------------------------|-----|-----------|----------|-----------|---------|---------|---|
| DELUXE CORP                 | CMN | 248019101 | 4.47     | 108       | SH      | DEFINED | 9 |
| DENBURY RESOURCES INC       | CMN | 247916208 | 44.27    | 2,370     | SH      | DEFINED | 2 |
| DENBURY RESOURCES INC       | CMN | 247916208 | 1373.24  | 73,511    | SH      | DEFINED | 1 |
| DENBURY RESOURCES INC       | CMN | 247916208 | 0.50     | 27        | SH      | DEFINED | 9 |
| DENDREON CORP               | CMN | 24823Q107 | 75.32    | 15,880    | SH      | DEFINED | 2 |
| DENDREON CORP               | CMN | 24823Q107 | 10.79    | 2,276     | SH      | DEFINED | 1 |
| DENISON MINES CORP          | CMN | 248356107 | 23.90    | 17,500    | SH      | SOLE    | 2 |
| DENTSPLY INTERNATIONAL INC  | CMN | 249030107 | 38.43    | 908       | SH      | DEFINED | 2 |
| DENTSPLY INTERNATIONAL INC  | CMN | 249030107 | 1622.61  | 38,337    | SH      | DEFINED | 1 |
| DENTSPLY INTERNATIONAL INC  | CMN | 249030107 | 0.51     | 12        | SH      | DEFINED | 9 |
| DEUTSCHE BANK AG-REGISTERED | CMN | D18190898 | 9311.56  | 238,000   | SH PUT  | SOLE    | 2 |
| DEUTSCHE BANK AG-REGISTERED | CMN | D18190898 | 17422.01 | 445,300   | SH PUT  | SOLE    | 2 |
| DEUTSCHE BANK AG-REGISTERED | CMN | D18190898 | 9686.84  | 247,592   | SH CALL | SOLE    | 2 |
| DEUTSCHE BANK AG-REGISTERED | CMN | D18190898 | 55028.21 | 1,406,500 | SH CALL | SOLE    | 2 |
| DEVON ENERGY CORPORATION    | CMN | 25179M103 | 221.27   | 3,911     | SH      | DEFINED | 2 |
| DEVON ENERGY CORPORATION    | CMN | 25179M103 | 39.04    | 690       | SH      | DEFINED | 3 |
| DEVON ENERGY CORPORATION    | CMN | 25179M103 | 2833.06  | 50,075    | SH      | DEFINED | 1 |
| DEVON ENERGY CORPORATION    | CMN | 25179M103 | 1.53     | 27        | SH      | DEFINED | 9 |
| DEVRY INC                   | CMN | 251893103 | 2.86     | 90        | SH      | DEFINED | 2 |
| DEVRY INC                   | CMN | 251893103 | 774.25   | 24,348    | SH      | DEFINED | 1 |

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6            | COLUMN 7                 |
|------------------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION |
| DEVRY INC                    | CMN            | 251893103 | 4.23               | 133                | SH                  | DEFINED                  |
| DEX ONE CORP                 | CMN            | 25212W100 | 44.77              | 26,334             | SH                  | SOLE                     |
| DEX ONE CORP                 | CMN            | 25212W100 | 403                | 235615             | SH                  | SOLE                     |
| DFC GLOBAL CORP              | CMN            | 23324T107 | 423.72             | 25,440             | SH                  | SOLE                     |
| DHT HOLDINGS INC             | CMN            | Y2065G121 | 71.46              | 15,012             | SH                  | SOLE                     |
| DIAMOND FOODS INC            | CMN            | 252603105 | 72.98              | 4,350              | SH                  | DEFINED                  |
| DIAMOND FOODS INC            | CMN            | 252603105 | 1444.69            | 86,116             | SH                  | DEFINED                  |
| DIAMOND OFFSHORE DRILLING    | CMN            | 25271C102 | 39.41              | 565                | SH                  | DEFINED                  |
| DIAMOND OFFSHORE DRILLING    | CMN            | 25271C102 | 569.26             | 8,162              | SH                  | DEFINED                  |
| DIAMOND OFFSHORE DRILLING    | CMN            | 25271C102 | 0.35               | 5                  | SH                  | DEFINED                  |
| DIANA CONTAINERSHIPS INC     | CMN            | Y2069P101 | 130.64             | 24,552             | SH                  | SOLE                     |
| DICE HOLDINGS INC            | CMN            | 253017107 | 229.94             | 22,765             | SH                  | SOLE                     |
| DICK'S SPORTING GOODS INC    | CMN            | 253393102 | 0.85               | 18                 | SH                  | DEFINED                  |
| DICK'S SPORTING GOODS INC    | CMN            | 253393102 | 765.93             | 16,207             | SH                  | DEFINED                  |
| DICK'S SPORTING GOODS INC    | CMN            | 253393102 | 10.87              | 230                | SH                  | DEFINED                  |
| DIGITAL REALTY TRUST INC     | CMN            | 253868103 | 88.39              | 1,321              | SH                  | DEFINED                  |
| DIGITAL REALTY TRUST INC     | CMN            | 253868103 | 663.08             | 9,910              | SH                  | DEFINED                  |
| DIGITAL RIVER INC            | CMN            | 25388B104 | 414.74             | 29,295             | SH                  | SOLE                     |
| DILLARDS INC-CL A            | CMN            | 254067101 | 178.83             | 2,274              | SH                  | DEFINED                  |
| DILLARDS INC-CL A            | CMN            | 254067101 | 561.88             | 7,145              | SH                  | DEFINED                  |
| DIODES INC                   | CMN            | 254543101 | 34.24              | 1,632              | SH                  | DEFINED                  |
| DIODES INC                   | CMN            | 254543101 | 300.09             | 14,303             | SH                  | DEFINED                  |
| DIRECTV                      | CMN            | 25490A309 | 735.45             | 12,982             | SH                  | DEFINED                  |
| DIRECTV                      | CMN            | 25490A309 | 4835.62            | 85,357             | SH                  | DEFINED                  |
| DIRECTV                      | CMN            | 25490A309 | 5.10               | 90                 | SH                  | DEFINED                  |
| DIREXION DAILY FINL BEAR 3X  | CMN            | 25459W144 | 378.28             | 36,063             | SH                  | DEFINED                  |
| DIREXION DAILY FINL BEAR 3X  | CMN            | 25459W144 | 20.98              | 2,000              | SH                  | DEFINED                  |
| DIREXION DLY 20-Y TR BEAR 3X | CMN            | 25459Y678 | 1438.50            | 25,338             | SH                  | SOLE                     |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                              |     |           |          |           |    |         |         |   |
|------------------------------|-----|-----------|----------|-----------|----|---------|---------|---|
| DIREXION DLY SM CAP BEAR 3X  | CMN | 25459W110 | 1193.91  | 129,399   | SH | SOLE    | 2       |   |
| DIREXION GOLD MINERS BULL 3X | CMN | 25459W250 | 630.31   | 112,155   | SH | SOLE    | 2       |   |
| DISCOVER FINANCIAL SERVICES  | CMN | 254709108 | 738.17   | 16,449    | SH | DEFINED | 2       |   |
| DISCOVER FINANCIAL SERVICES  | CMN | 254709108 | 3839.22  | 85,551    | SH | DEFINED | 1       |   |
| DISCOVER FINANCIAL SERVICES  | CMN | 254709108 | 0.94     | 21        | SH | DEFINED | 9       |   |
| DISCOVERY COMMUNICATIONS-A   | CMN | 25470F104 | 138.74   | 1,762     | SH | DEFINED | 2       |   |
| DISCOVERY COMMUNICATIONS-A   | CMN | 25470F104 | 1510.26  | 19,180    | SH | DEFINED | 1       |   |
| DISCOVERY COMMUNICATIONS-A   | CMN | 25470F104 | 2.99     | 38        | SH | DEFINED | 9       |   |
| DISCOVERY COMMUNICATIONS-C   | CMN | 25470F302 | 225.27   | 3,250     | SH | SOLE    | 2       |   |
| DISH NETWORK CORP-A          | CMN | 25470M109 | 15.17    | 400       | SH | DEFINED | 2       |   |
| DISH NETWORK CORP-A          | CMN | 25470M109 | 1374.89  | 36,255    | SH | DEFINED | 1       |   |
| DOLE FOOD CO INC             | CMN | 256603101 | 251.50   | 23,193    | SH | SOLE    | 2       |   |
| DOLLAR GENERAL CORP          | CMN | 256677105 | 31958.42 | 631,839   | SH | DEFINED | 8       |   |
| DOLLAR GENERAL CORP          | CMN | 256677105 | 92.36    | 1,826     | SH | DEFINED | 2       |   |
| DOLLAR GENERAL CORP          | CMN | 256677105 | 54885.02 | 1,085,113 | SH | DEFINED | 1       |   |
| DOLLAR GENERAL CORP          | CMN | 256677105 | 2.07     | 41        | SH | DEFINED | 9       |   |
| DOLLAR GENERAL CORP          | CMN | 256677105 | 6322.50  | 125,000   | SH | PUT     | DEFINED | 1 |
| DOLLAR GENERAL CORP          | CMN | 256677105 | 18143.05 | 358,700   | SH | CALL    | DEFINED | 1 |
| DOLLAR TREE INC              | CMN | 256746108 | 408.17   | 8,490     | SH | DEFINED | 2       |   |
| DOLLAR TREE INC              | CMN | 256746108 | 4736.97  | 98,529    | SH | DEFINED | 1       |   |
| DOLLAR TREE INC              | CMN | 256746108 | 1.73     | 36        | SH | DEFINED | 9       |   |
| DOMINION RESOURCES INC/VA    | CMN | 25746U109 | 100.31   | 1,729     | SH | DEFINED | 2       |   |
| DOMINION RESOURCES INC/VA    | CMN | 25746U109 | 2658.66  | 45,828    | SH | DEFINED | 1       |   |
| DOMTAR CORP                  | CMN | 257559203 | 1054.35  | 13,580    | SH | DEFINED | 1       |   |
| DOMTAR CORP                  | CMN | 257559203 | 3.18     | 41        | SH | DEFINED | 9       |   |
| DOVER CORP                   | CMN | 260003108 | 21.95    | 302       | SH | DEFINED | 2       |   |
| DOVER CORP                   | CMN | 260003108 | 265.32   | 3,650     | SH | DEFINED | 3       |   |
| DOVER CORP                   | CMN | 260003108 | 2335.94  | 32,135    | SH | DEFINED | 1       |   |
| DOVER CORP                   | CMN | 260003108 | 1.53     | 21        | SH | DEFINED | 9       |   |
| DOW CHEMICAL CO/THE          | CMN | 260543103 | 403.38   | 12,672    | SH | DEFINED | 2       |   |
| DOW CHEMICAL CO/THE          | CMN | 260543103 | 4398.79  | 138,187   | SH | DEFINED | 1       |   |
| DOW CHEMICAL CO/THE          | CMN | 260543103 | 0.83     | 26        | SH | DEFINED | 9       |   |

FORM 13F INFORMATION TABLE

| COLUMN 1                    | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           |            |              | COLUMN 6                 | COLUMN 7 |
|-----------------------------|----------------|-----------|--------------------|--------------------|------------|--------------|--------------------------|----------|
| NAME OF ISSUER              | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PRN | PUT/<br>CALL | INVESTMENT<br>DISCRETION | MA       |
| DR HORTON INC               | CMN            | 23331A109 | 64.24              | 2,638              | SH         |              | DEFINED                  | 2        |
| DR HORTON INC               | CMN            | 23331A109 | 924.53             | 37,964             | SH         |              | DEFINED                  | 1        |
| DR HORTON INC               | CMN            | 23331A109 | 25451.00           | 1,045,093          | SH         |              | DEFINED                  | 9        |
| DR HORTON INC               | CNV            | 23331ABB4 | 3971.25            | 2,109,000          | PRN        |              | SOLE                     | 1        |
| DR PEPPER SNAPPLE GROUP INC | CMN            | 26138E109 | 40.50              | 869                | SH         |              | DEFINED                  | 2        |
| DR PEPPER SNAPPLE GROUP INC | CMN            | 26138E109 | 757.80             | 16,260             | SH         |              | DEFINED                  | 1        |
| DR PEPPER SNAPPLE GROUP INC | CMN            | 26138E109 | 0.05               | 1                  | SH         |              | DEFINED                  | 9        |
| DREYFUS HIGH YIELD STRAT FD | CMN            | 26200S101 | 28.77              | 6,500              | SH         |              | DEFINED                  | 2        |
| DREYFUS HIGH YIELD STRAT FD | CMN            | 26200S101 | 27.00              | 6,100              | SH         |              | DEFINED                  | 1        |
| DRYSHIPS INC                | CMN            | Y2109Q101 | 136.84             | 66,665             | SH         |              | SOLE                     | 2        |
| DRYSHIPS INC                | CMN            | Y2109Q101 | 58.09              | 28,300             | SH         |              | SOLE                     | 1        |
| DST SYSTEMS INC             | CMN            | 233326107 | 2855.66            | 40,400             | SH         |              | DEFINED                  | 2        |
| DST SYSTEMS INC             | CMN            | 233326107 | 245.28             | 3,470              | SH         |              | DEFINED                  | 1        |
| DST SYSTEMS INC             | CMN            | 233326107 | 1.77               | 25                 | SH         |              | DEFINED                  | 9        |
| DST SYSTEMS INC             | CNV            | 233326AE7 | 52.22              | 35,000             | PRN        |              | SOLE                     | 1        |
| DTE ENERGY COMPANY          | CMN            | 233331107 | 172.28             | 2,529              | SH         |              | DEFINED                  | 2        |



Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                           |     |           |          |            |              |   |
|---------------------------|-----|-----------|----------|------------|--------------|---|
| DTE ENERGY COMPANY        | CMN | 233331107 | 1310.37  | 19,236 SH  | DEFINED      | 1 |
| DU PONT (E.I.) DE NEMOURS | CMN | 263534109 | 360.71   | 7,344 SH   | DEFINED      | 2 |
| DU PONT (E.I.) DE NEMOURS | CMN | 263534109 | 4835.77  | 98,456 SH  | DEFINED      | 1 |
| DU PONT (E.I.) DE NEMOURS | CMN | 263534109 | 0.98     | 20 SH      | DEFINED      | 9 |
| DUKE ENERGY CORP          | CMN | 26441C204 | 226.70   | 3,129 SH   | DEFINED      | 2 |
| DUKE ENERGY CORP          | CMN | 26441C204 | 4617.70  | 63,736 SH  | DEFINED      | 1 |
| DUKE REALTY CORP          | CMN | 264411505 | 0.03     | 2 SH       | DEFINED      | 2 |
| DUKE REALTY CORP          | CMN | 264411505 | 188.67   | 11,104 SH  | DEFINED      | 1 |
| DUKE REALTY CORP          | CMN | 264411505 | 3.64     | 214 SH     | DEFINED      | 9 |
| DUN & BRADSTREET CORP     | CMN | 26483E100 | 6.73     | 81 SH      | DEFINED      | 2 |
| DUN & BRADSTREET CORP     | CMN | 26483E100 | 1776.91  | 21,389 SH  | DEFINED      | 1 |
| DUN & BRADSTREET CORP     | CMN | 26483E100 | 0.42     | 5 SH       | DEFINED      | 9 |
| DUNKIN' BRANDS GROUP INC  | CMN | 265504100 | 418.82   | 11,354 SH  | SOLE         | 1 |
| DURECT CORPORATION        | CMN | 266605104 | 13.21    | 10,000 SH  | SOLE         | 2 |
| DYCOM INDUSTRIES INC      | CMN | 267475101 | 17.72    | 900 SH     | DEFINED      | 2 |
| DYCOM INDUSTRIES INC      | CMN | 267475101 | 704.78   | 35,801 SH  | DEFINED      | 1 |
| DYNAVAX TECHNOLOGIES CORP | CMN | 268158102 | 171.81   | 78,000 SH  | DEFINED      | 2 |
| DYNAVAX TECHNOLOGIES CORP | CMN | 268158102 | 3.23     | 1,468 SH   | DEFINED      | 1 |
| DYNEGY INC NEW DEL        | CMN | 26817R108 | 1117     | 46555 SH   | SOLE         | 4 |
| DYNEX CAPITAL INC         | CMN | 26817Q506 | 132.77   | 12,435 SH  | SOLE         | 1 |
| E*TRADE FINANCIAL CORP    | CMN | 269246401 | 228.98   | 21,422 SH  | DEFINED      | 2 |
| E*TRADE FINANCIAL CORP    | CMN | 269246401 | 239.28   | 22,385 SH  | DEFINED      | 1 |
| E*TRADE FINANCIAL CORP    | CMN | 269246401 | 0.13     | 12 SH      | DEFINED      | 9 |
| EAGLE MATERIALS INC       | CMN | 26969P108 | 137.77   | 2,061 SH   | DEFINED      | 2 |
| EAGLE MATERIALS INC       | CMN | 26969P108 | 142.11   | 2,126 SH   | DEFINED      | 1 |
| EARTHLINK INC             | CMN | 270321102 | 0.87     | 160 SH     | DEFINED      | 2 |
| EARTHLINK INC             | CMN | 270321102 | 484.68   | 89,562 SH  | DEFINED      | 1 |
| EAST WEST BANCORP INC     | CMN | 27579R104 | 1563.18  | 60,905 SH  | DEFINED      | 1 |
| EAST WEST BANCORP INC     | CMN | 27579R104 | 2.39     | 93 SH      | DEFINED      | 9 |
| EASTMAN CHEMICAL CO       | CMN | 277432100 | 121.46   | 1,741 SH   | DEFINED      | 2 |
| EASTMAN CHEMICAL CO       | CMN | 277432100 | 853.92   | 12,240 SH  | DEFINED      | 1 |
| EASTMAN CHEMICAL CO       | CMN | 277432100 | 0.21     | 3 SH       | DEFINED      | 9 |
| EATON CORP PLC            | CMN | G29183103 | 95.76    | 1,562 SH   | DEFINED      | 2 |
| EATON CORP PLC            | CMN | G29183103 | 7661.16  | 124,965 SH | DEFINED      | 1 |
| EATON CORP PLC            | CMN | G29183103 | 3.43     | 56 SH      | DEFINED      | 9 |
| EBAY INC                  | CMN | 278642103 | 1300.10  | 24,199 SH  | DEFINED      | 8 |
| EBAY INC                  | CMN | 278642103 | 3377.82  | 62,872 SH  | DEFINED      | 2 |
| EBAY INC                  | CMN | 278642103 | 125.82   | 2,342 SH   | DEFINED      | 3 |
| EBAY INC                  | CMN | 278642103 | 16609.68 | 309,159 SH | DEFINED      | 1 |
| EBAY INC                  | CMN | 278642103 | 3.49     | 65 SH      | DEFINED      | 9 |
| EBAY INC                  | CMN | 278642103 | 80.59    | 1,500 SH   | CALL DEFINED | 2 |
| EBIX INC                  | CMN | 278715206 | 170.35   | 10,600 SH  | DEFINED      | 2 |
| EBIX INC                  | CMN | 278715206 | 77.22    | 4,805 SH   | DEFINED      | 1 |
| ECA MARCELLUS TRUST I     | CMN | 26827L109 | 183.78   | 15,795 SH  | SOLE         | 1 |

FORM 13F INFORMATION TABLE

| COLUMN 1              | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6            | COLUMN 7                 |
|-----------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|
| NAME OF ISSUER        | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION |
| ECHO THERAPEUTICS INC | CMN            | 27876L107 | 129.67             | 166,000 SH         |                     | SOLE                     |
| ECOLAB INC            | CMN            | 278865100 | 114.31             | 1,431 SH           |                     | DEFINED                  |
| ECOLAB INC            | CMN            | 278865100 | 2133.61            | 26,709 SH          |                     | DEFINED                  |
| ECOLAB INC            | CMN            | 278865100 | 0.48               | 6 SH               |                     | DEFINED                  |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                              |     |           |          |               |              |   |
|------------------------------|-----|-----------|----------|---------------|--------------|---|
| ECOPETROL SA                 | CMN | 279158109 | 205.72   | 3,768 SH      | DEFINED      | 2 |
| ECOPETROL SA                 | CMN | 279158109 | 6318.98  | 115,742 SH    | DEFINED      | 1 |
| EDISON INTERNATIONAL         | CMN | 281020107 | 21.70    | 431 SH        | DEFINED      | 2 |
| EDISON INTERNATIONAL         | CMN | 281020107 | 2501.73  | 49,699 SH     | DEFINED      | 1 |
| EDWARDS LIFESCIENCES CORP    | CMN | 28176E108 | 231.07   | 2,813 SH      | DEFINED      | 2 |
| EDWARDS LIFESCIENCES CORP    | CMN | 28176E108 | 3084.67  | 37,553 SH     | DEFINED      | 1 |
| EDWARDS LIFESCIENCES CORP    | CMN | 28176E108 | 0.82     | 10 SH         | DEFINED      | 9 |
| EL PASO ELECTRIC CO          | CMN | 283677854 | 295.76   | 8,841 SH      | SOLE         | 1 |
| ELDORADO GOLD CORP           | CMN | 284902103 | 4868.39  | 509,800 SH    | SOLE         | 2 |
| ELECTRONIC ARTS INC          | CMN | 285512109 | 103.16   | 5,821 SH      | DEFINED      | 2 |
| ELECTRONIC ARTS INC          | CMN | 285512109 | 1419.55  | 80,097 SH     | DEFINED      | 1 |
| ELECTRONIC ARTS INC          | CMN | 285512109 | 0.30     | 17 SH         | DEFINED      | 9 |
| ELECTRONIC ARTS INC          | CNV | 285512AA7 | 4529.66  | 4,680,000 PRN | SOLE         | 1 |
| ELECTRONICS FOR IMAGING      | CMN | 286082102 | 289.70   | 11,342 SH     | SOLE         | 1 |
| ELI LILLY & CO               | CMN | 532457108 | 155.75   | 2,751 SH      | DEFINED      | 2 |
| ELI LILLY & CO               | CMN | 532457108 | 4458.97  | 78,760 SH     | DEFINED      | 1 |
| ELI LILLY & CO               | CMN | 532457108 | 4.81     | 85 SH         | DEFINED      | 9 |
| EMC CORP/MA                  | CMN | 268648102 | 288.01   | 12,025 SH     | DEFINED      | 2 |
| EMC CORP/MA                  | CMN | 268648102 | 5594.77  | 233,592 SH    | DEFINED      | 1 |
| EMC CORP/MA                  | CMN | 268648102 | 2.80     | 117 SH        | DEFINED      | 9 |
| EMC CORP/MA                  | CNV | 268648AM4 | 10091.49 | 6,766,000 PRN | SOLE         | 1 |
| EMCOR GROUP INC              | CMN | 29084Q100 | 550.22   | 12,989 SH     | SOLE         | 1 |
| EMERGENT BIOSOLUTIONS INC    | CMN | 29089Q105 | 469.73   | 33,577 SH     | SOLE         | 1 |
| EMERSON ELECTRIC CO          | CMN | 291011104 | 86.66    | 1,551 SH      | DEFINED      | 2 |
| EMERSON ELECTRIC CO          | CMN | 291011104 | 4663.98  | 83,478 SH     | DEFINED      | 1 |
| EMERSON ELECTRIC CO          | CMN | 291011104 | 4.80     | 86 SH         | DEFINED      | 9 |
| EMPLOYERS HOLDINGS INC       | CMN | 292218104 | 20.28    | 868 SH        | DEFINED      | 2 |
| EMPLOYERS HOLDINGS INC       | CMN | 292218104 | 411.64   | 17,619 SH     | DEFINED      | 1 |
| EMULEX CORP                  | CMN | 292475209 | 28.30    | 4,330 SH      | DEFINED      | 2 |
| EMULEX CORP                  | CMN | 292475209 | 402.09   | 61,515 SH     | DEFINED      | 1 |
| ENBRIDGE INC                 | CMN | 29250N105 | 210.79   | 4,549 SH      | SOLE         | 2 |
| ENCANA CORP                  | CMN | 292505104 | 118.39   | 6,099 SH      | DEFINED      | 2 |
| ENCANA CORP                  | CMN | 292505104 | 87.35    | 4,500 SH      | DEFINED      | 1 |
| ENCORE WIRE CORP             | CMN | 292562105 | 299.87   | 8,580 SH      | SOLE         | 1 |
| ENDEAVOUR INTERNATIONAL CORP | CMN | 29259G200 | 72.49    | 24,314 SH     | SOLE         | 1 |
| ENDEAVOUR SILVER CORP        | CMN | 29258Y103 | 265.47   | 42,800 SH     | SOLE         | 2 |
| ENDO HEALTH SOLUTIONS INC    | CMN | 29264F205 | 1384.37  | 44,966 SH     | DEFINED      | 1 |
| ENDO HEALTH SOLUTIONS INC    | CMN | 29264F205 | 8.99     | 292 SH        | DEFINED      | 9 |
| ENDOCYTE INC                 | CMN | 29269A102 | 1.12     | 90 SH         | DEFINED      | 2 |
| ENDOCYTE INC                 | CMN | 29269A102 | 195.94   | 15,808 SH     | DEFINED      | 1 |
| ENERGY SELECT SECTOR SPDR    | CMN | 81369Y506 | 83.31    | 1,050 SH      | DEFINED      | 2 |
| ENERGY SELECT SECTOR SPDR    | CMN | 81369Y506 | 7240.18  | 91,248 SH     | DEFINED      | 1 |
| ENERGY SELECT SECTOR SPDR    | CMN | 81369Y506 | 27771.15 | 350,000 SH    | CALL DEFINED | 1 |
| ENERGY XXI BERMUDA           | CMN | G10082140 | 539.15   | 19,700 SH     | SOLE         | 2 |
| ENERGY XXI BERMUDA           | CMN | G10082140 | 630.31   | 23,031 SH     | SOLE         | 1 |
| ENERGYSOLUTIONS INC          | CMN | 292756202 | 2.25     | 600 SH        | DEFINED      | 2 |
| ENERGYSOLUTIONS INC          | CMN | 292756202 | 176.18   | 46,948 SH     | DEFINED      | 1 |
| ENERSYS                      | CNV | 29275YAA0 | 139.48   | 110,000 PRN   | SOLE         | 1 |
| ENSCO PLC-CL A               | CMN | G3157S106 | 124.50   | 2,080 SH      | DEFINED      | 2 |
| ENSCO PLC-CL A               | CMN | G3157S106 | 1160.02  | 19,380 SH     | DEFINED      | 1 |
| ENSCO PLC-CL A               | CMN | G3157S106 | 1.02     | 17 SH         | DEFINED      | 9 |
| ENTERGY CORP                 | CMN | 29364G103 | 14.85    | 235 SH        | DEFINED      | 2 |
| ENTERGY CORP                 | CMN | 29364G103 | 2253.30  | 35,650 SH     | DEFINED      | 1 |
| ENTEROMEDICS INC             | CMN | 29365M208 | 32.48    | 32,400 SH     | DEFINED      | 2 |
| ENTEROMEDICS INC             | CMN | 29365M208 | 0.80     | 800 SH        | DEFINED      | 1 |
| ENTROPIC COMMUNICATIONS INC  | CMN | 29384R105 | 35.74    | 8,668 SH      | DEFINED      | 2 |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           |                     | COLUMN 6                 | COLUMN 7 |
|------------------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|----------|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION | MA       |
| ENTROPIC COMMUNICATIONS INC  | CMN            | 29384R105 | 187.48             | 45,473             | SH                  | DEFINED                  | 1        |
| ENVESTNET INC                | CMN            | 29404K106 | 199.89             | 11,409             | SH                  | SOLE                     | 1        |
| ENZON PHARMACEUTICALS INC    | CMN            | 293904108 | 10.46              | 2,750              | SH                  | DEFINED                  | 2        |
| ENZON PHARMACEUTICALS INC    | CMN            | 293904108 | 154.11             | 40,502             | SH                  | DEFINED                  | 1        |
| EOG RESOURCES INC            | CMN            | 26875P101 | 301.52             | 2,354              | SH                  | DEFINED                  | 2        |
| EOG RESOURCES INC            | CMN            | 26875P101 | 3558.32            | 27,780             | SH                  | DEFINED                  | 1        |
| EOG RESOURCES INC            | CMN            | 26875P101 | 2.43               | 19                 | SH                  | DEFINED                  | 9        |
| EPIQ SYSTEMS INC             | CMN            | 26882D109 | 250.14             | 17,809             | SH                  | SOLE                     | 1        |
| EPL OIL & GAS INC            | CMN            | 26883D108 | 4.86               | 180                | SH                  | DEFINED                  | 2        |
| EPL OIL & GAS INC            | CMN            | 26883D108 | 520.56             | 19,264             | SH                  | DEFINED                  | 1        |
| EQT CORP                     | CMN            | 26884L109 | 58.71              | 866                | SH                  | DEFINED                  | 2        |
| EQT CORP                     | CMN            | 26884L109 | 1193.29            | 17,601             | SH                  | DEFINED                  | 1        |
| EQT CORP                     | CMN            | 26884L109 | 0.75               | 11                 | SH                  | DEFINED                  | 9        |
| EQUIFAX INC                  | CMN            | 294429105 | 147.38             | 2,565              | SH                  | DEFINED                  | 2        |
| EQUIFAX INC                  | CMN            | 294429105 | 575.73             | 10,020             | SH                  | DEFINED                  | 1        |
| EQUIFAX INC                  | CMN            | 294429105 | 0.80               | 14                 | SH                  | DEFINED                  | 9        |
| EQUINIX INC                  | CMN            | 29444U502 | 9646.72            | 44,746             | SH                  | DEFINED                  | 8        |
| EQUINIX INC                  | CMN            | 29444U502 | 624.13             | 2,895              | SH                  | DEFINED                  | 2        |
| EQUINIX INC                  | CMN            | 29444U502 | 2371.47            | 11,000             | SH                  | DEFINED                  | 1        |
| EQUINIX INC                  | CMN            | 29444U502 | 8.84               | 41                 | SH                  | DEFINED                  | 9        |
| EQUINIX INC                  | CNV            | 29444UAG1 | 90.77              | 45,000             | PRN                 | SOLE                     | 1        |
| ERIE INDEMNITY COMPANY-CL A  | CMN            | 29530P102 | 630.06             | 8,362              | SH                  | SOLE                     | 1        |
| ESTEE LAUDER COMPANIES-CL A  | CMN            | 518439104 | 65.81              | 1,029              | SH                  | DEFINED                  | 2        |
| ESTEE LAUDER COMPANIES-CL A  | CMN            | 518439104 | 1396.24            | 21,833             | SH                  | DEFINED                  | 1        |
| ESTEE LAUDER COMPANIES-CL A  | CMN            | 518439104 | 0.06               | 1                  | SH                  | DEFINED                  | 9        |
| ETFS PHYSICAL PALLADIUM SHAR | CMN            | 26923A106 | 264.57             | 3,500              | SH                  | SOLE                     | 2        |
| ETFS PHYSICAL SILVER SHARES  | CMN            | 26922X107 | 318.32             | 11,315             | SH                  | SOLE                     | 2        |
| ETFS PLATINUM TRUST          | CMN            | 26922V101 | 561.86             | 3,640              | SH                  | SOLE                     | 2        |
| EUROSEAS LTD                 | CMN            | Y23592200 | 11.40              | 10,100             | SH                  | SOLE                     | 2        |
| EVEREST RE GROUP LTD         | CMN            | G3223R108 | 9.30               | 72                 | SH                  | SOLE                     | 2        |
| EVEREST RE GROUP LTD         | CMN            | G3223R108 | 805.17             | 6,233              | SH                  | SOLE                     | 1        |
| EVEREST RE GROUP LTD         | CMN            | G3223R108 | 4.39               | 34                 | SH                  | SOLE                     | 9        |
| EW SCRIPPS CO/THE-A          | CMN            | 811054402 | 589.70             | 48,997             | SH                  | SOLE                     | 1        |
| EXACT SCIENCES CORP          | CMN            | 30063P105 | 29.45              | 3,000              | SH                  | DEFINED                  | 2        |
| EXACT SCIENCES CORP          | CMN            | 30063P105 | 169.17             | 17,236             | SH                  | DEFINED                  | 1        |
| EXAR CORP                    | CMN            | 300645108 | 196.52             | 18,803             | SH                  | SOLE                     | 1        |
| EXCO RESOURCES INC           | CMN            | 269279402 | 86.96              | 12,151             | SH                  | DEFINED                  | 2        |
| EXCO RESOURCES INC           | CMN            | 269279402 | 5.73               | 800                | SH                  | DEFINED                  | 1        |
| EXELON CORP                  | CMN            | 30161N101 | 326.82             | 9,514              | SH                  | DEFINED                  | 2        |
| EXELON CORP                  | CMN            | 30161N101 | 2386.05            | 69,460             | SH                  | DEFINED                  | 1        |
| EXLSERVICE HOLDINGS INC      | CMN            | 302081104 | 467.30             | 14,247             | SH                  | SOLE                     | 1        |
| EXPEDIA INC                  | CMN            | 30212P303 | 515.86             | 8,563              | SH                  | DEFINED                  | 2        |
| EXPEDIA INC                  | CMN            | 30212P303 | 3359.86            | 55,772             | SH                  | DEFINED                  | 1        |
| EXPEDIA INC                  | CMN            | 30212P303 | 0.90               | 15                 | SH                  | DEFINED                  | 9        |
| EXPEDITORS INTL WASH INC     | CMN            | 302130109 | 132.67             | 3,691              | SH                  | DEFINED                  | 2        |
| EXPEDITORS INTL WASH INC     | CMN            | 302130109 | 4373.99            | 121,686            | SH                  | DEFINED                  | 1        |
| EXPEDITORS INTL WASH INC     | CMN            | 302130109 | 0.90               | 25                 | SH                  | DEFINED                  | 9        |
| EXTERRAN HOLDINGS INC        | CMN            | 30225X103 | 3387.46            | 125,276            | SH                  | SOLE                     | 1        |
| EXTRA SPACE STORAGE INC      | CMN            | 30225T102 | 162.63             | 4,141              | SH                  | DEFINED                  | 2        |
| EXTRA SPACE STORAGE INC      | CMN            | 30225T102 | 62.72              | 1,597              | SH                  | DEFINED                  | 1        |
| EXTRA SPACE STORAGE INC      | CMN            | 30225T102 | 2.67               | 68                 | SH                  | DEFINED                  | 9        |
| EXXON MOBIL CORP             | CMN            | 30231G102 | 8485.56            | 94,200             | SH                  | DEFINED                  | 8        |
| EXXON MOBIL CORP             | CMN            | 30231G102 | 3413.32            | 37,892             | SH                  | DEFINED                  | 2        |
| EXXON MOBIL CORP             | CMN            | 30231G102 | 34426.05           | 382,171            | SH                  | DEFINED                  | 1        |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                  |     |           |          |            |         |   |
|------------------|-----|-----------|----------|------------|---------|---|
| EXXON MOBIL CORP | CMN | 30231G102 | 28.83    | 320 SH     | DEFINED | 9 |
| EXXON MOBIL CORP | CMN | 30231G102 | 13605.27 | 151,035 SH | CALL    | 2 |
| EZCORP INC-CL A  | CMN | 302301106 | 8.53     | 400 SH     | DEFINED | 2 |
| EZCORP INC-CL A  | CMN | 302301106 | 408.19   | 19,136 SH  | DEFINED | 1 |
| F5 NETWORKS INC  | CMN | 315616102 | 194.45   | 2,184 SH   | DEFINED | 2 |
| F5 NETWORKS INC  | CMN | 315616102 | 3632.78  | 40,802 SH  | DEFINED | 1 |

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6   | COLUMN 7                 |
|------------------------------|----------------|-----------|--------------------|--------------------|------------|--------------------------|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PRN | PUT/<br>CALL             |
|                              |                |           |                    |                    |            | INVESTMENT<br>DISCRETION |
| F5 NETWORKS INC              | CMN            | 315616102 | 0.36               | 4 SH               |            | DEFINED                  |
| FABRINET                     | CMN            | G3323L100 | 396.80             | 27,150 SH          |            | SOLE                     |
| FACEBOOK INC-A               | CMN            | 30303M102 | 494.95             | 19,262 SH          |            | DEFINED                  |
| FACEBOOK INC-A               | CMN            | 30303M102 | 25622.02           | 997,132 SH         |            | DEFINED                  |
| FACEBOOK INC-A               | CMN            | 30303M102 | 89.99              | 3,502 SH           |            | DEFINED                  |
| FACEBOOK INC-A               | CMN            | 30303M102 | 1656.63            | 64,471 SH          |            | DEFINED                  |
| FACTSET RESEARCH SYSTEMS INC | CMN            | 303075105 | 46.30              | 500 SH             |            | DEFINED                  |
| FACTSET RESEARCH SYSTEMS INC | CMN            | 303075105 | 799.42             | 8,633 SH           |            | DEFINED                  |
| FACTSET RESEARCH SYSTEMS INC | CMN            | 303075105 | 3.15               | 34 SH              |            | DEFINED                  |
| FAIRCHILD SEMICONDUCTOR INTE | CMN            | 303726103 | 57.92              | 4,100 SH           |            | DEFINED                  |
| FAIRCHILD SEMICONDUCTOR INTE | CMN            | 303726103 | 461.20             | 32,646 SH          |            | DEFINED                  |
| FAIRCHILD SEMICONDUCTOR INTE | CMN            | 303726103 | 1.50               | 106 SH             |            | DEFINED                  |
| FAIRPOINT COMMUNICATIONS INC | CMN            | 305560302 | 102                | 13660 SH           |            | SOLE                     |
| FAMILY DOLLAR STORES         | CMN            | 307000109 | 47.72              | 807 SH             |            | DEFINED                  |
| FAMILY DOLLAR STORES         | CMN            | 307000109 | 447.05             | 7,560 SH           |            | DEFINED                  |
| FAMILY DOLLAR STORES         | CMN            | 307000109 | 0.89               | 15 SH              |            | DEFINED                  |
| FARO TECHNOLOGIES INC        | CMN            | 311642102 | 6.49               | 150 SH             |            | DEFINED                  |
| FARO TECHNOLOGIES INC        | CMN            | 311642102 | 318.32             | 7,358 SH           |            | DEFINED                  |
| FASTENAL CO                  | CMN            | 311900104 | 18.29              | 357 SH             |            | DEFINED                  |
| FASTENAL CO                  | CMN            | 311900104 | 2109.52            | 41,184 SH          |            | DEFINED                  |
| FASTENAL CO                  | CMN            | 311900104 | 1.64               | 32 SH              |            | DEFINED                  |
| FEDERAL MOGUL CORP           | CMN            | 313549404 | 371                | 61602 SH           |            | SOLE                     |
| FEDERAL SIGNAL CORP          | CMN            | 313855108 | 239.44             | 29,369 SH          |            | SOLE                     |
| FEDERATED INVESTORS INC-CL B | CMN            | 314211103 | 1358.92            | 57,309 SH          |            | DEFINED                  |
| FEDERATED INVESTORS INC-CL B | CMN            | 314211103 | 1.47               | 62 SH              |            | DEFINED                  |
| FEDEX CORP                   | CMN            | 31428X106 | 406.04             | 4,138 SH           |            | DEFINED                  |
| FEDEX CORP                   | CMN            | 31428X106 | 2583.31            | 26,327 SH          |            | DEFINED                  |
| FEDEX CORP                   | CMN            | 31428X106 | 3.43               | 35 SH              |            | DEFINED                  |
| FEI COMPANY                  | CNV            | 30241LAF6 | 32.90              | 15,000 PRN         |            | SOLE                     |
| FIDELITY NATIONAL FINL-A     | CMN            | 31620R105 | 143.46             | 5,711 SH           |            | DEFINED                  |
| FIDELITY NATIONAL FINL-A     | CMN            | 31620R105 | 9954.07            | 396,255 SH         |            | DEFINED                  |
| FIDELITY NATIONAL FINL-A     | CMN            | 31620R105 | 3.59               | 143 SH             |            | DEFINED                  |
| FIDELITY NATIONAL INFORMATIO | CMN            | 31620M106 | 15.26              | 386 SH             |            | DEFINED                  |
| FIDELITY NATIONAL INFORMATIO | CMN            | 31620M106 | 1258.54            | 31,845 SH          |            | DEFINED                  |
| FIDELITY NATIONAL INFORMATIO | CMN            | 31620M106 | 0.63               | 16 SH              |            | DEFINED                  |
| FIFTH & PACIFIC COS INC      | CMN            | 316645100 | 3.03               | 160 SH             |            | DEFINED                  |
| FIFTH & PACIFIC COS INC      | CMN            | 316645100 | 610.30             | 32,244 SH          |            | DEFINED                  |
| FIFTH THIRD BANCORP          | CMN            | 316773100 | 169.70             | 10,401 SH          |            | DEFINED                  |
| FIFTH THIRD BANCORP          | CMN            | 316773100 | 1206.80            | 73,967 SH          |            | DEFINED                  |
| FIFTH THIRD BANCORP          | CMN            | 316773100 | 0.62               | 38 SH              |            | DEFINED                  |
| FINANCIAL SELECT SECTOR SPDR | CMN            | 81369Y605 | 28989.43           | 1,594,112 SH       |            | DEFINED                  |
| FINANCIAL SELECT SECTOR SPDR | CMN            | 81369Y605 | 170.69             | 9,386 SH           |            | DEFINED                  |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                              |     |           |           |           |         |         |   |
|------------------------------|-----|-----------|-----------|-----------|---------|---------|---|
| FINANCIAL SELECT SECTOR SPDR | CMN | 81369Y605 | 240.45    | 13,222    | SH      | DEFINED | 1 |
| FINANCIAL SELECT SECTOR SPDR | CMN | 81369Y605 | 120023.08 | 6,600,000 | SH CALL | DEFINED | 1 |
| FINISAR CORPORATION          | CMN | 31787A507 | 16.88     | 1,260     | SH      | DEFINED | 2 |
| FINISAR CORPORATION          | CMN | 31787A507 | 947.20    | 70,713    | SH      | DEFINED | 1 |
| FIRST AMERICAN FINANCIAL     | CMN | 31847R102 | 200.32    | 7,883     | SH      | DEFINED | 2 |
| FIRST AMERICAN FINANCIAL     | CMN | 31847R102 | 83.88     | 3,301     | SH      | DEFINED | 1 |
| FIRST AMERICAN FINANCIAL     | CMN | 31847R102 | 1.80      | 71        | SH      | DEFINED | 9 |
| FIRST BANCORP PUERTO RICO    | CMN | 318672706 | 31.59     | 5,051     | SH      | DEFINED | 2 |
| FIRST BANCORP PUERTO RICO    | CMN | 318672706 | 91.53     | 14,635    | SH      | DEFINED | 1 |
| FIRST BUSEY CORP             | CMN | 319383105 | 93.20     | 20,461    | SH      | SOLE    | 1 |
| FIRST HORIZON NATIONAL CORP  | CMN | 320517105 | 9.83      | 919       | SH      | DEFINED | 2 |
| FIRST HORIZON NATIONAL CORP  | CMN | 320517105 | 1261.38   | 117,958   | SH      | DEFINED | 1 |
| FIRST HORIZON NATIONAL CORP  | CMN | 320517105 | 0.11      | 10        | SH      | DEFINED | 9 |
| FIRST INTERSTATE BANCYS/MT   | CMN | 32055Y201 | 203.14    | 10,774    | SH      | SOLE    | 1 |
| FIRST MAJESTIC SILVER CORP   | CMN | 32076V103 | 571.54    | 35,100    | SH      | SOLE    | 2 |
| FIRST MIDWEST BANCORP INC/IL | CMN | 320867104 | 441.29    | 33,167    | SH      | SOLE    | 1 |
| FIRST NIAGARA FINANCIAL GRP  | CMN | 33582V108 | 4.43      | 500       | SH      | DEFINED | 2 |
| FIRST NIAGARA FINANCIAL GRP  | CMN | 33582V108 | 177.98    | 20,079    | SH      | DEFINED | 1 |

FORM 13F INFORMATION TABLE

| COLUMN 1                    | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6            | COLUMN 7                 |
|-----------------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|
| NAME OF ISSUER              | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION |
| FIRST NIAGARA FINANCIAL GRP | CMN            | 33582V108 | 2.07               | 234                | SH                  | DEFINED                  |
| FIRST SOLAR INC             | CMN            | 336433107 | 1564.44            | 57,813             | SH                  | DEFINED                  |
| FIRST SOLAR INC             | CMN            | 336433107 | 719.97             | 26,606             | SH                  | DEFINED                  |
| FIRST SOLAR INC             | CMN            | 336433107 | 0.08               | 3                  | SH                  | DEFINED                  |
| FIRSTENERGY CORP            | CMN            | 337932107 | 23.17              | 553                | SH                  | DEFINED                  |
| FIRSTENERGY CORP            | CMN            | 337932107 | 2004.43            | 47,841             | SH                  | DEFINED                  |
| FISERV INC                  | CMN            | 337738108 | 219.50             | 2,511              | SH                  | DEFINED                  |
| FISERV INC                  | CMN            | 337738108 | 1619.97            | 18,532             | SH                  | DEFINED                  |
| FISERV INC                  | CMN            | 337738108 | 0.61               | 7                  | SH                  | DEFINED                  |
| FLEXTRONICS INTL LTD        | CMN            | Y2573F102 | 65.16              | 9,639              | SH                  | SOLE                     |
| FLEXTRONICS INTL LTD        | CMN            | Y2573F102 | 2038.35            | 301,531            | SH                  | SOLE                     |
| FLIR SYSTEMS INC            | CMN            | 302445101 | 194.36             | 7,487              | SH                  | DEFINED                  |
| FLIR SYSTEMS INC            | CMN            | 302445101 | 1131.48            | 43,587             | SH                  | DEFINED                  |
| FLIR SYSTEMS INC            | CMN            | 302445101 | 0.21               | 8                  | SH                  | DEFINED                  |
| FLOTEK INDUSTRIES INC       | CMN            | 343389102 | 426.13             | 26,163             | SH                  | SOLE                     |
| FLOWSERVE CORP              | CMN            | 34354P105 | 16.48              | 99                 | SH                  | DEFINED                  |
| FLOWSERVE CORP              | CMN            | 34354P105 | 629.21             | 3,780              | SH                  | DEFINED                  |
| FLOWSERVE CORP              | CMN            | 34354P105 | 1.00               | 6                  | SH                  | DEFINED                  |
| FLUOR CORP                  | CMN            | 343412102 | 1173.65            | 17,849             | SH                  | DEFINED                  |
| FLUOR CORP                  | CMN            | 343412102 | 27.62              | 420                | SH                  | DEFINED                  |
| FLUOR CORP                  | CMN            | 343412102 | 837.71             | 12,740             | SH                  | DEFINED                  |
| FLUOR CORP                  | CMN            | 343412102 | 1.25               | 19                 | SH                  | DEFINED                  |
| FMC CORP                    | CMN            | 302491303 | 168.79             | 2,949              | SH                  | DEFINED                  |
| FMC CORP                    | CMN            | 302491303 | 1700.02            | 29,701             | SH                  | DEFINED                  |
| FMC CORP                    | CMN            | 302491303 | 0.17               | 3                  | SH                  | DEFINED                  |
| FMC TECHNOLOGIES INC        | CMN            | 30249U101 | 283.92             | 5,247              | SH                  | DEFINED                  |
| FMC TECHNOLOGIES INC        | CMN            | 30249U101 | 1681.91            | 31,083             | SH                  | DEFINED                  |
| FMC TECHNOLOGIES INC        | CMN            | 30249U101 | 0.92               | 17                 | SH                  | DEFINED                  |
| FOOT LOCKER INC             | CMN            | 344849104 | 110.25             | 3,220              | SH                  | DEFINED                  |
| FOOT LOCKER INC             | CMN            | 344849104 | 685.59             | 20,024             | SH                  | DEFINED                  |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                              |     |           |           |             |         |   |
|------------------------------|-----|-----------|-----------|-------------|---------|---|
| FOOT LOCKER INC              | CMN | 344849104 | 12.09     | 353 SH      | DEFINED | 9 |
| FORD MOTOR CO                | CMN | 345370860 | 48.37     | 3,677 SH    | DEFINED | 8 |
| FORD MOTOR CO                | CMN | 345370860 | 3318.51   | 252,290 SH  | DEFINED | 2 |
| FORD MOTOR CO                | CMN | 345370860 | 263.07    | 20,000 SH   | DEFINED | 3 |
| FORD MOTOR CO                | CMN | 345370860 | 5252.00   | 399,283 SH  | DEFINED | 1 |
| FORD MOTOR CO                | CMN | 345370860 | 8.10      | 616 SH      | DEFINED | 9 |
| FOREST CITY ENTERPRISES-CL A | CMN | 345550107 | 3434.86   | 193,736 SH  | DEFINED | 8 |
| FOREST CITY ENTERPRISES-CL A | CMN | 345550107 | 103.20    | 5,821 SH    | DEFINED | 1 |
| FOREST LABORATORIES INC      | CMN | 345838106 | 14.43     | 380 SH      | DEFINED | 2 |
| FOREST LABORATORIES INC      | CMN | 345838106 | 700.13    | 18,440 SH   | DEFINED | 1 |
| FOREST LABORATORIES INC      | CMN | 345838106 | 0.76      | 20 SH       | DEFINED | 9 |
| FORMFACTOR INC               | CMN | 346375108 | 66.06     | 14,020 SH   | DEFINED | 2 |
| FORMFACTOR INC               | CMN | 346375108 | 115.92    | 24,603 SH   | DEFINED | 1 |
| FORRESTER RESEARCH INC       | CMN | 346563109 | 288.23    | 9,198 SH    | SOLE    | 1 |
| FORTINET INC                 | CMN | 34959E109 | 439.69    | 18,620 SH   | DEFINED | 2 |
| FORTINET INC                 | CMN | 34959E109 | 6.42      | 272 SH      | DEFINED | 1 |
| FORTRESS INVESTMENT GROUP    | CMN | 34958B106 | 344779.70 | 60487666 SH | SOLE    | 1 |
| FORTUNE BRANDS HOME & SECURI | CMN | 34964C106 | 265.74    | 7,131 SH    | DEFINED | 2 |
| FORTUNE BRANDS HOME & SECURI | CMN | 34964C106 | 13.01     | 349 SH      | DEFINED | 9 |
| FORWARD AIR CORPORATION      | CMN | 349853101 | 59.80     | 1,600 SH    | DEFINED | 2 |
| FORWARD AIR CORPORATION      | CMN | 349853101 | 201.48    | 5,391 SH    | DEFINED | 1 |
| FOSSIL INC                   | CMN | 349882100 | 71.58     | 745 SH      | DEFINED | 2 |
| FOSSIL INC                   | CMN | 349882100 | 6163.29   | 64,148 SH   | DEFINED | 1 |
| FOSSIL INC                   | CMN | 349882100 | 0.77      | 8 SH        | DEFINED | 9 |
| FRANCE TELECOM SA            | CMN | 35177Q105 | 1768.14   | 174,069 SH  | SOLE    | 2 |
| FRANKLIN RESOURCES INC       | CMN | 354613101 | 99.38     | 663 SH      | DEFINED | 2 |
| FRANKLIN RESOURCES INC       | CMN | 354613101 | 1615.79   | 10,780 SH   | DEFINED | 1 |
| FRANKLIN RESOURCES INC       | CMN | 354613101 | 0.90      | 6 SH        | DEFINED | 9 |
| FREEMPORT-MCMORAN COPPER     | CMN | 35671D857 | 4913.07   | 148,308 SH  | DEFINED | 2 |
| FREEMPORT-MCMORAN COPPER     | CMN | 35671D857 | 5871.48   | 177,239 SH  | DEFINED | 1 |

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6            | COLUMN 7                 |
|------------------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION |
| FREEMPORT-MCMORAN COPPER     | CMN            | 35671D857 | 0.66               | 20 SH              |                     | DEFINED                  |
| FREESCALE SEMICONDUCTOR LTD  | CMN            | G3727Q101 | 448.76             | 30,316 SH          |                     | SOLE                     |
| FRESH MARKET INC/THE         | CMN            | 35804H106 | 230.56             | 5,407 SH           |                     | DEFINED                  |
| FRESH MARKET INC/THE         | CMN            | 35804H106 | 463.42             | 10,868 SH          |                     | DEFINED                  |
| FRONTIER COMMUNICATIONS CORP | CMN            | 35906A108 | 0.89               | 226 SH             |                     | DEFINED                  |
| FRONTIER COMMUNICATIONS CORP | CMN            | 35906A108 | 15.18              | 3,834 SH           |                     | DEFINED                  |
| FRONTIER COMMUNICATIONS CORP | CMN            | 35906A108 | 638.76             | 161,361 SH         |                     | DEFINED                  |
| FRONTIER COMMUNICATIONS CORP | CMN            | 35906A108 | 0.23               | 58 SH              |                     | DEFINED                  |
| FRONTLINE LTD                | CMN            | G3682E127 | 36.62              | 15,402 SH          |                     | SOLE                     |
| FTI CONSULTING INC           | CMN            | 302941109 | 1.50               | 40 SH              |                     | DEFINED                  |
| FTI CONSULTING INC           | CMN            | 302941109 | 206.69             | 5,523 SH           |                     | DEFINED                  |
| FTI CONSULTING INC           | CMN            | 302941109 | 3.26               | 87 SH              |                     | DEFINED                  |
| FUELCELL ENERGY INC          | CMN            | 35952H106 | 14.67              | 15,300 SH          |                     | DEFINED                  |
| FUELCELL ENERGY INC          | CMN            | 35952H106 | 1.92               | 2,000 SH           |                     | DEFINED                  |
| FUSION-IO INC                | CMN            | 36112J107 | 805.78             | 49,335 SH          |                     | DEFINED                  |
| FUSION-IO INC                | CMN            | 36112J107 | 210.66             | 12,898 SH          |                     | DEFINED                  |
| FX ENERGY INC                | CMN            | 302695101 | 6.75               | 2,000 SH           |                     | DEFINED                  |
| FX ENERGY INC                | CMN            | 302695101 | 211.60             | 62,742 SH          |                     | DEFINED                  |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                             |     |           |          |               |         |   |
|-----------------------------|-----|-----------|----------|---------------|---------|---|
| FXCM INC-A                  | CMN | 302693106 | 66.17    | 4,850 SH      | DEFINED | 2 |
| FXCM INC-A                  | CMN | 302693106 | 77.29    | 5,665 SH      | DEFINED | 1 |
| GAFISA SA                   | CMN | 362607301 | 379.10   | 97,000 SH     | SOLE    | 2 |
| GAMESTOP CORP-CLASS A       | CMN | 36467W109 | 36.43    | 1,326 SH      | DEFINED | 2 |
| GAMESTOP CORP-CLASS A       | CMN | 36467W109 | 3358.37  | 122,224 SH    | DEFINED | 1 |
| GAMESTOP CORP-CLASS A       | CMN | 36467W109 | 0.52     | 19 SH         | DEFINED | 9 |
| GANNETT CO                  | CMN | 364730101 | 46.00    | 2,105 SH      | DEFINED | 2 |
| GANNETT CO                  | CMN | 364730101 | 399.07   | 18,260 SH     | DEFINED | 1 |
| GANNETT CO                  | CMN | 364730101 | 0.79     | 36 SH         | DEFINED | 9 |
| GAP INC/THE                 | CMN | 364760108 | 13.90    | 393 SH        | DEFINED | 2 |
| GAP INC/THE                 | CMN | 364760108 | 2641.25  | 74,696 SH     | DEFINED | 1 |
| GAP INC/THE                 | CMN | 364760108 | 1.66     | 47 SH         | DEFINED | 9 |
| GARDNER DENVER INC          | CMN | 365558105 | 2250.74  | 30,000 SH     | DEFINED | 2 |
| GARDNER DENVER INC          | CMN | 365558105 | 1239.48  | 16,521 SH     | DEFINED | 1 |
| GARDNER DENVER INC          | CMN | 365558105 | 7.88     | 105 SH        | DEFINED | 9 |
| GARMIN LTD                  | CMN | H2906T109 | 182.19   | 5,515 SH      | SOLE    | 2 |
| GARMIN LTD                  | CMN | H2906T109 | 391.14   | 11,840 SH     | SOLE    | 1 |
| GARMIN LTD                  | CMN | H2906T109 | 0.56     | 17 SH         | SOLE    | 9 |
| GARTNER INC                 | CMN | 366651107 | 54.10    | 1,000 SH      | DEFINED | 2 |
| GARTNER INC                 | CMN | 366651107 | 328.84   | 6,078 SH      | DEFINED | 1 |
| GARTNER INC                 | CMN | 366651107 | 4.22     | 78 SH         | DEFINED | 9 |
| GENCORP INC                 | CMN | 368682100 | 12.65    | 950 SH        | DEFINED | 2 |
| GENCORP INC                 | CMN | 368682100 | 444.61   | 33,383 SH     | DEFINED | 1 |
| GENCORP INC                 | CNV | 368682AN0 | 3347.45  | 2,141,000 PRN | SOLE    | 1 |
| GENERAL CABLE CORP          | CMN | 369300108 | 354.74   | 9,719 SH      | DEFINED | 1 |
| GENERAL CABLE CORP          | CMN | 369300108 | 3.87     | 106 SH        | DEFINED | 9 |
| GENERAL CABLE CORP          | CNV | 369300AL2 | 1244.25  | 995,000 PRN   | SOLE    | 1 |
| GENERAL COMMUNICATION INC-A | CMN | 369385109 | 202.03   | 22,262 SH     | SOLE    | 1 |
| GENERAL DYNAMICS CORP       | CMN | 369550108 | 110.21   | 1,565 SH      | DEFINED | 2 |
| GENERAL DYNAMICS CORP       | CMN | 369550108 | 3402.96  | 48,321 SH     | DEFINED | 1 |
| GENERAL DYNAMICS CORP       | CMN | 369550108 | 2.82     | 40 SH         | DEFINED | 9 |
| GENERAL ELECTRIC CO         | CMN | 369604103 | 1923.89  | 83,559 SH     | DEFINED | 8 |
| GENERAL ELECTRIC CO         | CMN | 369604103 | 2163.87  | 93,982 SH     | DEFINED | 2 |
| GENERAL ELECTRIC CO         | CMN | 369604103 | 22751.52 | 988,152 SH    | DEFINED | 1 |
| GENERAL ELECTRIC CO         | CMN | 369604103 | 28.60    | 1,242 SH      | DEFINED | 9 |
| GENERAL MILLS INC           | CMN | 370334104 | 126.37   | 2,568 SH      | DEFINED | 2 |
| GENERAL MILLS INC           | CMN | 370334104 | 17809.15 | 361,894 SH    | DEFINED | 1 |
| GENERAL MILLS INC           | CMN | 370334104 | 0.20     | 4 SH          | DEFINED | 9 |
| GENERAL MOLY INC            | CMN | 370373102 | 117.84   | 53,515 SH     | SOLE    | 1 |
| GENERAL MOTORS CO           | CMN | 37045V100 | 56.82    | 2,061 SH      | DEFINED | 8 |
| GENERAL MOTORS CO           | CMN | 37045V100 | 608.38   | 22,068 SH     | DEFINED | 2 |
| GENERAL MOTORS CO           | CMN | 37045V100 | 119.65   | 4,340 SH      | DEFINED | 3 |

FORM 13F INFORMATION TABLE

| COLUMN 1           | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           |                     | COLUMN 6                 | COLUMN 7 |
|--------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|----------|
| NAME OF ISSUER     | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION | MA       |
| GENERAL MOTORS CO  | CMN            | 37045V100 | 722.45             | 26,206 SH          |                     | DEFINED                  | 1        |
| GENERAL MOTORS CO  | CMN            | 37045V118 | 517.54             | 28,497 SH          |                     | SOLE                     | 1        |
| GENERAL MOTORS CO  | CMN            | 37045V118 | 98                 | 5256 SH            |                     | SOLE                     | 4        |
| GENERAL MOTORS CO  | CMN            | 37045V126 | 158.00             | 13,223 SH          |                     | SOLE                     | 1        |
| GENERAL MOTORS CO  | CMN            | 37045V126 | 62                 | 5256 SH            |                     | SOLE                     | 4        |
| GENOMIC HEALTH INC | CMN            | 37244C101 | 73.71              | 2,600 SH           |                     | DEFINED                  | 2        |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                             |     |           |          |               |              |   |
|-----------------------------|-----|-----------|----------|---------------|--------------|---|
| GENOMIC HEALTH INC          | CMN | 37244C101 | 275.66   | 9,724 SH      | DEFINED      | 1 |
| GENPACT LTD                 | CMN | G3922B107 | 297.42   | 16,560 SH     | SOLE         | 1 |
| GENTEX CORP                 | CMN | 371901109 | 72.25    | 3,604 SH      | DEFINED      | 2 |
| GENTEX CORP                 | CMN | 371901109 | 1124.24  | 56,079 SH     | DEFINED      | 1 |
| GENTEX CORP                 | CMN | 371901109 | 6.72     | 335 SH        | DEFINED      | 9 |
| GENUINE PARTS CO            | CMN | 372460105 | 70.90    | 912 SH        | DEFINED      | 2 |
| GENUINE PARTS CO            | CMN | 372460105 | 992.10   | 12,762 SH     | DEFINED      | 1 |
| GENUINE PARTS CO            | CMN | 372460105 | 1.87     | 24 SH         | DEFINED      | 9 |
| GENWORTH FINANCIAL INC-CL A | CMN | 37247D106 | 1739.07  | 173,529 SH    | DEFINED      | 2 |
| GENWORTH FINANCIAL INC-CL A | CMN | 37247D106 | 542.53   | 54,135 SH     | DEFINED      | 1 |
| GENWORTH FINANCIAL INC-CL A | CMN | 37247D106 | 0.21     | 21 SH         | DEFINED      | 9 |
| GERDAU SA-PREF              | CMN | 373737105 | 212.22   | 27,575 SH     | DEFINED      | 2 |
| GERDAU SA-PREF              | CMN | 373737105 | 37.71    | 4,900 SH      | DEFINED      | 1 |
| GERON CORP                  | CMN | 374163103 | 113.67   | 105,051 SH    | SOLE         | 2 |
| GEVO INC                    | CMN | 374396109 | 17.95    | 8,000 SH      | DEFINED      | 2 |
| GEVO INC                    | CMN | 374396109 | 5.16     | 2,300 SH      | DEFINED      | 1 |
| GFI GROUP INC               | CMN | 361652209 | 6.05     | 1,800 SH      | DEFINED      | 2 |
| GFI GROUP INC               | CMN | 361652209 | 115.52   | 34,397 SH     | DEFINED      | 1 |
| GIBRALTAR INDUSTRIES INC    | CMN | 374689107 | 23.29    | 1,273 SH      | DEFINED      | 2 |
| GIBRALTAR INDUSTRIES INC    | CMN | 374689107 | 168.55   | 9,214 SH      | DEFINED      | 1 |
| GILEAD SCIENCES INC         | CMN | 375558103 | 10632.85 | 218,648 SH    | DEFINED      | 8 |
| GILEAD SCIENCES INC         | CMN | 375558103 | 1502.52  | 30,897 SH     | DEFINED      | 2 |
| GILEAD SCIENCES INC         | CMN | 375558103 | 7477.79  | 153,769 SH    | DEFINED      | 1 |
| GILEAD SCIENCES INC         | CMN | 375558103 | 6.27     | 129 SH        | DEFINED      | 9 |
| GILEAD SCIENCES INC         | CMN | 375558103 | 72.95    | 1,500 SH      | PUT DEFINED  | 2 |
| GILEAD SCIENCES INC         | CMN | 375558103 | 72.95    | 1,500 SH      | CALL DEFINED | 2 |
| GILEAD SCIENCES INC         | CNV | 375558AH6 | 128.13   | 50,000 PRN    | SOLE         | 1 |
| GILEAD SCIENCES INC         | CNV | 375558AN3 | 5433.19  | 2,505,000 PRN | SOLE         | 1 |
| GLOBAL CASH ACCESS HOLDINGS | CMN | 378967103 | 422.97   | 60,048 SH     | SOLE         | 1 |
| GLOBAL X SILVER MINERS ETF  | CMN | 37950E853 | 460.79   | 25,359 SH     | SOLE         | 2 |
| GLOBAL X URANIUM ETF        | CMN | 37950E754 | 335.21   | 52,160 SH     | SOLE         | 2 |
| GLOBE SPECIALTY METALS INC  | CMN | 37954N206 | 441.54   | 31,634 SH     | SOLE         | 1 |
| GLOBUS MARITIME LIMITED     | CMN | Y27265209 | 24.04    | 10,200 SH     | SOLE         | 2 |
| GNC HOLDINGS INC-CL A       | CMN | 36191G107 | 24.96    | 635 SH        | DEFINED      | 2 |
| GNC HOLDINGS INC-CL A       | CMN | 36191G107 | 6979.61  | 177,582 SH    | DEFINED      | 1 |
| GOL LINHAS AEREAS - PREF    | CMN | 38045R107 | 367.49   | 61,600 SH     | SOLE         | 1 |
| GOLD FIELDS LTD             | CMN | 38059T106 | 49.73    | 6,373 SH      | DEFINED      | 2 |
| GOLD FIELDS LTD             | CMN | 38059T106 | 49.47    | 6,340 SH      | DEFINED      | 1 |
| GOLD RESOURCE CORP          | CMN | 38068T105 | 702.47   | 52,010 SH     | SOLE         | 2 |
| GOLDCORP INC                | CMN | 380956409 | 728.07   | 21,647 SH     | DEFINED      | 2 |
| GOLDCORP INC                | CMN | 380956409 | 31.95    | 950 SH        | DEFINED      | 1 |
| GOLDMAN SACHS GROUP INC     | CMN | 38141G104 | 1276.96  | 8,674 SH      | DEFINED      | 8 |
| GOLDMAN SACHS GROUP INC     | CMN | 38141G104 | 959.71   | 6,519 SH      | DEFINED      | 2 |
| GOLDMAN SACHS GROUP INC     | CMN | 38141G104 | 6672.18  | 45,322 SH     | DEFINED      | 1 |
| GOLDMAN SACHS GROUP INC     | CMN | 38141G104 | 2.80     | 19 SH         | DEFINED      | 9 |
| GOODRICH PETROLEUM CORP     | CMN | 382410405 | 2.34     | 150 SH        | DEFINED      | 2 |
| GOODRICH PETROLEUM CORP     | CMN | 382410405 | 413.59   | 26,478 SH     | DEFINED      | 1 |
| GOODYEAR TIRE & RUBBER CO   | CMN | 382550101 | 211.27   | 16,724 SH     | DEFINED      | 2 |
| GOODYEAR TIRE & RUBBER CO   | CMN | 382550101 | 332.02   | 26,283 SH     | DEFINED      | 1 |
| GOODYEAR TIRE & RUBBER CO   | CMN | 382550101 | 0.49     | 39 SH         | DEFINED      | 9 |
| GOOGLE INC-CL A             | CMN | 38259P508 | 0.80     | 1 SH          | DEFINED      | 2 |
| GOOGLE INC-CL A             | CMN | 38259P508 | 3947.67  | 4,960 SH      | DEFINED      | 2 |
| GOOGLE INC-CL A             | CMN | 38259P508 | 13.53    | 17 SH         | DEFINED      | 3 |
| GOOGLE INC-CL A             | CMN | 38259P508 | 22330.61 | 28,057 SH     | DEFINED      | 1 |

FORM 13F INFORMATION TABLE



Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           |            | COLUMN 6     | COLUMN 7                 |
|------------------------------|----------------|-----------|--------------------|--------------------|------------|--------------|--------------------------|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PRN | PUT/<br>CALL | INVESTMENT<br>DISCRETION |
| GOOGLE INC-CL A              | CMN            | 38259P508 | 11.94              |                    | 15 SH      |              | DEFINED                  |
| GOOGLE INC-CL A              | CMN            | 38259P508 | 15918.03           | 20,000 SH          |            | CALL         | DEFINED                  |
| GORDMANS STORES INC          | CMN            | 38269P100 | 123.88             | 10,531 SH          |            |              | SOLE                     |
| GRANITE CONSTRUCTION INC     | CMN            | 387328107 | 57.33              | 1,800 SH           |            |              | DEFINED                  |
| GRANITE CONSTRUCTION INC     | CMN            | 387328107 | 576.49             | 18,100 SH          |            |              | DEFINED                  |
| GRANITE CONSTRUCTION INC     | CMN            | 387328107 | 2.42               | 76 SH              |            |              | DEFINED                  |
| GRAPHIC PACKAGING HOLDING CO | CMN            | 388689101 | 308.33             | 41,280 SH          |            |              | DEFINED                  |
| GRAPHIC PACKAGING HOLDING CO | CMN            | 388689101 | 348.49             | 46,657 SH          |            |              | DEFINED                  |
| GREAT PLAINS ENERGY INC      | CMN            | 391164100 | 1876.55            | 81,655 SH          |            |              | DEFINED                  |
| GREAT PLAINS ENERGY INC      | CMN            | 391164100 | 0.94               | 41 SH              |            |              | DEFINED                  |
| GREEN DOT CORP-CLASS A       | CMN            | 39304D102 | 12.21              | 732 SH             |            |              | DEFINED                  |
| GREEN DOT CORP-CLASS A       | CMN            | 39304D102 | 653.95             | 39,208 SH          |            |              | DEFINED                  |
| GREEN MOUNTAIN COFFEE ROASTE | CMN            | 393122106 | 65.26              | 1,156 SH           |            |              | DEFINED                  |
| GREEN MOUNTAIN COFFEE ROASTE | CMN            | 393122106 | 3548.94            | 62,863 SH          |            |              | DEFINED                  |
| GREEN MOUNTAIN COFFEE ROASTE | CMN            | 393122106 | 8.41               | 149 SH             |            |              | DEFINED                  |
| GREEN PLAINS RENEWABLE ENERG | CMN            | 393222104 | 28.29              | 2,481 SH           |            |              | DEFINED                  |
| GREEN PLAINS RENEWABLE ENERG | CMN            | 393222104 | 91.46              | 8,021 SH           |            |              | DEFINED                  |
| GREENBRIER COMPANIES INC     | CNV            | 393657AH4 | 24.93              | 25,000 PRN         |            |              | SOLE                     |
| GREENHILL & CO INC           | CMN            | 395259104 | 369.37             | 6,920 SH           |            |              | DEFINED                  |
| GREENHILL & CO INC           | CMN            | 395259104 | 0.91               | 17 SH              |            |              | DEFINED                  |
| GRIFOLS SA - B               | CMN            | 398438408 | 255.18             | 8,813 SH           |            |              | SOLE                     |
| GROUP 1 AUTOMOTIVE INC       | CMN            | 398905109 | 5.40               | 90 SH              |            |              | DEFINED                  |
| GROUP 1 AUTOMOTIVE INC       | CMN            | 398905109 | 517.57             | 8,627 SH           |            |              | DEFINED                  |
| GROUP 1 AUTOMOTIVE INC       | CNV            | 398905AE9 | 797.18             | 692,000 PRN        |            |              | SOLE                     |
| GROUPON INC                  | CMN            | 399473107 | 305.20             | 49,765 SH          |            |              | DEFINED                  |
| GROUPON INC                  | CMN            | 399473107 | 1534.56            | 250,220 SH         |            |              | DEFINED                  |
| GSI GROUP INC                | CMN            | 36191C205 | 184.52             | 21,408 SH          |            |              | SOLE                     |
| GT ADVANCED TECHNOLOGIES INC | CMN            | 36191U106 | 192.41             | 58,450 SH          |            |              | DEFINED                  |
| GT ADVANCED TECHNOLOGIES INC | CMN            | 36191U106 | 16.46              | 5,000 SH           |            |              | DEFINED                  |
| GUESS? INC                   | CMN            | 401617105 | 263.71             | 10,600 SH          |            |              | DEFINED                  |
| GUESS? INC                   | CMN            | 401617105 | 685.03             | 27,535 SH          |            |              | DEFINED                  |
| GUESS? INC                   | CMN            | 401617105 | 3.58               | 144 SH             |            |              | DEFINED                  |
| GUIDANCE SOFTWARE INC        | CMN            | 401692108 | 140.26             | 12,972 SH          |            |              | SOLE                     |
| H&E EQUIPMENT SERVICES INC   | CMN            | 404030108 | 338.32             | 16,638 SH          |            |              | SOLE                     |
| H&R BLOCK INC                | CMN            | 093671105 | 13.47              | 459 SH             |            |              | DEFINED                  |
| H&R BLOCK INC                | CMN            | 093671105 | 662.74             | 22,580 SH          |            |              | DEFINED                  |
| H&R BLOCK INC                | CMN            | 093671105 | 1.26               | 43 SH              |            |              | DEFINED                  |
| HAEMONETICS CORP/MASS        | CMN            | 405024100 | 24.41              | 593 SH             |            |              | DEFINED                  |
| HAEMONETICS CORP/MASS        | CMN            | 405024100 | 621.70             | 15,104 SH          |            |              | DEFINED                  |
| HAIN CELESTIAL GROUP INC     | CMN            | 405217100 | 227.45             | 3,711 SH           |            |              | DEFINED                  |
| HAIN CELESTIAL GROUP INC     | CMN            | 405217100 | 147.10             | 2,400 SH           |            |              | DEFINED                  |
| HALCON RESOURCES CORP        | CMN            | 40537Q209 | 98.53              | 12,332 SH          |            |              | DEFINED                  |
| HALCON RESOURCES CORP        | CMN            | 40537Q209 | 0.80               | 100 SH             |            |              | DEFINED                  |
| HALLIBURTON CO               | CMN            | 406216101 | 1354.89            | 33,571 SH          |            |              | DEFINED                  |
| HALLIBURTON CO               | CMN            | 406216101 | 6840.50            | 169,492 SH         |            |              | DEFINED                  |
| HALLIBURTON CO               | CMN            | 406216101 | 2.70               | 67 SH              |            |              | DEFINED                  |
| HANCOCK HOLDING CO           | CMN            | 410120109 | 1259.07            | 40,685 SH          |            |              | DEFINED                  |
| HANCOCK HOLDING CO           | CMN            | 410120109 | 1.73               | 56 SH              |            |              | DEFINED                  |
| HANMI FINANCIAL CORPORATION  | CMN            | 410495204 | 15.98              | 997 SH             |            |              | DEFINED                  |
| HANMI FINANCIAL CORPORATION  | CMN            | 410495204 | 267.55             | 16,695 SH          |            |              | DEFINED                  |
| HANOVER INSURANCE GROUP INC/ | CMN            | 410867105 | 845.39             | 17,049 SH          |            |              | DEFINED                  |
| HANOVER INSURANCE GROUP INC/ | CMN            | 410867105 | 1.49               | 30 SH              |            |              | DEFINED                  |
| HANSEN MEDICAL INC           | CMN            | 411307101 | 4.03               | 2,000 SH           |            |              | DEFINED                  |
| HANSEN MEDICAL INC           | CMN            | 411307101 | 33.01              | 16,400 SH          |            |              | DEFINED                  |
| HARLEY-DAVIDSON INC          | CMN            | 412822108 | 17.25              | 324 SH             |            |              | DEFINED                  |
| HARLEY-DAVIDSON INC          | CMN            | 412822108 | 2400.62            | 45,096 SH          |            |              | DEFINED                  |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                      |     |           |         |            |         |   |
|----------------------|-----|-----------|---------|------------|---------|---|
| HARLEY-DAVIDSON INC  | CMN | 412822108 | 1.86    | 35 SH      | DEFINED | 9 |
| HARMAN INTERNATIONAL | CMN | 413086109 | 129.09  | 2,894 SH   | DEFINED | 2 |
| HARMAN INTERNATIONAL | CMN | 413086109 | 5028.90 | 112,744 SH | DEFINED | 1 |
| HARMAN INTERNATIONAL | CMN | 413086109 | 0.49    | 11 SH      | DEFINED | 9 |

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6            | COLUMN 7                 |
|------------------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION |
| HARMONIC INC                 | CMN            | 413160102 | 148.99             | 25,727 SH          |                     | SOLE                     |
| HARMONY GOLD MINING CO LTD   | CMN            | 413216300 | 1212.40            | 189,164 SH         |                     | DEFINED                  |
| HARMONY GOLD MINING CO LTD   | CMN            | 413216300 | 3.20               | 500 SH             |                     | DEFINED                  |
| HARRIS CORP                  | CMN            | 413875105 | 8.08               | 175 SH             |                     | DEFINED                  |
| HARRIS CORP                  | CMN            | 413875105 | 1504.51            | 32,605 SH          |                     | DEFINED                  |
| HARRIS CORP                  | CMN            | 413875105 | 0.28               | 6 SH               |                     | DEFINED                  |
| HARTFORD FINANCIAL SVCS GRP  | CMN            | 416515104 | 85.86              | 3,325 SH           |                     | DEFINED                  |
| HARTFORD FINANCIAL SVCS GRP  | CMN            | 416515104 | 1686.15            | 65,297 SH          |                     | DEFINED                  |
| HARTFORD FINANCIAL SVCS GRP  | CMN            | 416515104 | 0.49               | 19 SH              |                     | DEFINED                  |
| HARVEST NATURAL RESOURCES IN | CMN            | 41754V103 | 60.04              | 16,897 SH          |                     | SOLE                     |
| HASBRO INC                   | CMN            | 418056107 | 6.72               | 153 SH             |                     | DEFINED                  |
| HASBRO INC                   | CMN            | 418056107 | 709.59             | 16,163 SH          |                     | DEFINED                  |
| HASBRO INC                   | CMN            | 418056107 | 0.79               | 18 SH              |                     | DEFINED                  |
| HATTERAS FINANCIAL CORP      | CMN            | 41902R103 | 444.22             | 16,200 SH          |                     | SOLE                     |
| HAWAIIAN HOLDINGS INC        | CMN            | 419879101 | 164.41             | 28,581 SH          |                     | SOLE                     |
| HCA HOLDINGS INC             | CMN            | 40412C101 | 111.20             | 2,738 SH           |                     | DEFINED                  |
| HCA HOLDINGS INC             | CMN            | 40412C101 | 855.33             | 21,061 SH          |                     | DEFINED                  |
| HCP INC                      | CMN            | 40414L109 | 476.76             | 9,596 SH           |                     | DEFINED                  |
| HCP INC                      | CMN            | 40414L109 | 1766.75            | 35,560 SH          |                     | DEFINED                  |
| HCP INC                      | CMN            | 40414L109 | 0.94               | 19 SH              |                     | DEFINED                  |
| HDFC BANK LIMITED            | CMN            | 40415F101 | 1731.97            | 46,270 SH          |                     | SOLE                     |
| HEADWATERS INC               | CMN            | 42210P102 | 53.50              | 4,900 SH           |                     | DEFINED                  |
| HEADWATERS INC               | CMN            | 42210P102 | 384.42             | 35,206 SH          |                     | DEFINED                  |
| HEALTH CARE REIT INC         | CMN            | 42217K106 | 62.92              | 929 SH             |                     | DEFINED                  |
| HEALTH CARE REIT INC         | CMN            | 42217K106 | 1418.34            | 20,940 SH          |                     | DEFINED                  |
| HEALTH CARE REIT INC         | CMN            | 42217K106 | 0.75               | 11 SH              |                     | DEFINED                  |
| HEALTH CARE SELECT SECTOR    | CMN            | 81369Y209 | 57.53              | 1,254 SH           |                     | DEFINED                  |
| HEALTH CARE SELECT SECTOR    | CMN            | 81369Y209 | 14836.29           | 323,400 SH         |                     | DEFINED                  |
| HEALTH NET INC               | CMN            | 42222G108 | 519.95             | 18,113 SH          |                     | DEFINED                  |
| HEALTH NET INC               | CMN            | 42222G108 | 5.83               | 203 SH             |                     | DEFINED                  |
| HEALTHSOUTH CORP             | CMN            | 421924309 | 799.67             | 30,522 SH          |                     | SOLE                     |
| HEALTHWAYS INC               | CMN            | 422245100 | 259.40             | 21,099 SH          |                     | SOLE                     |
| HEARTLAND PAYMENT SYSTEMS IN | CMN            | 42235N108 | 9.89               | 300 SH             |                     | DEFINED                  |
| HEARTLAND PAYMENT SYSTEMS IN | CMN            | 42235N108 | 362.91             | 11,007 SH          |                     | DEFINED                  |
| HECLA MINING CO              | CMN            | 422704106 | 217.65             | 54,740 SH          |                     | DEFINED                  |
| HECLA MINING CO              | CMN            | 422704106 | 996.11             | 250,527 SH         |                     | DEFINED                  |
| HEIDRICK & STRUGGLES INTL    | CMN            | 422819102 | 188.69             | 12,629 SH          |                     | SOLE                     |
| HELIX ENERGY SOLUTIONS GROUP | CMN            | 42330P107 | 524.67             | 22,882 SH          |                     | DEFINED                  |
| HELIX ENERGY SOLUTIONS GROUP | CMN            | 42330P107 | 6.19               | 270 SH             |                     | DEFINED                  |
| HELMERICH & PAYNE            | CMN            | 423452101 | 700.19             | 11,515 SH          |                     | DEFINED                  |
| HELMERICH & PAYNE            | CMN            | 423452101 | 4576.72            | 75,267 SH          |                     | DEFINED                  |
| HELMERICH & PAYNE            | CMN            | 423452101 | 0.49               | 8 SH               |                     | DEFINED                  |
| HENRY SCHEIN INC             | CMN            | 806407102 | 205.48             | 2,228 SH           |                     | DEFINED                  |
| HENRY SCHEIN INC             | CMN            | 806407102 | 541.83             | 5,875 SH           |                     | DEFINED                  |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                           |     |           |          |         |    |         |   |
|---------------------------|-----|-----------|----------|---------|----|---------|---|
| HENRY SCHEIN INC          | CMN | 806407102 | 20.66    | 224     | SH | DEFINED | 9 |
| HERBALIFE LTD             | CMN | G4412G101 | 2744.47  | 73,225  | SH | SOLE    | 2 |
| HERBALIFE LTD             | CMN | G4412G101 | 223.91   | 5,974   | SH | SOLE    | 1 |
| HERSHEY CO/THE            | CMN | 427866108 | 88.14    | 1,008   | SH | DEFINED | 2 |
| HERSHEY CO/THE            | CMN | 427866108 | 1089.51  | 12,460  | SH | DEFINED | 1 |
| HERSHEY CO/THE            | CMN | 427866108 | 0.09     | 1       | SH | DEFINED | 9 |
| HERTZ GLOBAL HOLDINGS INC | CMN | 42805T105 | 1500.14  | 67,485  | SH | DEFINED | 2 |
| HERTZ GLOBAL HOLDINGS INC | CMN | 42805T105 | 3484.62  | 156,758 | SH | DEFINED | 1 |
| HESS CORP                 | CMN | 42809H107 | 178.25   | 2,491   | SH | DEFINED | 2 |
| HESS CORP                 | CMN | 42809H107 | 9403.22  | 131,410 | SH | DEFINED | 1 |
| HESS CORP                 | CMN | 42809H107 | 1.50     | 21      | SH | DEFINED | 9 |
| HEWLETT-PACKARD CO        | CMN | 428236103 | 2625.40  | 110,276 | SH | DEFINED | 2 |
| HEWLETT-PACKARD CO        | CMN | 428236103 | 13048.91 | 548,101 | SH | DEFINED | 1 |
| HEWLETT-PACKARD CO        | CMN | 428236103 | 2.57     | 108     | SH | DEFINED | 9 |
| HEXCEL CORP               | CMN | 428291108 | 20.58    | 710     | SH | DEFINED | 2 |
| HEXCEL CORP               | CMN | 428291108 | 659.17   | 22,738  | SH | DEFINED | 1 |

FORM 13F INFORMATION TABLE

| COLUMN 1                    | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6   | COLUMN 7     |                          |    |
|-----------------------------|----------------|-----------|--------------------|--------------------|------------|--------------|--------------------------|----|
| NAME OF ISSUER              | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PRN | PUT/<br>CALL | INVESTMENT<br>DISCRETION | MA |
| HGREGG INC                  | CMN            | 42833L108 | 14.08              | 1,284              | SH         |              | DEFINED                  | 2  |
| HGREGG INC                  | CMN            | 42833L108 | 329.54             | 30,059             | SH         |              | DEFINED                  | 1  |
| HIBBETT SPORTS INC          | CMN            | 428567101 | 107.32             | 1,906              | SH         |              | DEFINED                  | 2  |
| HIBBETT SPORTS INC          | CMN            | 428567101 | 669.96             | 11,898             | SH         |              | DEFINED                  | 1  |
| HIG 7 1/4 04/01/13          | CMN            | 416515708 | 6144.57            | 260,805            | SH         |              | SOLE                     | 1  |
| HIGHER ONE HOLDINGS INC     | CMN            | 42983D104 | 46.09              | 5,100              | SH         |              | DEFINED                  | 2  |
| HIGHER ONE HOLDINGS INC     | CMN            | 42983D104 | 156.27             | 17,291             | SH         |              | DEFINED                  | 1  |
| HILL-ROM HOLDINGS INC       | CMN            | 431475102 | 11.56              | 330                | SH         |              | DEFINED                  | 2  |
| HILL-ROM HOLDINGS INC       | CMN            | 431475102 | 183.69             | 5,242              | SH         |              | DEFINED                  | 1  |
| HILL-ROM HOLDINGS INC       | CMN            | 431475102 | 5.40               | 154                | SH         |              | DEFINED                  | 9  |
| HILLSHIRE BRANDS CO         | CMN            | 432589109 | 4162.72            | 118,981            | SH         |              | DEFINED                  | 1  |
| HILLSHIRE BRANDS CO         | CMN            | 432589109 | 5.21               | 149                | SH         |              | DEFINED                  | 9  |
| HITTITE MICROWAVE CORP      | CMN            | 43365Y104 | 1.58               | 26                 | SH         |              | DEFINED                  | 2  |
| HITTITE MICROWAVE CORP      | CMN            | 43365Y104 | 345.82             | 5,708              | SH         |              | DEFINED                  | 1  |
| HJ HEINZ CO                 | CMN            | 423074103 | 30.62              | 424                | SH         |              | DEFINED                  | 2  |
| HJ HEINZ CO                 | CMN            | 423074103 | 3565.54            | 49,371             | SH         |              | DEFINED                  | 1  |
| HJ HEINZ CO                 | CMN            | 423074103 | 0.14               | 2                  | SH         |              | DEFINED                  | 9  |
| HOLLYFRONTIER CORP          | CMN            | 436106108 | 304.33             | 5,913              | SH         |              | DEFINED                  | 2  |
| HOLLYFRONTIER CORP          | CMN            | 436106108 | 1561.02            | 30,330             | SH         |              | DEFINED                  | 1  |
| HOLLYFRONTIER CORP          | CMN            | 436106108 | 28.72              | 558                | SH         |              | DEFINED                  | 9  |
| HOLOGIC INC                 | CMN            | 436440101 | 0.45               | 20                 | SH         |              | DEFINED                  | 2  |
| HOLOGIC INC                 | CMN            | 436440101 | 1151.80            | 51,257             | SH         |              | DEFINED                  | 1  |
| HOLOGIC INC                 | CMN            | 436440101 | 15.39              | 685                | SH         |              | DEFINED                  | 9  |
| HOLOGIC INC                 | CMN            | 436440AC5 | 650.50             | 621,000            | PRN        |              | SOLE                     | 1  |
| HOME DEPOT INC              | CMN            | 437076102 | 631.37             | 9,053              | SH         |              | DEFINED                  | 2  |
| HOME DEPOT INC              | CMN            | 437076102 | 8594.65            | 123,236            | SH         |              | DEFINED                  | 1  |
| HOME DEPOT INC              | CMN            | 437076102 | 16.39              | 235                | SH         |              | DEFINED                  | 9  |
| HONEYWELL INTERNATIONAL INC | CMN            | 438516106 | 111.85             | 1,485              | SH         |              | DEFINED                  | 2  |
| HONEYWELL INTERNATIONAL INC | CMN            | 438516106 | 266.55             | 3,539              | SH         |              | DEFINED                  | 3  |
| HONEYWELL INTERNATIONAL INC | CMN            | 438516106 | 4751.44            | 63,084             | SH         |              | DEFINED                  | 1  |
| HONEYWELL INTERNATIONAL INC | CMN            | 438516106 | 7.08               | 94                 | SH         |              | DEFINED                  | 9  |
| HORACE MANN EDUCATORS       | CMN            | 440327104 | 285.69             | 13,828             | SH         |              | SOLE                     | 1  |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                              |     |           |         |               |         |   |
|------------------------------|-----|-----------|---------|---------------|---------|---|
| HORMEL FOODS CORP            | CMN | 440452100 | 23.05   | 563 SH        | DEFINED | 2 |
| HORMEL FOODS CORP            | CMN | 440452100 | 470.86  | 11,500 SH     | DEFINED | 1 |
| HORMEL FOODS CORP            | CMN | 440452100 | 0.04    | 1 SH          | DEFINED | 9 |
| HORNBECK OFFSHORE SERVICES   | CMN | 440543106 | 4805.23 | 103,364 SH    | SOLE    | 8 |
| HORNBECK OFFSHORE SERVICES   | CNV | 440543AE6 | 1540.12 | 1,432,000 PRN | SOLE    | 1 |
| HOSPIRA INC                  | CMN | 441060100 | 7.30    | 224 SH        | DEFINED | 2 |
| HOSPIRA INC                  | CMN | 441060100 | 1158.05 | 35,536 SH     | DEFINED | 1 |
| HOSPIRA INC                  | CMN | 441060100 | 0.46    | 14 SH         | DEFINED | 9 |
| HOVNIANIAN ENTERPRISES-A     | CMN | 442487203 | 150.08  | 25,760 SH     | DEFINED | 2 |
| HOVNIANIAN ENTERPRISES-A     | CMN | 442487203 | 81.34   | 13,961 SH     | DEFINED | 1 |
| HSBC HOLDINGS PLC            | CMN | 404280406 | 1116.29 | 22,288 SH     | DEFINED | 2 |
| HSBC HOLDINGS PLC            | CMN | 404280406 | 20.03   | 400 SH        | DEFINED | 1 |
| HSN INC                      | CMN | 404303109 | 32.51   | 592 SH        | DEFINED | 2 |
| HSN INC                      | CMN | 404303109 | 326.67  | 5,948 SH      | DEFINED | 1 |
| HSN INC                      | CMN | 404303109 | 4.72    | 86 SH         | DEFINED | 9 |
| HUBBELL INC -CL B            | CMN | 443510201 | 36.12   | 371 SH        | DEFINED | 2 |
| HUBBELL INC -CL B            | CMN | 443510201 | 6979.77 | 71,700 SH     | DEFINED | 1 |
| HUBBELL INC -CL B            | CMN | 443510201 | 11.10   | 114 SH        | DEFINED | 9 |
| HUDSON CITY BANCORP INC      | CMN | 443683107 | 17.01   | 1,974 SH      | DEFINED | 2 |
| HUDSON CITY BANCORP INC      | CMN | 443683107 | 339.78  | 39,440 SH     | DEFINED | 1 |
| HUDSON CITY BANCORP INC      | CMN | 443683107 | 0.17    | 20 SH         | DEFINED | 9 |
| HUDSON PACIFIC PROPERTIES IN | CMN | 444097109 | 50.51   | 2,323 SH      | DEFINED | 2 |
| HUDSON PACIFIC PROPERTIES IN | CMN | 444097109 | 2259.25 | 103,901 SH    | DEFINED | 1 |
| HUMANA INC                   | CMN | 444859102 | 607.56  | 8,799 SH      | DEFINED | 2 |
| HUMANA INC                   | CMN | 444859102 | 3005.98 | 43,534 SH     | DEFINED | 1 |
| HUMANA INC                   | CMN | 444859102 | 0.90    | 13 SH         | DEFINED | 9 |
| HUNTINGTON BANCSHARES INC    | CMN | 446150104 | 172.74  | 23,382 SH     | DEFINED | 2 |
| HUNTINGTON BANCSHARES INC    | CMN | 446150104 | 495.15  | 67,022 SH     | DEFINED | 1 |

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6   | COLUMN 7     |                          |    |
|------------------------------|----------------|-----------|--------------------|--------------------|------------|--------------|--------------------------|----|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PRN | PUT/<br>CALL | INVESTMENT<br>DISCRETION | MA |
| HUNTINGTON BANCSHARES INC    | CMN            | 446150104 | 0.27               | 36 SH              |            |              | DEFINED                  | 9  |
| HUNTINGTON INGALLS INDUSTRIE | CMN            | 446413106 | 598.68             | 11,253 SH          |            |              | DEFINED                  | 1  |
| HUNTINGTON INGALLS INDUSTRIE | CMN            | 446413106 | 5.64               | 106 SH             |            |              | DEFINED                  | 9  |
| HYATT HOTELS CORP - CL A     | CMN            | 448579102 | 30.27              | 700 SH             |            |              | DEFINED                  | 2  |
| HYATT HOTELS CORP - CL A     | CMN            | 448579102 | 774.21             | 17,904 SH          |            |              | DEFINED                  | 1  |
| HYPERDYNAMICS CORP           | CMN            | 448954107 | 31.09              | 52,300 SH          |            |              | SOLE                     | 2  |
| HYPERION THERAPEUTICS INC    | CMN            | 44915N101 | 246.69             | 9,534 SH           |            |              | SOLE                     | 2  |
| IAC/INTERACTIVECORP          | CMN            | 44919P508 | 34477.70           | 774,492 SH         |            |              | DEFINED                  | 8  |
| IAC/INTERACTIVECORP          | CMN            | 44919P508 | 32.94              | 740 SH             |            |              | DEFINED                  | 2  |
| IAC/INTERACTIVECORP          | CMN            | 44919P508 | 24261.51           | 545,000 SH         |            | CALL         | DEFINED                  | 1  |
| IAMGOLD CORP                 | CMN            | 450913108 | 5550.93            | 767,590 SH         |            |              | SOLE                     | 2  |
| IBERIABANK CORP              | CMN            | 450828108 | 265.16             | 5,300 SH           |            |              | SOLE                     | 1  |
| ICF INTERNATIONAL INC        | CMN            | 44925C103 | 384.98             | 14,171 SH          |            |              | SOLE                     | 1  |
| ICONIX BRAND GROUP INC       | CMN            | 451055107 | 4172.41            | 160,925 SH         |            |              | DEFINED                  | 8  |
| ICONIX BRAND GROUP INC       | CMN            | 451055107 | 1081.16            | 41,699 SH          |            |              | DEFINED                  | 1  |
| IDENIX PHARMACEUTICALS INC   | CMN            | 45166R204 | 102.49             | 28,720 SH          |            |              | SOLE                     | 1  |
| IDT CORP-CLASS B             | CMN            | 448947507 | 9.98               | 843 SH             |            |              | DEFINED                  | 2  |
| IDT CORP-CLASS B             | CMN            | 448947507 | 131.67             | 11,117 SH          |            |              | DEFINED                  | 1  |
| IHS INC-CLASS A              | CMN            | 451734107 | 306.07             | 2,933 SH           |            |              | DEFINED                  | 2  |
| IHS INC-CLASS A              | CMN            | 451734107 | 1945.28            | 18,641 SH          |            |              | DEFINED                  | 1  |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                              |     |            |           |           |         |         |   |
|------------------------------|-----|------------|-----------|-----------|---------|---------|---|
| ILLINOIS TOOL WORKS          | CMN | 452308109  | 186.77    | 3,067     | SH      | DEFINED | 2 |
| ILLINOIS TOOL WORKS          | CMN | 452308109  | 3668.02   | 60,234    | SH      | DEFINED | 1 |
| ILLINOIS TOOL WORKS          | CMN | 452308109  | 3.04      | 50        | SH      | DEFINED | 9 |
| ILLUMINA INC                 | CMN | 452327109  | 1488.07   | 27,564    | SH      | DEFINED | 8 |
| ILLUMINA INC                 | CMN | 452327109  | 7074.20   | 131,038   | SH      | DEFINED | 2 |
| ILLUMINA INC                 | CMN | 452327109  | 139.55    | 2,585     | SH      | DEFINED | 1 |
| ILLUMINA INC                 | CMN | 452327109  | 12956.60  | 240,000   | SH CALL | DEFINED | 2 |
| IMATION CORP                 | CMN | 45245A107  | 92.12     | 24,123    | SH      | SOLE    | 1 |
| IMAX CORP                    | CMN | 45245E109  | 250.20    | 9,351     | SH      | SOLE    | 2 |
| IMMUNOGEN INC                | CMN | 45253H101  | 286.39    | 17,824    | SH      | DEFINED | 2 |
| IMMUNOGEN INC                | CMN | 45253H101  | 32.04     | 1,994     | SH      | DEFINED | 1 |
| INFINERA CORP                | CMN | 456667G103 | 60.35     | 8,601     | SH      | DEFINED | 2 |
| INFINERA CORP                | CMN | 456667G103 | 64.86     | 9,243     | SH      | DEFINED | 1 |
| INFINITY PROPERTY & CASUALTY | CMN | 45665Q103  | 261.79    | 4,630     | SH      | SOLE    | 1 |
| INFORMATICA CORP             | CMN | 45666Q102  | 71.07     | 2,050     | SH      | DEFINED | 2 |
| INFORMATICA CORP             | CMN | 45666Q102  | 325.85    | 9,399     | SH      | DEFINED | 1 |
| INFORMATICA CORP             | CMN | 45666Q102  | 3.12      | 90        | SH      | DEFINED | 9 |
| INFOSYS LTD                  | CMN | 456788108  | 359.57    | 6,721     | SH      | DEFINED | 2 |
| INFOSYS LTD                  | CMN | 456788108  | 21.40     | 400       | SH      | DEFINED | 1 |
| INGERSOLL-RAND PLC           | CMN | G47791101  | 212.34    | 3,871     | SH      | SOLE    | 2 |
| INGERSOLL-RAND PLC           | CMN | G47791101  | 357776.66 | 6,522,395 | SH      | SOLE    | 7 |
| INGERSOLL-RAND PLC           | CMN | G47791101  | 9470.91   | 172,658   | SH      | SOLE    | 1 |
| INGERSOLL-RAND PLC           | CMN | G47791101  | 1.81      | 33        | SH      | SOLE    | 9 |
| INGREDION INC                | CMN | 457187102  | 2.52      | 35        | SH      | DEFINED | 2 |
| INGREDION INC                | CMN | 457187102  | 197.78    | 2,744     | SH      | DEFINED | 1 |
| INGREDION INC                | CMN | 457187102  | 6.70      | 93        | SH      | DEFINED | 9 |
| INSULET CORP                 | CNV | 45784PAC5  | 3145.80   | 2,675,000 | PRN     | SOLE    | 1 |
| INTEGRA LIFESCIENCES HOLDING | CNV | 457985AK5  | 1374.29   | 1,348,000 | PRN     | SOLE    | 1 |
| INTEGRYS ENERGY GROUP INC    | CMN | 45822P105  | 5.96      | 103       | SH      | DEFINED | 2 |
| INTEGRYS ENERGY GROUP INC    | CMN | 45822P105  | 1226.99   | 21,196    | SH      | DEFINED | 1 |
| INTEL CORP                   | CMN | 458140100  | 206.24    | 9,469     | SH      | DEFINED | 8 |
| INTEL CORP                   | CMN | 458140100  | 9335.73   | 428,631   | SH      | DEFINED | 2 |
| INTEL CORP                   | CMN | 458140100  | 11417.34  | 524,204   | SH      | DEFINED | 1 |
| INTEL CORP                   | CMN | 458140100  | 5.99      | 275       | SH      | DEFINED | 9 |
| INTERCEPT PHARMACEUTICALS IN | CMN | 45845P108  | 397.33    | 10,590    | SH      | DEFINED | 2 |
| INTERCEPT PHARMACEUTICALS IN | CMN | 45845P108  | 7.50      | 200       | SH      | DEFINED | 1 |
| INTERCONTINENTAL HOTELS GROU | CMN | 45857P400  | 2131.37   | 70,199    | SH      | SOLE    | 2 |
| INTERCONTINENTALEXCHANGE INC | CMN | 45865V100  | 43.81     | 270       | SH      | DEFINED | 2 |
| INTERCONTINENTALEXCHANGE INC | CMN | 45865V100  | 931.45    | 5,740     | SH      | DEFINED | 1 |
| INTERCONTINENTALEXCHANGE INC | CMN | 45865V100  | 0.49      | 3         | SH      | DEFINED | 9 |

FORM 13F INFORMATION TABLE

| COLUMN 1                  | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           |                         | COLUMN 6                 | COLUMN 7 |
|---------------------------|----------------|-----------|--------------------|--------------------|-------------------------|--------------------------|----------|
| NAME OF ISSUER            | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>PRN CALL | INVESTMENT<br>DISCRETION | MA       |
| INTERDIGITAL INC          | CNV            | 458660AB3 | 1500.89            | 1,340,000          | PRN                     | SOLE                     | 1        |
| INTERMUNE INC             | CMN            | 45884X103 | 147.73             | 16,251             | SH                      | SOLE                     | 2        |
| INTERNAP NETWORK SERVICES | CMN            | 45885A300 | 509.13             | 54,482             | SH                      | SOLE                     | 1        |
| INTERNATIONAL PAPER CO    | CMN            | 460146103 | 88.86              | 1,917              | SH                      | DEFINED                  | 2        |
| INTERNATIONAL PAPER CO    | CMN            | 460146103 | 9150.52            | 197,413            | SH                      | DEFINED                  | 1        |
| INTERNATIONAL PAPER CO    | CMN            | 460146103 | 0.42               | 9                  | SH                      | DEFINED                  | 9        |
| INTEROIL CORP             | CMN            | 460951106 | 1238.77            | 16,300             | SH                      | DEFINED                  | 2        |
| INTEROIL CORP             | CMN            | 460951106 | 193.19             | 2,542              | SH                      | DEFINED                  | 1        |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                              |     |           |          |         |    |         |   |
|------------------------------|-----|-----------|----------|---------|----|---------|---|
| INTERPUBLIC GROUP OF COS INC | CMN | 460690100 | 25.10    | 1,927   | SH | DEFINED | 2 |
| INTERPUBLIC GROUP OF COS INC | CMN | 460690100 | 2365.73  | 181,644 | SH | DEFINED | 1 |
| INTERPUBLIC GROUP OF COS INC | CMN | 460690100 | 0.85     | 65      | SH | DEFINED | 9 |
| INTERSECTIONS INC            | CMN | 460981301 | 162.04   | 17,005  | SH | SOLE    | 1 |
| INTERSIL CORP-A              | CMN | 46069S109 | 5.94     | 682     | SH | DEFINED | 2 |
| INTERSIL CORP-A              | CMN | 46069S109 | 112.75   | 12,941  | SH | DEFINED | 1 |
| INTERSIL CORP-A              | CMN | 46069S109 | 0.92     | 106     | SH | DEFINED | 9 |
| INTL BUSINESS MACHINES CORP  | CMN | 459200101 | 4123.42  | 19,346  | SH | DEFINED | 2 |
| INTL BUSINESS MACHINES CORP  | CMN | 459200101 | 18484.63 | 86,725  | SH | DEFINED | 1 |
| INTL BUSINESS MACHINES CORP  | CMN | 459200101 | 12.36    | 58      | SH | DEFINED | 9 |
| INTL FLAVORS & FRAGRANCES    | CMN | 459506101 | 15.87    | 208     | SH | DEFINED | 2 |
| INTL FLAVORS & FRAGRANCES    | CMN | 459506101 | 949.85   | 12,446  | SH | DEFINED | 1 |
| INTL FLAVORS & FRAGRANCES    | CMN | 459506101 | 0.15     | 2       | SH | DEFINED | 9 |
| INTL GAME TECHNOLOGY         | CMN | 459902102 | 8521.76  | 515,433 | SH | DEFINED | 8 |
| INTL GAME TECHNOLOGY         | CMN | 459902102 | 5.82     | 352     | SH | DEFINED | 2 |
| INTL GAME TECHNOLOGY         | CMN | 459902102 | 981.41   | 59,360  | SH | DEFINED | 1 |
| INTL GAME TECHNOLOGY         | CMN | 459902102 | 0.69     | 42      | SH | DEFINED | 9 |
| INTRALINKS HOLDINGS INC      | CMN | 46118H104 | 73.33    | 11,500  | SH | DEFINED | 2 |
| INTRALINKS HOLDINGS INC      | CMN | 46118H104 | 162.84   | 25,537  | SH | DEFINED | 1 |
| INTREPID POTASH INC          | CMN | 46121Y102 | 52.66    | 2,800   | SH | DEFINED | 2 |
| INTREPID POTASH INC          | CMN | 46121Y102 | 462.92   | 24,616  | SH | DEFINED | 1 |
| INTREPID POTASH INC          | CMN | 46121Y102 | 1.18     | 63      | SH | DEFINED | 9 |
| INTUIT INC                   | CMN | 461202103 | 197.27   | 3,008   | SH | DEFINED | 2 |
| INTUIT INC                   | CMN | 461202103 | 2108.73  | 32,154  | SH | DEFINED | 1 |
| INTUIT INC                   | CMN | 461202103 | 0.98     | 15      | SH | DEFINED | 9 |
| INTUITIVE SURGICAL INC       | CMN | 46120E602 | 576.28   | 1,173   | SH | DEFINED | 2 |
| INTUITIVE SURGICAL INC       | CMN | 46120E602 | 3293.60  | 6,704   | SH | DEFINED | 1 |
| INTUITIVE SURGICAL INC       | CMN | 46120E602 | 1.47     | 3       | SH | DEFINED | 9 |
| INVACARE CORP                | CMN | 461203101 | 294.27   | 22,607  | SH | SOLE    | 1 |
| INVESCO LTD                  | CMN | G491BT108 | 11.13    | 385     | SH | SOLE    | 8 |
| INVESCO LTD                  | CMN | G491BT108 | 47.85    | 1,655   | SH | SOLE    | 2 |
| INVESCO LTD                  | CMN | G491BT108 | 1005.63  | 34,780  | SH | SOLE    | 1 |
| INVESCO LTD                  | CMN | G491BT108 | 0.55     | 19      | SH | SOLE    | 9 |
| ION GEOPHYSICAL CORP         | CMN | 462044108 | 32.43    | 4,750   | SH | DEFINED | 2 |
| ION GEOPHYSICAL CORP         | CMN | 462044108 | 1102.73  | 161,518 | SH | DEFINED | 1 |
| IPATH S&P 500 VIX M/T FU ETN | CMN | 06740C519 | 256.92   | 11,916  | SH | SOLE    | 1 |
| IPATH S&P 500 VIX S/T FU ETN | CMN | 06740C188 | 6199.98  | 305,488 | SH | DEFINED | 2 |
| IPATH S&P 500 VIX S/T FU ETN | CMN | 06740C188 | 426.20   | 21,000  | SH | DEFINED | 1 |
| IPG PHOTONICS CORP           | CMN | 44980X109 | 6.60     | 100     | SH | DEFINED | 2 |
| IPG PHOTONICS CORP           | CMN | 44980X109 | 2523.86  | 38,268  | SH | DEFINED | 1 |
| IRIDIUM COMMUNICATIONS INC   | CMN | 46269C102 | 18.17    | 3,000   | SH | DEFINED | 2 |
| IRIDIUM COMMUNICATIONS INC   | CMN | 46269C102 | 99.49    | 16,423  | SH | DEFINED | 1 |
| IRON MOUNTAIN INC            | CMN | 462846106 | 41.91    | 1,157   | SH | DEFINED | 2 |
| IRON MOUNTAIN INC            | CMN | 462846106 | 1473.00  | 40,661  | SH | DEFINED | 1 |
| IRON MOUNTAIN INC            | CMN | 462846106 | 0.72     | 20      | SH | DEFINED | 9 |
| ISHA MSCI EMERG MKT MIN VOL  | CMN | 464286533 | 911.24   | 15,000  | SH | SOLE    | 2 |
| ISHARES BARCLAYS 1-3 YEAR TR | CMN | 464287457 | 21.13    | 250     | SH | DEFINED | 2 |
| ISHARES BARCLAYS 1-3 YEAR TR | CMN | 464287457 | 845.05   | 10,000  | SH | DEFINED | 1 |
| ISHARES BARCLAYS 20+ YEAR TR | CMN | 464287432 | 521.22   | 4,426   | SH | SOLE    | 2 |
| ISHARES BARCLAYS TIPS BOND   | CMN | 464287176 | 33.82    | 279     | SH | DEFINED | 2 |
| ISHARES BARCLAYS TIPS BOND   | CMN | 464287176 | 181.85   | 1,500   | SH | DEFINED | 1 |
| ISHARES CORE S&P 500 ETF     | CMN | 464287200 | 67.61    | 430     | SH | DEFINED | 2 |

FORM 13F INFORMATION TABLE

COLUMN 1                                      COLUMN 2                                      COLUMN 3                                      COLUMN 4                                      COLUMN 5                                      COLUMN 6                                      CO

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PRN | PUT/<br>CALL | INVESTMENT<br>DISCRETION | O<br>MA |
|------------------------------|----------------|-----------|--------------------|--------------------|------------|--------------|--------------------------|---------|
| ISHARES CORE S&P 500 ETF     | CMN            | 464287200 | 157291.89          | 1,000,330          | SH         |              | DEFINED                  | 1       |
| ISHARES CORE TOTAL US BOND M | CMN            | 464287226 | 5.54               | 50                 | SH         |              | DEFINED                  | 2       |
| ISHARES CORE TOTAL US BOND M | CMN            | 464287226 | 99483.42           | 898,473            | SH         |              | DEFINED                  | 9       |
| ISHARES DJ US REAL ESTATE    | CMN            | 464287739 | 9782.29            | 140,863            | SH         |              | DEFINED                  | 2       |
| ISHARES DJ US REAL ESTATE    | CMN            | 464287739 | 2430.59            | 35,000             | SH         |              | DEFINED                  | 1       |
| ISHARES FTSE CHINA 25 INDEX  | CMN            | 464287184 | 10795.06           | 293,137            | SH         |              | DEFINED                  | 2       |
| ISHARES FTSE CHINA 25 INDEX  | CMN            | 464287184 | 659.19             | 17,900             | SH         |              | DEFINED                  | 1       |
| ISHARES IBOXX H/Y CORP BOND  | CMN            | 464288513 | 5934.37            | 62,920             | SH         |              | DEFINED                  | 2       |
| ISHARES IBOXX H/Y CORP BOND  | CMN            | 464288513 | 94.32              | 1,000              | SH         |              | DEFINED                  | 1       |
| ISHARES IBOXX INV GR CORP BD | CMN            | 464287242 | 3662.10            | 30,563             | SH         |              | SOLE                     | 2       |
| ISHARES MSCI ASIA EX-JAPAN   | CMN            | 464288182 | 23300.04           | 394,560            | SH         |              | SOLE                     | 2       |
| ISHARES MSCI AUSTRALIA INDEX | CMN            | 464286103 | 247.44             | 9,161              | SH         |              | DEFINED                  | 2       |
| ISHARES MSCI AUSTRALIA INDEX | CMN            | 464286103 | 13.51              | 500                | SH         |              | DEFINED                  | 1       |
| ISHARES MSCI BRAZIL CAPPED I | CMN            | 464286400 | 10586.68           | 194,594            | SH         |              | DEFINED                  | 2       |
| ISHARES MSCI BRAZIL CAPPED I | CMN            | 464286400 | 440.67             | 8,100              | SH         |              | DEFINED                  | 1       |
| ISHARES MSCI BRIC INDEX FUND | CMN            | 464286657 | 1782.86            | 45,422             | SH         |              | SOLE                     | 2       |
| ISHARES MSCI CANADA          | CMN            | 464286509 | 331.17             | 11,630             | SH         |              | SOLE                     | 2       |
| ISHARES MSCI EAFE INDEX FUND | CMN            | 464287465 | 1525.08            | 25,867             | SH         |              | DEFINED                  | 8       |
| ISHARES MSCI EAFE INDEX FUND | CMN            | 464287465 | 15305.96           | 259,605            | SH         |              | DEFINED                  | 2       |
| ISHARES MSCI EAFE INDEX FUND | CMN            | 464287465 | 2185.30            | 37,065             | SH         |              | DEFINED                  | 1       |
| ISHARES MSCI EMERGING MKT IN | CMN            | 464287234 | 983.86             | 22,962             | SH         |              | DEFINED                  | 8       |
| ISHARES MSCI EMERGING MKT IN | CMN            | 464287234 | 13951.05           | 325,599            | SH         |              | DEFINED                  | 2       |
| ISHARES MSCI EMERGING MKT IN | CMN            | 464287234 | 6839.72            | 159,630            | SH         |              | DEFINED                  | 1       |
| ISHARES MSCI EMERGING MKT IN | CMN            | 464287234 | 12854.20           | 300,000            | SH         | PUT          | DEFINED                  | 2       |
| ISHARES MSCI GERMANY INDEX   | CMN            | 464286806 | 300.59             | 12,246             | SH         |              | SOLE                     | 2       |
| ISHARES MSCI HONG KONG INDEX | CMN            | 464286871 | 482.39             | 24,354             | SH         |              | SOLE                     | 1       |
| ISHARES MSCI JAPAN INDEX FD  | CMN            | 464286848 | 670.48             | 62,326             | SH         |              | DEFINED                  | 2       |
| ISHARES MSCI JAPAN INDEX FD  | CMN            | 464286848 | 1384.51            | 128,700            | SH         |              | DEFINED                  | 1       |
| ISHARES MSCI KOKUSAI         | CMN            | 464288265 | 1465.31            | 32,250             | SH         |              | SOLE                     | 2       |
| ISHARES MSCI MALAYSIA        | CMN            | 464286830 | 127.77             | 8,560              | SH         |              | DEFINED                  | 2       |
| ISHARES MSCI MALAYSIA        | CMN            | 464286830 | 172.10             | 11,530             | SH         |              | DEFINED                  | 3       |
| ISHARES MSCI MALAYSIA        | CMN            | 464286830 | 52.24              | 3,500              | SH         |              | DEFINED                  | 1       |
| ISHARES MSCI MEXICO CAPPED I | CMN            | 464286822 | 245.58             | 3,315              | SH         |              | DEFINED                  | 2       |
| ISHARES MSCI MEXICO CAPPED I | CMN            | 464286822 | 162.98             | 2,200              | SH         |              | DEFINED                  | 3       |
| ISHARES MSCI SINGAPORE       | CMN            | 464286673 | 948.55             | 68,297             | SH         |              | DEFINED                  | 2       |
| ISHARES MSCI SINGAPORE       | CMN            | 464286673 | 116.11             | 8,360              | SH         |              | DEFINED                  | 3       |
| ISHARES MSCI SINGAPORE       | CMN            | 464286673 | 48.61              | 3,500              | SH         |              | DEFINED                  | 1       |
| ISHARES MSCI SOUTH AFRICA    | CMN            | 464286780 | 519.90             | 8,150              | SH         |              | SOLE                     | 2       |
| ISHARES MSCI SOUTH KOREA CAP | CMN            | 464286772 | 1082.49            | 18,216             | SH         |              | SOLE                     | 2       |
| ISHARES MSCI SPAIN CAPPED IN | CMN            | 464286764 | 1366.83            | 47,478             | SH         |              | DEFINED                  | 8       |
| ISHARES MSCI SPAIN CAPPED IN | CMN            | 464286764 | 60.02              | 2,085              | SH         |              | DEFINED                  | 2       |
| ISHARES MSCI SWEDEN INDEX FD | CMN            | 464286756 | 240.54             | 7,413              | SH         |              | SOLE                     | 2       |
| ISHARES MSCI TAIWAN INDEX FD | CMN            | 464286731 | 229.47             | 17,200             | SH         |              | DEFINED                  | 2       |
| ISHARES MSCI TAIWAN INDEX FD | CMN            | 464286731 | 921.88             | 69,100             | SH         |              | DEFINED                  | 1       |
| ISHARES MSCI THAILAND CAPPED | CMN            | 464286624 | 231.84             | 2,539              | SH         |              | SOLE                     | 2       |
| ISHARES MSCI TURKEY INVSTBLE | CMN            | 464286715 | 261.55             | 3,680              | SH         |              | SOLE                     | 2       |
| ISHARES MSCI UNITED KINGDOM  | CMN            | 464286699 | 245.23             | 13,439             | SH         |              | SOLE                     | 2       |
| ISHARES RUSSELL 2000         | CMN            | 464287655 | 1687.67            | 17,990             | SH         |              | DEFINED                  | 8       |
| ISHARES RUSSELL 2000         | CMN            | 464287655 | 45.78              | 488                | SH         |              | DEFINED                  | 2       |
| ISHARES RUSSELL 2000         | CMN            | 464287655 | 27838.98           | 296,755            | SH         |              | DEFINED                  | 1       |
| ISHARES SILVER TRUST         | CMN            | 46428Q109 | 1049.52            | 38,183             | SH         |              | SOLE                     | 2       |
| ISIS PHARMACEUTICALS INC     | CMN            | 464330109 | 363.12             | 21,330             | SH         |              | SOLE                     | 2       |
| ISTAR FINANCIAL INC          | CNV            | 45031UBR1 | 3291.76            | 2,800,000          | PRN        |              | SOLE                     | 1       |
| ITAU UNIBANCO HOLDING S-PREF | CMN            | 465562106 | 1478.30            | 83,393             | SH         |              | SOLE                     | 2       |
| ITC HOLDINGS CORP            | CMN            | 465685105 | 102.47             | 1,150              | SH         |              | DEFINED                  | 2       |
| ITC HOLDINGS CORP            | CMN            | 465685105 | 812.62             | 9,120              | SH         |              | DEFINED                  | 1       |
| ITRON INC                    | CMN            | 465741106 | 5.47               | 118                | SH         |              | DEFINED                  | 2       |
| ITRON INC                    | CMN            | 465741106 | 216.58             | 4,672              | SH         |              | DEFINED                  | 1       |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|           |     |           |        |           |         |   |
|-----------|-----|-----------|--------|-----------|---------|---|
| ITRON INC | CMN | 465741106 | 1.53   | 33 SH     | DEFINED | 9 |
| ITT CORP  | CMN | 450911201 | 585.34 | 20,609 SH | DEFINED | 1 |

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6            | COLUMN 7                 |
|------------------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION |
| ITT CORP                     | CMN            | 450911201 | 5.57               | 196 SH             |                     | DEFINED                  |
| ITT EDUCATIONAL SERVICES INC | CMN            | 45068B109 | 41.79              | 3,019 SH           |                     | DEFINED                  |
| ITT EDUCATIONAL SERVICES INC | CMN            | 45068B109 | 282.88             | 20,434 SH          |                     | DEFINED                  |
| IXYS CORPORATION             | CMN            | 46600W106 | 210.91             | 22,000 SH          |                     | DEFINED                  |
| IXYS CORPORATION             | CMN            | 46600W106 | 55.01              | 5,738 SH           |                     | DEFINED                  |
| J.C. PENNEY CO INC           | CMN            | 708160106 | 766.12             | 50,898 SH          |                     | DEFINED                  |
| J.C. PENNEY CO INC           | CMN            | 708160106 | 212.23             | 14,100 SH          |                     | DEFINED                  |
| J.C. PENNEY CO INC           | CMN            | 708160106 | 0.33               | 22 SH              |                     | DEFINED                  |
| J2 GLOBAL INC                | CMN            | 48123V102 | 215.38             | 5,540 SH           |                     | SOLE                     |
| JABIL CIRCUIT INC            | CMN            | 466313103 | 65.46              | 3,547 SH           |                     | DEFINED                  |
| JABIL CIRCUIT INC            | CMN            | 466313103 | 2636.37            | 142,857 SH         |                     | DEFINED                  |
| JABIL CIRCUIT INC            | CMN            | 466313103 | 0.18               | 10 SH              |                     | DEFINED                  |
| JACK HENRY & ASSOCIATES INC  | CMN            | 426281101 | 139.48             | 3,023 SH           |                     | DEFINED                  |
| JACK HENRY & ASSOCIATES INC  | CMN            | 426281101 | 466.93             | 10,120 SH          |                     | DEFINED                  |
| JACK HENRY & ASSOCIATES INC  | CMN            | 426281101 | 3.32               | 72 SH              |                     | DEFINED                  |
| JACK IN THE BOX INC          | CMN            | 466367109 | 145.55             | 4,200 SH           |                     | DEFINED                  |
| JACK IN THE BOX INC          | CMN            | 466367109 | 66.81              | 1,928 SH           |                     | DEFINED                  |
| JACOBS ENGINEERING GROUP INC | CMN            | 469814107 | 9.66               | 172 SH             |                     | DEFINED                  |
| JACOBS ENGINEERING GROUP INC | CMN            | 469814107 | 590.56             | 10,520 SH          |                     | DEFINED                  |
| JACOBS ENGINEERING GROUP INC | CMN            | 469814107 | 0.90               | 16 SH              |                     | DEFINED                  |
| JAGUAR MINING INC            | CMN            | 47009M103 | 6.56               | 11,020 SH          |                     | SOLE                     |
| JAMES RIVER COAL CO          | CNV            | 470355AF5 | 5.39               | 15,000 PRN         |                     | SOLE                     |
| JANUS CAPITAL GROUP INC      | CMN            | 47102X105 | 362.21             | 38,563 SH          |                     | DEFINED                  |
| JANUS CAPITAL GROUP INC      | CMN            | 47102X105 | 1.18               | 126 SH             |                     | DEFINED                  |
| JANUS CAPITAL GROUP INC      | CNV            | 47102XAG0 | 1579.34            | 1,507,000 PRN      |                     | SOLE                     |
| JARDEN CORP                  | CMN            | 471109108 | 29.94              | 697 SH             |                     | DEFINED                  |
| JARDEN CORP                  | CMN            | 471109108 | 597.60             | 13,912 SH          |                     | DEFINED                  |
| JARDEN CORP                  | CMN            | 471109108 | 11.17              | 260 SH             |                     | DEFINED                  |
| JAVELIN MORTGAGE INVESTMENT  | CMN            | 47200B104 | 3431.41            | 175,000 SH         |                     | SOLE                     |
| JAZZ PHARMACEUTICALS PLC     | CMN            | G50871105 | 681.66             | 12,146 SH          |                     | SOLE                     |
| JAZZ PHARMACEUTICALS PLC     | CMN            | G50871105 | 474.01             | 8,446 SH           |                     | SOLE                     |
| JDS UNIPHASE CORP            | CMN            | 46612J507 | 260.62             | 19,303 SH          |                     | DEFINED                  |
| JDS UNIPHASE CORP            | CMN            | 46612J507 | 900.18             | 66,673 SH          |                     | DEFINED                  |
| JDS UNIPHASE CORP            | CMN            | 46612J507 | 0.18               | 13 SH              |                     | DEFINED                  |
| JM SMUCKER CO/THE            | CMN            | 832696405 | 40.35              | 408 SH             |                     | DEFINED                  |
| JM SMUCKER CO/THE            | CMN            | 832696405 | 2209.29            | 22,337 SH          |                     | DEFINED                  |
| JM SMUCKER CO/THE            | CMN            | 832696405 | 0.10               | 1 SH               |                     | DEFINED                  |
| JOHNSON & JOHNSON            | CMN            | 478160104 | 2353.20            | 28,892 SH          |                     | DEFINED                  |
| JOHNSON & JOHNSON            | CMN            | 478160104 | 1040.42            | 12,774 SH          |                     | DEFINED                  |
| JOHNSON & JOHNSON            | CMN            | 478160104 | 18459.75           | 226,644 SH         |                     | DEFINED                  |
| JOHNSON & JOHNSON            | CMN            | 478160104 | 19.30              | 237 SH             |                     | DEFINED                  |
| JOHNSON CONTROLS INC         | CMN            | 478366107 | 32.17              | 919 SH             |                     | DEFINED                  |
| JOHNSON CONTROLS INC         | CMN            | 478366107 | 1881.98            | 53,760 SH          |                     | DEFINED                  |
| JOHNSON CONTROLS INC         | CMN            | 478366107 | 3.75               | 107 SH             |                     | DEFINED                  |
| JONES GROUP INC/THE          | CMN            | 48020T101 | 12.18              | 954 SH             |                     | DEFINED                  |
| JONES GROUP INC/THE          | CMN            | 48020T101 | 155.03             | 12,140 SH          |                     | DEFINED                  |



Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                              |     |           |          |            |         |   |
|------------------------------|-----|-----------|----------|------------|---------|---|
| JONES LANG LASALLE INC       | CMN | 48020Q107 | 785.43   | 7,905 SH   | DEFINED | 1 |
| JONES LANG LASALLE INC       | CMN | 48020Q107 | 2.88     | 29 SH      | DEFINED | 9 |
| JOURNAL COMMUNICATIONS INC-A | CMN | 481130102 | 29.50    | 4,400 SH   | DEFINED | 2 |
| JOURNAL COMMUNICATIONS INC-A | CMN | 481130102 | 206.98   | 30,867 SH  | DEFINED | 1 |
| JOY GLOBAL INC               | CMN | 481165108 | 54.82    | 886 SH     | DEFINED | 2 |
| JOY GLOBAL INC               | CMN | 481165108 | 519.75   | 8,400 SH   | DEFINED | 1 |
| JOY GLOBAL INC               | CMN | 481165108 | 0.80     | 13 SH      | DEFINED | 9 |
| JPMORGAN CHASE & CO          | CMN | 46625H100 | 813.09   | 17,000 SH  | DEFINED | 8 |
| JPMORGAN CHASE & CO          | CMN | 46625H100 | 3126.33  | 65,365 SH  | DEFINED | 2 |
| JPMORGAN CHASE & CO          | CMN | 46625H100 | 16990.88 | 355,244 SH | DEFINED | 1 |
| JPMORGAN CHASE & CO          | CMN | 46625H100 | 7.84     | 164 SH     | DEFINED | 9 |
| JUNIPER NETWORKS INC         | CMN | 48203R104 | 362.09   | 19,512 SH  | DEFINED | 2 |
| JUNIPER NETWORKS INC         | CMN | 48203R104 | 2840.73  | 153,077 SH | DEFINED | 1 |
| JUNIPER NETWORKS INC         | CMN | 48203R104 | 0.54     | 29 SH      | DEFINED | 9 |

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6            | COLUMN 7                 |
|------------------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION |
| KAISER ALUMINUM CORP         | CMN            | 483007704 | 7257.88            | 112,370 SH         |                     | SOLE                     |
| KAMAN CORP                   | CMN            | 483548103 | 62.36              | 1,750 SH           |                     | DEFINED                  |
| KAMAN CORP                   | CMN            | 483548103 | 319.83             | 8,976 SH           |                     | DEFINED                  |
| KANSAS CITY SOUTHERN         | CMN            | 485170302 | 253.16             | 2,306 SH           |                     | DEFINED                  |
| KANSAS CITY SOUTHERN         | CMN            | 485170302 | 43.91              | 400 SH             |                     | DEFINED                  |
| KANSAS CITY SOUTHERN         | CMN            | 485170302 | 25.69              | 234 SH             |                     | DEFINED                  |
| KAPSTONE PAPER AND PACKAGING | CMN            | 48562P103 | 8.31               | 300 SH             |                     | DEFINED                  |
| KAPSTONE PAPER AND PACKAGING | CMN            | 48562P103 | 201.60             | 7,279 SH           |                     | DEFINED                  |
| KAYDON CORP                  | CMN            | 486587108 | 691.36             | 27,031 SH          |                     | SOLE                     |
| KB HOME                      | CMN            | 48666K109 | 118.63             | 5,435 SH           |                     | DEFINED                  |
| KB HOME                      | CMN            | 48666K109 | 658.82             | 30,183 SH          |                     | DEFINED                  |
| KB HOME                      | CMN            | 48666K109 | 4.19               | 192 SH             |                     | DEFINED                  |
| KBR INC                      | CMN            | 48242W106 | 43.70              | 1,364 SH           |                     | DEFINED                  |
| KBR INC                      | CMN            | 48242W106 | 5118.89            | 159,762 SH         |                     | DEFINED                  |
| KBR INC                      | CMN            | 48242W106 | 10.06              | 314 SH             |                     | DEFINED                  |
| KELLOGG CO                   | CMN            | 487836108 | 53.46              | 830 SH             |                     | DEFINED                  |
| KELLOGG CO                   | CMN            | 487836108 | 1262.45            | 19,600 SH          |                     | DEFINED                  |
| KELLOGG CO                   | CMN            | 487836108 | 0.06               | 1 SH               |                     | DEFINED                  |
| KENNAMETAL INC               | CMN            | 489170100 | 279.48             | 7,140 SH           |                     | DEFINED                  |
| KENNAMETAL INC               | CMN            | 489170100 | 2446.78            | 62,509 SH          |                     | DEFINED                  |
| KENNAMETAL INC               | CMN            | 489170100 | 6.62               | 169 SH             |                     | DEFINED                  |
| KEY ENERGY SERVICES INC      | CMN            | 492914106 | 316.09             | 38,606 SH          |                     | SOLE                     |
| KEYCORP                      | CMN            | 493267108 | 41.37              | 4,153 SH           |                     | DEFINED                  |
| KEYCORP                      | CMN            | 493267108 | 1915.90            | 192,330 SH         |                     | DEFINED                  |
| KEYCORP                      | CMN            | 493267108 | 0.40               | 40 SH              |                     | DEFINED                  |
| KFORCE INC                   | CMN            | 493732101 | 244.10             | 14,904 SH          |                     | SOLE                     |
| KILROY REALTY CORP           | CMN            | 49427F108 | 113.52             | 2,168 SH           |                     | DEFINED                  |
| KILROY REALTY CORP           | CMN            | 49427F108 | 5260.81            | 100,468 SH         |                     | DEFINED                  |
| KILROY REALTY CORP           | CMN            | 49427F108 | 2.62               | 50 SH              |                     | DEFINED                  |
| KIMBALL INTERNATIONAL-B      | CMN            | 494274103 | 216.58             | 23,912 SH          |                     | SOLE                     |
| KIMBERLY-CLARK CORP          | CMN            | 494368103 | 640.80             | 6,559 SH           |                     | DEFINED                  |
| KIMBERLY-CLARK CORP          | CMN            | 494368103 | 3325.73            | 34,041 SH          |                     | DEFINED                  |
| KIMBERLY-CLARK CORP          | CMN            | 494368103 | 0.20               | 2 SH               |                     | DEFINED                  |
| KIMCO REALTY CORP            | CMN            | 49446R109 | 38.28              | 1,718 SH           |                     | DEFINED                  |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                              |     |           |         |            |         |   |
|------------------------------|-----|-----------|---------|------------|---------|---|
| KIMCO REALTY CORP            | CMN | 49446R109 | 850.44  | 38,168 SH  | DEFINED | 1 |
| KIMCO REALTY CORP            | CMN | 49446R109 | 0.38    | 17 SH      | DEFINED | 9 |
| KINDER MORGAN INC            | CMN | 49456B101 | 126.09  | 3,272 SH   | DEFINED | 2 |
| KINDER MORGAN INC            | CMN | 49456B101 | 2112.61 | 54,821 SH  | DEFINED | 1 |
| KINDER MORGAN INC            | CMN | 49456B101 | 1.73    | 45 SH      | DEFINED | 9 |
| KINDER MORGAN MANAGEMENT LLC | CMN | 49455U100 | 0.09    | 1 SH       | DEFINED | 2 |
| KINDER MORGAN MANAGEMENT LLC | CMN | 49455U100 | 975.31  | 11,180 SH  | DEFINED | 1 |
| KINDRED HEALTHCARE INC       | CMN | 494580103 | 522.07  | 49,004 SH  | SOLE    | 1 |
| KINROSS GOLD CORP            | CMN | 496902404 | 754.19  | 94,920 SH  | DEFINED | 2 |
| KINROSS GOLD CORP            | CMN | 496902404 | 7.15    | 900 SH     | DEFINED | 1 |
| KIRKLAND'S INC               | CMN | 497498105 | 318.60  | 27,985 SH  | SOLE    | 1 |
| KLA-TENCOR CORPORATION       | CMN | 482480100 | 11.60   | 220 SH     | DEFINED | 2 |
| KLA-TENCOR CORPORATION       | CMN | 482480100 | 1477.98 | 28,038 SH  | DEFINED | 1 |
| KLA-TENCOR CORPORATION       | CMN | 482480100 | 0.47    | 9 SH       | DEFINED | 9 |
| KNIGHT CAPITAL GROUP INC-A   | CMN | 499005106 | 28.06   | 7,534 SH   | DEFINED | 8 |
| KNIGHT CAPITAL GROUP INC-A   | CMN | 499005106 | 18.49   | 4,965 SH   | DEFINED | 2 |
| KNIGHT CAPITAL GROUP INC-A   | CMN | 499005106 | 846.52  | 227,300 SH | DEFINED | 1 |
| KODIAK OIL & GAS CORP        | CMN | 50015Q100 | 26.24   | 2,938 SH   | DEFINED | 2 |
| KODIAK OIL & GAS CORP        | CMN | 50015Q100 | 1556.74 | 174,334 SH | DEFINED | 1 |
| KOHL'S CORP                  | CMN | 500255104 | 610.01  | 13,192 SH  | DEFINED | 2 |
| KOHL'S CORP                  | CMN | 500255104 | 1750.36 | 37,853 SH  | DEFINED | 1 |
| KOHL'S CORP                  | CMN | 500255104 | 1.53    | 33 SH      | DEFINED | 9 |
| KONINKLIJKE PHILIPS ELECTRON | CMN | 500472303 | 1408.75 | 47,586 SH  | DEFINED | 2 |
| KONINKLIJKE PHILIPS ELECTRON | CMN | 500472303 | 2.96    | 100 SH     | DEFINED | 1 |
| KOSMOS ENERGY LTD            | CMN | G5315B107 | 42.36   | 3,759 SH   | DEFINED | 2 |
| KOSMOS ENERGY LTD            | CMN | G5315B107 | 107.58  | 9,546 SH   | DEFINED | 1 |

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6   | COLUMN 7                 |
|------------------------------|----------------|-----------|--------------------|--------------------|------------|--------------------------|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PRN | PUT/<br>CALL             |
|                              |                |           |                    |                    |            | INVESTMENT<br>DISCRETION |
| KRAFT FOODS GROUP INC        | CMN            | 50076Q106 | 66.21              | 1,286 SH           |            | DEFINED                  |
| KRAFT FOODS GROUP INC        | CMN            | 50076Q106 | 2393.02            | 46,480 SH          |            | DEFINED                  |
| KRAFT FOODS GROUP INC        | CMN            | 50076Q106 | 0.15               | 3 SH               |            | DEFINED                  |
| KRATON PERFORMANCE POLYMERS  | CMN            | 50077C106 | 347.46             | 14,699 SH          |            | SOLE                     |
| KRATOS DEFENSE & SECURITY    | CMN            | 50077B207 | 11.02              | 2,180 SH           |            | DEFINED                  |
| KRATOS DEFENSE & SECURITY    | CMN            | 50077B207 | 176.00             | 34,823 SH          |            | DEFINED                  |
| KRISPY KREME DOUGHNUTS INC   | CMN            | 501014104 | 15.44              | 1,069 SH           |            | DEFINED                  |
| KRISPY KREME DOUGHNUTS INC   | CMN            | 501014104 | 146.60             | 10,148 SH          |            | DEFINED                  |
| KROGER CO                    | CMN            | 501044101 | 42.35              | 1,280 SH           |            | DEFINED                  |
| KROGER CO                    | CMN            | 501044101 | 2253.65            | 68,108 SH          |            | DEFINED                  |
| KROGER CO                    | CMN            | 501044101 | 0.10               | 3 SH               |            | DEFINED                  |
| KRONOS WORLDWIDE INC         | CMN            | 50105F105 | 87.25              | 5,500 SH           |            | DEFINED                  |
| KRONOS WORLDWIDE INC         | CMN            | 50105F105 | 110.46             | 6,963 SH           |            | DEFINED                  |
| KULICKE & SOFFA INDUSTRIES   | CMN            | 501242101 | 9.22               | 800 SH             |            | DEFINED                  |
| KULICKE & SOFFA INDUSTRIES   | CMN            | 501242101 | 3179.88            | 275,981 SH         |            | DEFINED                  |
| LABORATORY CRP OF AMER HLDGS | CMN            | 50540R409 | 29.25              | 325 SH             |            | DEFINED                  |
| LABORATORY CRP OF AMER HLDGS | CMN            | 50540R409 | 2459.97            | 27,337 SH          |            | DEFINED                  |
| LABORATORY CRP OF AMER HLDGS | CMN            | 50540R409 | 0.72               | 8 SH               |            | DEFINED                  |
| LABORATORY CRP OF AMER HLDGS | CNV            | 50540RAG7 | 184.56             | 153,000 PRN        |            | SOLE                     |
| LADENBURG THALMANN FINANCIAL | CMN            | 50575Q102 | 28.65              | 17,518 SH          |            | SOLE                     |
| LAM RESEARCH CORP            | CMN            | 512807108 | 182.80             | 4,409 SH           |            | DEFINED                  |
| LAM RESEARCH CORP            | CMN            | 512807108 | 610.72             | 14,730 SH          |            | DEFINED                  |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                             |     |           |          |           |     |         |   |
|-----------------------------|-----|-----------|----------|-----------|-----|---------|---|
| LAM RESEARCH CORP           | CMN | 512807108 | 0.37     | 9         | SH  | DEFINED | 9 |
| LAMAR ADVERTISING CO-A      | CMN | 512815101 | 1179.43  | 24,306    | SH  | DEFINED | 1 |
| LAMAR ADVERTISING CO-A      | CMN | 512815101 | 6.31     | 130       | SH  | DEFINED | 9 |
| LAS VEGAS SANDS CORP        | CMN | 517834107 | 1535.27  | 27,252    | SH  | DEFINED | 2 |
| LAS VEGAS SANDS CORP        | CMN | 517834107 | 2290.97  | 40,666    | SH  | DEFINED | 1 |
| LEAP WIRELESS INTL INC      | CNV | 521863AL4 | 4044.00  | 4,000,000 | PRN | SOLE    | 1 |
| LEAPFROG ENTERPRISES INC    | CMN | 52186N106 | 424.87   | 49,690    | SH  | SOLE    | 1 |
| LEAR CORP                   | CMN | 521865204 | 88.98    | 1,618     | SH  | DEFINED | 2 |
| LEAR CORP                   | CMN | 521865204 | 6179.55  | 112,371   | SH  | DEFINED | 1 |
| LEAR CORP                   | CMN | 521865204 | 228      | 4152      | SH  | SOLE    | 4 |
| LEGGETT & PLATT INC         | CMN | 524660107 | 6.28     | 187       | SH  | DEFINED | 2 |
| LEGGETT & PLATT INC         | CMN | 524660107 | 811.39   | 24,164    | SH  | DEFINED | 1 |
| LEGGETT & PLATT INC         | CMN | 524660107 | 0.74     | 22        | SH  | DEFINED | 9 |
| LENDER PROCESSING SERVICES  | CMN | 52602E102 | 246.07   | 9,735     | SH  | DEFINED | 1 |
| LENDER PROCESSING SERVICES  | CMN | 52602E102 | 1.79     | 71        | SH  | DEFINED | 9 |
| LENNAR CORP - B SHS         | CMN | 526057302 | 305.03   | 9,410     | SH  | SOLE    | 1 |
| LENNAR CORP-A               | CMN | 526057104 | 175.97   | 4,229     | SH  | DEFINED | 2 |
| LENNAR CORP-A               | CMN | 526057104 | 1751.65  | 42,096    | SH  | DEFINED | 1 |
| LENNAR CORP-A               | CMN | 526057104 | 25684.85 | 617,263   | SH  | DEFINED | 9 |
| LEUCADIA NATIONAL CORP      | CMN | 527288104 | 28.10    | 1,028     | SH  | DEFINED | 2 |
| LEUCADIA NATIONAL CORP      | CMN | 527288104 | 1122.44  | 41,059    | SH  | DEFINED | 1 |
| LEUCADIA NATIONAL CORP      | CMN | 527288104 | 0.36     | 13        | SH  | DEFINED | 9 |
| LEUCADIA NATIONAL CORP      | CNV | 472319AG7 | 2861.34  | 2,778,000 | PRN | SOLE    | 1 |
| LEVEL 3 COMMUNICATIONS INC  | CMN | 52729N308 | 17.17    | 844       | SH  | DEFINED | 2 |
| LEVEL 3 COMMUNICATIONS INC  | CMN | 52729N308 | 1933.68  | 95,046    | SH  | DEFINED | 1 |
| LEVEL 3 COMMUNICATIONS INC  | CNV | 52729NBP4 | 232.20   | 200,000   | PRN | SOLE    | 1 |
| LEVEL 3 COMMUNICATIONS INC  | CNV | 52729NBR0 | 1114.47  | 837,000   | PRN | SOLE    | 1 |
| LEXINGTON REALTY TRUST      | CMN | 529043101 | 5328.27  | 454,506   | SH  | SOLE    | 1 |
| LHC GROUP INC               | CMN | 50187A107 | 211.10   | 9,930     | SH  | SOLE    | 1 |
| LIBERTY GLOBAL INC-A        | CMN | 530555101 | 171.16   | 2,341     | SH  | DEFINED | 2 |
| LIBERTY GLOBAL INC-A        | CMN | 530555101 | 29794.20 | 407,502   | SH  | DEFINED | 1 |
| LIBERTY GLOBAL INC-SERIES C | CMN | 530555309 | 610.15   | 8,905     | SH  | DEFINED | 2 |
| LIBERTY GLOBAL INC-SERIES C | CMN | 530555309 | 177.25   | 2,587     | SH  | DEFINED | 1 |
| LIBERTY INTERACTIVE CORP-A  | CMN | 53071M104 | 34.30    | 1,603     | SH  | DEFINED | 2 |
| LIBERTY INTERACTIVE CORP-A  | CMN | 53071M104 | 656.22   | 30,672    | SH  | DEFINED | 1 |
| LIBERTY MEDIA CORP          | CMN | 531229102 | 391.37   | 3,513     | SH  | SOLE    | 2 |
| LIFE TECHNOLOGIES CORP      | CMN | 53217V109 | 14.71    | 228       | SH  | DEFINED | 2 |
| LIFE TECHNOLOGIES CORP      | CMN | 53217V109 | 867.23   | 13,440    | SH  | DEFINED | 1 |

FORM 13F INFORMATION TABLE

| COLUMN 1                    | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6                | COLUMN 7                 |
|-----------------------------|----------------|-----------|--------------------|--------------------|-------------------------|--------------------------|
| NAME OF ISSUER              | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>PRN CALL | INVESTMENT<br>DISCRETION |
| LIFE TECHNOLOGIES CORP      | CMN            | 53217V109 | 0.97               | 15                 | SH                      | DEFINED                  |
| LIFE TIME FITNESS INC       | CMN            | 53217R207 | 42.74              | 1,000              | SH                      | DEFINED                  |
| LIFE TIME FITNESS INC       | CMN            | 53217R207 | 415.38             | 9,719              | SH                      | DEFINED                  |
| LIFE TIME FITNESS INC       | CMN            | 53217R207 | 3.97               | 93                 | SH                      | DEFINED                  |
| LIFEPOINT HOSPITALS INC     | CMN            | 53219L109 | 381.01             | 7,860              | SH                      | DEFINED                  |
| LIFEPOINT HOSPITALS INC     | CMN            | 53219L109 | 5.82               | 120                | SH                      | DEFINED                  |
| LIGAND PHARMACEUTICALS-CL B | CMN            | 53220K504 | 435.62             | 16,364             | SH                      | SOLE                     |
| LIMELIGHT NETWORKS INC      | CMN            | 53261M104 | 116.61             | 55,036             | SH                      | SOLE                     |
| LINCOLN ELECTRIC HOLDINGS   | CMN            | 533900106 | 351.37             | 6,481              | SH                      | DEFINED                  |
| LINCOLN ELECTRIC HOLDINGS   | CMN            | 533900106 | 303.61             | 5,600              | SH                      | DEFINED                  |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                              |     |           |          |               |         |   |
|------------------------------|-----|-----------|----------|---------------|---------|---|
| LINCOLN ELECTRIC HOLDINGS    | CMN | 533900106 | 9.60     | 177 SH        | DEFINED | 9 |
| LINCOLN NATIONAL CORP        | CMN | 534187109 | 43.20    | 1,325 SH      | DEFINED | 2 |
| LINCOLN NATIONAL CORP        | CMN | 534187109 | 693.85   | 21,280 SH     | DEFINED | 1 |
| LINCOLN NATIONAL CORP        | CMN | 534187109 | 0.39     | 12 SH         | DEFINED | 9 |
| LINEAR TECHNOLOGY CORP       | CMN | 535678106 | 11.72    | 306 SH        | DEFINED | 2 |
| LINEAR TECHNOLOGY CORP       | CMN | 535678106 | 1761.55  | 45,999 SH     | DEFINED | 1 |
| LINEAR TECHNOLOGY CORP       | CMN | 535678106 | 0.50     | 13 SH         | DEFINED | 9 |
| LINEAR TECHNOLOGY CORP       | CNV | 535678AC0 | 5098.88  | 4,772,000 PRN | SOLE    | 1 |
| LINKEDIN CORP - A            | CMN | 53578A108 | 2730.67  | 15,463 SH     | SOLE    | 1 |
| LIONBRIDGE TECHNOLOGIES INC  | CMN | 536252109 | 124.02   | 32,242 SH     | SOLE    | 1 |
| LIONS GATE ENTERTAINMENT COR | CMN | 535919203 | 177.49   | 7,673 SH      | DEFINED | 2 |
| LIONS GATE ENTERTAINMENT COR | CMN | 535919203 | 90.05    | 3,893 SH      | DEFINED | 1 |
| LLOYDS BANKING GROUP PLC     | CMN | 539439109 | 110.68   | 37,085 SH     | DEFINED | 2 |
| LLOYDS BANKING GROUP PLC     | CMN | 539439109 | 122.69   | 41,108 SH     | DEFINED | 1 |
| LOCKHEED MARTIN CORP         | CMN | 539830109 | 176.78   | 1,850 SH      | DEFINED | 2 |
| LOCKHEED MARTIN CORP         | CMN | 539830109 | 44.91    | 470 SH        | DEFINED | 3 |
| LOCKHEED MARTIN CORP         | CMN | 539830109 | 3138.50  | 32,844 SH     | DEFINED | 1 |
| LOCKHEED MARTIN CORP         | CMN | 539830109 | 3.06     | 32 SH         | DEFINED | 9 |
| LOEWS CORP                   | CMN | 540424108 | 145.15   | 3,312 SH      | DEFINED | 2 |
| LOEWS CORP                   | CMN | 540424108 | 1497.41  | 34,168 SH     | DEFINED | 1 |
| LOEWS CORP                   | CMN | 540424108 | 0.57     | 13 SH         | DEFINED | 9 |
| LORILLARD INC                | CMN | 544147101 | 195.12   | 4,844 SH      | DEFINED | 2 |
| LORILLARD INC                | CMN | 544147101 | 3636.52  | 90,281 SH     | DEFINED | 1 |
| LORILLARD INC                | CMN | 544147101 | 0.08     | 2 SH          | DEFINED | 9 |
| LOUISIANA-PACIFIC CORP       | CMN | 546347105 | 64.38    | 2,993 SH      | DEFINED | 2 |
| LOUISIANA-PACIFIC CORP       | CMN | 546347105 | 270.74   | 12,587 SH     | DEFINED | 1 |
| LOUISIANA-PACIFIC CORP       | CMN | 546347105 | 3.51     | 163 SH        | DEFINED | 9 |
| LOWE'S COS INC               | CMN | 548661107 | 56.46    | 1,487 SH      | DEFINED | 2 |
| LOWE'S COS INC               | CMN | 548661107 | 18517.15 | 487,660 SH    | DEFINED | 1 |
| LOWE'S COS INC               | CMN | 548661107 | 6.61     | 174 SH        | DEFINED | 9 |
| LSB INDUSTRIES INC           | CMN | 502160104 | 407.57   | 11,739 SH     | SOLE    | 1 |
| LSI CORP                     | CMN | 502161102 | 593.16   | 87,648 SH     | DEFINED | 2 |
| LSI CORP                     | CMN | 502161102 | 603.38   | 89,159 SH     | DEFINED | 1 |
| LSI CORP                     | CMN | 502161102 | 0.21     | 31 SH         | DEFINED | 9 |
| LTX-CREDENCE CORP            | CMN | 502403207 | 105.23   | 17,437 SH     | SOLE    | 1 |
| LUMINEX CORP                 | CMN | 55027E102 | 329.53   | 19,936 SH     | SOLE    | 1 |
| LYONDELLBASELL INDUSTRIES N  | CMN | N53745100 | 113.82   | 1,797 SH      | SOLE    | 2 |
| LYONDELLBASELL INDUSTRIES N  | CMN | N53745100 | 2940.62  | 46,426 SH     | SOLE    | 1 |
| LYONDELLBASELL INDUSTRIES N  | CMN | N53745100 | 0.51     | 8 SH          | SOLE    | 9 |
| LYONDELLBASELL INDUSTRIES N  | CMN | N53745100 | 3373     | 53289 SH      | SOLE    | 4 |
| M & T BANK CORP              | CMN | 55261F104 | 195.29   | 1,892 SH      | DEFINED | 2 |
| M & T BANK CORP              | CMN | 55261F104 | 3472.02  | 33,638 SH     | DEFINED | 1 |
| M & T BANK CORP              | CMN | 55261F104 | 0.52     | 5 SH          | DEFINED | 9 |
| MACERICH CO/THE              | CMN | 554382101 | 0.06     | 1 SH          | DEFINED | 2 |
| MACERICH CO/THE              | CMN | 554382101 | 7546.40  | 117,540 SH    | DEFINED | 1 |
| MACERICH CO/THE              | CMN | 554382101 | 5.84     | 91 SH         | DEFINED | 9 |
| MACY'S INC                   | CMN | 55616P104 | 21.88    | 523 SH        | DEFINED | 2 |
| MACY'S INC                   | CMN | 55616P104 | 19563.85 | 467,701 SH    | DEFINED | 1 |
| MACY'S INC                   | CMN | 55616P104 | 2.59     | 62 SH         | DEFINED | 9 |
| MADISON SQUARE GARDEN CO-A   | CMN | 55826P100 | 636.77   | 11,131 SH     | DEFINED | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1       | COLUMN 2       | COLUMN 3 | COLUMN 4           | COLUMN 5           | COLUMN 6   | COLUMN 7                                 |
|----------------|----------------|----------|--------------------|--------------------|------------|--|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP    | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PRN | PUT/<br>CALL<br>INVESTMENT<br>DISCRETION |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                              |     |           |          |               |         |   |
|------------------------------|-----|-----------|----------|---------------|---------|---|
| MADISON SQUARE GARDEN CO-A   | CMN | 55826P100 | 364.24   | 6,367 SH      | DEFINED | 1 |
| MAGELLAN HEALTH SERVICES INC | CMN | 559079207 | 261.62   | 5,502 SH      | SOLE    | 1 |
| MAGNACHIP SEMICONDUCTOR CORP | CMN | 55933J203 | 21       | 1,239 SH      | SOLE    | 4 |
| MAGNUM HUNTER RESOURCES CORP | CMN | 55973B102 | 22.06    | 5,500 SH      | DEFINED | 2 |
| MAGNUM HUNTER RESOURCES CORP | CMN | 55973B102 | 126.45   | 31,522 SH     | DEFINED | 1 |
| MAIDEN HOLDINGS LTD          | CMN | G5753U112 | 531.65   | 50,239 SH     | SOLE    | 1 |
| MAIDENFORM BRANDS INC        | CMN | 560305104 | 190.69   | 10,862 SH     | SOLE    | 1 |
| MANITOWOC COMPANY INC        | CMN | 563571108 | 525.30   | 26,168 SH     | SOLE    | 1 |
| MANNKIND CORP                | CMN | 56400P201 | 590.80   | 177,150 SH    | DEFINED | 2 |
| MANNKIND CORP                | CMN | 56400P201 | 237.50   | 71,215 SH     | DEFINED | 1 |
| MANPOWER INC                 | CMN | 56418H100 | 0.85     | 15 SH         | DEFINED | 2 |
| MANPOWER INC                 | CMN | 56418H100 | 1384.04  | 24,562 SH     | DEFINED | 1 |
| MANPOWER INC                 | CMN | 56418H100 | 9.24     | 164 SH        | DEFINED | 9 |
| MARATHON OIL CORP            | CMN | 565849106 | 211.66   | 6,259 SH      | DEFINED | 2 |
| MARATHON OIL CORP            | CMN | 565849106 | 6295.30  | 186,157 SH    | DEFINED | 1 |
| MARATHON OIL CORP            | CMN | 565849106 | 1.72     | 51 SH         | DEFINED | 9 |
| MARATHON PETROLEUM CORP      | CMN | 56585A102 | 823.87   | 9,190 SH      | DEFINED | 2 |
| MARATHON PETROLEUM CORP      | CMN | 56585A102 | 31602.82 | 352,519 SH    | DEFINED | 1 |
| MARATHON PETROLEUM CORP      | CMN | 56585A102 | 2.15     | 24 SH         | DEFINED | 9 |
| MARCUS CORPORATION           | CMN | 566330106 | 165.91   | 13,473 SH     | SOLE    | 1 |
| MARKEL CORP                  | CMN | 570535104 | 405.30   | 806 SH        | SOLE    | 2 |
| MARKET VECTORS EMERGING MARK | CMN | 57060U522 | 3731.91  | 137,947 SH    | SOLE    | 2 |
| MARKET VECTORS GOLD MINERS   | CMN | 57060U100 | 322.26   | 8,507 SH      | DEFINED | 8 |
| MARKET VECTORS GOLD MINERS   | CMN | 57060U100 | 0.04     | 1 SH          | DEFINED | 2 |
| MARKET VECTORS GOLD MINERS   | CMN | 57060U100 | 2130.96  | 56,253 SH     | DEFINED | 2 |
| MARKET VECTORS GOLD MINERS   | CMN | 57060U100 | 5283.73  | 139,480 SH    | DEFINED | 1 |
| MARKET VECTORS INDIA S/C     | CMN | 57060U563 | 88.07    | 10,000 SH     | SOLE    | 2 |
| MARKET VECTORS INDONESIA IND | CMN | 57060U753 | 620.42   | 19,535 SH     | SOLE    | 2 |
| MARKET VECTORS JR GOLD MINER | CMN | 57060U589 | 3136.74  | 187,056 SH    | DEFINED | 2 |
| MARKET VECTORS JR GOLD MINER | CMN | 57060U589 | 1.69     | 101 SH        | DEFINED | 1 |
| MARKET VECTORS OIL SERVICE E | CMN | 57060U191 | 3003.27  | 70,000 SH     | SOLE    | 1 |
| MARKET VECTORS RARE EARTH/ST | CMN | 57060U472 | 113.85   | 10,200 SH     | SOLE    | 2 |
| MARKET VECTORS RUSSIA ETF    | CMN | 57060U506 | 928.54   | 33,426 SH     | DEFINED | 2 |
| MARKET VECTORS RUSSIA ETF    | CMN | 57060U506 | 77.78    | 2,800 SH      | DEFINED | 3 |
| MARKET VECTORS VIETNAM ETF   | CMN | 57060U761 | 3605.31  | 175,612 SH    | SOLE    | 2 |
| MARKETAXESS HOLDINGS INC     | CMN | 57060D108 | 4808.20  | 128,794 SH    | SOLE    | 2 |
| MARRIOTT INTERNATIONAL -CL A | CMN | 571903202 | 185.68   | 4,426 SH      | DEFINED | 2 |
| MARRIOTT INTERNATIONAL -CL A | CMN | 571903202 | 850.78   | 20,280 SH     | DEFINED | 1 |
| MARRIOTT INTERNATIONAL -CL A | CMN | 571903202 | 1.59     | 38 SH         | DEFINED | 9 |
| MARSH & MCLENNAN COS         | CMN | 571748102 | 73.91    | 1,947 SH      | DEFINED | 2 |
| MARSH & MCLENNAN COS         | CMN | 571748102 | 1876.63  | 49,436 SH     | DEFINED | 1 |
| MARSH & MCLENNAN COS         | CMN | 571748102 | 0.87     | 23 SH         | DEFINED | 9 |
| MARTIN MARIETTA MATERIALS    | CMN | 573284106 | 1167.59  | 11,443 SH     | DEFINED | 1 |
| MARTIN MARIETTA MATERIALS    | CMN | 573284106 | 5.51     | 54 SH         | DEFINED | 9 |
| MARVELL TECHNOLOGY GROUP LTD | CMN | G5876H105 | 696.86   | 66,088 SH     | SOLE    | 2 |
| MARVELL TECHNOLOGY GROUP LTD | CMN | G5876H105 | 59.96    | 5,686 SH      | SOLE    | 1 |
| MASCO CORP                   | CMN | 574599106 | 18.10    | 892 SH        | DEFINED | 2 |
| MASCO CORP                   | CMN | 574599106 | 6758.17  | 333,079 SH    | DEFINED | 1 |
| MASCO CORP                   | CMN | 574599106 | 0.87     | 43 SH         | DEFINED | 9 |
| MASIMO CORPORATION           | CMN | 574795100 | 322.21   | 16,422 SH     | DEFINED | 1 |
| MASIMO CORPORATION           | CMN | 574795100 | 2.63     | 134 SH        | DEFINED | 9 |
| MASTEC INC                   | CMN | 576323109 | 1366.36  | 46,780 SH     | SOLE    | 1 |
| MASTEC INC                   | CNV | 576323AM1 | 4406.10  | 2,280,000 PRN | SOLE    | 1 |
| MASTERCARD INC-CLASS A       | CMN | 57636Q104 | 2037.29  | 3,770 SH      | DEFINED | 2 |
| MASTERCARD INC-CLASS A       | CMN | 57636Q104 | 18.37    | 34 SH         | DEFINED | 3 |
| MASTERCARD INC-CLASS A       | CMN | 57636Q104 | 5676.31  | 10,504 SH     | DEFINED | 1 |
| MASTERCARD INC-CLASS A       | CMN | 57636Q104 | 3.24     | 6 SH          | DEFINED | 9 |
| MATERION CORP                | CMN | 576690101 | 400.73   | 13,992 SH     | SOLE    | 1 |
| MATRIX SERVICE CO            | CMN | 576853105 | 163.65   | 10,943 SH     | SOLE    | 1 |
| MATSON INC                   | CMN | 57686G105 | 6.13     | 250 SH        | DEFINED | 2 |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6                | COLUMN 7                 |
|------------------------------|----------------|-----------|--------------------|--------------------|-------------------------|--------------------------|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>PRN CALL | INVESTMENT<br>DISCRETION |
| MATSON INC                   | CMN            | 57686G105 | 562.07             | 22,905             | SH                      | DEFINED                  |
| MATSON INC                   | CMN            | 57686G105 | 2.23               | 91                 | SH                      | DEFINED                  |
| MATTEL INC                   | CMN            | 577081102 | 19.83              | 454                | SH                      | DEFINED                  |
| MATTEL INC                   | CMN            | 577081102 | 1202.01            | 27,520             | SH                      | DEFINED                  |
| MATTEL INC                   | CMN            | 577081102 | 2.36               | 54                 | SH                      | DEFINED                  |
| MAXIMUS INC                  | CMN            | 577933104 | 184.54             | 2,324              | SH                      | DEFINED                  |
| MAXIMUS INC                  | CMN            | 577933104 | 79.41              | 1,000              | SH                      | DEFINED                  |
| MAXWELL TECHNOLOGIES INC     | CMN            | 577767106 | 129.03             | 24,145             | SH                      | DEFINED                  |
| MAXWELL TECHNOLOGIES INC     | CMN            | 577767106 | 8.02               | 1,500              | SH                      | DEFINED                  |
| MBIA INC                     | CMN            | 55262C100 | 1007.62            | 97,963             | SH                      | DEFINED                  |
| MBIA INC                     | CMN            | 55262C100 | 245.79             | 23,896             | SH                      | DEFINED                  |
| MCCORMICK & CO-NON VTG SHRS  | CMN            | 579780206 | 90.01              | 1,230              | SH                      | DEFINED                  |
| MCCORMICK & CO-NON VTG SHRS  | CMN            | 579780206 | 758.12             | 10,360             | SH                      | DEFINED                  |
| MCCORMICK & CO-NON VTG SHRS  | CMN            | 579780206 | 0.07               | 1                  | SH                      | DEFINED                  |
| MCDERMOTT INTL INC           | CMN            | 580037109 | 79.38              | 7,239              | SH                      | DEFINED                  |
| MCDERMOTT INTL INC           | CMN            | 580037109 | 58.85              | 5,367              | SH                      | DEFINED                  |
| MCDONALD'S CORP              | CMN            | 580135101 | 2948.64            | 29,634             | SH                      | DEFINED                  |
| MCDONALD'S CORP              | CMN            | 580135101 | 12.94              | 130                | SH                      | DEFINED                  |
| MCDONALD'S CORP              | CMN            | 580135101 | 8250.49            | 82,918             | SH                      | DEFINED                  |
| MCDONALD'S CORP              | CMN            | 580135101 | 15.62              | 157                | SH                      | DEFINED                  |
| MCEWEN MINING INC            | CMN            | 58039P107 | 56.49              | 19,634             | SH                      | DEFINED                  |
| MCEWEN MINING INC            | CMN            | 58039P107 | 22.97              | 7,985              | SH                      | DEFINED                  |
| MCG CAPITAL CORP             | CMN            | 58047P107 | 378.76             | 79,107             | SH                      | SOLE                     |
| MCGRATH RENTCORP             | CMN            | 580589109 | 253.43             | 8,103              | SH                      | SOLE                     |
| MCGRAW-HILL COMPANIES INC    | CMN            | 580645109 | 18.96              | 367                | SH                      | DEFINED                  |
| MCGRAW-HILL COMPANIES INC    | CMN            | 580645109 | 3458.85            | 66,943             | SH                      | DEFINED                  |
| MCGRAW-HILL COMPANIES INC    | CMN            | 580645109 | 0.62               | 12                 | SH                      | DEFINED                  |
| MCKESSON CORP                | CMN            | 58155Q103 | 134.49             | 1,245              | SH                      | DEFINED                  |
| MCKESSON CORP                | CMN            | 58155Q103 | 1981.18            | 18,340             | SH                      | DEFINED                  |
| MCKESSON CORP                | CMN            | 58155Q103 | 2.16               | 20                 | SH                      | DEFINED                  |
| MDC HOLDINGS INC             | CMN            | 552676108 | 1059.47            | 28,723             | SH                      | DEFINED                  |
| MDC HOLDINGS INC             | CMN            | 552676108 | 3.36               | 91                 | SH                      | DEFINED                  |
| MDU RESOURCES GROUP INC      | CMN            | 552690109 | 24.94              | 1,001              | SH                      | DEFINED                  |
| MDU RESOURCES GROUP INC      | CMN            | 552690109 | 675.98             | 27,136             | SH                      | DEFINED                  |
| MDU RESOURCES GROUP INC      | CMN            | 552690109 | 1.27               | 51                 | SH                      | DEFINED                  |
| MEAD JOHNSON NUTRITION CO    | CMN            | 582839106 | 235.81             | 3,047              | SH                      | DEFINED                  |
| MEAD JOHNSON NUTRITION CO    | CMN            | 582839106 | 1609.29            | 20,794             | SH                      | DEFINED                  |
| MEAD JOHNSON NUTRITION CO    | CMN            | 582839106 | 0.08               | 1                  | SH                      | DEFINED                  |
| MEADOWBROOK INSURANCE GROUP  | CMN            | 58319P108 | 351.47             | 49,686             | SH                      | SOLE                     |
| MEADWESTVACO CORP            | CMN            | 583334107 | 16.11              | 444                | SH                      | DEFINED                  |
| MEADWESTVACO CORP            | CMN            | 583334107 | 615.28             | 16,954             | SH                      | DEFINED                  |
| MEADWESTVACO CORP            | CMN            | 583334107 | 0.15               | 4                  | SH                      | DEFINED                  |
| MECHEL                       | CMN            | 583840103 | 4666.64            | 922,912            | SH                      | DEFINED                  |
| MECHEL                       | CMN            | 583840103 | 85.96              | 17,000             | SH                      | DEFINED                  |
| MEDGENICS INC - UNRESTRICTED | CMN            | 58436Q203 | 68.47              | 14,000             | SH                      | SOLE                     |
| MEDIDATA SOLUTIONS INC       | CMN            | 58471A105 | 151.39             | 2,658              | SH                      | DEFINED                  |
| MEDIDATA SOLUTIONS INC       | CMN            | 58471A105 | 74.04              | 1,300              | SH                      | DEFINED                  |
| MEDIVATION INC               | CMN            | 58501N101 | 386.83             | 8,292              | SH                      | DEFINED                  |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                              |     |           |          |                |         |   |
|------------------------------|-----|-----------|----------|----------------|---------|---|
| MEDIVATION INC               | CMN | 58501N101 | 576.33   | 12,354 SH      | DEFINED | 1 |
| MEDNAX INC                   | CMN | 58502B106 | 143.25   | 1,602 SH       | DEFINED | 2 |
| MEDNAX INC                   | CMN | 58502B106 | 154.51   | 1,728 SH       | DEFINED | 1 |
| MEDNAX INC                   | CMN | 58502B106 | 11.45    | 128 SH         | DEFINED | 9 |
| MEDTRONIC INC                | CMN | 585055106 | 193.67   | 4,133 SH       | DEFINED | 2 |
| MEDTRONIC INC                | CMN | 585055106 | 4382.15  | 93,518 SH      | DEFINED | 1 |
| MEDTRONIC INC                | CMN | 585055106 | 4.03     | 86 SH          | DEFINED | 9 |
| MEDTRONIC INC                | CNV | 585055AM8 | 11043.00 | 11,043,000 PRN | SOLE    | 1 |
| MELCO CROWN ENTERTAINMENT LT | CMN | 585464100 | 1546.63  | 66,808 SH      | SOLE    | 2 |
| MELLANOX TECHNOLOGIES LTD    | CMN | M51363113 | 624.03   | 11,237 SH      | SOLE    | 2 |
| MELLANOX TECHNOLOGIES LTD    | CMN | M51363113 | 27.77    | 500 SH         | SOLE    | 1 |
| MEMC ELECTRONIC MATERIALS    | CMN | 552715104 | 56.18    | 12,811 SH      | DEFINED | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           |            | COLUMN 6     | COLUMN 7                 |
|------------------------------|----------------|-----------|--------------------|--------------------|------------|--------------|--------------------------|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PRN | PUT/<br>CALL | INVESTMENT<br>DISCRETION |
| MEMC ELECTRONIC MATERIALS    | CMN            | 552715104 | 431.29             | 98,348 SH          |            |              | DEFINED                  |
| MEMC ELECTRONIC MATERIALS    | CMN            | 552715104 | 0.85               | 194 SH             |            |              | DEFINED                  |
| MEN'S WEARHOUSE INC/THE      | CMN            | 587118100 | 4609.85            | 138,191 SH         |            |              | SOLE                     |
| MENTOR GRAPHICS CORP         | CMN            | 587200106 | 39.62              | 2,200 SH           |            |              | DEFINED                  |
| MENTOR GRAPHICS CORP         | CMN            | 587200106 | 294.43             | 16,351 SH          |            |              | DEFINED                  |
| MENTOR GRAPHICS CORP         | CMN            | 587200106 | 1.42               | 79 SH              |            |              | DEFINED                  |
| MERCADOLIBRE INC             | CMN            | 58733R102 | 47.49              | 494 SH             |            |              | DEFINED                  |
| MERCADOLIBRE INC             | CMN            | 58733R102 | 1241.11            | 12,910 SH          |            |              | DEFINED                  |
| MERCK & CO. INC.             | CMN            | 58933Y105 | 1024.42            | 23,192 SH          |            |              | DEFINED                  |
| MERCK & CO. INC.             | CMN            | 58933Y105 | 13503.26           | 305,702 SH         |            |              | DEFINED                  |
| MERCK & CO. INC.             | CMN            | 58933Y105 | 11.31              | 256 SH             |            |              | DEFINED                  |
| MEREDITH CORP                | CMN            | 589433101 | 202.69             | 5,226 SH           |            |              | DEFINED                  |
| MEREDITH CORP                | CMN            | 589433101 | 3.26               | 84 SH              |            |              | DEFINED                  |
| MERITOR INC                  | CMN            | 59001K100 | 724.11             | 152,683 SH         |            |              | SOLE                     |
| METHODE ELECTRONICS INC      | CMN            | 591520200 | 23.54              | 1,814 SH           |            |              | DEFINED                  |
| METHODE ELECTRONICS INC      | CMN            | 591520200 | 114.89             | 8,853 SH           |            |              | DEFINED                  |
| METLIFE INC                  | CMN            | 59156R108 | 212.53             | 5,586 SH           |            |              | DEFINED                  |
| METLIFE INC                  | CMN            | 59156R108 | 5347.84            | 140,557 SH         |            |              | DEFINED                  |
| METLIFE INC                  | CMN            | 59156R108 | 1.79               | 47 SH              |            |              | DEFINED                  |
| METLIFE INC                  | CMN            | 59156R108 | 2282.85            | 60,000 SH          |            | PUT          | DEFINED                  |
| METROPCS COMMUNICATIONS INC  | CMN            | 591708102 | 221.12             | 20,359 SH          |            |              | DEFINED                  |
| METROPCS COMMUNICATIONS INC  | CMN            | 591708102 | 785.91             | 72,360 SH          |            |              | DEFINED                  |
| METROPCS COMMUNICATIONS INC  | CMN            | 591708102 | 0.20               | 18 SH              |            |              | DEFINED                  |
| METTLER-TOLEDO INTERNATIONAL | CMN            | 592688105 | 26.03              | 122 SH             |            |              | DEFINED                  |
| METTLER-TOLEDO INTERNATIONAL | CMN            | 592688105 | 1002.48            | 4,699 SH           |            |              | DEFINED                  |
| METTLER-TOLEDO INTERNATIONAL | CMN            | 592688105 | 16.64              | 78 SH              |            |              | DEFINED                  |
| MFA FINANCIAL INC            | CMN            | 55272X102 | 46.58              | 5,000 SH           |            |              | DEFINED                  |
| MFA FINANCIAL INC            | CMN            | 55272X102 | 123.90             | 13,300 SH          |            |              | DEFINED                  |
| MGIC INVESTMENT CORP         | CMN            | 552848103 | 125.28             | 25,850 SH          |            |              | DEFINED                  |
| MGIC INVESTMENT CORP         | CMN            | 552848103 | 891.32             | 183,912 SH         |            |              | DEFINED                  |
| MGM RESORTS INTERNATIONAL    | CMN            | 552953101 | 44.54              | 3,397 SH           |            |              | DEFINED                  |
| MGM RESORTS INTERNATIONAL    | CMN            | 552953101 | 918.78             | 70,069 SH          |            |              | DEFINED                  |
| MICHAEL KORS HOLDINGS LTD    | CMN            | G60754101 | 1146.43            | 20,215 SH          |            |              | SOLE                     |
| MICHAEL KORS HOLDINGS LTD    | CMN            | G60754101 | 889.24             | 15,680 SH          |            |              | SOLE                     |
| MICROCHIP TECHNOLOGY INC     | CMN            | 595017104 | 56.21              | 1,532 SH           |            |              | DEFINED                  |
| MICROCHIP TECHNOLOGY INC     | CMN            | 595017104 | 1012.65            | 27,602 SH          |            |              | DEFINED                  |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                              |     |           |          |               |         |         |   |
|------------------------------|-----|-----------|----------|---------------|---------|---------|---|
| MICROCHIP TECHNOLOGY INC     | CMN | 595017104 | 0.40     | 11 SH         | DEFINED | 9       |   |
| MICROCHIP TECHNOLOGY INC     | CNV | 595017AB0 | 7036.06  | 4,948,000 PRN | SOLE    | 1       |   |
| MICRON TECHNOLOGY INC        | CMN | 595112103 | 1985.56  | 199,076 SH    | DEFINED | 2       |   |
| MICRON TECHNOLOGY INC        | CMN | 595112103 | 1515.36  | 151,933 SH    | DEFINED | 1       |   |
| MICRON TECHNOLOGY INC        | CMN | 595112103 | 0.57     | 57 SH         | DEFINED | 9       |   |
| MICRON TECHNOLOGY INC        | CNV | 595112AK9 | 47.27    | 45,000 PRN    | SOLE    | 1       |   |
| MICROSOFT CORP               | CMN | 594918104 | 203.42   | 7,120 SH      | DEFINED | 8       |   |
| MICROSOFT CORP               | CMN | 594918104 | 0.06     | 2 SH          | DEFINED | 2       |   |
| MICROSOFT CORP               | CMN | 594918104 | 12250.76 | 428,793 SH    | DEFINED | 2       |   |
| MICROSOFT CORP               | CMN | 594918104 | 78.00    | 2,730 SH      | DEFINED | 3       |   |
| MICROSOFT CORP               | CMN | 594918104 | 19205.46 | 672,217 SH    | DEFINED | 1       |   |
| MICROSOFT CORP               | CMN | 594918104 | 11.97    | 419 SH        | DEFINED | 9       |   |
| MICROSOFT CORP               | CMN | 594918104 | 57.14    | 2,000 SH      | PUT     | DEFINED | 2 |
| MICROSOFT CORP               | CMN | 594918104 | 5714.07  | 200,000 SH    | CALL    | DEFINED | 1 |
| MICROSTRATEGY INC-CL A       | CMN | 594972408 | 426.98   | 4,220 SH      | SOLE    | DEFINED | 1 |
| MIDDLEBY CORP                | CMN | 596278101 | 163.93   | 1,080 SH      | DEFINED | DEFINED | 2 |
| MIDDLEBY CORP                | CMN | 596278101 | 167.87   | 1,106 SH      | DEFINED | DEFINED | 1 |
| MITSUBISHI UFJ FINANCIAL GRO | CMN | 606822104 | 70.66    | 11,800 SH     | SOLE    | DEFINED | 1 |
| MIZUHO FINANCIAL GROUP INC   | CMN | 60687Y109 | 105.04   | 24,500 SH     | SOLE    | DEFINED | 1 |
| MOHAWK INDUSTRIES INC        | CMN | 608190104 | 16.94    | 150 SH        | DEFINED | DEFINED | 2 |
| MOHAWK INDUSTRIES INC        | CMN | 608190104 | 1320.35  | 11,689 SH     | DEFINED | DEFINED | 1 |
| MOHAWK INDUSTRIES INC        | CMN | 608190104 | 15.36    | 136 SH        | DEFINED | DEFINED | 9 |
| MOLEX INC                    | CMN | 608554101 | 63.77    | 2,183 SH      | DEFINED | DEFINED | 2 |
| MOLEX INC                    | CMN | 608554101 | 690.47   | 23,635 SH     | DEFINED | DEFINED | 1 |

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6   | COLUMN 7     |                          |    |
|------------------------------|----------------|-----------|--------------------|--------------------|------------|--------------|--------------------------|----|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PRN | PUT/<br>CALL | INVESTMENT<br>DISCRETION | MA |
| MOLEX INC                    | CMN            | 608554101 | 0.23               | 8 SH               |            |              | DEFINED                  | 9  |
| MOLINA HEALTHCARE INC        | CMN            | 60855R100 | 8187.88            | 263,421 SH         |            |              | DEFINED                  | 8  |
| MOLINA HEALTHCARE INC        | CMN            | 60855R100 | 584.76             | 18,813 SH          |            |              | DEFINED                  | 1  |
| MOLSON COORS BREWING CO -B   | CMN            | 60871R209 | 10.07              | 206 SH             |            |              | DEFINED                  | 2  |
| MOLSON COORS BREWING CO -B   | CMN            | 60871R209 | 2524.81            | 51,669 SH          |            |              | DEFINED                  | 1  |
| MOLSON COORS BREWING CO -B   | CMN            | 60871R209 | 0.05               | 1 SH               |            |              | DEFINED                  | 9  |
| MOLSON COORS BREWING CO -B   | CNV            | 60871RAA8 | 1975.61            | 1,919,000 PRN      |            |              | SOLE                     | 1  |
| MOLYCORP INC                 | CMN            | 608753109 | 83.07              | 15,901 SH          |            |              | DEFINED                  | 8  |
| MOLYCORP INC                 | CMN            | 608753109 | 1357.33            | 259,811 SH         |            |              | DEFINED                  | 2  |
| MOLYCORP INC                 | CMN            | 608753109 | 13.06              | 2,500 SH           |            |              | DEFINED                  | 1  |
| MOLYCORP INC                 | CMN            | 608753109 | 574.67             | 110,000 SH         |            | PUT          | DEFINED                  | 1  |
| MOLYCORP INC                 | CMN            | 608753109 | 574.67             | 110,000 SH         |            | CALL         | DEFINED                  | 1  |
| MONDELEZ INTERNATIONAL INC-A | CMN            | 609207105 | 1818.23            | 59,522 SH          |            |              | DEFINED                  | 2  |
| MONDELEZ INTERNATIONAL INC-A | CMN            | 609207105 | 7156.58            | 234,280 SH         |            |              | DEFINED                  | 1  |
| MONDELEZ INTERNATIONAL INC-A | CMN            | 609207105 | 0.31               | 10 SH              |            |              | DEFINED                  | 9  |
| MONEYGRAM INTERNATIONAL INC  | CMN            | 60935Y208 | 201.28             | 11,184 SH          |            |              | SOLE                     | 1  |
| MONMOUTH REIT-CLASS A        | CMN            | 609720107 | 233.47             | 21,000 SH          |            |              | SOLE                     | 2  |
| MONSANTO CO                  | CMN            | 61166W101 | 774.47             | 7,339 SH           |            |              | DEFINED                  | 2  |
| MONSANTO CO                  | CMN            | 61166W101 | 4696.02            | 44,500 SH          |            |              | DEFINED                  | 1  |
| MONSANTO CO                  | CMN            | 61166W101 | 1.16               | 11 SH              |            |              | DEFINED                  | 9  |
| MONSTER BEVERAGE CORP        | CMN            | 611740101 | 96.60              | 2,017 SH           |            |              | DEFINED                  | 2  |
| MONSTER BEVERAGE CORP        | CMN            | 611740101 | 3497.78            | 73,032 SH          |            |              | DEFINED                  | 1  |
| MONSTER BEVERAGE CORP        | CMN            | 611740101 | 0.05               | 1 SH               |            |              | DEFINED                  | 9  |
| MOODY'S CORP                 | CMN            | 615369105 | 307.03             | 5,802 SH           |            |              | DEFINED                  | 2  |



Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                             |     |           |         |         |        |         |   |
|-----------------------------|-----|-----------|---------|---------|--------|---------|---|
| MOODY'S CORP                | CMN | 615369105 | 4283.71 | 80,949  | SH     | DEFINED | 1 |
| MOODY'S CORP                | CMN | 615369105 | 0.42    | 8       | SH     | DEFINED | 9 |
| MORGAN STANLEY              | CMN | 617446448 | 3075.70 | 139,559 | SH     | DEFINED | 8 |
| MORGAN STANLEY              | CMN | 617446448 | 4121.30 | 187,003 | SH     | DEFINED | 2 |
| MORGAN STANLEY              | CMN | 617446448 | 6994.66 | 317,381 | SH     | DEFINED | 1 |
| MORGAN STANLEY              | CMN | 617446448 | 1.30    | 59      | SH     | DEFINED | 9 |
| MORGAN STANLEY              | CMN | 617446448 | 8652.39 | 392,600 | SH PUT | DEFINED | 1 |
| MOSAIC CO/THE               | CMN | 61945C103 | 294.48  | 4,951   | SH     | DEFINED | 2 |
| MOSAIC CO/THE               | CMN | 61945C103 | 2420.76 | 40,700  | SH     | DEFINED | 1 |
| MOSAIC CO/THE               | CMN | 61945C103 | 0.36    | 6       | SH     | DEFINED | 9 |
| MOTOROLA SOLUTIONS INC      | CMN | 620076307 | 120.58  | 1,886   | SH     | DEFINED | 2 |
| MOTOROLA SOLUTIONS INC      | CMN | 620076307 | 1492.76 | 23,348  | SH     | DEFINED | 1 |
| MOTOROLA SOLUTIONS INC      | CMN | 620076307 | 0.96    | 15      | SH     | DEFINED | 9 |
| MOTOROLA SOLUTIONS INC      | CNV | 530715AN1 | 37.94   | 71,930  | PRN    | SOLE    | 1 |
| MOVADO GROUP INC            | CMN | 624580106 | 238.45  | 7,156   | SH     | SOLE    | 1 |
| MPG OFFICE TRUST INC        | CMN | 553274101 | 348.49  | 126,888 | SH     | SOLE    | 1 |
| MSC INDUSTRIAL DIRECT CO-A  | CMN | 553530106 | 2.99    | 35      | SH     | DEFINED | 2 |
| MSC INDUSTRIAL DIRECT CO-A  | CMN | 553530106 | 982.46  | 11,519  | SH     | DEFINED | 1 |
| MSC INDUSTRIAL DIRECT CO-A  | CMN | 553530106 | 8.44    | 99      | SH     | DEFINED | 9 |
| MSCI INC                    | CMN | 55354G100 | 64.40   | 1,900   | SH     | DEFINED | 2 |
| MSCI INC                    | CMN | 55354G100 | 599.39  | 17,683  | SH     | DEFINED | 1 |
| MSCI INC                    | CMN | 55354G100 | 2.71    | 80      | SH     | DEFINED | 9 |
| MTNA 6 01/15/16             | CMN | L0302D178 | 929.02  | 44,600  | SH     | SOLE    | 1 |
| MUELLER INDUSTRIES INC      | CMN | 624756102 | 122.89  | 2,300   | SH     | DEFINED | 2 |
| MUELLER INDUSTRIES INC      | CMN | 624756102 | 82.92   | 1,552   | SH     | DEFINED | 1 |
| MULTIMEDIA GAMES HOLDING CO | CMN | 625453105 | 3.33    | 160     | SH     | DEFINED | 2 |
| MULTIMEDIA GAMES HOLDING CO | CMN | 625453105 | 209.53  | 10,077  | SH     | DEFINED | 1 |
| MURPHY OIL CORP             | CMN | 626717102 | 133.42  | 2,101   | SH     | DEFINED | 2 |
| MURPHY OIL CORP             | CMN | 626717102 | 1814.41 | 28,572  | SH     | DEFINED | 1 |
| MURPHY OIL CORP             | CMN | 626717102 | 0.83    | 13      | SH     | DEFINED | 9 |
| MYLAN INC                   | CMN | 628530107 | 707.48  | 24,359  | SH     | DEFINED | 2 |
| MYLAN INC                   | CMN | 628530107 | 1800.00 | 61,975  | SH     | DEFINED | 1 |
| MYLAN INC                   | CMN | 628530107 | 0.99    | 34      | SH     | DEFINED | 9 |
| MYRIAD GENETICS INC         | CMN | 62855J104 | 12.72   | 500     | SH     | DEFINED | 2 |
| MYRIAD GENETICS INC         | CMN | 62855J104 | 231.90  | 9,117   | SH     | DEFINED | 1 |
| NABORS INDUSTRIES LTD       | CMN | G6359F103 | 68.44   | 4,221   | SH     | SOLE    | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1                    | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6   | COLUMN 7     |                          |    |
|-----------------------------|----------------|-----------|--------------------|--------------------|------------|--------------|--------------------------|----|
| NAME OF ISSUER              | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PRN | PUT/<br>CALL | INVESTMENT<br>DISCRETION | MA |
| NABORS INDUSTRIES LTD       | CMN            | G6359F103 | 1986.50            | 122,521            | SH         |              | SOLE                     | 1  |
| NABORS INDUSTRIES LTD       | CMN            | G6359F103 | 0.34               | 21                 | SH         |              | SOLE                     | 9  |
| NACCO INDUSTRIES-CL A       | CMN            | 629579103 | 489.54             | 9,122              | SH         |              | SOLE                     | 1  |
| NAM TAI ELECTRONICS INC     | CMN            | 629865205 | 107.64             | 7,900              | SH         |              | DEFINED                  | 2  |
| NAM TAI ELECTRONICS INC     | CMN            | 629865205 | 28.61              | 2,100              | SH         |              | DEFINED                  | 1  |
| NANOMETRICS INC             | CMN            | 630077105 | 10.88              | 750                | SH         |              | DEFINED                  | 2  |
| NANOMETRICS INC             | CMN            | 630077105 | 200.48             | 13,819             | SH         |              | DEFINED                  | 1  |
| NASDAQ OMX GROUP/THE        | CMN            | 631103108 | 68.39              | 2,115              | SH         |              | DEFINED                  | 2  |
| NASDAQ OMX GROUP/THE        | CMN            | 631103108 | 1247.68            | 38,585             | SH         |              | DEFINED                  | 1  |
| NASDAQ OMX GROUP/THE        | CMN            | 631103108 | 0.16               | 5                  | SH         |              | DEFINED                  | 9  |
| NATIONAL BANK OF GREECE     | CMN            | 633643606 | 137.51             | 78,350             | SH         |              | SOLE                     | 2  |
| NATIONAL FINANCIAL PARTNERS | CNV            | 63607PAC3 | 1374.91            | 744,000            | PRN        |              | SOLE                     | 1  |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                              |     |           |          |           |     |         |   |
|------------------------------|-----|-----------|----------|-----------|-----|---------|---|
| NATIONAL INSTRUMENTS CORP    | CMN | 636518102 | 199.21   | 6,100     | SH  | DEFINED | 2 |
| NATIONAL INSTRUMENTS CORP    | CMN | 636518102 | 2.61     | 80        | SH  | DEFINED | 9 |
| NATIONAL OILWELL VARCO INC   | CMN | 637071101 | 302.00   | 4,280     | SH  | DEFINED | 2 |
| NATIONAL OILWELL VARCO INC   | CMN | 637071101 | 388.08   | 5,500     | SH  | DEFINED | 3 |
| NATIONAL OILWELL VARCO INC   | CMN | 637071101 | 5513.19  | 78,134    | SH  | DEFINED | 1 |
| NATIONAL OILWELL VARCO INC   | CMN | 637071101 | 2.19     | 31        | SH  | DEFINED | 9 |
| NATIONSTAR MORTGAGE HOLDINGS | CMN | 63861C109 | 24.56    | 670       | SH  | DEFINED | 2 |
| NATIONSTAR MORTGAGE HOLDINGS | CMN | 63861C109 | 505.78   | 13,800    | SH  | DEFINED | 1 |
| NAVIDEA BIOPHARMACEUTICALS I | CMN | 63937X103 | 10603.52 | 3,962,792 | SH  | DEFINED | 2 |
| NAVIDEA BIOPHARMACEUTICALS I | CMN | 63937X103 | 5.35     | 2,000     | SH  | DEFINED | 1 |
| NAVIGANT CONSULTING INC      | CMN | 63935N107 | 347.14   | 26,409    | SH  | SOLE    | 1 |
| NAVIOS MARITIME HOLDINGS INC | CMN | Y62196103 | 23.95    | 5,265     | SH  | SOLE    | 2 |
| NAVIOS MARITIME HOLDINGS INC | CMN | Y62196103 | 94.37    | 20,743    | SH  | SOLE    | 1 |
| NAVISTAR INTERNATIONAL CORP  | CMN | 63934E108 | 1024.18  | 29,700    | SH  | DEFINED | 2 |
| NAVISTAR INTERNATIONAL CORP  | CMN | 63934E108 | 114.56   | 3,322     | SH  | DEFINED | 1 |
| NCI BUILDING SYSTEMS INC     | CMN | 628852204 | 34.83    | 2,000     | SH  | DEFINED | 2 |
| NCI BUILDING SYSTEMS INC     | CMN | 628852204 | 273.43   | 15,699    | SH  | DEFINED | 1 |
| NEKTAR THERAPEUTICS          | CMN | 640268108 | 566.33   | 51,510    | SH  | DEFINED | 2 |
| NEKTAR THERAPEUTICS          | CMN | 640268108 | 16.94    | 1,541     | SH  | DEFINED | 1 |
| NEOPHOTONICS CORP            | CMN | 64051T100 | 104.98   | 20,185    | SH  | SOLE    | 2 |
| NETAPP INC                   | CMN | 64110D104 | 16.15    | 474       | SH  | DEFINED | 2 |
| NETAPP INC                   | CMN | 64110D104 | 2985.28  | 87,607    | SH  | DEFINED | 1 |
| NETAPP INC                   | CMN | 64110D104 | 0.68     | 20        | SH  | DEFINED | 9 |
| NETAPP INC                   | CMN | 64110DAB0 | 544.25   | 500,000   | PRN | SOLE    | 1 |
| NETFLIX INC                  | CMN | 64110L106 | 19649.38 | 103,673   | SH  | DEFINED | 2 |
| NETFLIX INC                  | CMN | 64110L106 | 1895.32  | 10,000    | SH  | DEFINED | 1 |
| NETFLIX INC                  | CMN | 64110L106 | 1.71     | 9         | SH  | DEFINED | 9 |
| NETSPEND HOLDINGS INC        | CMN | 64118V106 | 173.05   | 10,884    | SH  | SOLE    | 1 |
| NEUROCRINE BIOSCIENCES INC   | CMN | 64125C109 | 83.86    | 7,000     | SH  | DEFINED | 2 |
| NEUROCRINE BIOSCIENCES INC   | CMN | 64125C109 | 174.25   | 14,545    | SH  | DEFINED | 1 |
| NEUSTAR INC-CLASS A          | CMN | 64126X201 | 296.83   | 6,418     | SH  | DEFINED | 2 |
| NEUSTAR INC-CLASS A          | CMN | 64126X201 | 111.00   | 2,400     | SH  | DEFINED | 1 |
| NEUSTAR INC-CLASS A          | CMN | 64126X201 | 2.54     | 55        | SH  | DEFINED | 9 |
| NEUTRAL TANDEM INC           | CMN | 64128B108 | 96.86    | 29,069    | SH  | DEFINED | 2 |
| NEUTRAL TANDEM INC           | CMN | 64128B108 | 0.33     | 100       | SH  | DEFINED | 1 |
| NEW GOLD INC                 | CMN | 644535106 | 141.15   | 15,450    | SH  | SOLE    | 2 |
| NEW YORK & CO                | CMN | 649295102 | 78.80    | 19,029    | SH  | SOLE    | 1 |
| NEW YORK MORTGAGE TRUST INC  | CMN | 649604501 | 77.05    | 10,300    | SH  | SOLE    | 1 |
| NEW YORK TIMES CO-A          | CMN | 650111107 | 511.41   | 52,000    | SH  | DEFINED | 2 |
| NEW YORK TIMES CO-A          | CMN | 650111107 | 7617.12  | 774,507   | SH  | DEFINED | 1 |
| NEW YORK TIMES CO-A          | CMN | 650111107 | 2.81     | 286       | SH  | DEFINED | 9 |
| NEWELL RUBBERMAID INC        | CMN | 651229106 | 9.87     | 380       | SH  | DEFINED | 2 |
| NEWELL RUBBERMAID INC        | CMN | 651229106 | 585.53   | 22,540    | SH  | DEFINED | 1 |
| NEWELL RUBBERMAID INC        | CMN | 651229106 | 1.17     | 45        | SH  | DEFINED | 9 |
| NEWFIELD EXPLORATION CO      | CMN | 651290108 | 63.35    | 2,824     | SH  | DEFINED | 2 |
| NEWFIELD EXPLORATION CO      | CMN | 651290108 | 249.89   | 11,140    | SH  | DEFINED | 1 |
| NEWFIELD EXPLORATION CO      | CMN | 651290108 | 0.22     | 10        | SH  | DEFINED | 9 |
| NEWMONT MINING CORP          | CMN | 651639106 | 2748.46  | 65,866    | SH  | DEFINED | 8 |

FORM 13F INFORMATION TABLE

| COLUMN 1       | COLUMN 2       | COLUMN 3 | COLUMN 4           | COLUMN 5           | COLUMN 6             | COLUMN 7                    |
|----------------|----------------|----------|--------------------|--------------------|----------------------|-----------------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP    | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/ PUT/<br>PRN CALL | INVESTMENT<br>DISCRETION MA |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                               |     |           |          |         |    |           |   |
|-------------------------------|-----|-----------|----------|---------|----|-----------|---|
| NEWMONT MINING CORP           | CMN | 651639106 | 7832.99  | 187,715 | SH | DEFINED   | 2 |
| NEWMONT MINING CORP           | CMN | 651639106 | 10230.57 | 245,172 | SH | DEFINED   | 1 |
| NEWMONT MINING CORP           | CMN | 651639106 | 0.46     | 11      | SH | DEFINED   | 9 |
| NEWPARK RESOURCES INC         | CMN | 651718504 | 19.36    | 2,078   | SH | DEFINED   | 2 |
| NEWPARK RESOURCES INC         | CMN | 651718504 | 168.16   | 18,053  | SH | DEFINED   | 1 |
| NEWS CORP-B                   | CMN | 65248E203 | 474.90   | 15,462  | SH | SOLE      | 2 |
| NEWS CORP-CL A                | CMN | 65248E104 | 147.83   | 4,852   | SH | DEFINED   | 2 |
| NEWS CORP-CL A                | CMN | 65248E104 | 26925.29 | 883,709 | SH | DEFINED   | 1 |
| NEWS CORP-CL A                | CMN | 65248E104 | 9.57     | 314     | SH | DEFINED   | 9 |
| NEXTERA ENERGY INC            | CMN | 65339F101 | 140.45   | 1,817   | SH | DEFINED   | 2 |
| NEXTERA ENERGY INC            | CMN | 65339F101 | 3547.83  | 45,898  | SH | DEFINED   | 1 |
| NICE SYSTEMS LTD              | CMN | 653656108 | 1252.48  | 34,000  | SH | SOLE      | 2 |
| NII HOLDINGS INC              | CMN | 62913F201 | 102.64   | 23,460  | SH | DEFINED   | 2 |
| NII HOLDINGS INC              | CMN | 62913F201 | 253.48   | 57,939  | SH | DEFINED   | 1 |
| NIKE INC -CL B                | CMN | 654106103 | 604.88   | 10,272  | SH | DEFINED   | 2 |
| NIKE INC -CL B                | CMN | 654106103 | 4837.17  | 82,144  | SH | DEFINED   | 1 |
| NIKE INC -CL B                | CMN | 654106103 | 6.71     | 114     | SH | DEFINED   | 9 |
| NIPPON TELEGRAPH & TELEPHONE  | CMN | 654624105 | 806.07   | 37,050  | SH | SOLE      | 2 |
| NISOURCE INC                  | CMN | 65473P105 | 13.16    | 450     | SH | DEFINED   | 2 |
| NISOURCE INC                  | CMN | 65473P105 | 750.19   | 25,653  | SH | DEFINED   | 1 |
| NOBLE CORP                    | CMN | H5833N103 | 10100.42 | 265,098 | SH | SOLE      | 8 |
| NOBLE CORP                    | CMN | H5833N103 | 376.09   | 9,871   | SH | SOLE      | 2 |
| NOBLE CORP                    | CMN | H5833N103 | 2689.15  | 70,580  | SH | SOLE      | 1 |
| NOBLE CORP                    | CMN | H5833N103 | 0.69     | 18      | SH | SOLE      | 9 |
| NOBLE CORP                    | CMN | H5833N103 | 13335.25 | 350,000 | SH | CALL SOLE | 1 |
| NOBLE ENERGY INC              | CMN | 655044105 | 908.91   | 7,866   | SH | DEFINED   | 2 |
| NOBLE ENERGY INC              | CMN | 655044105 | 2492.62  | 21,572  | SH | DEFINED   | 1 |
| NOBLE ENERGY INC              | CMN | 655044105 | 1.50     | 13      | SH | DEFINED   | 9 |
| NOKIA OYJ                     | CMN | 654902204 | 2022.14  | 614,244 | SH | DEFINED   | 2 |
| NOKIA OYJ                     | CMN | 654902204 | 2476.52  | 752,268 | SH | DEFINED   | 1 |
| NORDSON CORP                  | CMN | 655663102 | 4.93     | 75      | SH | DEFINED   | 2 |
| NORDSON CORP                  | CMN | 655663102 | 274.52   | 4,178   | SH | DEFINED   | 1 |
| NORDSON CORP                  | CMN | 655663102 | 7.95     | 121     | SH | DEFINED   | 9 |
| NORDSTROM INC                 | CMN | 655664100 | 11.07    | 201     | SH | DEFINED   | 2 |
| NORDSTROM INC                 | CMN | 655664100 | 9167.88  | 166,410 | SH | DEFINED   | 1 |
| NORDSTROM INC                 | CMN | 655664100 | 1.32     | 24      | SH | DEFINED   | 9 |
| NORFOLK SOUTHERN CORP         | CMN | 655844108 | 32.13    | 418     | SH | DEFINED   | 2 |
| NORFOLK SOUTHERN CORP         | CMN | 655844108 | 2658.15  | 34,582  | SH | DEFINED   | 1 |
| NORFOLK SOUTHERN CORP         | CMN | 655844108 | 2.92     | 38      | SH | DEFINED   | 9 |
| NORTEK INC                    | CMN | 656559309 | 9902     | 138761  | SH | SOLE      | 4 |
| NORTHEAST UTILITIES           | CMN | 664397106 | 160.71   | 3,735   | SH | DEFINED   | 2 |
| NORTHEAST UTILITIES           | CMN | 664397106 | 2555.64  | 59,393  | SH | DEFINED   | 1 |
| NORTHERN OIL AND GAS INC      | CMN | 665531109 | 5.16     | 360     | SH | DEFINED   | 2 |
| NORTHERN OIL AND GAS INC      | CMN | 665531109 | 540.16   | 37,667  | SH | DEFINED   | 1 |
| NORTHERN OIL AND GAS INC      | CMN | 665531109 | 2.32     | 162     | SH | DEFINED   | 9 |
| NORTHERN TRUST CORP           | CMN | 665859104 | 44.30    | 813     | SH | DEFINED   | 2 |
| NORTHERN TRUST CORP           | CMN | 665859104 | 1569.52  | 28,803  | SH | DEFINED   | 1 |
| NORTHERN TRUST CORP           | CMN | 665859104 | 0.49     | 9       | SH | DEFINED   | 9 |
| NORTHROP GRUMMAN CORP         | CMN | 666807102 | 1021.89  | 14,600  | SH | DEFINED   | 2 |
| NORTHROP GRUMMAN CORP         | CMN | 666807102 | 2554.52  | 36,497  | SH | DEFINED   | 1 |
| NORTHROP GRUMMAN CORP         | CMN | 666807102 | 1.96     | 28      | SH | DEFINED   | 9 |
| NORTHSTAR REALTY FINANCE CORP | CMN | 66704R100 | 226.11   | 23,908  | SH | SOLE      | 1 |
| NORTHWEST BANCSHARES INC      | CMN | 667340103 | 356.96   | 28,137  | SH | SOLE      | 1 |
| NORTHWEST NATURAL GAS CO      | CMN | 667655104 | 564.67   | 12,889  | SH | SOLE      | 1 |
| NORTHWESTERN CORP             | CMN | 668074305 | 380.34   | 9,540   | SH | SOLE      | 1 |
| NORWEGIAN CRUISE LINE HOLDIN  | CMN | G66721104 | 11692.97 | 392,700 | SH | SOLE      | 1 |
| NOVAGOLD RESOURCES INC        | CMN | 66987E206 | 1894.07  | 518,160 | SH | SOLE      | 2 |
| NOVARTIS AG-REG               | CMN | 66987V109 | 3009.04  | 42,412  | SH | SOLE      | 2 |
| NOVO NORDISK A/S-B            | CMN | 670100205 | 408.29   | 2,523   | SH | SOLE      | 2 |
| NPS PHARMACEUTICALS INC       | CMN | 62936P103 | 149.56   | 14,860  | SH | DEFINED   | 2 |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6            | COLUMN 7                 |
|------------------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION |
| NPS PHARMACEUTICALS INC      | CMN            | 62936P103 | 8.05               | 800                | SH                  | DEFINED                  |
| NRG ENERGY INC               | CMN            | 629377508 | 43.76              | 1,658              | SH                  | DEFINED                  |
| NRG ENERGY INC               | CMN            | 629377508 | 872.16             | 33,045             | SH                  | DEFINED                  |
| NTT DOCOMO INC               | CMN            | 62942M201 | 752.03             | 50,134             | SH                  | SOLE                     |
| NU SKIN ENTERPRISES INC - A  | CMN            | 67018T105 | 66.36              | 1,500              | SH                  | DEFINED                  |
| NU SKIN ENTERPRISES INC - A  | CMN            | 67018T105 | 153.39             | 3,467              | SH                  | DEFINED                  |
| NUANCE COMMUNICATIONS INC    | CMN            | 67020Y100 | 162.39             | 8,071              | SH                  | DEFINED                  |
| NUANCE COMMUNICATIONS INC    | CMN            | 67020Y100 | 63838.64           | 3,172,782          | SH                  | DEFINED                  |
| NUANCE COMMUNICATIONS INC    | CMN            | 67020Y100 | 3872.65            | 192,471            | SH                  | DEFINED                  |
| NUCOR CORP                   | CMN            | 670346105 | 37.40              | 811                | SH                  | DEFINED                  |
| NUCOR CORP                   | CMN            | 670346105 | 773.28             | 16,770             | SH                  | DEFINED                  |
| NUCOR CORP                   | CMN            | 670346105 | 1149.08            | 24,920             | SH                  | DEFINED                  |
| NUCOR CORP                   | CMN            | 670346105 | 0.32               | 7                  | SH                  | DEFINED                  |
| NUTRISYSTEM INC              | CMN            | 67069D108 | 124.04             | 14,615             | SH                  | SOLE                     |
| NUVASIVE INC                 | CMN            | 670704105 | 1592.49            | 75,181             | SH                  | DEFINED                  |
| NUVASIVE INC                 | CMN            | 670704105 | 6.14               | 290                | SH                  | DEFINED                  |
| NUVASIVE INC                 | CMN            | 670704105 | 59.69              | 2,818              | SH                  | DEFINED                  |
| NUVASIVE INC                 | CMN            | 670704105 | 30356.54           | 1,433,120          | SH CALL             | DEFINED                  |
| NV ENERGY INC                | CMN            | 67073Y106 | 9.39               | 469                | SH                  | DEFINED                  |
| NV ENERGY INC                | CMN            | 67073Y106 | 2047.90            | 102,322            | SH                  | DEFINED                  |
| NV ENERGY INC                | CMN            | 67073Y106 | 1.26               | 63                 | SH                  | DEFINED                  |
| NVIDIA CORP                  | CMN            | 67066G104 | 902.15             | 70,553             | SH                  | DEFINED                  |
| NVIDIA CORP                  | CMN            | 67066G104 | 1328.28            | 103,879            | SH                  | DEFINED                  |
| NVIDIA CORP                  | CMN            | 67066G104 | 0.45               | 35                 | SH                  | DEFINED                  |
| NXP SEMICONDUCTORS NV        | CMN            | N6596X109 | 1358.34            | 45,000             | SH                  | DEFINED                  |
| NXP SEMICONDUCTORS NV        | CMN            | N6596X109 | 2483.08            | 82,261             | SH                  | DEFINED                  |
| NYMOX PHARMACEUTICAL CORP    | CMN            | 67076P102 | 76.33              | 14,291             | SH                  | SOLE                     |
| NYSE EURONEXT                | CMN            | 629491101 | 236.21             | 6,134              | SH                  | DEFINED                  |
| NYSE EURONEXT                | CMN            | 629491101 | 936.51             | 24,320             | SH                  | DEFINED                  |
| NYSE EURONEXT                | CMN            | 629491101 | 0.39               | 10                 | SH                  | DEFINED                  |
| OCCIDENTAL PETROLEUM CORP    | CMN            | 674599105 | 426.65             | 5,445              | SH                  | DEFINED                  |
| OCCIDENTAL PETROLEUM CORP    | CMN            | 674599105 | 6776.19            | 86,479             | SH                  | DEFINED                  |
| OCCIDENTAL PETROLEUM CORP    | CMN            | 674599105 | 4.54               | 58                 | SH                  | DEFINED                  |
| OCWEN FINANCIAL CORP         | CMN            | 675746309 | 363.94             | 9,605              | SH                  | DEFINED                  |
| OCWEN FINANCIAL CORP         | CMN            | 675746309 | 466.86             | 12,321             | SH                  | DEFINED                  |
| ODYSSEY MARINE EXPLORATION   | CMN            | 676118102 | 1.13               | 350                | SH                  | DEFINED                  |
| ODYSSEY MARINE EXPLORATION   | CMN            | 676118102 | 65.38              | 20,172             | SH                  | DEFINED                  |
| OFFICE DEPOT INC             | CMN            | 676220106 | 49.13              | 12,501             | SH                  | DEFINED                  |
| OFFICE DEPOT INC             | CMN            | 676220106 | 502.05             | 127,744            | SH                  | DEFINED                  |
| OFFICE DEPOT INC             | CMN            | 676220106 | 2.63               | 670                | SH                  | DEFINED                  |
| OFFICEMAX INC                | CMN            | 67622P101 | 5.80               | 500                | SH                  | DEFINED                  |
| OFFICEMAX INC                | CMN            | 67622P101 | 120.20             | 10,356             | SH                  | DEFINED                  |
| OI SA-PREFERENCE             | CMN            | 670851203 | 183.06             | 59,428             | SH                  | DEFINED                  |
| OI SA-PREFERENCE             | CMN            | 670851203 | 864.35             | 280,600            | SH                  | DEFINED                  |
| OIL STATES INTERNATIONAL INC | CMN            | 678026105 | 4.81               | 59                 | SH                  | DEFINED                  |
| OIL STATES INTERNATIONAL INC | CMN            | 678026105 | 5243.43            | 64,275             | SH                  | DEFINED                  |
| OIL STATES INTERNATIONAL INC | CMN            | 678026105 | 12.24              | 150                | SH                  | DEFINED                  |
| OLD REPUBLIC INTL CORP       | CMN            | 680223104 | 433.65             | 34,368             | SH                  | DEFINED                  |
| OLD REPUBLIC INTL CORP       | CMN            | 680223104 | 2.02               | 160                | SH                  | DEFINED                  |
| OLIN CORP                    | CMN            | 680665205 | 261.44             | 10,421             | SH                  | DEFINED                  |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                   |     |           |         |               |         |   |
|-------------------|-----|-----------|---------|---------------|---------|---|
| OLIN CORP         | CMN | 680665205 | 2.36    | 94 SH         | DEFINED | 9 |
| OLYMPIC STEEL INC | CMN | 68162K106 | 253.27  | 10,683 SH     | SOLE    | 1 |
| OMNICARE INC      | CMN | 681904108 | 36.55   | 901 SH        | DEFINED | 2 |
| OMNICARE INC      | CMN | 681904108 | 789.91  | 19,470 SH     | DEFINED | 1 |
| OMNICARE INC      | CMN | 681904108 | 10.87   | 268 SH        | DEFINED | 9 |
| OMNICARE INC      | CNV | 681904AN8 | 9843.52 | 6,080,000 PRN | SOLE    | 1 |
| OMNICARE INC      | CNV | 681904AP3 | 1134.56 | 1,013,000 PRN | SOLE    | 1 |
| OMNICELL INC      | CMN | 68213N109 | 268.58  | 14,267 SH     | SOLE    | 1 |
| OMNICOM GROUP     | CMN | 681919106 | 20.54   | 349 SH        | DEFINED | 2 |
| OMNICOM GROUP     | CMN | 681919106 | 6615.60 | 112,401 SH    | DEFINED | 1 |

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6   | COLUMN 7     |                          |    |
|------------------------------|----------------|-----------|--------------------|--------------------|------------|--------------|--------------------------|----|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PRN | PUT/<br>CALL | INVESTMENT<br>DISCRETION | MA |
| OMNICOM GROUP                | CMN            | 681919106 | 2.41               | 41 SH              |            |              | DEFINED                  | 9  |
| OMNIVISION TECHNOLOGIES INC  | CMN            | 682128103 | 24.18              | 1,750 SH           |            |              | DEFINED                  | 2  |
| OMNIVISION TECHNOLOGIES INC  | CMN            | 682128103 | 422.23             | 30,562 SH          |            |              | DEFINED                  | 1  |
| ON SEMICONDUCTOR CORPORATION | CMN            | 682189105 | 9.92               | 1,200 SH           |            |              | DEFINED                  | 2  |
| ON SEMICONDUCTOR CORPORATION | CMN            | 682189105 | 524.90             | 63,514 SH          |            |              | DEFINED                  | 1  |
| ON SEMICONDUCTOR CORPORATION | CNV            | 682189AG0 | 73.29              | 70,000 PRN         |            |              | SOLE                     | 1  |
| ONCOGENEX PHARMACEUTICAL INC | CMN            | 68230A106 | 139.28             | 12,136 SH          |            |              | SOLE                     | 1  |
| ONCOTHYREON INC              | CMN            | 682324108 | 1.04               | 500 SH             |            |              | DEFINED                  | 2  |
| ONCOTHYREON INC              | CMN            | 682324108 | 52.49              | 25,200 SH          |            |              | DEFINED                  | 1  |
| ONEOK INC                    | CMN            | 682680103 | 4658.45            | 98,270 SH          |            |              | DEFINED                  | 8  |
| ONEOK INC                    | CMN            | 682680103 | 17.59              | 371 SH             |            |              | DEFINED                  | 2  |
| ONEOK INC                    | CMN            | 682680103 | 5433.76            | 114,625 SH         |            |              | DEFINED                  | 1  |
| OPKO HEALTH INC              | CMN            | 68375N103 | 101.26             | 13,290 SH          |            |              | DEFINED                  | 2  |
| OPKO HEALTH INC              | CMN            | 68375N103 | 52.57              | 6,900 SH           |            |              | DEFINED                  | 1  |
| ORACLE CORP                  | CMN            | 68389X105 | 1026.01            | 31,793 SH          |            |              | DEFINED                  | 2  |
| ORACLE CORP                  | CMN            | 68389X105 | 16488.70           | 510,938 SH         |            |              | DEFINED                  | 1  |
| ORACLE CORP                  | CMN            | 68389X105 | 6.62               | 205 SH             |            |              | DEFINED                  | 9  |
| ORASURE TECHNOLOGIES INC     | CMN            | 68554V108 | 265.63             | 49,331 SH          |            |              | SOLE                     | 1  |
| ORBCOMM INC                  | CMN            | 68555P100 | 110.83             | 21,400 SH          |            |              | SOLE                     | 1  |
| O'REILLY AUTOMOTIVE INC      | CMN            | 67103H107 | 65.63              | 640 SH             |            |              | DEFINED                  | 2  |
| O'REILLY AUTOMOTIVE INC      | CMN            | 67103H107 | 1736.27            | 16,931 SH          |            |              | DEFINED                  | 1  |
| O'REILLY AUTOMOTIVE INC      | CMN            | 67103H107 | 1.74               | 17 SH              |            |              | DEFINED                  | 9  |
| OREXIGEN THERAPEUTICS INC    | CMN            | 686164104 | 94.75              | 15,384 SH          |            |              | DEFINED                  | 2  |
| OREXIGEN THERAPEUTICS INC    | CMN            | 686164104 | 3.08               | 500 SH             |            |              | DEFINED                  | 1  |
| ORIENT EXPRESS HOTELS LTD -A | CMN            | G67743107 | 226.85             | 23,000 SH          |            |              | SOLE                     | 2  |
| ORIENT EXPRESS HOTELS LTD -A | CMN            | G67743107 | 87.58              | 8,880 SH           |            |              | SOLE                     | 1  |
| ORION MARINE GROUP INC       | CMN            | 68628V308 | 294.13             | 29,464 SH          |            |              | SOLE                     | 1  |
| OTTER TAIL CORP              | CMN            | 689648103 | 239.37             | 7,685 SH           |            |              | SOLE                     | 1  |
| OWENS CORNING                | CMN            | 690742101 | 3384.93            | 85,847 SH          |            |              | SOLE                     | 1  |
| OWENS-ILLINOIS INC           | CMN            | 690768403 | 11.21              | 422 SH             |            |              | DEFINED                  | 2  |
| OWENS-ILLINOIS INC           | CMN            | 690768403 | 592.56             | 22,303 SH          |            |              | DEFINED                  | 1  |
| OWENS-ILLINOIS INC           | CMN            | 690768403 | 0.08               | 3 SH               |            |              | DEFINED                  | 9  |
| P G & E CORP                 | CMN            | 69331C108 | 25.22              | 569 SH             |            |              | DEFINED                  | 2  |
| P G & E CORP                 | CMN            | 69331C108 | 1769.11            | 39,917 SH          |            |              | DEFINED                  | 1  |
| PACCAR INC                   | CMN            | 693718108 | 23.58              | 467 SH             |            |              | DEFINED                  | 2  |
| PACCAR INC                   | CMN            | 693718108 | 1399.37            | 27,720 SH          |            |              | DEFINED                  | 1  |
| PACCAR INC                   | CMN            | 693718108 | 2.12               | 42 SH              |            |              | DEFINED                  | 9  |
| PACER INTERNATIONAL INC      | CMN            | 69373H106 | 2.51               | 500 SH             |            |              | DEFINED                  | 2  |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                              |     |           |         |         |    |         |   |
|------------------------------|-----|-----------|---------|---------|----|---------|---|
| PACER INTERNATIONAL INC      | CMN | 69373H106 | 64.48   | 12,845  | SH | DEFINED | 1 |
| PACIFIC BIOSCIENCES OF CALIF | CMN | 69404D108 | 277.15  | 112,910 | SH | SOLE    | 2 |
| PACIFIC DRILLING SA          | CMN | L7257P106 | 132.30  | 13,100  | SH | SOLE    | 2 |
| PACKAGING CORP OF AMERICA    | CMN | 695156109 | 195.06  | 4,402   | SH | DEFINED | 2 |
| PACKAGING CORP OF AMERICA    | CMN | 695156109 | 36.87   | 832     | SH | DEFINED | 1 |
| PACKAGING CORP OF AMERICA    | CMN | 695156109 | 5.10    | 115     | SH | DEFINED | 9 |
| PACWEST BANCORP              | CMN | 695263103 | 215.72  | 7,408   | SH | SOLE    | 1 |
| PALL CORP                    | CMN | 696429307 | 26.45   | 388     | SH | DEFINED | 2 |
| PALL CORP                    | CMN | 696429307 | 605.34  | 8,880   | SH | DEFINED | 1 |
| PALL CORP                    | CMN | 696429307 | 0.89    | 13      | SH | DEFINED | 9 |
| PALO ALTO NETWORKS INC       | CMN | 697435105 | 360.98  | 6,400   | SH | DEFINED | 2 |
| PALO ALTO NETWORKS INC       | CMN | 697435105 | 636.56  | 11,286  | SH | DEFINED | 1 |
| PAN AMERICAN SILVER CORP     | CMN | 697900108 | 386.56  | 23,551  | SH | DEFINED | 2 |
| PAN AMERICAN SILVER CORP     | CMN | 697900108 | 4.92    | 300     | SH | DEFINED | 1 |
| PANASONIC CORP               | CMN | 69832A205 | 824.52  | 111,910 | SH | DEFINED | 2 |
| PANASONIC CORP               | CMN | 69832A205 | 175.35  | 23,800  | SH | DEFINED | 1 |
| PANERA BREAD COMPANY-CLASS A | CMN | 69840W108 | 8.24    | 50      | SH | DEFINED | 2 |
| PANERA BREAD COMPANY-CLASS A | CMN | 69840W108 | 743.71  | 4,512   | SH | DEFINED | 1 |
| PANERA BREAD COMPANY-CLASS A | CMN | 69840W108 | 10.88   | 66      | SH | DEFINED | 9 |
| PARKER DRILLING CO           | CMN | 701081101 | 318.20  | 73,888  | SH | SOLE    | 1 |
| PARKER HANNIFIN CORP         | CMN | 701094104 | 582.68  | 6,357   | SH | DEFINED | 2 |
| PARKER HANNIFIN CORP         | CMN | 701094104 | 2511.76 | 27,403  | SH | DEFINED | 1 |

FORM 13F INFORMATION TABLE

| COLUMN 1                    | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           |                     | COLUMN 6                 | COLUMN 7 |
|-----------------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|----------|
| NAME OF ISSUER              | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION | OTHER    |
| PARKER HANNIFIN CORP        | CMN            | 701094104 | 1.65               | 18                 | SH                  | DEFINED                  | 9        |
| PARTNERRE LTD               | CMN            | G6852T105 | 1269.54            | 13,663             | SH                  | SOLE                     | 1        |
| PATTERSON COS INC           | CMN            | 703395103 | 4.22               | 111                | SH                  | DEFINED                  | 2        |
| PATTERSON COS INC           | CMN            | 703395103 | 675.50             | 17,784             | SH                  | DEFINED                  | 1        |
| PATTERSON COS INC           | CMN            | 703395103 | 0.27               | 7                  | SH                  | DEFINED                  | 9        |
| PAYCHEX INC                 | CMN            | 704326107 | 51.23              | 1,463              | SH                  | DEFINED                  | 2        |
| PAYCHEX INC                 | CMN            | 704326107 | 1041.60            | 29,748             | SH                  | DEFINED                  | 1        |
| PAYCHEX INC                 | CMN            | 704326107 | 0.63               | 18                 | SH                  | DEFINED                  | 9        |
| PBF ENERGY INC              | CMN            | 69318G106 | 924.59             | 24,786             | SH                  | SOLE                     | 1        |
| PDL BIOPHARMA INC           | CMN            | 69329Y104 | 1134.87            | 155,667            | SH                  | DEFINED                  | 8        |
| PDL BIOPHARMA INC           | CMN            | 69329Y104 | 41.68              | 5,717              | SH                  | DEFINED                  | 2        |
| PDL BIOPHARMA INC           | CMN            | 69329Y104 | 284.89             | 39,078             | SH                  | DEFINED                  | 1        |
| PEABODY ENERGY CORP         | CMN            | 704549104 | 366.27             | 17,365             | SH                  | DEFINED                  | 2        |
| PEABODY ENERGY CORP         | CMN            | 704549104 | 3471.94            | 164,607            | SH                  | DEFINED                  | 1        |
| PEABODY ENERGY CORP         | CMN            | 704549104 | 0.40               | 19                 | SH                  | DEFINED                  | 9        |
| PEGASYSTEMS INC             | CMN            | 705573103 | 36.72              | 1,300              | SH                  | DEFINED                  | 2        |
| PEGASYSTEMS INC             | CMN            | 705573103 | 383.60             | 13,582             | SH                  | DEFINED                  | 1        |
| PENN VIRGINIA CORP          | CMN            | 707882106 | 497.07             | 121,911            | SH                  | SOLE                     | 1        |
| PENSKE AUTOMOTIVE GROUP INC | CMN            | 70959W103 | 342.33             | 10,261             | SH                  | SOLE                     | 1        |
| PENTAIR LTD-REGISTERED      | CMN            | H6169Q108 | 154.60             | 2,940              | SH                  | DEFINED                  | 2        |
| PENTAIR LTD-REGISTERED      | CMN            | H6169Q108 | 6117.71            | 116,340            | SH                  | DEFINED                  | 1        |
| PENTAIR LTD-REGISTERED      | CMN            | H6169Q108 | 1.31               | 25                 | SH                  | DEFINED                  | 9        |
| PEOPLE'S UNITED FINANCIAL   | CMN            | 712704105 | 71.10              | 5,295              | SH                  | DEFINED                  | 2        |
| PEOPLE'S UNITED FINANCIAL   | CMN            | 712704105 | 706.61             | 52,625             | SH                  | DEFINED                  | 1        |
| PEOPLE'S UNITED FINANCIAL   | CMN            | 712704105 | 0.20               | 15                 | SH                  | DEFINED                  | 9        |
| PEP BOYS-MANNY MOE & JACK   | CMN            | 713278109 | 259.14             | 21,997             | SH                  | SOLE                     | 1        |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                             |     |           |          |               |         |   |
|-----------------------------|-----|-----------|----------|---------------|---------|---|
| PEPCO HOLDINGS INC          | CMN | 713291102 | 6.46     | 303 SH        | DEFINED | 2 |
| PEPCO HOLDINGS INC          | CMN | 713291102 | 843.02   | 39,569 SH     | DEFINED | 1 |
| PEPSICO INC                 | CMN | 713448108 | 1371.69  | 17,385 SH     | DEFINED | 2 |
| PEPSICO INC                 | CMN | 713448108 | 10203.05 | 129,315 SH    | DEFINED | 1 |
| PEPSICO INC                 | CMN | 713448108 | 0.63     | 8 SH          | DEFINED | 9 |
| PERKINELMER INC             | CMN | 714046109 | 21.88    | 652 SH        | DEFINED | 2 |
| PERKINELMER INC             | CMN | 714046109 | 300.72   | 8,960 SH      | DEFINED | 1 |
| PERKINELMER INC             | CMN | 714046109 | 0.34     | 10 SH         | DEFINED | 9 |
| PERRIGO CO                  | CMN | 714290103 | 176.16   | 1,491 SH      | DEFINED | 2 |
| PERRIGO CO                  | CMN | 714290103 | 1023.75  | 8,665 SH      | DEFINED | 1 |
| PERRIGO CO                  | CMN | 714290103 | 0.83     | 7 SH          | DEFINED | 9 |
| PERRY ELLIS INTERNATIONAL   | CMN | 288853104 | 336.33   | 18,407 SH     | SOLE    | 1 |
| PETROBRAS - PETROLEO BRAS   | CMN | 71654V408 | 6849.04  | 411,944 SH    | DEFINED | 2 |
| PETROBRAS - PETROLEO BRAS   | CMN | 71654V408 | 954.72   | 57,423 SH     | DEFINED | 1 |
| PETROBRAS ARGENTINA SA-B    | CMN | 71646J109 | 125.71   | 26,220 SH     | SOLE    | 2 |
| PETSMART INC                | CMN | 716768106 | 158.00   | 2,542 SH      | DEFINED | 2 |
| PETSMART INC                | CMN | 716768106 | 1265.20  | 20,355 SH     | DEFINED | 1 |
| PETSMART INC                | CMN | 716768106 | 1.06     | 17 SH         | DEFINED | 9 |
| PFIZER INC                  | CMN | 717081103 | 317.44   | 11,045 SH     | DEFINED | 8 |
| PFIZER INC                  | CMN | 717081103 | 1667.71  | 58,027 SH     | DEFINED | 2 |
| PFIZER INC                  | CMN | 717081103 | 17871.85 | 621,839 SH    | DEFINED | 1 |
| PFIZER INC                  | CMN | 717081103 | 17.53    | 610 SH        | DEFINED | 9 |
| PHARMATHENE INC             | CMN | 71714G102 | 17.03    | 10,000 SH     | SOLE    | 2 |
| PHH CORP                    | CMN | 693320202 | 5014.11  | 227,587 SH    | DEFINED | 8 |
| PHH CORP                    | CMN | 693320202 | 531.40   | 24,120 SH     | DEFINED | 1 |
| PHH CORP                    | CNV | 693320AN3 | 1751.74  | 1,586,000 PRN | SOLE    | 1 |
| PHH CORP                    | CNV | 693320AQ6 | 6159.15  | 3,223,000 PRN | SOLE    | 1 |
| PHILIP MORRIS INTERNATIONAL | CMN | 718172109 | 0.09     | 1 SH          | DEFINED | 2 |
| PHILIP MORRIS INTERNATIONAL | CMN | 718172109 | 1724.90  | 18,625 SH     | DEFINED | 2 |
| PHILIP MORRIS INTERNATIONAL | CMN | 718172109 | 13008.30 | 140,460 SH    | DEFINED | 1 |
| PHILIP MORRIS INTERNATIONAL | CMN | 718172109 | 0.83     | 9 SH          | DEFINED | 9 |
| PHILLIPS 66                 | CMN | 718546104 | 25260.82 | 362,238 SH    | DEFINED | 8 |
| PHILLIPS 66                 | CMN | 718546104 | 409.70   | 5,875 SH      | DEFINED | 2 |
| PHILLIPS 66                 | CMN | 718546104 | 5450.87  | 78,165 SH     | DEFINED | 1 |

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           |                     | COLUMN 6                 | COLUMN 7    |
|------------------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|-------------|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION | MAINTENANCE |
| PHILLIPS 66                  | CMN            | 718546104 | 3.07               | 44 SH              |                     | DEFINED                  | 9           |
| PHILLIPS 66                  | CMN            | 718546104 | 134094.26          | 1,922,900 SH       | CALL                | DEFINED                  | 1           |
| PHOTOMEDEX INC               | CMN            | 719358301 | 572.27             | 35,647 SH          |                     | SOLE                     | 1           |
| PHOTRONICS INC               | CMN            | 719405102 | 177.99             | 26,610 SH          |                     | SOLE                     | 1           |
| PIKE ELECTRIC CORP           | CMN            | 721283109 | 38.32              | 2,692 SH           |                     | DEFINED                  | 2           |
| PIKE ELECTRIC CORP           | CMN            | 721283109 | 711.85             | 50,002 SH          |                     | DEFINED                  | 1           |
| PILGRIM'S PRIDE CORP         | CMN            | 72147K108 | 867.93             | 94,973 SH          |                     | SOLE                     | 1           |
| PIMCO TOTAL RETURN ETF       | CMN            | 72201R775 | 5065.33            | 46,133 SH          |                     | SOLE                     | 2           |
| PINNACLE ENTERTAINMENT INC   | CMN            | 723456109 | 184.20             | 12,565 SH          |                     | SOLE                     | 1           |
| PINNACLE FINANCIAL PARTNERS  | CMN            | 72346Q104 | 207.99             | 8,867 SH           |                     | SOLE                     | 1           |
| PINNACLE WEST CAPITAL        | CMN            | 723484101 | 163.54             | 2,845 SH           |                     | DEFINED                  | 2           |
| PINNACLE WEST CAPITAL        | CMN            | 723484101 | 555.62             | 9,666 SH           |                     | DEFINED                  | 1           |
| PIONEER ENERGY SERVICES CORP | CMN            | 723664108 | 203.63             | 24,619 SH          |                     | SOLE                     | 1           |
| PIONEER NATURAL RESOURCES CO | CMN            | 723787107 | 129.69             | 1,041 SH           |                     | DEFINED                  | 2           |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                              |     |           |           |            |        |         |   |
|------------------------------|-----|-----------|-----------|------------|--------|---------|---|
| PIONEER NATURAL RESOURCES CO | CMN | 723787107 | 2153.11   | 17,282     | SH     | DEFINED | 1 |
| PIONEER NATURAL RESOURCES CO | CMN | 723787107 | 1.12      | 9          | SH     | DEFINED | 9 |
| PIONEER NATURAL RESOURCES CO | CMN | 723787107 | 32325.00  | 15,000,000 | PRN    | SOLE    | 1 |
| PITNEY BOWES INC             | CMN | 724479100 | 37.86     | 2,544      | SH     | DEFINED | 2 |
| PITNEY BOWES INC             | CMN | 724479100 | 576.14    | 38,712     | SH     | DEFINED | 1 |
| PITNEY BOWES INC             | CMN | 724479100 | 0.36      | 24         | SH     | DEFINED | 9 |
| PLAINS EXPLORATION & PRODUCT | CMN | 726505100 | 0.05      | 1          | SH     | DEFINED | 2 |
| PLAINS EXPLORATION & PRODUCT | CMN | 726505100 | 768.58    | 16,211     | SH     | DEFINED | 1 |
| PLAINS EXPLORATION & PRODUCT | CMN | 726505100 | 16.78     | 354        | SH     | DEFINED | 9 |
| PLEXUS CORP                  | CMN | 729132100 | 20.80     | 855        | SH     | DEFINED | 2 |
| PLEXUS CORP                  | CMN | 729132100 | 336.12    | 13,817     | SH     | DEFINED | 1 |
| PLUM CREEK TIMBER CO INC     | CMN | 729251108 | 31.32     | 602        | SH     | DEFINED | 2 |
| PLUM CREEK TIMBER CO INC     | CMN | 729251108 | 662.78    | 12,740     | SH     | DEFINED | 1 |
| PLUM CREEK TIMBER CO INC     | CMN | 729251108 | 0.36      | 7          | SH     | DEFINED | 9 |
| PLUM CREEK TIMBER CO INC     | CMN | 729251108 | 1462      | 28000      | SH     | SOLE    | 5 |
| PMC - SIERRA INC             | CMN | 69344F106 | 92.11     | 13,600     | SH     | DEFINED | 2 |
| PMC - SIERRA INC             | CMN | 69344F106 | 33.10     | 4,887      | SH     | DEFINED | 1 |
| PNC FINANCIAL SERVICES GROUP | CMN | 693475105 | 125.12    | 1,891      | SH     | DEFINED | 2 |
| PNC FINANCIAL SERVICES GROUP | CMN | 693475105 | 2827.98   | 42,740     | SH     | DEFINED | 1 |
| PNC FINANCIAL SERVICES GROUP | CMN | 693475105 | 1.52      | 23         | SH     | DEFINED | 9 |
| PNC FINANCIAL SERVICES GROUP | CMN | 693475121 | 1824.86   | 150,000    | SH     | DEFINED | 8 |
| PNC FINANCIAL SERVICES GROUP | CMN | 693475121 | 313.75    | 25,790     | SH     | DEFINED | 1 |
| POLYPORE INTERNATIONAL INC   | CMN | 73179V103 | 230.32    | 5,710      | SH     | DEFINED | 2 |
| POLYPORE INTERNATIONAL INC   | CMN | 73179V103 | 353.55    | 8,765      | SH     | DEFINED | 1 |
| POPULAR INC                  | CMN | 733174700 | 113.44    | 4,100      | SH     | DEFINED | 2 |
| POPULAR INC                  | CMN | 733174700 | 869.78    | 31,437     | SH     | DEFINED | 1 |
| PORTLAND GENERAL ELECTRIC CO | CMN | 736508847 | 1464.59   | 48,362     | SH     | SOLE    | 1 |
| POTASH CORP OF SASKATCHEWAN  | CMN | 73755L107 | 544.11    | 13,840     | SH     | SOLE    | 2 |
| POWER-ONE INC                | CMN | 73930R102 | 43.35     | 10,507     | SH     | DEFINED | 2 |
| POWER-ONE INC                | CMN | 73930R102 | 4.13      | 1,000      | SH     | DEFINED | 1 |
| POWERSHARES INDIA PORTFOLIO  | CMN | 73935L100 | 742.56    | 41,238     | SH     | DEFINED | 2 |
| POWERSHARES INDIA PORTFOLIO  | CMN | 73935L100 | 5.40      | 300        | SH     | DEFINED | 1 |
| POWERSHARES INS NATL MUN BND | CMN | 73936T474 | 273.57    | 10,780     | SH     | SOLE    | 2 |
| POWERSHARES QQQ NASDAQ 100   | CMN | 73935A104 | 0.21      | 3          | SH     | DEFINED | 8 |
| POWERSHARES QQQ NASDAQ 100   | CMN | 73935A104 | 131.99    | 1,914      | SH     | DEFINED | 2 |
| POWERSHARES QQQ NASDAQ 100   | CMN | 73935A104 | 138028.77 | 2,001,555  | SH     | DEFINED | 1 |
| POWERSHARES QQQ NASDAQ 100   | CMN | 73935A104 | 51720.58  | 750,000    | SH PUT | DEFINED | 1 |
| PPG INDUSTRIES INC           | CMN | 693506107 | 394.94    | 2,951      | SH     | DEFINED | 2 |
| PPG INDUSTRIES INC           | CMN | 693506107 | 1605.99   | 12,000     | SH     | DEFINED | 1 |
| PPG INDUSTRIES INC           | CMN | 693506107 | 0.40      | 3          | SH     | DEFINED | 9 |
| PPL CORPORATION              | CMN | 69351T106 | 114.37    | 3,672      | SH     | DEFINED | 2 |
| PPL CORPORATION              | CMN | 69351T106 | 2012.42   | 64,611     | SH     | DEFINED | 1 |
| PRAXAIR INC                  | CMN | 74005P104 | 851.67    | 7,641      | SH     | DEFINED | 2 |
| PRAXAIR INC                  | CMN | 74005P104 | 3591.80   | 32,225     | SH     | DEFINED | 1 |
| PRAXAIR INC                  | CMN | 74005P104 | 0.67      | 6          | SH     | DEFINED | 9 |
| PRECISION CASTPARTS CORP     | CMN | 740189105 | 69.63     | 368        | SH     | DEFINED | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1                 | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6   | COLUMN 7                 |
|--------------------------|----------------|-----------|--------------------|--------------------|------------|--------------------------|
| NAME OF ISSUER           | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PRN | PUT/<br>CALL             |
|                          |                |           |                    |                    |            | INVESTMENT<br>DISCRETION |
| PRECISION CASTPARTS CORP | CMN            | 740189105 | 4049.94            | 21,404             | SH         | DEFINED                  |
| PRECISION CASTPARTS CORP | CMN            | 740189105 | 3.22               | 17                 | SH         | DEFINED                  |



Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                              |     |           |          |           |        |         |   |
|------------------------------|-----|-----------|----------|-----------|--------|---------|---|
| PRICELINE.COM INC            | CMN | 741503403 | 1069.54  | 1,545     | SH     | DEFINED | 2 |
| PRICELINE.COM INC            | CMN | 741503403 | 4875.59  | 7,043     | SH     | DEFINED | 1 |
| PRICELINE.COM INC            | CMN | 741503403 | 5.54     | 8         | SH     | DEFINED | 9 |
| PRICELINE.COM INC            | CNV | 741503AQ9 | 854.20   | 775,000   | PRN    | SOLE    | 1 |
| PRIMUS TELECOMMUNICATIONS GR | CMN | 741929301 | 1324     | 119790    | SH     | SOLE    | 4 |
| PRINCIPAL FINANCIAL GROUP    | CMN | 74251V102 | 127.13   | 3,764     | SH     | DEFINED | 2 |
| PRINCIPAL FINANCIAL GROUP    | CMN | 74251V102 | 739.69   | 21,900    | SH     | DEFINED | 1 |
| PRINCIPAL FINANCIAL GROUP    | CMN | 74251V102 | 0.41     | 12        | SH     | DEFINED | 9 |
| PROCTER & GAMBLE CO/THE      | CMN | 742718109 | 2752.38  | 35,792    | SH     | DEFINED | 2 |
| PROCTER & GAMBLE CO/THE      | CMN | 742718109 | 16637.79 | 216,358   | SH     | DEFINED | 1 |
| PROCTER & GAMBLE CO/THE      | CMN | 742718109 | 1.15     | 15        | SH     | DEFINED | 9 |
| PROCTER & GAMBLE CO/THE      | CMN | 742718109 | 2537.68  | 33,000    | SH PUT | DEFINED | 1 |
| PROGENICS PHARMACEUTICALS    | CMN | 743187106 | 8.06     | 1,500     | SH     | DEFINED | 2 |
| PROGENICS PHARMACEUTICALS    | CMN | 743187106 | 100.85   | 18,757    | SH     | DEFINED | 1 |
| PROGRESSIVE CORP             | CMN | 743315103 | 193.88   | 7,688     | SH     | DEFINED | 2 |
| PROGRESSIVE CORP             | CMN | 743315103 | 1194.33  | 47,359    | SH     | DEFINED | 1 |
| PROGRESSIVE CORP             | CMN | 743315103 | 0.61     | 24        | SH     | DEFINED | 9 |
| PROLOGIS INC                 | CMN | 74340W103 | 65.75    | 1,648     | SH     | DEFINED | 2 |
| PROLOGIS INC                 | CMN | 74340W103 | 1462.52  | 36,660    | SH     | DEFINED | 1 |
| PROLOGIS INC                 | CMN | 74340W103 | 0.80     | 20        | SH     | DEFINED | 9 |
| PROLOGIS INC                 | CNV | 74340XAT8 | 51.84    | 45,000    | PRN    | SOLE    | 1 |
| PROLOGIS INC                 | CNV | 743410AY8 | 1754.18  | 1,551,000 | PRN    | SOLE    | 1 |
| PROMOTORA DE INFORMACIONES-A | CMN | 74343G204 | 311.62   | 272,429   | SH     | SOLE    | 2 |
| PROSH ULTRAPRO SHORT S&P 500 | CMN | 74348A632 | 523.08   | 18,902    | SH     | DEFINED | 2 |
| PROSH ULTRAPRO SHORT S&P 500 | CMN | 74348A632 | 2.77     | 100       | SH     | DEFINED | 1 |
| PROSHARES SHORT 20+ TREASURY | CMN | 74347X849 | 231.06   | 7,735     | SH     | SOLE    | 2 |
| PROSHARES SHORT S&P500       | CMN | 74347R503 | 253.33   | 8,258     | SH     | SOLE    | 2 |
| PROSHARES ULTRA SILVER       | CMN | 74347W841 | 387.86   | 10,252    | SH     | DEFINED | 2 |
| PROSHARES ULTRA SILVER       | CMN | 74347W841 | 18.92    | 500       | SH     | DEFINED | 1 |
| PROSHARES ULTRA VIX ST FUTUR | CMN | 74347W411 | 582.41   | 75,670    | SH     | DEFINED | 2 |
| PROSHARES ULTRA VIX ST FUTUR | CMN | 74347W411 | 107.75   | 14,000    | SH     | DEFINED | 1 |
| PROSHARES ULTRASHORT 20+Y TR | CMN | 74347B201 | 982.85   | 14,959    | SH     | DEFINED | 8 |
| PROSHARES ULTRASHORT 20+Y TR | CMN | 74347B201 | 0.07     | 1         | SH     | DEFINED | 2 |
| PROSHARES ULTRASHORT 20+Y TR | CMN | 74347B201 | 1686.20  | 25,664    | SH     | DEFINED | 2 |
| PROSHARES ULTRASHORT S&P500  | CMN | 74347B300 | 534.97   | 12,077    | SH     | SOLE    | 2 |
| PROSHARES VIX SHORT-TERM FUT | CMN | 74347W692 | 183.40   | 16,810    | SH     | SOLE    | 2 |
| PROSHRE ULT DJ-UBS CRUDE OIL | CMN | 74347W650 | 228.33   | 7,335     | SH     | SOLE    | 2 |
| PROSPECT CAPITAL CORP        | CMN | 74348T102 | 98.85    | 9,050     | SH     | DEFINED | 2 |
| PROSPECT CAPITAL CORP        | CMN | 74348T102 | 199.59   | 18,274    | SH     | DEFINED | 1 |
| PROSPERITY BANCSHARES INC    | CMN | 743606105 | 258.47   | 5,510     | SH     | DEFINED | 1 |
| PROSPERITY BANCSHARES INC    | CMN | 743606105 | 1.41     | 30        | SH     | DEFINED | 9 |
| PROTALIX BIOTHERAPEUTICS INC | CMN | 74365A101 | 229.23   | 41,900    | SH     | SOLE    | 2 |
| PROTO LABS INC               | CMN | 743713109 | 277.65   | 5,656     | SH     | SOLE    | 2 |
| PRUDENTIAL FINANCIAL INC     | CMN | 744320102 | 2268.13  | 38,409    | SH     | DEFINED | 2 |
| PRUDENTIAL FINANCIAL INC     | CMN | 744320102 | 7246.52  | 122,714   | SH     | DEFINED | 1 |
| PRUDENTIAL FINANCIAL INC     | CMN | 744320102 | 1.18     | 20        | SH     | DEFINED | 9 |
| PRUDENTIAL PLC               | CMN | 74435K204 | 207.91   | 6,400     | SH     | SOLE    | 2 |
| PUBLIC SERVICE ENTERPRISE GP | CMN | 744573106 | 77.27    | 2,263     | SH     | DEFINED | 2 |
| PUBLIC SERVICE ENTERPRISE GP | CMN | 744573106 | 2657.10  | 77,823    | SH     | DEFINED | 1 |
| PUBLIC STORAGE               | CMN | 74460D109 | 96.12    | 632       | SH     | DEFINED | 2 |
| PUBLIC STORAGE               | CMN | 74460D109 | 1724.72  | 11,340    | SH     | DEFINED | 1 |
| PUBLIC STORAGE               | CMN | 74460D109 | 0.91     | 6         | SH     | DEFINED | 9 |
| PULTEGROUP INC               | CMN | 745867101 | 805.80   | 39,789    | SH     | DEFINED | 2 |
| PULTEGROUP INC               | CMN | 745867101 | 2704.83  | 133,560   | SH     | DEFINED | 1 |
| PULTEGROUP INC               | CMN | 745867101 | 25756.93 | 1,271,835 | SH     | DEFINED | 9 |
| PUREFUNDS ISE JUNIOR SIL-ETF | CMN | 30304R308 | 938.16   | 53,800    | SH     | SOLE    | 1 |
| PVH CORP                     | CMN | 693656100 | 138.19   | 1,283     | SH     | DEFINED | 2 |
| PVH CORP                     | CMN | 693656100 | 12596.96 | 116,958   | SH     | DEFINED | 1 |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

FORM 13F INFORMATION TABLE

| COLUMN 1                   | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6   | COLUMN 7     |                          |    |
|----------------------------|----------------|-----------|--------------------|--------------------|------------|--------------|--------------------------|----|
| NAME OF ISSUER             | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PRN | PUT/<br>CALL | INVESTMENT<br>DISCRETION | MA |
| PVH CORP                   | CMN            | 693656100 | 1.29               | 12                 | SH         |              | DEFINED                  | 9  |
| QEP RESOURCES INC          | CMN            | 74733V100 | 24.83              | 781                | SH         |              | DEFINED                  | 2  |
| QEP RESOURCES INC          | CMN            | 74733V100 | 567.16             | 17,837             | SH         |              | DEFINED                  | 1  |
| QEP RESOURCES INC          | CMN            | 74733V100 | 0.41               | 13                 | SH         |              | DEFINED                  | 9  |
| QIAGEN N.V.                | CMN            | N72482107 | 915.03             | 43,591             | SH         |              | SOLE                     | 1  |
| QLIK TECHNOLOGIES INC      | CMN            | 74733T105 | 5.17               | 200                | SH         |              | DEFINED                  | 2  |
| QLIK TECHNOLOGIES INC      | CMN            | 74733T105 | 504.51             | 19,519             | SH         |              | DEFINED                  | 1  |
| QLOGIC CORP                | CMN            | 747277101 | 77.75              | 6,700              | SH         |              | DEFINED                  | 2  |
| QLOGIC CORP                | CMN            | 747277101 | 298.20             | 25,698             | SH         |              | DEFINED                  | 1  |
| QLOGIC CORP                | CMN            | 747277101 | 0.88               | 76                 | SH         |              | DEFINED                  | 9  |
| QUAD GRAPHICS INC          | CMN            | 747301109 | 30.06              | 1,254              | SH         |              | DEFINED                  | 2  |
| QUAD GRAPHICS INC          | CMN            | 747301109 | 422.37             | 17,619             | SH         |              | DEFINED                  | 1  |
| QUALCOMM INC               | CMN            | 747525103 | 2093.78            | 31,308             | SH         |              | DEFINED                  | 2  |
| QUALCOMM INC               | CMN            | 747525103 | 163.65             | 2,447              | SH         |              | DEFINED                  | 3  |
| QUALCOMM INC               | CMN            | 747525103 | 11216.60           | 167,720            | SH         |              | DEFINED                  | 1  |
| QUALCOMM INC               | CMN            | 747525103 | 6.35               | 95                 | SH         |              | DEFINED                  | 9  |
| QUALCOMM INC               | CMN            | 747525103 | 12706.62           | 190,000            | SH         | CALL         | DEFINED                  | 2  |
| QUALITY DISTR INC FLA      | CMN            | 74756M102 | 85                 | 10084              | SH         |              | SOLE                     | 4  |
| QUANTA SERVICES INC        | CMN            | 74762E102 | 8.05               | 282                | SH         |              | DEFINED                  | 2  |
| QUANTA SERVICES INC        | CMN            | 74762E102 | 2609.88            | 91,428             | SH         |              | DEFINED                  | 1  |
| QUANTA SERVICES INC        | CMN            | 74762E102 | 0.71               | 25                 | SH         |              | DEFINED                  | 9  |
| QUEST DIAGNOSTICS INC      | CMN            | 74834L100 | 40.08              | 710                | SH         |              | DEFINED                  | 2  |
| QUEST DIAGNOSTICS INC      | CMN            | 74834L100 | 1973.00            | 34,949             | SH         |              | DEFINED                  | 1  |
| QUEST DIAGNOSTICS INC      | CMN            | 74834L100 | 0.73               | 13                 | SH         |              | DEFINED                  | 9  |
| QUEST RARE MINERALS LTD    | CMN            | 74836T101 | 21.13              | 35,000             | SH         |              | SOLE                     | 2  |
| QUESTAR CORP               | CMN            | 748356102 | 242.42             | 10,000             | SH         |              | DEFINED                  | 2  |
| QUESTAR CORP               | CMN            | 748356102 | 1.14               | 47                 | SH         |              | DEFINED                  | 9  |
| QUESTCOR PHARMACEUTICALS   | CMN            | 74835Y101 | 163.52             | 5,025              | SH         |              | DEFINED                  | 2  |
| QUESTCOR PHARMACEUTICALS   | CMN            | 74835Y101 | 620.43             | 19,066             | SH         |              | DEFINED                  | 1  |
| RACKSPACE HOSTING INC      | CMN            | 750086100 | 676.07             | 13,410             | SH         |              | DEFINED                  | 2  |
| RACKSPACE HOSTING INC      | CMN            | 750086100 | 1079.08            | 21,404             | SH         |              | DEFINED                  | 1  |
| RACKSPACE HOSTING INC      | CMN            | 750086100 | 4.64               | 92                 | SH         |              | DEFINED                  | 9  |
| RADIAN GROUP INC           | CMN            | 750236101 | 28.77              | 2,725              | SH         |              | DEFINED                  | 2  |
| RADIAN GROUP INC           | CMN            | 750236101 | 618.65             | 58,600             | SH         |              | DEFINED                  | 1  |
| RADIAN GROUP INC           | CNV            | 750236AN1 | 5710.61            | 4,745,000          | PRN        |              | SOLE                     | 1  |
| RADIOSHACK CORP            | CMN            | 750438103 | 445.49             | 131,910            | SH         |              | SOLE                     | 2  |
| RADWARE LTD                | CMN            | M81873107 | 169.40             | 4,500              | SH         |              | SOLE                     | 2  |
| RADWARE LTD                | CMN            | M81873107 | 42.05              | 1,117              | SH         |              | SOLE                     | 1  |
| RAIT FINANCIAL TRUST       | CMN            | 749227609 | 14.61              | 1,830              | SH         |              | DEFINED                  | 2  |
| RAIT FINANCIAL TRUST       | CMN            | 749227609 | 3446.78            | 431,740            | SH         |              | DEFINED                  | 1  |
| RALPH LAUREN CORP          | CMN            | 751212101 | 343.10             | 2,027              | SH         |              | DEFINED                  | 2  |
| RALPH LAUREN CORP          | CMN            | 751212101 | 981.06             | 5,796              | SH         |              | DEFINED                  | 1  |
| RALPH LAUREN CORP          | CMN            | 751212101 | 1.69               | 10                 | SH         |              | DEFINED                  | 9  |
| RAMBUS INC                 | CNV            | 750917AC0 | 47.71              | 47,000             | PRN        |              | SOLE                     | 1  |
| RANDGOLD RESOURCES LTD     | CMN            | 752344309 | 715.74             | 8,688              | SH         |              | SOLE                     | 2  |
| RANGE RESOURCES CORP       | CMN            | 75281A109 | 58.02              | 714                | SH         |              | DEFINED                  | 2  |
| RANGE RESOURCES CORP       | CMN            | 75281A109 | 1035.27            | 12,740             | SH         |              | DEFINED                  | 1  |
| RANGE RESOURCES CORP       | CMN            | 75281A109 | 0.98               | 12                 | SH         |              | DEFINED                  | 9  |
| RAPTOR PHARMACEUTICAL CORP | CMN            | 75382F106 | 4376.91            | 751,748            | SH         |              | SOLE                     | 2  |
| RAYONIER INC               | CMN            | 754907103 | 29.78              | 501                | SH         |              | DEFINED                  | 2  |
| RAYONIER INC               | CMN            | 754907103 | 166.57             | 2,802              | SH         |              | DEFINED                  | 1  |
| RAYONIER INC               | CMN            | 754907103 | 4.93               | 83                 | SH         |              | DEFINED                  | 9  |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                    |     |           |         |           |         |   |
|--------------------|-----|-----------|---------|-----------|---------|---|
| RAYONIER INC       | CMN | 754907103 | 2387    | 40000 SH  | SOLE    | 5 |
| RAYTHEON COMPANY   | CMN | 755111507 | 25.51   | 436 SH    | DEFINED | 2 |
| RAYTHEON COMPANY   | CMN | 755111507 | 1496.53 | 25,580 SH | DEFINED | 1 |
| RAYTHEON COMPANY   | CMN | 755111507 | 2.28    | 39 SH     | DEFINED | 9 |
| REALOGY HLDGS CORP | CMN | 75605Y106 | 46.82   | 958 SH    | DEFINED | 2 |
| REALOGY HLDGS CORP | CMN | 75605Y106 | 351.90  | 7,200 SH  | DEFINED | 1 |
| REALOGY HLDGS CORP | CMN | 75605Y106 | 802     | 16416 SH  | SOLE    | 4 |
| REALPAGE INC       | CMN | 75606N109 | 779.96  | 37,718 SH | SOLE    | 1 |

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6            | COLUMN 7                 |
|------------------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION |
| RED HAT INC                  | CMN            | 756577102 | 107.13             | 2,126 SH           |                     | DEFINED                  |
| RED HAT INC                  | CMN            | 756577102 | 2512.47            | 49,859 SH          |                     | DEFINED                  |
| RED HAT INC                  | CMN            | 756577102 | 0.55               | 11 SH              |                     | DEFINED                  |
| RED LION HOTELS CORP         | CMN            | 756764106 | 1976.40            | 279,928 SH         |                     | SOLE                     |
| REDWOOD TRUST INC            | CMN            | 758075402 | 541.72             | 23,386 SH          |                     | SOLE                     |
| REED ELSEVIER NV             | CMN            | 758204200 | 956.69             | 28,178 SH          |                     | SOLE                     |
| REED ELSEVIER PLC            | CMN            | 758205207 | 703.04             | 15,250 SH          |                     | SOLE                     |
| REGENERON PHARMACEUTICALS    | CMN            | 75886F107 | 395.29             | 2,268 SH           |                     | DEFINED                  |
| REGENERON PHARMACEUTICALS    | CMN            | 75886F107 | 33.99              | 195 SH             |                     | DEFINED                  |
| REGIONS FINANCIAL CORP       | CMN            | 7591EP100 | 44.72              | 5,464 SH           |                     | DEFINED                  |
| REGIONS FINANCIAL CORP       | CMN            | 7591EP100 | 2332.72            | 285,012 SH         |                     | DEFINED                  |
| REGIONS FINANCIAL CORP       | CMN            | 7591EP100 | 0.50               | 61 SH              |                     | DEFINED                  |
| REGIS CORP                   | CMN            | 758932107 | 2.84               | 156 SH             |                     | DEFINED                  |
| REGIS CORP                   | CMN            | 758932107 | 308.76             | 16,983 SH          |                     | DEFINED                  |
| REGIS CORP                   | CMN            | 758932107 | 2.42               | 133 SH             |                     | DEFINED                  |
| REGIS CORP                   | CNV            | 758932AA5 | 1953.30            | 1,529,000 PRN      |                     | SOLE                     |
| REINSURANCE GROUP OF AMERICA | CMN            | 759351604 | 2919.55            | 49,795 SH          |                     | DEFINED                  |
| REINSURANCE GROUP OF AMERICA | CMN            | 759351604 | 2.87               | 49 SH              |                     | DEFINED                  |
| RELIANCE STEEL & ALUMINUM    | CMN            | 759509102 | 42.57              | 600 SH             |                     | DEFINED                  |
| RELIANCE STEEL & ALUMINUM    | CMN            | 759509102 | 7185.86            | 101,271 SH         |                     | DEFINED                  |
| RELIANCE STEEL & ALUMINUM    | CMN            | 759509102 | 6.32               | 89 SH              |                     | DEFINED                  |
| RENAISSANCERE HOLDINGS LTD   | CMN            | G7496G103 | 18.06              | 197 SH             |                     | SOLE                     |
| RENAISSANCERE HOLDINGS LTD   | CMN            | G7496G103 | 847.42             | 9,245 SH           |                     | SOLE                     |
| RENTECH INC                  | CMN            | 760112102 | 237.68             | 101,009 SH         |                     | SOLE                     |
| REPROS THERAPEUTICS INC      | CMN            | 76028H209 | 638.08             | 45,787 SH          |                     | DEFINED                  |
| REPROS THERAPEUTICS INC      | CMN            | 76028H209 | 219.49             | 15,750 SH          |                     | DEFINED                  |
| REPUBLIC AIRWAYS HOLDINGS IN | CMN            | 760276105 | 30.86              | 2,673 SH           |                     | DEFINED                  |
| REPUBLIC AIRWAYS HOLDINGS IN | CMN            | 760276105 | 233.85             | 20,255 SH          |                     | DEFINED                  |
| REPUBLIC SERVICES INC        | CMN            | 760759100 | 13.03              | 396 SH             |                     | DEFINED                  |
| REPUBLIC SERVICES INC        | CMN            | 760759100 | 2142.00            | 65,074 SH          |                     | DEFINED                  |
| REPUBLIC SERVICES INC        | CMN            | 760759100 | 1.18               | 36 SH              |                     | DEFINED                  |
| RESEARCH IN MOTION           | CMN            | 760975102 | 5650.91            | 390,630 SH         |                     | DEFINED                  |
| RESEARCH IN MOTION           | CMN            | 760975102 | 3041.95            | 210,281 SH         |                     | DEFINED                  |
| RESOLUTE FST PRODS INC       | CMN            | 76117W109 | 1659               | 102539 SH          |                     | SOLE                     |
| REVOLUTION LIGHTING TECHNOLO | CMN            | 76155G107 | 37.59              | 18,535 SH          |                     | SOLE                     |
| REYNOLDS AMERICAN INC        | CMN            | 761713106 | 41.27              | 930 SH             |                     | DEFINED                  |
| REYNOLDS AMERICAN INC        | CMN            | 761713106 | 1666.26            | 37,547 SH          |                     | DEFINED                  |
| REYNOLDS AMERICAN INC        | CMN            | 761713106 | 0.09               | 2 SH               |                     | DEFINED                  |
| RF MICRO DEVICES INC         | CMN            | 749941100 | 141.92             | 26,800 SH          |                     | DEFINED                  |
| RF MICRO DEVICES INC         | CMN            | 749941100 | 60.90              | 11,500 SH          |                     | DEFINED                  |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                         |     |           |          |            |         |   |
|-------------------------|-----|-----------|----------|------------|---------|---|
| RF MICRO DEVICES INC    | CMN | 749941100 | 1.24     | 234 SH     | DEFINED | 9 |
| RICHMONT MINES INC      | CMN | 76547T106 | 105.93   | 38,650 SH  | SOLE    | 2 |
| RIO TINTO PLC           | CMN | 767204100 | 19365.48 | 411,007 SH | DEFINED | 8 |
| RIO TINTO PLC           | CMN | 767204100 | 2286.17  | 48,521 SH  | DEFINED | 2 |
| RIO TINTO PLC           | CMN | 767204100 | 445.87   | 9,463 SH   | DEFINED | 1 |
| RIVERBED TECHNOLOGY INC | CMN | 768573107 | 240.64   | 16,133 SH  | DEFINED | 2 |
| RIVERBED TECHNOLOGY INC | CMN | 768573107 | 606.13   | 40,637 SH  | DEFINED | 1 |
| RIVERBED TECHNOLOGY INC | CMN | 768573107 | 2.04     | 137 SH     | DEFINED | 9 |
| ROBERT HALF INTL INC    | CMN | 770323103 | 24.57    | 656 SH     | DEFINED | 2 |
| ROBERT HALF INTL INC    | CMN | 770323103 | 12133.66 | 323,923 SH | DEFINED | 1 |
| ROBERT HALF INTL INC    | CMN | 770323103 | 0.64     | 17 SH      | DEFINED | 9 |
| ROCK TENN COMPANY -CL A | CMN | 772739207 | 3.69     | 40 SH      | DEFINED | 2 |
| ROCK TENN COMPANY -CL A | CMN | 772739207 | 8593.30  | 93,084 SH  | DEFINED | 1 |
| ROCK TENN COMPANY -CL A | CMN | 772739207 | 7.75     | 84 SH      | DEFINED | 9 |
| ROCKWELL AUTOMATION INC | CMN | 773903109 | 368.73   | 4,264 SH   | DEFINED | 2 |
| ROCKWELL AUTOMATION INC | CMN | 773903109 | 2754.77  | 31,856 SH  | DEFINED | 1 |
| ROCKWELL AUTOMATION INC | CMN | 773903109 | 1.47     | 17 SH      | DEFINED | 9 |
| ROCKWELL COLLINS INC    | CMN | 774341101 | 11.66    | 185 SH     | DEFINED | 2 |
| ROCKWELL COLLINS INC    | CMN | 774341101 | 698.42   | 11,080 SH  | DEFINED | 1 |
| ROCKWELL COLLINS INC    | CMN | 774341101 | 1.01     | 16 SH      | DEFINED | 9 |

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6            | COLUMN 7                 |
|------------------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION |
| ROFIN-SINAR TECHNOLOGIES INC | CMN            | 775043102 | 279.53             | 10,322 SH          |                     | SOLE                     |
| ROLLINS INC                  | CMN            | 775711104 | 53.32              | 2,176 SH           |                     | DEFINED                  |
| ROLLINS INC                  | CMN            | 775711104 | 553.22             | 22,577 SH          |                     | DEFINED                  |
| ROLLINS INC                  | CMN            | 775711104 | 3.43               | 140 SH             |                     | DEFINED                  |
| ROPER INDUSTRIES INC         | CMN            | 776696106 | 61.70              | 486 SH             |                     | DEFINED                  |
| ROPER INDUSTRIES INC         | CMN            | 776696106 | 1054.16            | 8,304 SH           |                     | DEFINED                  |
| ROPER INDUSTRIES INC         | CMN            | 776696106 | 1.52               | 12 SH              |                     | DEFINED                  |
| ROPER INDUSTRIES INC         | CNV            | 776696AAA | 63.10              | 40,000 PRN         |                     | SOLE                     |
| ROSETTA RESOURCES INC        | CMN            | 777779307 | 14.28              | 300 SH             |                     | DEFINED                  |
| ROSETTA RESOURCES INC        | CMN            | 777779307 | 277.22             | 5,825 SH           |                     | DEFINED                  |
| ROSETTA RESOURCES INC        | CMN            | 777779307 | 6.85               | 144 SH             |                     | DEFINED                  |
| ROSS STORES INC              | CMN            | 778296103 | 147.86             | 2,455 SH           |                     | DEFINED                  |
| ROSS STORES INC              | CMN            | 778296103 | 1525.81            | 25,333 SH          |                     | DEFINED                  |
| ROSS STORES INC              | CMN            | 778296103 | 2.11               | 35 SH              |                     | DEFINED                  |
| ROWAN COMPANIES PLC-A        | CMN            | G7665A101 | 35.77              | 1,011 SH           |                     | DEFINED                  |
| ROWAN COMPANIES PLC-A        | CMN            | G7665A101 | 346.76             | 9,800 SH           |                     | DEFINED                  |
| ROWAN COMPANIES PLC-A        | CMN            | G7665A101 | 0.32               | 9 SH               |                     | DEFINED                  |
| ROYAL CARIBBEAN CRUISES LTD  | CMN            | V7780T103 | 276.97             | 8,339 SH           |                     | DEFINED                  |
| ROYAL CARIBBEAN CRUISES LTD  | CMN            | V7780T103 | 4163.29            | 125,349 SH         |                     | DEFINED                  |
| ROYAL DUTCH SHELL PLC-A SHS  | CMN            | 780259206 | 1679.79            | 25,710 SH          |                     | SOLE                     |
| ROYAL DUTCH SHELL PLC-B SHS  | CMN            | 780259107 | 56.65              | 846 SH             |                     | DEFINED                  |
| ROYAL DUTCH SHELL PLC-B SHS  | CMN            | 780259107 | 147.32             | 2,200 SH           |                     | DEFINED                  |
| ROYAL GOLD INC               | CMN            | 780287108 | 810.67             | 11,468 SH          |                     | DEFINED                  |
| ROYAL GOLD INC               | CMN            | 780287108 | 391.62             | 5,540 SH           |                     | DEFINED                  |
| ROYAL GOLD INC               | CMN            | 780287108 | 5.37               | 76 SH              |                     | DEFINED                  |
| RPC INC                      | CMN            | 749660106 | 168.63             | 11,056 SH          |                     | SOLE                     |
| RTI BIOLOGICS INC            | CMN            | 74975N105 | 69.57              | 17,749 SH          |                     | SOLE                     |
| RTI INTERNATIONAL METALS INC | CMN            | 74973W107 | 231.04             | 7,284 SH           |                     | SOLE                     |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                              |     |           |          |            |     |         |   |
|------------------------------|-----|-----------|----------|------------|-----|---------|---|
| RUBY TUESDAY INC             | CMN | 781182100 | 8.34     | 1,130      | SH  | DEFINED | 2 |
| RUBY TUESDAY INC             | CMN | 781182100 | 146.56   | 19,862     | SH  | DEFINED | 1 |
| RUDOLPH TECHNOLOGIES INC     | CMN | 781270103 | 148.11   | 12,555     | SH  | SOLE    | 1 |
| RYDER SYSTEM INC             | CMN | 783549108 | 12.10    | 203        | SH  | DEFINED | 2 |
| RYDER SYSTEM INC             | CMN | 783549108 | 7494.05  | 125,730    | SH  | DEFINED | 1 |
| RYDER SYSTEM INC             | CMN | 783549108 | 0.36     | 6          | SH  | DEFINED | 9 |
| RYMAN HOSPITALITY PROPERTIES | CMN | 78377T107 | 2670.69  | 58,621     | SH  | DEFINED | 8 |
| RYMAN HOSPITALITY PROPERTIES | CMN | 78377T107 | 922.06   | 20,239     | SH  | DEFINED | 2 |
| RYMAN HOSPITALITY PROPERTIES | CMN | 78377T107 | 561.10   | 12,316     | SH  | DEFINED | 1 |
| SAFEWAY INC                  | CMN | 786514208 | 13.57    | 517        | SH  | DEFINED | 2 |
| SAFEWAY INC                  | CMN | 786514208 | 7253.38  | 276,289    | SH  | DEFINED | 1 |
| SAFEWAY INC                  | CMN | 786514208 | 0.03     | 1          | SH  | DEFINED | 9 |
| SAIA INC                     | CMN | 78709Y105 | 28.01    | 775        | SH  | DEFINED | 2 |
| SAIA INC                     | CMN | 78709Y105 | 314.71   | 8,706      | SH  | DEFINED | 1 |
| SAIC INC                     | CMN | 78390X101 | 5.06     | 375        | SH  | DEFINED | 2 |
| SAIC INC                     | CMN | 78390X101 | 408.22   | 30,282     | SH  | DEFINED | 1 |
| SAIC INC                     | CMN | 78390X101 | 0.22     | 16         | SH  | DEFINED | 9 |
| SAKS INC                     | CMN | 79377W108 | 901.40   | 78,270     | SH  | DEFINED | 1 |
| SAKS INC                     | CMN | 79377W108 | 2.74     | 238        | SH  | DEFINED | 9 |
| SAKS INC                     | CMN | 79377W108 | 11306.65 | 11,301,000 | PRN | SOLE    | 1 |
| SALESFORCE.COM INC           | CMN | 79466L302 | 16608.25 | 93,106     | SH  | DEFINED | 8 |
| SALESFORCE.COM INC           | CMN | 79466L302 | 174.10   | 976        | SH  | DEFINED | 2 |
| SALESFORCE.COM INC           | CMN | 79466L302 | 3678.38  | 20,621     | SH  | DEFINED | 1 |
| SALESFORCE.COM INC           | CMN | 79466L302 | 1.25     | 7          | SH  | DEFINED | 9 |
| SALIX PHARMACEUTICALS LTD    | CMN | 795435106 | 3396.40  | 66,582     | SH  | DEFINED | 8 |
| SALIX PHARMACEUTICALS LTD    | CMN | 795435106 | 539.13   | 10,569     | SH  | DEFINED | 2 |
| SALIX PHARMACEUTICALS LTD    | CMN | 795435106 | 1149.22  | 22,529     | SH  | DEFINED | 1 |
| SALLY BEAUTY HOLDINGS INC    | CMN | 79546E104 | 21.36    | 727        | SH  | DEFINED | 2 |
| SALLY BEAUTY HOLDINGS INC    | CMN | 79546E104 | 5837.40  | 198,707    | SH  | DEFINED | 1 |
| SANDERSON FARMS INC          | CMN | 800013104 | 497.42   | 9,113      | SH  | DEFINED | 2 |
| SANDERSON FARMS INC          | CMN | 800013104 | 622.09   | 11,397     | SH  | DEFINED | 1 |
| SANDISK CORP                 | CMN | 80004C101 | 15469.27 | 281,114    | SH  | DEFINED | 8 |

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           |                     | COLUMN 6                 | COLUMN 7 |
|------------------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|----------|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION | MA       |
| SANDISK CORP                 | CMN            | 80004C101 | 178.07             | 3,236              | SH                  | DEFINED                  | 2        |
| SANDISK CORP                 | CMN            | 80004C101 | 1433.77            | 26,055             | SH                  | DEFINED                  | 1        |
| SANDISK CORP                 | CMN            | 80004C101 | 0.72               | 13                 | SH                  | DEFINED                  | 9        |
| SANDISK CORP                 | CMN            | 80004CAC5 | 91.91              | 92,000             | PRN                 | SOLE                     | 1        |
| SANDRIDGE ENERGY INC         | CMN            | 80007P307 | 183.24             | 34,500             | SH                  | DEFINED                  | 2        |
| SANDRIDGE ENERGY INC         | CMN            | 80007P307 | 1505.48            | 283,446            | SH                  | DEFINED                  | 1        |
| SANGAMO BIOSCIENCES INC      | CMN            | 800677106 | 460.07             | 48,420             | SH                  | DEFINED                  | 2        |
| SANGAMO BIOSCIENCES INC      | CMN            | 800677106 | 10.45              | 1,100              | SH                  | DEFINED                  | 1        |
| SANMINA CORP                 | CMN            | 801056102 | 58.85              | 5,166              | SH                  | DEFINED                  | 2        |
| SANMINA CORP                 | CMN            | 801056102 | 129.26             | 11,347             | SH                  | DEFINED                  | 1        |
| SANTARUS INC                 | CMN            | 802817304 | 68.31              | 3,946              | SH                  | DEFINED                  | 2        |
| SANTARUS INC                 | CMN            | 802817304 | 267.31             | 15,441             | SH                  | DEFINED                  | 1        |
| SAP AG                       | CMN            | 803054204 | 392.16             | 4,892              | SH                  | DEFINED                  | 2        |
| SAP AG                       | CMN            | 803054204 | 8.02               | 100                | SH                  | DEFINED                  | 1        |
| SAPIENT CORPORATION          | CMN            | 803062108 | 412.99             | 33,907             | SH                  | SOLE                     | 1        |
| SARATOGA RESOURCES INC/TEXAS | CMN            | 803521103 | 362.23             | 136,304            | SH                  | SOLE                     | 2        |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                              |     |           |           |           |         |         |   |
|------------------------------|-----|-----------|-----------|-----------|---------|---------|---|
| SBA COMMUNICATIONS CORP-CL A | CMN | 78388J106 | 198.04    | 2,749     | SH      | DEFINED | 2 |
| SBA COMMUNICATIONS CORP-CL A | CMN | 78388J106 | 744.68    | 10,337    | SH      | DEFINED | 1 |
| SBA COMMUNICATIONS CORP-CL A | CMN | 78388J106 | 144081.38 | 2,000,000 | SH CALL | DEFINED | 2 |
| SBA COMMUNICATIONS CORP-CL A | CNV | 78388JAM8 | 239.45    | 100,000   | PRN     | SOLE    | 1 |
| SCANA CORP                   | CMN | 80589M102 | 63.28     | 1,240     | SH      | DEFINED | 2 |
| SCANA CORP                   | CMN | 80589M102 | 538.88    | 10,560    | SH      | DEFINED | 1 |
| SCBT FINANCIAL CORP          | CMN | 78401V102 | 18.00     | 357       | SH      | DEFINED | 2 |
| SCBT FINANCIAL CORP          | CMN | 78401V102 | 216.92    | 4,302     | SH      | DEFINED | 1 |
| SCHLUMBERGER LTD             | CMN | 806857108 | 644.64    | 8,615     | SH      | DEFINED | 8 |
| SCHLUMBERGER LTD             | CMN | 806857108 | 1533.14   | 20,489    | SH      | DEFINED | 2 |
| SCHLUMBERGER LTD             | CMN | 806857108 | 13670.49  | 182,694   | SH      | DEFINED | 1 |
| SCHLUMBERGER LTD             | CMN | 806857108 | 7.11      | 95        | SH      | DEFINED | 9 |
| SCHULMAN (A.) INC            | CMN | 808194104 | 237.57    | 7,495     | SH      | SOLE    | 1 |
| SCHWAB (CHARLES) CORP        | CMN | 808513105 | 145.99    | 8,267     | SH      | DEFINED | 2 |
| SCHWAB (CHARLES) CORP        | CMN | 808513105 | 1816.09   | 102,840   | SH      | DEFINED | 1 |
| SCHWAB (CHARLES) CORP        | CMN | 808513105 | 0.83      | 47        | SH      | DEFINED | 9 |
| SCICLONE PHARMACEUTICALS INC | CMN | 80862K104 | 175.83    | 38,377    | SH      | SOLE    | 1 |
| SCIENTIFIC GAMES CORP-A      | CMN | 80874P109 | 618.25    | 70,630    | SH      | DEFINED | 1 |
| SCIENTIFIC GAMES CORP-A      | CMN | 80874P109 | 1.09      | 124       | SH      | DEFINED | 9 |
| SCORPIO TANKERS INC          | CMN | Y7542C106 | 35.30     | 4,000     | SH      | DEFINED | 2 |
| SCORPIO TANKERS INC          | CMN | Y7542C106 | 160.41    | 18,178    | SH      | DEFINED | 1 |
| SCOTTS MIRACLE-GRO CO-CL A   | CMN | 810186106 | 177.59    | 4,101     | SH      | DEFINED | 2 |
| SCOTTS MIRACLE-GRO CO-CL A   | CMN | 810186106 | 1280.60   | 29,572    | SH      | DEFINED | 1 |
| SCOTTS MIRACLE-GRO CO-CL A   | CMN | 810186106 | 1.95      | 45        | SH      | DEFINED | 9 |
| SCRIPPS NETWORKS INTER-CL A  | CMN | 811065101 | 16.65     | 259       | SH      | DEFINED | 2 |
| SCRIPPS NETWORKS INTER-CL A  | CMN | 811065101 | 618.58    | 9,621     | SH      | DEFINED | 1 |
| SCRIPPS NETWORKS INTER-CL A  | CMN | 811065101 | 0.90      | 14        | SH      | DEFINED | 9 |
| SEACOR HOLDINGS INC          | CMN | 811904101 | 48.48     | 660       | SH      | DEFINED | 2 |
| SEACOR HOLDINGS INC          | CMN | 811904101 | 236.68    | 3,222     | SH      | DEFINED | 1 |
| SEADRILL LTD                 | CMN | G7945E105 | 562.49    | 15,125    | SH      | SOLE    | 2 |
| SEAGATE TECHNOLOGY           | CMN | G7945M107 | 359.98    | 9,844     | SH      | SOLE    | 2 |
| SEAGATE TECHNOLOGY           | CMN | G7945M107 | 1462.56   | 39,995    | SH      | SOLE    | 1 |
| SEAGATE TECHNOLOGY           | CMN | G7945M107 | 0.66      | 18        | SH      | SOLE    | 9 |
| SEALED AIR CORP              | CMN | 81211K100 | 78.38     | 3,262     | SH      | DEFINED | 2 |
| SEALED AIR CORP              | CMN | 81211K100 | 547.87    | 22,802    | SH      | DEFINED | 1 |
| SEALED AIR CORP              | CMN | 81211K100 | 0.10      | 4         | SH      | DEFINED | 9 |
| SEARS HOLDINGS CORP          | CMN | 812350106 | 12.52     | 250       | SH      | DEFINED | 2 |
| SEARS HOLDINGS CORP          | CMN | 812350106 | 2950.33   | 58,914    | SH      | DEFINED | 1 |
| SEASPAN CORP                 | CMN | Y75638109 | 53.99     | 2,690     | SH      | SOLE    | 2 |
| SEASPAN CORP                 | CMN | Y75638109 | 223.66    | 11,144    | SH      | SOLE    | 1 |
| SEI INVESTMENTS COMPANY      | CMN | 784117103 | 0.03      | 1         | SH      | DEFINED | 2 |
| SEI INVESTMENTS COMPANY      | CMN | 784117103 | 1094.92   | 37,997    | SH      | DEFINED | 1 |
| SEI INVESTMENTS COMPANY      | CMN | 784117103 | 2.56      | 89        | SH      | DEFINED | 9 |
| SELECT MEDICAL HOLDINGS CORP | CMN | 81619Q105 | 3.31      | 367       | SH      | DEFINED | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6            | COLUMN 7                 |
|------------------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION |
| SELECT MEDICAL HOLDINGS CORP | CMN            | 81619Q105 | 163.51             | 18,128             | SH                  | DEFINED                  |
| SEMPRA ENERGY                | CMN            | 816851109 | 23.72              | 297                | SH                  | DEFINED                  |
| SEMPRA ENERGY                | CMN            | 816851109 | 1420.06            | 17,780             | SH                  | DEFINED                  |
| SENSATA TECHNOLOGIES HOLDING | CMN            | N7902X106 | 143.27             | 4,371              | SH                  | DEFINED                  |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                              |     |           |         |           |    |         |   |
|------------------------------|-----|-----------|---------|-----------|----|---------|---|
| SENSATA TECHNOLOGIES HOLDING | CMN | N7902X106 | 1716.34 | 52,365    | SH | DEFINED | 1 |
| SEQUENOM INC                 | CMN | 817337405 | 62.24   | 15,000    | SH | DEFINED | 2 |
| SEQUENOM INC                 | CMN | 817337405 | 197.49  | 47,597    | SH | DEFINED | 1 |
| SERVICENOW INC               | CMN | 81762P102 | 251.70  | 6,974     | SH | SOLE    | 1 |
| SERVICEMOURCE INTERNATIONAL  | CMN | 81763U100 | 267.67  | 37,864    | SH | SOLE    | 1 |
| SHERWIN-WILLIAMS CO/THE      | CMN | 824348106 | 154.89  | 917       | SH | DEFINED | 2 |
| SHERWIN-WILLIAMS CO/THE      | CMN | 824348106 | 1569.03 | 9,289     | SH | DEFINED | 1 |
| SHERWIN-WILLIAMS CO/THE      | CMN | 824348106 | 0.34    | 2         | SH | DEFINED | 9 |
| SHIRE PLC                    | CMN | 82481R106 | 243.39  | 2,676     | SH | SOLE    | 2 |
| SHUTTERFLY INC               | CMN | 82568P304 | 380.49  | 8,619     | SH | DEFINED | 2 |
| SHUTTERFLY INC               | CMN | 82568P304 | 453.68  | 10,277    | SH | DEFINED | 1 |
| SIBANYE GOLD LTD             | CMN | 825724206 | 2242.68 | 396,180   | SH | SOLE    | 2 |
| SIEMENS AG-REG               | CMN | 826197501 | 3488.52 | 32,280    | SH | SOLE    | 2 |
| SIGMA DESIGNS INC            | CMN | 826565103 | 0.48    | 100       | SH | DEFINED | 2 |
| SIGMA DESIGNS INC            | CMN | 826565103 | 210.43  | 43,433    | SH | DEFINED | 1 |
| SIGMA-ALDRICH                | CMN | 826552101 | 23.97   | 309       | SH | DEFINED | 2 |
| SIGMA-ALDRICH                | CMN | 826552101 | 738.60  | 9,520     | SH | DEFINED | 1 |
| SIGMA-ALDRICH                | CMN | 826552101 | 0.23    | 3         | SH | DEFINED | 9 |
| SILICON IMAGE INC            | CMN | 82705T102 | 151.66  | 31,294    | SH | SOLE    | 1 |
| SILICON LABORATORIES INC     | CMN | 826919102 | 65.97   | 1,600     | SH | DEFINED | 2 |
| SILICON LABORATORIES INC     | CMN | 826919102 | 569.04  | 13,801    | SH | DEFINED | 1 |
| SILICON LABORATORIES INC     | CMN | 826919102 | 1.32    | 32        | SH | DEFINED | 9 |
| SILVER STANDARD RESOURCES    | CMN | 82823L106 | 820.11  | 77,558    | SH | SOLE    | 2 |
| SILVER WHEATON CORP          | CMN | 828336107 | 5337.07 | 170,044   | SH | DEFINED | 2 |
| SILVER WHEATON CORP          | CMN | 828336107 | 166.35  | 5,300     | SH | DEFINED | 1 |
| SILVERCORP METALS INC        | CMN | 82835P103 | 135.50  | 34,355    | SH | SOLE    | 2 |
| SIMON PROPERTY GROUP INC     | CMN | 828806109 | 272.96  | 1,719     | SH | DEFINED | 2 |
| SIMON PROPERTY GROUP INC     | CMN | 828806109 | 7202.58 | 45,360    | SH | DEFINED | 1 |
| SIMON PROPERTY GROUP INC     | CMN | 828806109 | 2.06    | 13        | SH | DEFINED | 9 |
| SINA CORP                    | CMN | G81477104 | 611.49  | 12,575    | SH | SOLE    | 2 |
| SINA CORP                    | CMN | G81477104 | 7130.82 | 146,643   | SH | SOLE    | 1 |
| SINCLAIR BROADCAST GROUP-A   | CMN | 829226109 | 5.66    | 280       | SH | DEFINED | 2 |
| SINCLAIR BROADCAST GROUP-A   | CMN | 829226109 | 521.98  | 25,818    | SH | DEFINED | 1 |
| SIRIUS XM RADIO INC          | CMN | 82967N108 | 31.73   | 10,306    | SH | DEFINED | 2 |
| SIRIUS XM RADIO INC          | CMN | 82967N108 | 3236.56 | 1,051,148 | SH | DEFINED | 1 |
| SIRONA DENTAL SYSTEMS INC    | CMN | 82966C103 | 270.54  | 3,676     | SH | DEFINED | 2 |
| SIRONA DENTAL SYSTEMS INC    | CMN | 82966C103 | 242.64  | 3,297     | SH | DEFINED | 1 |
| SIX FLAGS ENTMT CORP NEW     | CMN | 83001A102 | 491     | 6778      | SH | SOLE    | 4 |
| SK TELECOM                   | CMN | 78440P108 | 35.15   | 1,967     | SH | DEFINED | 2 |
| SK TELECOM                   | CMN | 78440P108 | 251.97  | 14,100    | SH | DEFINED | 1 |
| SKECHERS USA INC-CL A        | CMN | 830566105 | 366.06  | 17,261    | SH | SOLE    | 1 |
| SKULLCANDY INC               | CMN | 83083J104 | 484.58  | 91,000    | SH | SOLE    | 2 |
| SKYWORKS SOLUTIONS INC       | CMN | 83088M102 | 62.44   | 2,844     | SH | DEFINED | 2 |
| SKYWORKS SOLUTIONS INC       | CMN | 83088M102 | 541.00  | 24,641    | SH | DEFINED | 1 |
| SKYWORKS SOLUTIONS INC       | CMN | 83088M102 | 3.53    | 161       | SH | DEFINED | 9 |
| SL GREEN REALTY CORP         | CMN | 78440X101 | 0.09    | 1         | SH | DEFINED | 2 |
| SL GREEN REALTY CORP         | CMN | 78440X101 | 6950.21 | 80,700    | SH | DEFINED | 1 |
| SL GREEN REALTY CORP         | CMN | 78440X101 | 5.25    | 61        | SH | DEFINED | 9 |
| SLM CORP                     | CMN | 78442P106 | 198.26  | 9,704     | SH | DEFINED | 2 |
| SLM CORP                     | CMN | 78442P106 | 726.52  | 35,560    | SH | DEFINED | 1 |
| SLM CORP                     | CMN | 78442P106 | 0.39    | 19        | SH | DEFINED | 9 |
| SM ENERGY CO                 | CMN | 78454L100 | 3093.70 | 52,362    | SH | DEFINED | 1 |
| SM ENERGY CO                 | CMN | 78454L100 | 10.75   | 182       | SH | DEFINED | 9 |
| SMITH & NEPHEW PLC           | CMN | 83175M205 | 425.29  | 7,406     | SH | SOLE    | 2 |
| SMITH & WESSON HOLDING CORP  | CMN | 831756101 | 12.70   | 1,400     | SH | DEFINED | 2 |
| SMITH & WESSON HOLDING CORP  | CMN | 831756101 | 966.11  | 106,496   | SH | DEFINED | 1 |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           |                     | COLUMN 6                 | COLUMN 7 |
|------------------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|----------|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION | MA       |
| SMITH (A.O.) CORP            | CMN            | 831865209 | 342.65             | 4,654              | SH                  | DEFINED                  | 2        |
| SMITH (A.O.) CORP            | CMN            | 831865209 | 58.90              | 800                | SH                  | DEFINED                  | 1        |
| SMITHFIELD FOODS INC         | CMN            | 832248108 | 1096.02            | 41,460             | SH                  | DEFINED                  | 1        |
| SMITHFIELD FOODS INC         | CMN            | 832248108 | 3.99               | 151                | SH                  | DEFINED                  | 9        |
| SMITHFIELD FOODS INC         | CNV            | 832248AR9 | 3919.61            | 3,333,000          | PRN                 | SOLE                     | 1        |
| SNAP-ON INC                  | CMN            | 833034101 | 160.99             | 1,962              | SH                  | DEFINED                  | 2        |
| SNAP-ON INC                  | CMN            | 833034101 | 395.49             | 4,820              | SH                  | DEFINED                  | 1        |
| SNAP-ON INC                  | CMN            | 833034101 | 0.57               | 7                  | SH                  | DEFINED                  | 9        |
| SNYDERS-LANCE INC            | CMN            | 833551104 | 248.79             | 9,849              | SH                  | SOLE                     | 1        |
| SODASTREAM INTERNATIONAL LTD | CMN            | M9068E105 | 105.74             | 2,139              | SH                  | SOLE                     | 2        |
| SODASTREAM INTERNATIONAL LTD | CMN            | M9068E105 | 258.64             | 5,232              | SH                  | SOLE                     | 1        |
| SOHU.COM INC                 | CMN            | 83408W103 | 109.12             | 2,219              | SH                  | DEFINED                  | 2        |
| SOHU.COM INC                 | CMN            | 83408W103 | 198.27             | 4,032              | SH                  | DEFINED                  | 1        |
| SOLARWINDS INC               | CMN            | 83416B109 | 59.99              | 1,019              | SH                  | DEFINED                  | 2        |
| SOLARWINDS INC               | CMN            | 83416B109 | 190.44             | 3,235              | SH                  | DEFINED                  | 1        |
| SOLARWINDS INC               | CMN            | 83416B109 | 3.00               | 51                 | SH                  | DEFINED                  | 9        |
| SOLAZYME INC                 | CMN            | 83415T101 | 158.35             | 20,000             | SH                  | SOLE                     | 2        |
| SOLERA HOLDINGS INC          | CMN            | 83421A104 | 922.20             | 15,831             | SH                  | DEFINED                  | 1        |
| SOLERA HOLDINGS INC          | CMN            | 83421A104 | 3.38               | 58                 | SH                  | DEFINED                  | 9        |
| SONIC AUTOMOTIVE INC-CLASS A | CMN            | 83545G102 | 11.13              | 500                | SH                  | DEFINED                  | 2        |
| SONIC AUTOMOTIVE INC-CLASS A | CMN            | 83545G102 | 325.68             | 14,637             | SH                  | DEFINED                  | 1        |
| SONOCO PRODUCTS CO           | CMN            | 835495102 | 4.69               | 135                | SH                  | DEFINED                  | 2        |
| SONOCO PRODUCTS CO           | CMN            | 835495102 | 978.86             | 28,189             | SH                  | DEFINED                  | 1        |
| SONOCO PRODUCTS CO           | CMN            | 835495102 | 4.10               | 118                | SH                  | DEFINED                  | 9        |
| SONY CORP                    | CMN            | 835699307 | 1315.90            | 75,396             | SH                  | DEFINED                  | 2        |
| SONY CORP                    | CMN            | 835699307 | 215.18             | 12,329             | SH                  | DEFINED                  | 1        |
| SOTHEBY'S                    | CMN            | 835898107 | 2.80               | 75                 | SH                  | DEFINED                  | 2        |
| SOTHEBY'S                    | CMN            | 835898107 | 1123.14            | 30,129             | SH                  | DEFINED                  | 1        |
| SOTHEBY'S                    | CMN            | 835898107 | 5.93               | 159                | SH                  | DEFINED                  | 9        |
| SOTHEBY'S                    | CNV            | 835898AC1 | 7967.25            | 7,082,000          | PRN                 | SOLE                     | 1        |
| SOURCEFIRE INC               | CMN            | 83616T108 | 37.56              | 640                | SH                  | DEFINED                  | 2        |
| SOURCEFIRE INC               | CMN            | 83616T108 | 266.44             | 4,540              | SH                  | DEFINED                  | 1        |
| SOUTHERN CO/THE              | CMN            | 842587107 | 275.03             | 5,896              | SH                  | DEFINED                  | 8        |
| SOUTHERN CO/THE              | CMN            | 842587107 | 106.45             | 2,282              | SH                  | DEFINED                  | 2        |
| SOUTHERN CO/THE              | CMN            | 842587107 | 3352.81            | 71,877             | SH                  | DEFINED                  | 1        |
| SOUTHWEST AIRLINES CO        | CMN            | 844741108 | 104.27             | 7,775              | SH                  | DEFINED                  | 2        |
| SOUTHWEST AIRLINES CO        | CMN            | 844741108 | 1732.02            | 129,145            | SH                  | DEFINED                  | 1        |
| SOUTHWEST AIRLINES CO        | CMN            | 844741108 | 1.17               | 87                 | SH                  | DEFINED                  | 9        |
| SOUTHWEST GAS CORP           | CMN            | 844895102 | 1.28               | 27                 | SH                  | DEFINED                  | 2        |
| SOUTHWEST GAS CORP           | CMN            | 844895102 | 625.53             | 13,168             | SH                  | DEFINED                  | 1        |
| SOUTHWESTERN ENERGY CO       | CMN            | 845467109 | 58.13              | 1,555              | SH                  | DEFINED                  | 2        |
| SOUTHWESTERN ENERGY CO       | CMN            | 845467109 | 1948.17            | 52,112             | SH                  | DEFINED                  | 1        |
| SOUTHWESTERN ENERGY CO       | CMN            | 845467109 | 0.93               | 25                 | SH                  | DEFINED                  | 9        |
| SPANSION INC-CLASS A         | CMN            | 84649R200 | 247.33             | 19,357             | SH                  | SOLE                     | 1        |
| SPDR BARCLAYS HIGH YIELD BD  | CMN            | 78464A417 | 3326.29            | 80,968             | SH                  | DEFINED                  | 2        |
| SPDR BARCLAYS HIGH YIELD BD  | CMN            | 78464A417 | 1417.93            | 34,515             | SH                  | DEFINED                  | 1        |
| SPDR DJIA TRUST              | CMN            | 78467X109 | 7553.94            | 51,975             | SH                  | DEFINED                  | 8        |
| SPDR DJIA TRUST              | CMN            | 78467X109 | 55.23              | 380                | SH                  | DEFINED                  | 3        |
| SPDR DJIA TRUST              | CMN            | 78467X109 | 145728.88          | 1,002,690          | SH                  | DEFINED                  | 1        |
| SPDR GOLD TRUST              | CMN            | 78463V107 | 39852.54           | 257,807            | SH                  | DEFINED                  | 2        |
| SPDR GOLD TRUST              | CMN            | 78463V107 | 22.88              | 148                | SH                  | DEFINED                  | 3        |
| SPDR GOLD TRUST              | CMN            | 78463V107 | 13313.60           | 86,126             | SH                  | DEFINED                  | 1        |
| SPDR S&P 500 ETF TRUST       | CMN            | 78462F103 | 7069.89            | 45,200             | SH                  | DEFINED                  | 8        |
| SPDR S&P 500 ETF TRUST       | CMN            | 78462F103 | 29999.18           | 191,794            | SH                  | DEFINED                  | 2        |



Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                           |     |           |           |           |        |         |   |
|---------------------------|-----|-----------|-----------|-----------|--------|---------|---|
| SPDR S&P 500 ETF TRUST    | CMN | 78462F103 | 370023.05 | 2,365,671 | SH     | DEFINED | 1 |
| SPDR S&P 500 ETF TRUST    | CMN | 78462F103 | 117310.18 | 750,000   | SH     | DEFINED | 9 |
| SPDR S&P 500 ETF TRUST    | CMN | 78462F103 | 257691.36 | 1,647,500 | SH PUT | DEFINED | 1 |
| SPDR S&P HOMEBUILDERS ETF | CMN | 78464A888 | 333.53    | 11,100    | SH     | DEFINED | 8 |
| SPDR S&P HOMEBUILDERS ETF | CMN | 78464A888 | 785.24    | 26,133    | SH     | DEFINED | 2 |
| SPDR S&P HOMEBUILDERS ETF | CMN | 78464A888 | 750.17    | 24,966    | SH     | DEFINED | 1 |

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6   | COLUMN 7     |                          |    |
|------------------------------|----------------|-----------|--------------------|--------------------|------------|--------------|--------------------------|----|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PRN | PUT/<br>CALL | INVESTMENT<br>DISCRETION | MA |
| SPDR S&P METALS & MINING ETF | CMN            | 78464A755 | 3332.49            | 82,500             | SH         |              | SOLE                     | 1  |
| SPDR S&P OIL & GAS EXP & PR  | CMN            | 78464A730 | 68.13              | 1,125              | SH         |              | DEFINED                  | 2  |
| SPDR S&P OIL & GAS EXP & PR  | CMN            | 78464A730 | 21196.37           | 350,000            | SH         |              | DEFINED                  | 1  |
| SPDR S&P RETAIL ETF          | CMN            | 78464A714 | 164.64             | 2,340              | SH         |              | DEFINED                  | 2  |
| SPDR S&P RETAIL ETF          | CMN            | 78464A714 | 16111.74           | 229,000            | SH         |              | DEFINED                  | 1  |
| SPECTRA ENERGY CORP          | CMN            | 847560109 | 92.39              | 3,018              | SH         |              | DEFINED                  | 2  |
| SPECTRA ENERGY CORP          | CMN            | 847560109 | 6590.21            | 215,273            | SH         |              | DEFINED                  | 1  |
| SPECTRA ENERGY CORP          | CMN            | 847560109 | 1.47               | 48                 | SH         |              | DEFINED                  | 9  |
| SPECTRANETICS CORP           | CMN            | 84760C107 | 24.22              | 1,305              | SH         |              | DEFINED                  | 2  |
| SPECTRANETICS CORP           | CMN            | 84760C107 | 231.34             | 12,465             | SH         |              | DEFINED                  | 1  |
| SPECTRUM BRANDS HOLDINGS INC | CMN            | 84763R101 | 3.32               | 59                 | SH         |              | DEFINED                  | 2  |
| SPECTRUM BRANDS HOLDINGS INC | CMN            | 84763R101 | 542.43             | 9,626              | SH         |              | DEFINED                  | 1  |
| SPECTRUM PHARMACEUTICALS INC | CMN            | 84763A108 | 317.91             | 42,620             | SH         |              | DEFINED                  | 2  |
| SPECTRUM PHARMACEUTICALS INC | CMN            | 84763A108 | 9.70               | 1,300              | SH         |              | DEFINED                  | 1  |
| SPIRIT AEROSYSTEMS HOLD-CL A | CMN            | 848574109 | 56.76              | 3,000              | SH         |              | DEFINED                  | 2  |
| SPIRIT AEROSYSTEMS HOLD-CL A | CMN            | 848574109 | 912.68             | 48,236             | SH         |              | DEFINED                  | 1  |
| SPIRIT AIRLINES INC          | CMN            | 848577102 | 5.15               | 203                | SH         |              | DEFINED                  | 2  |
| SPIRIT AIRLINES INC          | CMN            | 848577102 | 508.15             | 20,027             | SH         |              | DEFINED                  | 1  |
| SPRINT NEXTEL CORP           | CMN            | 852061100 | 493.63             | 79,890             | SH         |              | DEFINED                  | 2  |
| SPRINT NEXTEL CORP           | CMN            | 852061100 | 11187.63           | 1,810,621          | SH         |              | DEFINED                  | 1  |
| SPRINT NEXTEL CORP           | CMN            | 852061100 | 1.08               | 174                | SH         |              | DEFINED                  | 9  |
| SPROTT PHYSICAL GOLD TRUST   | CMN            | 85207H104 | 147.44             | 10,900             | SH         |              | SOLE                     | 2  |
| SPROTT PHYSICAL SILVER TRUST | CMN            | 85207K107 | 173.79             | 15,450             | SH         |              | SOLE                     | 2  |
| SPX CORP                     | CMN            | 784635104 | 1196.75            | 15,118             | SH         |              | DEFINED                  | 1  |
| SPX CORP                     | CMN            | 784635104 | 7.92               | 100                | SH         |              | DEFINED                  | 9  |
| ST JOE CO/THE                | CMN            | 790148100 | 231.34             | 10,790             | SH         |              | SOLE                     | 1  |
| ST JUDE MEDICAL INC          | CMN            | 790849103 | 34.76              | 858                | SH         |              | DEFINED                  | 2  |
| ST JUDE MEDICAL INC          | CMN            | 790849103 | 2678.16            | 66,104             | SH         |              | DEFINED                  | 1  |
| ST JUDE MEDICAL INC          | CMN            | 790849103 | 0.97               | 24                 | SH         |              | DEFINED                  | 9  |
| STAAR SURGICAL CO            | CMN            | 852312305 | 117.06             | 21,009             | SH         |              | SOLE                     | 1  |
| STANDARD PACIFIC CORP        | CMN            | 85375C101 | 35.44              | 4,095              | SH         |              | DEFINED                  | 2  |
| STANDARD PACIFIC CORP        | CMN            | 85375C101 | 279.87             | 32,335             | SH         |              | DEFINED                  | 1  |
| STANDARD PACIFIC CORP        | CNV            | 85375CBC4 | 1693.48            | 1,322,000          | PRN        |              | SOLE                     | 1  |
| STAPLES INC                  | CMN            | 855030102 | 142.47             | 10,619             | SH         |              | DEFINED                  | 2  |
| STAPLES INC                  | CMN            | 855030102 | 1576.56            | 117,510            | SH         |              | DEFINED                  | 1  |
| STAPLES INC                  | CMN            | 855030102 | 1.42               | 106                | SH         |              | DEFINED                  | 9  |
| STAR SCIENTIFIC INC          | CMN            | 85517P101 | 166.89             | 101,450            | SH         |              | DEFINED                  | 2  |
| STAR SCIENTIFIC INC          | CMN            | 85517P101 | 59.44              | 36,136             | SH         |              | DEFINED                  | 1  |
| STARBUCKS CORP               | CMN            | 855244109 | 937.01             | 16,443             | SH         |              | DEFINED                  | 2  |
| STARBUCKS CORP               | CMN            | 855244109 | 23882.40           | 419,097            | SH         |              | DEFINED                  | 1  |
| STARBUCKS CORP               | CMN            | 855244109 | 6.72               | 118                | SH         |              | DEFINED                  | 9  |
| STARZ - LIBERTY CAPITAL      | CMN            | 85571Q102 | 6270.18            | 284,362            | SH         |              | DEFINED                  | 2  |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                              |     |           |         |         |    |         |   |
|------------------------------|-----|-----------|---------|---------|----|---------|---|
| STARZ - LIBERTY CAPITAL      | CMN | 85571Q102 | 2268.86 | 102,896 | SH | DEFINED | 1 |
| STATE STREET CORP            | CMN | 857477103 | 98.03   | 1,660   | SH | DEFINED | 2 |
| STATE STREET CORP            | CMN | 857477103 | 2116.51 | 35,840  | SH | DEFINED | 1 |
| STATE STREET CORP            | CMN | 857477103 | 1.18    | 20      | SH | DEFINED | 9 |
| STATOIL ASA                  | CMN | 85771P102 | 148.16  | 6,020   | SH | DEFINED | 2 |
| STATOIL ASA                  | CMN | 85771P102 | 2637.12 | 107,153 | SH | DEFINED | 1 |
| STEEL DYNAMICS INC           | CMN | 858119100 | 921.16  | 57,933  | SH | DEFINED | 1 |
| STEEL DYNAMICS INC           | CMN | 858119100 | 4.09    | 257     | SH | DEFINED | 9 |
| STEELCASE INC-CL A           | CMN | 858155203 | 11.83   | 800     | SH | DEFINED | 2 |
| STEELCASE INC-CL A           | CMN | 858155203 | 387.22  | 26,195  | SH | DEFINED | 1 |
| STERICYCLE INC               | CMN | 858912108 | 12.01   | 114     | SH | DEFINED | 2 |
| STERICYCLE INC               | CMN | 858912108 | 1199.50 | 11,387  | SH | DEFINED | 1 |
| STERICYCLE INC               | CMN | 858912108 | 1.05    | 10      | SH | DEFINED | 9 |
| STEVEN MADDEN LTD            | CMN | 556269108 | 22.06   | 511     | SH | DEFINED | 2 |
| STEVEN MADDEN LTD            | CMN | 556269108 | 722.34  | 16,736  | SH | DEFINED | 1 |
| STEWART ENTERPRISES INC-CL A | CMN | 860370105 | 140.36  | 15,079  | SH | SOLE    | 1 |
| STEWART INFORMATION SERVICES | CMN | 860372101 | 191.65  | 7,524   | SH | DEFINED | 2 |
| STEWART INFORMATION SERVICES | CMN | 860372101 | 475.98  | 18,686  | SH | DEFINED | 1 |

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           |                     | COLUMN 6                 | COLUMN 7 |
|------------------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|----------|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION | CO<br>MA |
| STILLWATER MINING CO         | CMN            | 86074Q102 | 109.60             | 8,475              | SH                  | DEFINED                  | 2        |
| STILLWATER MINING CO         | CMN            | 86074Q102 | 68.54              | 5,300              | SH                  | DEFINED                  | 1        |
| STILLWATER MINING CO         | CNV            | 86074QAL6 | 78.24              | 66,000             | PRN                 | SOLE                     | 1        |
| STONE ENERGY CORP            | CMN            | 861642106 | 2.18               | 100                | SH                  | DEFINED                  | 2        |
| STONE ENERGY CORP            | CMN            | 861642106 | 276.59             | 12,701             | SH                  | DEFINED                  | 1        |
| STRATEGIC HOTELS & RESORTS I | CMN            | 86272T106 | 76.04              | 9,100              | SH                  | DEFINED                  | 2        |
| STRATEGIC HOTELS & RESORTS I | CMN            | 86272T106 | 10.03              | 1,200              | SH                  | DEFINED                  | 1        |
| STRYKER CORP                 | CMN            | 863667101 | 116.07             | 1,782              | SH                  | DEFINED                  | 2        |
| STRYKER CORP                 | CMN            | 863667101 | 1722.09            | 26,440             | SH                  | DEFINED                  | 1        |
| STRYKER CORP                 | CMN            | 863667101 | 1.63               | 25                 | SH                  | DEFINED                  | 9        |
| SUMITOMO MITSUI FINANCIAL GR | CMN            | 86562M209 | 407.77             | 50,000             | SH                  | DEFINED                  | 2        |
| SUMITOMO MITSUI FINANCIAL GR | CMN            | 86562M209 | 14.68              | 1,800              | SH                  | DEFINED                  | 1        |
| SUNCOR ENERGY INC            | CMN            | 867224107 | 11.27              | 376                | SH                  | DEFINED                  | 2        |
| SUNCOR ENERGY INC            | CMN            | 867224107 | 26.71              | 891                | SH                  | DEFINED                  | 1        |
| SUNCOR ENERGY INC            | CMN            | 867224107 | 2098.70            | 70,000             | SH PUT              | DEFINED                  | 1        |
| SUNPOWER CORP                | CMN            | 867652406 | 438.92             | 38,149             | SH                  | DEFINED                  | 2        |
| SUNPOWER CORP                | CMN            | 867652406 | 419.64             | 36,473             | SH                  | DEFINED                  | 1        |
| SUNTRUST BANKS INC           | CMN            | 867914103 | 71.27              | 2,476              | SH                  | DEFINED                  | 2        |
| SUNTRUST BANKS INC           | CMN            | 867914103 | 1983.18            | 68,899             | SH                  | DEFINED                  | 1        |
| SUNTRUST BANKS INC           | CMN            | 867914103 | 0.66               | 23                 | SH                  | DEFINED                  | 9        |
| SUPERIOR ENERGY SERVICES INC | CMN            | 868157108 | 0.03               | 1                  | SH                  | DEFINED                  | 2        |
| SUPERIOR ENERGY SERVICES INC | CMN            | 868157108 | 4782.03            | 183,856            | SH                  | DEFINED                  | 1        |
| SUPERIOR ENERGY SERVICES INC | CMN            | 868157108 | 11.37              | 437                | SH                  | DEFINED                  | 9        |
| SUPERVALU INC                | CMN            | 868536103 | 41.35              | 8,297              | SH                  | DEFINED                  | 2        |
| SUPERVALU INC                | CMN            | 868536103 | 2018.33            | 405,026            | SH                  | DEFINED                  | 1        |
| SUPERVALU INC                | CMN            | 868536103 | 1.20               | 241                | SH                  | DEFINED                  | 9        |
| SUSSER HOLDINGS CORP         | CMN            | 869233106 | 70.22              | 1,386              | SH                  | DEFINED                  | 2        |
| SUSSER HOLDINGS CORP         | CMN            | 869233106 | 163.64             | 3,230              | SH                  | DEFINED                  | 1        |
| SWK 4 3/4 11/17/15           | CMN            | 854502309 | 586.78             | 4,600              | SH                  | SOLE                     | 1        |
| SYKES ENTERPRISES INC        | CMN            | 871237103 | 184.64             | 11,618             | SH                  | SOLE                     | 1        |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                               |     |           |          |           |     |         |   |
|-------------------------------|-----|-----------|----------|-----------|-----|---------|---|
| SYMANTEC CORP                 | CMN | 871503108 | 425.61   | 17,263    | SH  | DEFINED | 2 |
| SYMANTEC CORP                 | CMN | 871503108 | 1332.32  | 54,040    | SH  | DEFINED | 1 |
| SYMANTEC CORP                 | CMN | 871503108 | 0.94     | 38        | SH  | DEFINED | 9 |
| SYMMETRICOM INC               | CMN | 871543104 | 76.22    | 16,859    | SH  | SOLE    | 1 |
| SYNACOR INC                   | CMN | 871561106 | 38.41    | 12,948    | SH  | SOLE    | 2 |
| SYNCHRONOSS TECHNOLOGIES INC  | CMN | 87157B103 | 284.77   | 9,219     | SH  | SOLE    | 1 |
| SYNERGY PHARMACEUTICALS INC   | CMN | 871639308 | 185.37   | 30,870    | SH  | DEFINED | 2 |
| SYNERGY PHARMACEUTICALS INC   | CMN | 871639308 | 200.82   | 33,442    | SH  | DEFINED | 1 |
| SYNERON MEDICAL LTD           | CMN | M87245102 | 107.18   | 10,500    | SH  | SOLE    | 2 |
| SYNERON MEDICAL LTD           | CMN | M87245102 | 24.14    | 2,365     | SH  | SOLE    | 1 |
| SYNGENTA AG-REG               | CMN | 87160A100 | 678.90   | 8,149     | SH  | SOLE    | 2 |
| SYNOPSIS INC                  | CMN | 871607107 | 428.59   | 12,001    | SH  | DEFINED | 2 |
| SYNOPSIS INC                  | CMN | 871607107 | 46.43    | 1,300     | SH  | DEFINED | 1 |
| SYNOPSIS INC                  | CMN | 871607107 | 4.61     | 129       | SH  | DEFINED | 9 |
| SYNOVUS FINANCIAL CORP        | CMN | 87161C105 | 222.00   | 79,988    | SH  | DEFINED | 1 |
| SYNOVUS FINANCIAL CORP        | CMN | 87161C105 | 1.45     | 523       | SH  | DEFINED | 9 |
| SYNTA PHARMACEUTICALS CORP    | CMN | 87162T206 | 254.57   | 29,320    | SH  | SOLE    | 2 |
| SYSCO CORP                    | CMN | 871829107 | 81.06    | 2,318     | SH  | DEFINED | 2 |
| SYSCO CORP                    | CMN | 871829107 | 1657.16  | 47,386    | SH  | DEFINED | 1 |
| SYSCO CORP                    | CMN | 871829107 | 0.10     | 3         | SH  | DEFINED | 9 |
| T ROWE PRICE GROUP INC        | CMN | 74144T108 | 68.10    | 911       | SH  | DEFINED | 2 |
| T ROWE PRICE GROUP INC        | CMN | 74144T108 | 1517.43  | 20,300    | SH  | DEFINED | 1 |
| T ROWE PRICE GROUP INC        | CMN | 74144T108 | 0.82     | 11        | SH  | DEFINED | 9 |
| T.G.C. INDUSTRIES INC         | CMN | 872417308 | 100.97   | 10,132    | SH  | SOLE    | 1 |
| TAIWAN SEMICONDUCTOR MANUFAC  | CMN | 874039100 | 50.51    | 2,937     | SH  | DEFINED | 2 |
| TAIWAN SEMICONDUCTOR MANUFAC  | CMN | 874039100 | 428.18   | 24,900    | SH  | DEFINED | 1 |
| TAKE-TWO INTERACTIVE SOFTWARE | CMN | 874054109 | 12238.28 | 759,072   | SH  | DEFINED | 8 |
| TAKE-TWO INTERACTIVE SOFTWARE | CMN | 874054109 | 484.57   | 30,055    | SH  | DEFINED | 2 |
| TAKE-TWO INTERACTIVE SOFTWARE | CMN | 874054109 | 16.12    | 1,000     | SH  | DEFINED | 1 |
| TAKE-TWO INTERACTIVE SOFTWARE | CNV | 874054AC3 | 1162.68  | 1,015,000 | PRN | SOLE    | 1 |

FORM 13F INFORMATION TABLE

| COLUMN 1                   | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6                | COLUMN 7                 |
|----------------------------|----------------|-----------|--------------------|--------------------|-------------------------|--------------------------|
| NAME OF ISSUER             | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>PRN CALL | INVESTMENT<br>DISCRETION |
| TARGA RESOURCES CORP       | CMN            | 87612G101 | 42.65              | 633                | SH                      | DEFINED                  |
| TARGA RESOURCES CORP       | CMN            | 87612G101 | 272.45             | 4,044              | SH                      | DEFINED                  |
| TARGET CORP                | CMN            | 87612E106 | 76.51              | 1,116              | SH                      | DEFINED                  |
| TARGET CORP                | CMN            | 87612E106 | 4689.97            | 68,406             | SH                      | DEFINED                  |
| TARGET CORP                | CMN            | 87612E106 | 6.99               | 102                | SH                      | DEFINED                  |
| TASER INTERNATIONAL INC    | CMN            | 87651B104 | 447.73             | 56,298             | SH                      | SOLE                     |
| TAUBMAN CENTERS INC        | CMN            | 876664103 | 196.29             | 2,538              | SH                      | DEFINED                  |
| TAUBMAN CENTERS INC        | CMN            | 876664103 | 38.67              | 500                | SH                      | DEFINED                  |
| TAUBMAN CENTERS INC        | CMN            | 876664103 | 3.25               | 42                 | SH                      | DEFINED                  |
| TCF FINANCIAL CORP         | CMN            | 872275102 | 264.27             | 17,679             | SH                      | DEFINED                  |
| TCF FINANCIAL CORP         | CMN            | 872275102 | 1.61               | 108                | SH                      | DEFINED                  |
| TD AMERITRADE HOLDING CORP | CMN            | 87236Y108 | 30.90              | 1,501              | SH                      | DEFINED                  |
| TD AMERITRADE HOLDING CORP | CMN            | 87236Y108 | 2524.35            | 122,626            | SH                      | DEFINED                  |
| TE CONNECTIVITY LTD        | CMN            | H84989104 | 23.38              | 559                | SH                      | SOLE                     |
| TE CONNECTIVITY LTD        | CMN            | H84989104 | 1386.34            | 33,140             | SH                      | SOLE                     |
| TE CONNECTIVITY LTD        | CMN            | H84989104 | 0.96               | 23                 | SH                      | SOLE                     |
| TEAM HEALTH HOLDINGS INC   | CMN            | 87817A107 | 40.05              | 1,100              | SH                      | DEFINED                  |
| TEAM HEALTH HOLDINGS INC   | CMN            | 87817A107 | 526.16             | 14,453             | SH                      | DEFINED                  |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                              |     |           |           |            |         |         |   |
|------------------------------|-----|-----------|-----------|------------|---------|---------|---|
| TECH DATA CORP               | CMN | 878237106 | 565.53    | 12,409     | SH      | DEFINED | 1 |
| TECH DATA CORP               | CMN | 878237106 | 1.46      | 32         | SH      | DEFINED | 9 |
| TECO ENERGY INC              | CMN | 872375100 | 4.76      | 269        | SH      | DEFINED | 2 |
| TECO ENERGY INC              | CMN | 872375100 | 2443.40   | 137,984    | SH      | DEFINED | 1 |
| TEEKAY CORP                  | CMN | Y8564W103 | 530.62    | 14,879     | SH      | SOLE    | 1 |
| TEEKAY TANKERS LTD-CLASS A   | CMN | Y8565N102 | 42.02     | 14,860     | SH      | SOLE    | 2 |
| TELEFLEX INC                 | CMN | 879369106 | 347.01    | 4,144      | SH      | DEFINED | 1 |
| TELEFLEX INC                 | CMN | 879369106 | 8.79      | 105        | SH      | DEFINED | 9 |
| TELEFLEX INC                 | CNV | 879369AA4 | 7435.35   | 5,119,000  | PRN     | SOLE    | 1 |
| TELEFONICA SA                | CMN | 879382208 | 1586.25   | 117,084    | SH      | DEFINED | 2 |
| TELEFONICA SA                | CMN | 879382208 | 70.45     | 5,200      | SH      | DEFINED | 1 |
| TELENAV INC                  | CMN | 879455103 | 165.51    | 25,683     | SH      | SOLE    | 1 |
| TELEPHONE AND DATA SYSTEMS   | CMN | 879433829 | 176.33    | 8,367      | SH      | DEFINED | 2 |
| TELEPHONE AND DATA SYSTEMS   | CMN | 879433829 | 873.12    | 41,429     | SH      | DEFINED | 1 |
| TELEPHONE AND DATA SYSTEMS   | CMN | 879433829 | 19.56     | 928        | SH      | DEFINED | 9 |
| TELLABS INC                  | CMN | 879664100 | 173.58    | 83,196     | SH      | DEFINED | 2 |
| TELLABS INC                  | CMN | 879664100 | 152.48    | 73,080     | SH      | DEFINED | 1 |
| TELLABS INC                  | CMN | 879664100 | 0.58      | 280        | SH      | DEFINED | 9 |
| TENET HEALTHCARE CORP        | CMN | 88033G407 | 43.72     | 921        | SH      | DEFINED | 2 |
| TENET HEALTHCARE CORP        | CMN | 88033G407 | 480.37    | 10,120     | SH      | DEFINED | 1 |
| TENET HEALTHCARE CORP        | CMN | 88033G407 | 0.43      | 9          | SH      | DEFINED | 9 |
| TERADATA CORP                | CMN | 88076W103 | 74.75     | 1,284      | SH      | DEFINED | 2 |
| TERADATA CORP                | CMN | 88076W103 | 769.59    | 13,220     | SH      | DEFINED | 1 |
| TERADATA CORP                | CMN | 88076W103 | 0.52      | 9          | SH      | DEFINED | 9 |
| TERADYNE INC                 | CMN | 880770102 | 8434.17   | 522,471    | SH      | DEFINED | 8 |
| TERADYNE INC                 | CMN | 880770102 | 103.04    | 6,383      | SH      | DEFINED | 2 |
| TERADYNE INC                 | CMN | 880770102 | 466.74    | 28,913     | SH      | DEFINED | 1 |
| TERADYNE INC                 | CMN | 880770102 | 0.18      | 11         | SH      | DEFINED | 9 |
| TERADYNE INC                 | CMN | 880770102 | 209857.14 | 13,000,000 | SH CALL | DEFINED | 2 |
| TEREX CORP                   | CMN | 880779103 | 18.49     | 535        | SH      | DEFINED | 2 |
| TEREX CORP                   | CMN | 880779103 | 562.33    | 16,269     | SH      | DEFINED | 1 |
| TEREX CORP                   | CMN | 880779103 | 8.16      | 236        | SH      | DEFINED | 9 |
| TESLA MOTORS INC             | CMN | 88160R101 | 1597.82   | 42,013     | SH      | SOLE    | 2 |
| TESORO CORP                  | CMN | 881609101 | 115.13    | 1,973      | SH      | DEFINED | 2 |
| TESORO CORP                  | CMN | 881609101 | 6038.39   | 103,484    | SH      | DEFINED | 1 |
| TESORO CORP                  | CMN | 881609101 | 0.58      | 10         | SH      | DEFINED | 9 |
| TETRA TECH INC               | CMN | 88162G103 | 239.19    | 7,840      | SH      | SOLE    | 1 |
| TEVA PHARMACEUTICAL IND LTD  | CMN | 881624209 | 901.35    | 22,717     | SH      | DEFINED | 2 |
| TEVA PHARMACEUTICAL IND LTD  | CMN | 881624209 | 59.52     | 1,500      | SH      | DEFINED | 1 |
| TEXAS CAPITAL BANCSHARES INC | CMN | 88224Q107 | 418.60    | 10,337     | SH      | SOLE    | 1 |
| TEXAS INDUSTRIES INC         | CMN | 882491103 | 276.14    | 4,364      | SH      | SOLE    | 1 |
| TEXAS INSTRUMENTS INC        | CMN | 882508104 | 151.64    | 4,282      | SH      | DEFINED | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1              | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           |                     | COLUMN 6                 | COLUMN 7 |
|-----------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|----------|
| NAME OF ISSUER        | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION | OTHER    |
| TEXAS INSTRUMENTS INC | CMN            | 882508104 | 3068.88            | 86,660             | SH                  | DEFINED                  | 1        |
| TEXAS INSTRUMENTS INC | CMN            | 882508104 | 2.16               | 61                 | SH                  | DEFINED                  | 9        |
| TEXAS INSTRUMENTS INC | CMN            | 882508104 | 2833.03            | 80,000             | SH CALL             | DEFINED                  | 2        |
| TEXAS ROADHOUSE INC   | CMN            | 882681109 | 311.98             | 15,477             | SH                  | SOLE                     | 1        |
| TFS FINANCIAL CORP    | CMN            | 87240R107 | 673.56             | 62,184             | SH                  | SOLE                     | 1        |
| THERAVANCE INC        | CMN            | 88338T104 | 7720.67            | 329,272            | SH                  | DEFINED                  | 8        |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                              |     |           |          |               |         |   |
|------------------------------|-----|-----------|----------|---------------|---------|---|
| THERAVANCE INC               | CMN | 88338T104 | 11.68    | 498 SH        | DEFINED | 2 |
| THERAVANCE INC               | CNV | 88338TAB0 | 4982.25  | 4,550,000 PRN | SOLE    | 1 |
| THERMO FISHER SCIENTIFIC INC | CMN | 883556102 | 462.26   | 6,051 SH      | DEFINED | 2 |
| THERMO FISHER SCIENTIFIC INC | CMN | 883556102 | 2886.48  | 37,784 SH     | DEFINED | 1 |
| THERMO FISHER SCIENTIFIC INC | CMN | 883556102 | 2.29     | 30 SH         | DEFINED | 9 |
| THOMPSON CREEK METALS CO INC | CMN | 884768102 | 87.30    | 29,000 SH     | SOLE    | 2 |
| THRESHOLD PHARMACEUTICALS    | CMN | 885807206 | 738.01   | 158,725 SH    | DEFINED | 2 |
| THRESHOLD PHARMACEUTICALS    | CMN | 885807206 | 246.47   | 53,009 SH     | DEFINED | 1 |
| TIBCO SOFTWARE INC           | CMN | 88632Q103 | 1996.85  | 98,758 SH     | DEFINED | 1 |
| TIBCO SOFTWARE INC           | CMN | 88632Q103 | 2.63     | 130 SH        | DEFINED | 9 |
| TIFFANY & CO                 | CMN | 886547108 | 10.95    | 158 SH        | DEFINED | 2 |
| TIFFANY & CO                 | CMN | 886547108 | 1949.96  | 28,135 SH     | DEFINED | 1 |
| TIFFANY & CO                 | CMN | 886547108 | 1.32     | 19 SH         | DEFINED | 9 |
| TIME WARNER CABLE            | CMN | 88732J207 | 113.61   | 1,185 SH      | DEFINED | 2 |
| TIME WARNER CABLE            | CMN | 88732J207 | 11164.26 | 116,449 SH    | DEFINED | 1 |
| TIME WARNER CABLE            | CMN | 88732J207 | 4.41     | 46 SH         | DEFINED | 9 |
| TIME WARNER INC              | CMN | 887317303 | 1377.09  | 23,992 SH     | DEFINED | 2 |
| TIME WARNER INC              | CMN | 887317303 | 5463.07  | 95,179 SH     | DEFINED | 1 |
| TIME WARNER INC              | CMN | 887317303 | 8.44     | 147 SH        | DEFINED | 9 |
| TJX COMPANIES INC            | CMN | 872540109 | 297.81   | 6,383 SH      | DEFINED | 2 |
| TJX COMPANIES INC            | CMN | 872540109 | 3717.85  | 79,685 SH     | DEFINED | 1 |
| TJX COMPANIES INC            | CMN | 872540109 | 5.32     | 114 SH        | DEFINED | 9 |
| TOLL BROTHERS INC            | CMN | 889478103 | 58.87    | 1,716 SH      | DEFINED | 2 |
| TOLL BROTHERS INC            | CMN | 889478103 | 801.81   | 23,370 SH     | DEFINED | 3 |
| TOLL BROTHERS INC            | CMN | 889478103 | 1761.03  | 51,328 SH     | DEFINED | 1 |
| TOLL BROTHERS INC            | CMN | 889478103 | 12.11    | 353 SH        | DEFINED | 9 |
| TORCHMARK CORP               | CMN | 891027104 | 46.79    | 787 SH        | DEFINED | 2 |
| TORCHMARK CORP               | CMN | 891027104 | 821.39   | 13,817 SH     | DEFINED | 1 |
| TORCHMARK CORP               | CMN | 891027104 | 0.24     | 4 SH          | DEFINED | 9 |
| TORO CO                      | CMN | 891092108 | 14.35    | 312 SH        | DEFINED | 2 |
| TORO CO                      | CMN | 891092108 | 225.78   | 4,908 SH      | DEFINED | 1 |
| TOTAL SYSTEM SERVICES INC    | CMN | 891906109 | 177.97   | 7,213 SH      | DEFINED | 2 |
| TOTAL SYSTEM SERVICES INC    | CMN | 891906109 | 310.89   | 12,600 SH     | DEFINED | 1 |
| TOTAL SYSTEM SERVICES INC    | CMN | 891906109 | 0.22     | 9 SH          | DEFINED | 9 |
| TOWERS WATSON & CO-CL A      | CMN | 891894107 | 55.27    | 800 SH        | DEFINED | 2 |
| TOWERS WATSON & CO-CL A      | CMN | 891894107 | 253.18   | 3,665 SH      | DEFINED | 1 |
| TOWERS WATSON & CO-CL A      | CMN | 891894107 | 8.29     | 120 SH        | DEFINED | 9 |
| TOYOTA MOTOR CORP            | CMN | 892331307 | 269.92   | 2,629 SH      | SOLE    | 2 |
| TRACTOR SUPPLY COMPANY       | CMN | 892356106 | 305.58   | 2,948 SH      | DEFINED | 2 |
| TRACTOR SUPPLY COMPANY       | CMN | 892356106 | 16.79    | 162 SH        | DEFINED | 9 |
| TRANSDIGM GROUP INC          | CMN | 893641100 | 87.79    | 577 SH        | DEFINED | 2 |
| TRANSDIGM GROUP INC          | CMN | 893641100 | 382.98   | 2,517 SH      | DEFINED | 1 |
| TRANSOCEAN LTD               | CMN | H8817H100 | 551.14   | 10,624 SH     | SOLE    | 2 |
| TRANSOCEAN LTD               | CMN | H8817H100 | 129.59   | 2,498 SH      | SOLE    | 1 |
| TRANSWITCH CORP              | CMN | 894065309 | 18.40    | 35,000 SH     | SOLE    | 2 |
| TRAVELERS COS INC/THE        | CMN | 89417E109 | 396.58   | 4,721 SH      | DEFINED | 2 |
| TRAVELERS COS INC/THE        | CMN | 89417E109 | 6893.68  | 82,065 SH     | DEFINED | 1 |
| TRAVELERS COS INC/THE        | CMN | 89417E109 | 1.34     | 16 SH         | DEFINED | 9 |
| TRIPADVISOR INC              | CMN | 896945201 | 7486.47  | 142,660 SH    | DEFINED | 2 |
| TRIPADVISOR INC              | CMN | 896945201 | 476.50   | 9,080 SH      | DEFINED | 1 |
| TRIPADVISOR INC              | CMN | 896945201 | 0.89     | 17 SH         | DEFINED | 9 |
| TRIQUINT SEMICONDUCTOR INC   | CMN | 89674K103 | 98.01    | 19,400 SH     | SOLE    | 2 |
| TRUE RELIGION APPAREL INC    | CMN | 89784N104 | 230.14   | 8,804 SH      | SOLE    | 1 |
| TRUSTCO BANK CORP NY         | CMN | 898349105 | 222.52   | 39,792 SH     | SOLE    | 1 |

FORM 13F INFORMATION TABLE

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           |            | COLUMN 6     | COLUMN 7                 |
|------------------------------|----------------|-----------|--------------------|--------------------|------------|--------------|--------------------------|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PRN | PUT/<br>CALL | INVESTMENT<br>DISCRETION |
| TRW AUTOMOTIVE HOLDINGS CORP | CMN            | 87264S106 | 13.86              | 251                | SH         |              | DEFINED                  |
| TRW AUTOMOTIVE HOLDINGS CORP | CMN            | 87264S106 | 3116.56            | 56,431             | SH         |              | DEFINED                  |
| TTM TECHNOLOGIES             | CMN            | 87305R109 | 577.26             | 75,872             | SH         |              | SOLE                     |
| TUMI HOLDINGS INC            | CMN            | 89969Q104 | 2223.37            | 104,851            | SH         |              | SOLE                     |
| TUPPERWARE BRANDS CORP       | CMN            | 899896104 | 88.30              | 1,080              | SH         |              | DEFINED                  |
| TUPPERWARE BRANDS CORP       | CMN            | 899896104 | 1376.59            | 16,837             | SH         |              | DEFINED                  |
| TUPPERWARE BRANDS CORP       | CMN            | 899896104 | 10.38              | 127                | SH         |              | DEFINED                  |
| TURQUOISE HILL RESOURCES LTD | CMN            | 900435108 | 11513.68           | 1,807,485          | SH         |              | SOLE                     |
| TW TELECOM INC               | CMN            | 87311L104 | 397.99             | 15,861             | SH         |              | DEFINED                  |
| TW TELECOM INC               | CMN            | 87311L104 | 1993.49            | 79,447             | SH         |              | DEFINED                  |
| TW TELECOM INC               | CMN            | 87311L104 | 35.25              | 1,405              | SH         |              | DEFINED                  |
| TW TELECOM INC               | CNV            | 887319AC5 | 2817.62            | 2,091,000          | PRN        |              | SOLE                     |
| TWO HARBORS INVESTMENT CORP  | CMN            | 90187B101 | 173.27             | 13,400             | SH         |              | DEFINED                  |
| TWO HARBORS INVESTMENT CORP  | CMN            | 90187B101 | 2312.85            | 178,866            | SH         |              | DEFINED                  |
| TYCO INTERNATIONAL LTD       | CMN            | H89128104 | 4407.83            | 138,263            | SH         |              | SOLE                     |
| TYCO INTERNATIONAL LTD       | CMN            | H89128104 | 20.59              | 646                | SH         |              | SOLE                     |
| TYCO INTERNATIONAL LTD       | CMN            | H89128104 | 1228.66            | 38,540             | SH         |              | SOLE                     |
| TYCO INTERNATIONAL LTD       | CMN            | H89128104 | 1.79               | 56                 | SH         |              | SOLE                     |
| TYLER TECHNOLOGIES INC       | CMN            | 902252105 | 59.00              | 968                | SH         |              | DEFINED                  |
| TYLER TECHNOLOGIES INC       | CMN            | 902252105 | 390.47             | 6,406              | SH         |              | DEFINED                  |
| TYSON FOODS INC-CL A         | CMN            | 902494103 | 2464.68            | 100,071            | SH         |              | DEFINED                  |
| TYSON FOODS INC-CL A         | CMN            | 902494103 | 1331.63            | 54,067             | SH         |              | DEFINED                  |
| TYSON FOODS INC-CL A         | CMN            | 902494103 | 0.05               | 2                  | SH         |              | DEFINED                  |
| TYSON FOODS INC-CL A         | CNV            | 902494AP8 | 20.82              | 14,000             | PRN        |              | SOLE                     |
| UBS AG-REG                   | CMN            | H89231338 | 1090.52            | 70,818             | SH         |              | SOLE                     |
| UBS AG-REG                   | CMN            | H89231338 | 39267.27           | 2,550,000          | SH         | PUT          | SOLE                     |
| UBS AG-REG                   | CMN            | H89231338 | 51586.41           | 3,350,000          | SH         | PUT          | SOLE                     |
| UBS AG-REG                   | CMN            | H89231338 | 29719.93           | 1,930,000          | SH         | CALL         | SOLE                     |
| UIL HOLDINGS CORP            | CMN            | 902748102 | 94.67              | 2,400              | SH         |              | DEFINED                  |
| UIL HOLDINGS CORP            | CMN            | 902748102 | 369.66             | 9,371              | SH         |              | DEFINED                  |
| ULTA SALON COSMETICS & FRAGR | CMN            | 90384S303 | 2575.15            | 31,968             | SH         |              | DEFINED                  |
| ULTA SALON COSMETICS & FRAGR | CMN            | 90384S303 | 335.83             | 4,169              | SH         |              | DEFINED                  |
| ULTRAPAR PARTICIPACOES SA    | CMN            | 90400P101 | 313.40             | 12,380             | SH         |              | SOLE                     |
| ULTRATECH INC                | CMN            | 904034105 | 556.98             | 14,129             | SH         |              | SOLE                     |
| UMB FINANCIAL CORP           | CMN            | 902788108 | 293.21             | 5,973              | SH         |              | SOLE                     |
| UNDER ARMOUR INC-CLASS A     | CMN            | 904311107 | 453.69             | 8,862              | SH         |              | DEFINED                  |
| UNDER ARMOUR INC-CLASS A     | CMN            | 904311107 | 9.32               | 182                | SH         |              | DEFINED                  |
| UNILEVER NV                  | CMN            | 904784709 | 4676.79            | 114,565            | SH         |              | SOLE                     |
| UNILEVER PLC                 | CMN            | 904767704 | 10743.75           | 255,006            | SH         |              | SOLE                     |
| UNISYS CORP                  | CMN            | 909214306 | 875.05             | 38,402             | SH         |              | DEFINED                  |
| UNISYS CORP                  | CMN            | 909214306 | 652.26             | 28,625             | SH         |              | DEFINED                  |
| UNITED BANKSHARES INC        | CMN            | 909907107 | 213.32             | 8,028              | SH         |              | SOLE                     |
| UNITED COMMUNITY BANKS/GA    | CMN            | 90984P303 | 10.01              | 880                | SH         |              | DEFINED                  |
| UNITED COMMUNITY BANKS/GA    | CMN            | 90984P303 | 362.12             | 31,849             | SH         |              | DEFINED                  |
| UNITED CONTINENTAL HOLDINGS  | CMN            | 910047109 | 1051.88            | 32,796             | SH         |              | SOLE                     |
| UNITED CONTINENTAL HOLDINGS  | CMN            | 910047109 | 3207.34            | 100,000            | SH         | PUT          | SOLE                     |
| UNITED FIRE GROUP INC        | CMN            | 910340108 | 236.31             | 9,258              | SH         |              | SOLE                     |
| UNITED MICROELECTRONICS CORP | CMN            | 910873405 | 3.05               | 1,700              | SH         |              | DEFINED                  |
| UNITED MICROELECTRONICS CORP | CMN            | 910873405 | 76.36              | 42,550             | SH         |              | DEFINED                  |
| UNITED NATURAL FOODS INC     | CMN            | 911163103 | 3.09               | 63                 | SH         |              | DEFINED                  |
| UNITED NATURAL FOODS INC     | CMN            | 911163103 | 577.15             | 11,772             | SH         |              | DEFINED                  |
| UNITED NATURAL FOODS INC     | CMN            | 911163103 | 2.94               | 60                 | SH         |              | DEFINED                  |
| UNITED ONLINE INC            | CMN            | 911268100 | 72.40              | 12,000             | SH         |              | DEFINED                  |
| UNITED ONLINE INC            | CMN            | 911268100 | 88.53              | 14,674             | SH         |              | DEFINED                  |
| UNITED PARCEL SERVICE-CL B   | CMN            | 911312106 | 7540.92            | 87,988             | SH         |              | DEFINED                  |
| UNITED PARCEL SERVICE-CL B   | CMN            | 911312106 | 318.05             | 3,711              | SH         |              | DEFINED                  |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                            |     |           |           |              |              |   |
|----------------------------|-----|-----------|-----------|--------------|--------------|---|
| UNITED PARCEL SERVICE-CL B | CMN | 911312106 | 5550.27   | 64,761 SH    | DEFINED      | 1 |
| UNITED PARCEL SERVICE-CL B | CMN | 911312106 | 7.28      | 85 SH        | DEFINED      | 9 |
| UNITED PARCEL SERVICE-CL B | CMN | 911312106 | 126319.02 | 1,473,900 SH | CALL DEFINED | 1 |
| UNITED RENTALS INC         | CMN | 911363109 | 442.76    | 8,094 SH     | DEFINED      | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1                    | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6   | COLUMN 7     |                          |    |
|-----------------------------|----------------|-----------|--------------------|--------------------|------------|--------------|--------------------------|----|
| NAME OF ISSUER              | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PRN | PUT/<br>CALL | INVESTMENT<br>DISCRETION | MA |
| UNITED RENTALS INC          | CMN            | 911363109 | 5424.05            | 99,156 SH          |            |              | DEFINED                  | 1  |
| UNITED RENTALS INC          | CMN            | 911363109 | 10.83              | 198 SH             |            |              | DEFINED                  | 9  |
| UNITED STATES OIL FUND LP   | CMN            | 91232N108 | 169.40             | 4,881 SH           |            |              | DEFINED                  | 8  |
| UNITED STATES OIL FUND LP   | CMN            | 91232N108 | 0.03               | 1 SH               |            |              | DEFINED                  | 2  |
| UNITED STATES OIL FUND LP   | CMN            | 91232N108 | 653.07             | 18,817 SH          |            |              | DEFINED                  | 2  |
| UNITED STATES OIL FUND LP   | CMN            | 91232N108 | 1561.78            | 45,000 SH          |            | PUT          | DEFINED                  | 1  |
| UNITED STATES STEEL CORP    | CMN            | 912909108 | 1933.75            | 98,821 SH          |            |              | DEFINED                  | 2  |
| UNITED STATES STEEL CORP    | CMN            | 912909108 | 2310.22            | 118,060 SH         |            |              | DEFINED                  | 1  |
| UNITED STATES STEEL CORP    | CMN            | 912909108 | 0.06               | 3 SH               |            |              | DEFINED                  | 9  |
| UNITED STATES STEEL CORP    | CNV            | 912909AE8 | 354.83             | 342,000 PRN        |            |              | SOLE                     | 1  |
| UNITED TECHNOLOGIES CORP    | CMN            | 913017109 | 499.40             | 5,357 SH           |            |              | DEFINED                  | 2  |
| UNITED TECHNOLOGIES CORP    | CMN            | 913017109 | 11349.78           | 121,747 SH         |            |              | DEFINED                  | 1  |
| UNITED TECHNOLOGIES CORP    | CMN            | 913017109 | 9.42               | 101 SH             |            |              | DEFINED                  | 9  |
| UNITEDHEALTH GROUP INC      | CMN            | 91324P102 | 402.00             | 7,066 SH           |            |              | DEFINED                  | 2  |
| UNITEDHEALTH GROUP INC      | CMN            | 91324P102 | 4685.70            | 82,360 SH          |            |              | DEFINED                  | 1  |
| UNITEDHEALTH GROUP INC      | CMN            | 91324P102 | 4.95               | 87 SH              |            |              | DEFINED                  | 9  |
| UNIVERSAL CORP/VA           | CMN            | 913456109 | 407.37             | 7,248 SH           |            |              | DEFINED                  | 1  |
| UNIVERSAL CORP/VA           | CMN            | 913456109 | 1.57               | 28 SH              |            |              | DEFINED                  | 9  |
| UNIVERSAL DISPLAY CORP      | CMN            | 91347P105 | 24.88              | 840 SH             |            |              | DEFINED                  | 2  |
| UNIVERSAL DISPLAY CORP      | CMN            | 91347P105 | 282.14             | 9,525 SH           |            |              | DEFINED                  | 1  |
| UNIVERSAL HEALTH SERVICES-B | CMN            | 913903100 | 6.41               | 100 SH             |            |              | DEFINED                  | 2  |
| UNIVERSAL HEALTH SERVICES-B | CMN            | 913903100 | 220.98             | 3,449 SH           |            |              | DEFINED                  | 1  |
| UNIVERSAL HEALTH SERVICES-B | CMN            | 913903100 | 14.54              | 227 SH             |            |              | DEFINED                  | 9  |
| UNS ENERGY CORP             | CMN            | 903119105 | 324.15             | 6,653 SH           |            |              | SOLE                     | 1  |
| UNUM GROUP                  | CMN            | 91529Y106 | 28.32              | 1,025 SH           |            |              | DEFINED                  | 2  |
| UNUM GROUP                  | CMN            | 91529Y106 | 732.25             | 26,500 SH          |            |              | DEFINED                  | 1  |
| UNUM GROUP                  | CMN            | 91529Y106 | 0.33               | 12 SH              |            |              | DEFINED                  | 9  |
| UNWIRED PLANET INC          | CMN            | 91531F103 | 33.21              | 15,000 SH          |            |              | SOLE                     | 2  |
| URANERZ ENERGY CORP         | CMN            | 91688T104 | 102.60             | 80,350 SH          |            |              | SOLE                     | 2  |
| URANIUM ENERGY CORP         | CMN            | 916896103 | 75.71              | 35,000 SH          |            |              | SOLE                     | 2  |
| URBAN OUTFITTERS INC        | CMN            | 917047102 | 5.65               | 145 SH             |            |              | DEFINED                  | 2  |
| URBAN OUTFITTERS INC        | CMN            | 917047102 | 6366.36            | 163,398 SH         |            |              | DEFINED                  | 1  |
| URBAN OUTFITTERS INC        | CMN            | 917047102 | 0.66               | 17 SH              |            |              | DEFINED                  | 9  |
| URS CORP                    | CMN            | 903236107 | 291.35             | 6,165 SH           |            |              | DEFINED                  | 1  |
| URS CORP                    | CMN            | 903236107 | 7.70               | 163 SH             |            |              | DEFINED                  | 9  |
| US AIRWAYS GROUP INC        | CMN            | 90341W108 | 35.53              | 2,100 SH           |            |              | DEFINED                  | 2  |
| US AIRWAYS GROUP INC        | CMN            | 90341W108 | 434.45             | 25,675 SH          |            |              | DEFINED                  | 1  |
| US BANCORP                  | CMN            | 902973304 | 1355.14            | 40,000 SH          |            |              | DEFINED                  | 8  |
| US BANCORP                  | CMN            | 902973304 | 243.82             | 7,197 SH           |            |              | DEFINED                  | 2  |
| US BANCORP                  | CMN            | 902973304 | 8845.99            | 261,109 SH         |            |              | DEFINED                  | 1  |
| US BANCORP                  | CMN            | 902973304 | 2.71               | 80 SH              |            |              | DEFINED                  | 9  |
| US CELLULAR CORP            | CMN            | 911684108 | 958.62             | 26,600 SH          |            |              | SOLE                     | 1  |
| US NATURAL GAS FUND LP      | CMN            | 912318201 | 1537.47            | 70,119 SH          |            |              | DEFINED                  | 2  |
| US NATURAL GAS FUND LP      | CMN            | 912318201 | 30.70              | 1,400 SH           |            |              | DEFINED                  | 1  |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                             |     |           |         |           |         |   |
|-----------------------------|-----|-----------|---------|-----------|---------|---|
| USANA HEALTH SCIENCES INC   | CMN | 90328M107 | 27.34   | 572 SH    | DEFINED | 2 |
| USANA HEALTH SCIENCES INC   | CMN | 90328M107 | 339.97  | 7,113 SH  | DEFINED | 1 |
| USG CORP                    | CMN | 903293405 | 92.79   | 3,500 SH  | DEFINED | 2 |
| USG CORP                    | CMN | 903293405 | 514.20  | 19,395 SH | DEFINED | 1 |
| UTI WORLDWIDE INC           | CMN | G87210103 | 4.93    | 340 SH    | SOLE    | 2 |
| UTI WORLDWIDE INC           | CMN | G87210103 | 426.72  | 29,400 SH | SOLE    | 1 |
| UTI WORLDWIDE INC           | CMN | G87210103 | 3.21    | 221 SH    | SOLE    | 9 |
| VAALCO ENERGY INC           | CMN | 91851C201 | 208.15  | 27,094 SH | SOLE    | 1 |
| VALASSIS COMMUNICATIONS INC | CMN | 918866104 | 38.90   | 1,300 SH  | DEFINED | 2 |
| VALASSIS COMMUNICATIONS INC | CMN | 918866104 | 200.69  | 6,706 SH  | DEFINED | 1 |
| VALASSIS COMMUNICATIONS INC | CMN | 918866104 | 2.75    | 92 SH     | DEFINED | 9 |
| VALE SA                     | CMN | 91912E105 | 1357.08 | 78,394 SH | DEFINED | 2 |
| VALE SA                     | CMN | 91912E105 | 55.40   | 3,200 SH  | DEFINED | 1 |
| VALERO ENERGY CORP          | CMN | 91913Y100 | 480.15  | 10,573 SH | DEFINED | 2 |
| VALERO ENERGY CORP          | CMN | 91913Y100 | 3125.45 | 68,823 SH | DEFINED | 1 |
| VALERO ENERGY CORP          | CMN | 91913Y100 | 1.82    | 40 SH     | DEFINED | 9 |

FORM 13F INFORMATION TABLE

| COLUMN 1                     | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6   | COLUMN 7     |                          |    |
|------------------------------|----------------|-----------|--------------------|--------------------|------------|--------------|--------------------------|----|
| NAME OF ISSUER               | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PRN | PUT/<br>CALL | INVESTMENT<br>DISCRETION | MA |
| VALMONT INDUSTRIES           | CMN            | 920253101 | 23.02              | 146 SH             |            |              | DEFINED                  | 2  |
| VALMONT INDUSTRIES           | CMN            | 920253101 | 458.86             | 2,910 SH           |            |              | DEFINED                  | 1  |
| VALMONT INDUSTRIES           | CMN            | 920253101 | 7.88               | 50 SH              |            |              | DEFINED                  | 9  |
| VALSPAR CORP                 | CMN            | 920355104 | 12.83              | 206 SH             |            |              | DEFINED                  | 2  |
| VALSPAR CORP                 | CMN            | 920355104 | 2533.84            | 40,687 SH          |            |              | DEFINED                  | 1  |
| VALSPAR CORP                 | CMN            | 920355104 | 6.10               | 98 SH              |            |              | DEFINED                  | 9  |
| VALUECLICK INC               | CMN            | 92046N102 | 295.81             | 10,000 SH          |            |              | DEFINED                  | 2  |
| VALUECLICK INC               | CMN            | 92046N102 | 327.17             | 11,060 SH          |            |              | DEFINED                  | 1  |
| VALUECLICK INC               | CMN            | 92046N102 | 1.77               | 60 SH              |            |              | DEFINED                  | 9  |
| VANGUARD FTSE EMERGING MARKE | CMN            | 922042858 | 1247.30            | 28,993 SH          |            |              | DEFINED                  | 2  |
| VANGUARD FTSE EMERGING MARKE | CMN            | 922042858 | 10438.73           | 242,644 SH         |            |              | DEFINED                  | 1  |
| VANGUARD REIT ETF            | CMN            | 922908553 | 770.45             | 11,000 SH          |            |              | SOLE                     | 1  |
| VANGUARD TOTAL BOND MARKET   | CMN            | 921937835 | 784.71             | 9,384 SH           |            |              | SOLE                     | 2  |
| VANTAGE DRILLING CO          | CMN            | G93205113 | 1183.31            | 669,700 SH         |            |              | SOLE                     | 1  |
| VARIAN MEDICAL SYSTEMS INC   | CMN            | 92220P105 | 82.50              | 1,145 SH           |            |              | DEFINED                  | 2  |
| VARIAN MEDICAL SYSTEMS INC   | CMN            | 92220P105 | 1690.63            | 23,463 SH          |            |              | DEFINED                  | 1  |
| VARIAN MEDICAL SYSTEMS INC   | CMN            | 92220P105 | 0.65               | 9 SH               |            |              | DEFINED                  | 9  |
| VCA ANTECH INC               | CMN            | 918194101 | 490.11             | 20,874 SH          |            |              | DEFINED                  | 2  |
| VCA ANTECH INC               | CMN            | 918194101 | 5.31               | 226 SH             |            |              | DEFINED                  | 9  |
| VECTOR GROUP LTD             | CNV            | 92240MAS7 | 3790.50            | 3,000,000 PRN      |            |              | SOLE                     | 1  |
| VECTOR GROUP LTD             | CNV            | 92240MAY4 | 1184.12            | 1,023,000 PRN      |            |              | SOLE                     | 1  |
| VELOCITYSHARES 2X VIX SH-TRM | CMN            | 22539T852 | 666.37             | 189,445 SH         |            |              | SOLE                     | 2  |
| VELOCITYSHARES INV VIX SH-TM | CMN            | 22542D795 | 1492.53            | 64,041 SH          |            |              | SOLE                     | 2  |
| VELTI PLC                    | CMN            | G93285107 | 157.16             | 75,725 SH          |            |              | SOLE                     | 2  |
| VENTAS INC                   | CMN            | 92276F100 | 125.22             | 1,717 SH           |            |              | DEFINED                  | 2  |
| VENTAS INC                   | CMN            | 92276F100 | 1703.66            | 23,360 SH          |            |              | DEFINED                  | 1  |
| VENTAS INC                   | CMN            | 92276F100 | 0.95               | 13 SH              |            |              | DEFINED                  | 9  |
| VEOLIA ENVIRONNEMENT         | CMN            | 92334N103 | 1260.75            | 100,200 SH         |            |              | SOLE                     | 2  |
| VERIFONE SYSTEMS INC         | CMN            | 92342Y109 | 586.69             | 28,362 SH          |            |              | DEFINED                  | 2  |
| VERIFONE SYSTEMS INC         | CMN            | 92342Y109 | 139.96             | 6,766 SH           |            |              | DEFINED                  | 1  |
| VERIFONE SYSTEMS INC         | CMN            | 92342Y109 | 1.86               | 90 SH              |            |              | DEFINED                  | 9  |
| VERINT SYSTEMS INC           | CMN            | 92343X100 | 613.87             | 16,995 SH          |            |              | SOLE                     | 1  |



Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                              |     |           |          |            |         |   |
|------------------------------|-----|-----------|----------|------------|---------|---|
| VERISIGN INC                 | CMN | 92343E102 | 157.94   | 3,343 SH   | DEFINED | 2 |
| VERISIGN INC                 | CMN | 92343E102 | 691.09   | 14,628 SH  | DEFINED | 1 |
| VERISIGN INC                 | CMN | 92343E102 | 0.38     | 8 SH       | DEFINED | 9 |
| VERISK ANALYTICS INC-CLASS A | CMN | 92345Y106 | 80.18    | 1,301 SH   | DEFINED | 2 |
| VERISK ANALYTICS INC-CLASS A | CMN | 92345Y106 | 1288.84  | 20,914 SH  | DEFINED | 1 |
| VERIZON COMMUNICATIONS INC   | CMN | 92343V104 | 46.30    | 943 SH     | DEFINED | 8 |
| VERIZON COMMUNICATIONS INC   | CMN | 92343V104 | 797.30   | 16,240 SH  | DEFINED | 2 |
| VERIZON COMMUNICATIONS INC   | CMN | 92343V104 | 11119.04 | 226,480 SH | DEFINED | 1 |
| VERIZON COMMUNICATIONS INC   | CMN | 92343V104 | 8.10     | 165 SH     | DEFINED | 9 |
| VERTEX PHARMACEUTICALS INC   | CMN | 92532F100 | 131.59   | 2,403 SH   | DEFINED | 2 |
| VERTEX PHARMACEUTICALS INC   | CMN | 92532F100 | 38.33    | 700 SH     | DEFINED | 1 |
| VERTEX PHARMACEUTICALS INC   | CMN | 92532F100 | 30.56    | 558 SH     | DEFINED | 9 |
| VF CORP                      | CMN | 918204108 | 32.24    | 193 SH     | DEFINED | 2 |
| VF CORP                      | CMN | 918204108 | 1146.05  | 6,860 SH   | DEFINED | 1 |
| VF CORP                      | CMN | 918204108 | 2.34     | 14 SH      | DEFINED | 9 |
| VIACOM INC-CLASS B           | CMN | 92553P201 | 37.61    | 611 SH     | DEFINED | 2 |
| VIACOM INC-CLASS B           | CMN | 92553P201 | 3124.25  | 50,758 SH  | DEFINED | 1 |
| VIACOM INC-CLASS B           | CMN | 92553P201 | 4.37     | 71 SH      | DEFINED | 9 |
| VICAL INC                    | CMN | 925602104 | 189.36   | 47,379 SH  | SOLE    | 1 |
| VICOR CORP                   | CMN | 925815102 | 65.78    | 13,323 SH  | SOLE    | 1 |
| VIRGIN MEDIA INC             | CMN | 92769L101 | 314.53   | 6,435 SH   | DEFINED | 2 |
| VIRGIN MEDIA INC             | CMN | 92769L101 | 29.33    | 600 SH     | DEFINED | 1 |
| VIRTUS INVESTMENT PARTNERS   | CMN | 92828Q109 | 317.56   | 1,701 SH   | DEFINED | 2 |
| VIRTUS INVESTMENT PARTNERS   | CMN | 92828Q109 | 252.96   | 1,355 SH   | DEFINED | 1 |
| VISA INC-CLASS A SHARES      | CMN | 92826C839 | 2148.67  | 12,690 SH  | DEFINED | 2 |
| VISA INC-CLASS A SHARES      | CMN | 92826C839 | 6850.68  | 40,460 SH  | DEFINED | 1 |
| VISA INC-CLASS A SHARES      | CMN | 92826C839 | 4.91     | 29 SH      | DEFINED | 9 |
| VISHAY INTERTECHNOLOGY INC   | CMN | 928298108 | 949.31   | 69,689 SH  | DEFINED | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1                    | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6            | COLUMN 7                 |
|-----------------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|
| NAME OF ISSUER              | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION |
| VISHAY INTERTECHNOLOGY INC  | CMN            | 928298108 | 1.50               | 110 SH             | DEFINED             | 9                        |
| VISTAPRINT NV               | CMN            | N93540107 | 528.90             | 13,716 SH          | SOLE                | 1                        |
| VISTEON CORP                | CMN            | 92839U206 | 918.47             | 15,893 SH          | SOLE                | 1                        |
| VISTEON CORP                | CMN            | 92839U206 | 570                | 9887 SH            | SOLE                | 4                        |
| VITAMIN SHOPPE INC          | CMN            | 92849E101 | 96.09              | 1,961 SH           | DEFINED             | 2                        |
| VITAMIN SHOPPE INC          | CMN            | 92849E101 | 1713.18            | 34,963 SH          | DEFINED             | 1                        |
| VIVUS INC                   | CMN            | 928551100 | 1285.77            | 117,587 SH         | SOLE                | 2                        |
| VMWARE INC-CLASS A          | CMN            | 928563402 | 623.41             | 7,911 SH           | DEFINED             | 2                        |
| VMWARE INC-CLASS A          | CMN            | 928563402 | 602.05             | 7,640 SH           | DEFINED             | 1                        |
| VOCUS INC                   | CMN            | 92858J108 | 465.92             | 33,122 SH          | SOLE                | 1                        |
| VODAFONE GROUP PLC          | CMN            | 92857W209 | 515.16             | 18,150 SH          | DEFINED             | 2                        |
| VODAFONE GROUP PLC          | CMN            | 92857W209 | 2054.94            | 72,400 SH          | DEFINED             | 1                        |
| VOLCANO CORP                | CMN            | 928645100 | 3458.73            | 153,459 SH         | SOLE                | 8                        |
| VOLCANO CORP                | CMN            | 928645100 | 4167.54            | 4,231,000 PRN      | SOLE                | 1                        |
| VOLTERRA SEMICONDUCTOR CORP | CMN            | 928708106 | 211.56             | 14,876 SH          | SOLE                | 1                        |
| VORNADO REALTY TRUST        | CMN            | 929042109 | 50.63              | 606 SH             | DEFINED             | 2                        |
| VORNADO REALTY TRUST        | CMN            | 929042109 | 1111.18            | 13,300 SH          | DEFINED             | 1                        |
| VORNADO REALTY TRUST        | CMN            | 929042109 | 0.58               | 7 SH               | DEFINED             | 9                        |
| VRINGO INC                  | CMN            | 92911N104 | 30.24              | 9,550 SH           | DEFINED             | 2                        |
| VRINGO INC                  | CMN            | 92911N104 | 70.76              | 22,351 SH          | DEFINED             | 1                        |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                              |     |           |          |            |         |   |
|------------------------------|-----|-----------|----------|------------|---------|---|
| VULCAN MATERIALS CO          | CMN | 929160109 | 34.33    | 666 SH     | DEFINED | 2 |
| VULCAN MATERIALS CO          | CMN | 929160109 | 526.78   | 10,220 SH  | DEFINED | 1 |
| VULCAN MATERIALS CO          | CMN | 929160109 | 0.15     | 3 SH       | DEFINED | 9 |
| W&T OFFSHORE INC             | CMN | 92922P106 | 8.54     | 600 SH     | DEFINED | 2 |
| W&T OFFSHORE INC             | CMN | 92922P106 | 316.34   | 22,232 SH  | DEFINED | 1 |
| WABASH NATIONAL CORP         | CMN | 929566107 | 196.24   | 19,379 SH  | SOLE    | 1 |
| WABTEC CORP                  | CMN | 929740108 | 25.99    | 256 SH     | DEFINED | 2 |
| WABTEC CORP                  | CMN | 929740108 | 326.97   | 3,221 SH   | DEFINED | 1 |
| WABTEC CORP                  | CMN | 929740108 | 10.35    | 102 SH     | DEFINED | 9 |
| WADDELL & REED FINANCIAL-A   | CMN | 930059100 | 291.69   | 6,687 SH   | DEFINED | 1 |
| WADDELL & REED FINANCIAL-A   | CMN | 930059100 | 2.49     | 57 SH      | DEFINED | 9 |
| WALGREEN CO                  | CMN | 931422109 | 156.07   | 3,286 SH   | DEFINED | 2 |
| WALGREEN CO                  | CMN | 931422109 | 15869.58 | 334,119 SH | DEFINED | 1 |
| WALGREEN CO                  | CMN | 931422109 | 0.24     | 5 SH       | DEFINED | 9 |
| WAL-MART STORES INC          | CMN | 931142103 | 2011.03  | 26,918 SH  | DEFINED | 8 |
| WAL-MART STORES INC          | CMN | 931142103 | 1238.53  | 16,578 SH  | DEFINED | 2 |
| WAL-MART STORES INC          | CMN | 931142103 | 22109.66 | 295,942 SH | DEFINED | 1 |
| WAL-MART STORES INC          | CMN | 931142103 | 0.67     | 9 SH       | DEFINED | 9 |
| WALT DISNEY CO/THE           | CMN | 254687106 | 1371.28  | 24,186 SH  | DEFINED | 2 |
| WALT DISNEY CO/THE           | CMN | 254687106 | 14.17    | 250 SH     | DEFINED | 3 |
| WALT DISNEY CO/THE           | CMN | 254687106 | 10762.29 | 189,820 SH | DEFINED | 1 |
| WALT DISNEY CO/THE           | CMN | 254687106 | 16.05    | 283 SH     | DEFINED | 9 |
| WALTER ENERGY INC            | CMN | 93317Q105 | 955.35   | 33,463 SH  | DEFINED | 2 |
| WALTER ENERGY INC            | CMN | 93317Q105 | 83.45    | 2,923 SH   | DEFINED | 1 |
| WALTER INVESTMENT MANAGEMENT | CMN | 93317W102 | 148.89   | 4,000 SH   | DEFINED | 2 |
| WALTER INVESTMENT MANAGEMENT | CMN | 93317W102 | 114.05   | 3,064 SH   | DEFINED | 1 |
| WALTER INVESTMENT MANAGEMENT | CNV | 93317WAA0 | 12.89    | 12,000 PRN | SOLE    | 1 |
| WARNER CHILCOTT PLC-CLASS A  | CMN | G94368100 | 15.29    | 1,126 SH   | SOLE    | 2 |
| WARNER CHILCOTT PLC-CLASS A  | CMN | G94368100 | 1515.45  | 111,618 SH | SOLE    | 1 |
| WASHINGTON REIT              | CMN | 939653101 | 13.88    | 500 SH     | DEFINED | 2 |
| WASHINGTON REIT              | CMN | 939653101 | 5788.04  | 208,500 SH | DEFINED | 1 |
| WASTE CONNECTIONS INC        | CMN | 941053100 | 60.01    | 1,668 SH   | DEFINED | 2 |
| WASTE CONNECTIONS INC        | CMN | 941053100 | 230.59   | 6,409 SH   | DEFINED | 1 |
| WASTE CONNECTIONS INC        | CMN | 941053100 | 9.43     | 262 SH     | DEFINED | 9 |
| WASTE MANAGEMENT INC         | CMN | 94106L109 | 28.80    | 737 SH     | DEFINED | 2 |
| WASTE MANAGEMENT INC         | CMN | 94106L109 | 1582.45  | 40,500 SH  | DEFINED | 1 |
| WASTE MANAGEMENT INC         | CMN | 94106L109 | 2.03     | 52 SH      | DEFINED | 9 |
| WATERS CORP                  | CMN | 941848103 | 10.79    | 115 SH     | DEFINED | 2 |
| WATERS CORP                  | CMN | 941848103 | 816.21   | 8,700 SH   | DEFINED | 1 |
| WATERS CORP                  | CMN | 941848103 | 0.66     | 7 SH       | DEFINED | 9 |

FORM 13F INFORMATION TABLE

| COLUMN 1                   | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6                | COLUMN 7                 |
|----------------------------|----------------|-----------|--------------------|--------------------|-------------------------|--------------------------|
| NAME OF ISSUER             | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>PRN CALL | INVESTMENT<br>DISCRETION |
| WATTS WATER TECHNOLOGIES-A | CMN            | 942749102 | 220.18             | 4,579 SH           |                         | SOLE                     |
| WAUSAU PAPER CORP          | CMN            | 943315101 | 155.51             | 14,380 SH          |                         | DEFINED                  |
| WAUSAU PAPER CORP          | CMN            | 943315101 | 22.71              | 2,100 SH           |                         | DEFINED                  |
| WEATHERFORD INTL LTD       | CMN            | H27013103 | 252.78             | 20,864 SH          |                         | SOLE                     |
| WEATHERFORD INTL LTD       | CMN            | H27013103 | 1148.44            | 94,789 SH          |                         | SOLE                     |
| WEBSense INC               | CMN            | 947684106 | 50.62              | 3,370 SH           |                         | DEFINED                  |
| WEBSense INC               | CMN            | 947684106 | 221.48             | 14,745 SH          |                         | DEFINED                  |
| WEBSTER FINANCIAL CORP     | CMN            | 947890109 | 267.87             | 11,036 SH          |                         | DEFINED                  |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                              |     |           |           |              |         |         |   |
|------------------------------|-----|-----------|-----------|--------------|---------|---------|---|
| WEBSTER FINANCIAL CORP       | CMN | 947890109 | 1.29      | 53 SH        | DEFINED | 9       |   |
| WEIGHT WATCHERS INTL INC     | CMN | 948626106 | 452.37    | 10,750 SH    | SOLE    | 1       |   |
| WELLPOINT INC                | CMN | 94973V107 | 129.54    | 1,962 SH     | DEFINED | 2       |   |
| WELLPOINT INC                | CMN | 94973V107 | 1574.06   | 23,840 SH    | DEFINED | 1       |   |
| WELLPOINT INC                | CMN | 94973V107 | 1.72      | 26 SH        | DEFINED | 9       |   |
| WELLS FARGO & CO             | CMN | 949746101 | 924.16    | 25,000 SH    | DEFINED | 8       |   |
| WELLS FARGO & CO             | CMN | 949746101 | 1381.17   | 37,363 SH    | DEFINED | 2       |   |
| WELLS FARGO & CO             | CMN | 949746101 | 14759.93  | 399,280 SH   | DEFINED | 1       |   |
| WELLS FARGO & CO             | CMN | 949746101 | 7.76      | 210 SH       | DEFINED | 9       |   |
| WELLS FARGO & CO             | CMN | 949746119 | 1212.22   | 103,853 SH   | SOLE    | 1       |   |
| WENDY'S CO/THE               | CMN | 95058W100 | 7.06      | 1,240 SH     | DEFINED | 2       |   |
| WENDY'S CO/THE               | CMN | 95058W100 | 277.73    | 48,760 SH    | DEFINED | 1       |   |
| WENDY'S CO/THE               | CMN | 95058W100 | 3.76      | 660 SH       | DEFINED | 9       |   |
| WESCO INTERNATIONAL INC      | CMN | 95082P105 | 178.45    | 2,451 SH     | DEFINED | 2       |   |
| WESCO INTERNATIONAL INC      | CMN | 95082P105 | 1117.66   | 15,351 SH    | DEFINED | 1       |   |
| WESCO INTERNATIONAL INC      | CMN | 95082PAH8 | 462.26    | 176,000 PRN  | SOLE    | 1       |   |
| WESTERN ALLIANCE BANCORP     | CMN | 957638109 | 226.49    | 16,375 SH    | SOLE    | 1       |   |
| WESTERN ASSET MORTGAGE CAPIT | CMN | 95790D105 | 2719.11   | 117,131 SH   | SOLE    | 2       |   |
| WESTERN DIGITAL CORP         | CMN | 958102105 | 67.17     | 1,340 SH     | DEFINED | 2       |   |
| WESTERN DIGITAL CORP         | CMN | 958102105 | 1502.36   | 29,973 SH    | DEFINED | 1       |   |
| WESTERN DIGITAL CORP         | CMN | 958102105 | 0.60      | 12 SH        | DEFINED | 9       |   |
| WESTERN REFINING INC         | CMN | 959319104 | 309.05    | 8,726 SH     | SOLE    | 1       |   |
| WESTERN UNION CO             | CMN | 959802109 | 73.36     | 4,894 SH     | DEFINED | 2       |   |
| WESTERN UNION CO             | CMN | 959802109 | 1443.21   | 96,277 SH    | DEFINED | 1       |   |
| WESTERN UNION CO             | CMN | 959802109 | 0.48      | 32 SH        | DEFINED | 9       |   |
| WESTLAKE CHEMICAL CORP       | CMN | 960413102 | 211.75    | 2,264 SH     | DEFINED | 2       |   |
| WESTLAKE CHEMICAL CORP       | CMN | 960413102 | 57.99     | 620 SH       | DEFINED | 1       |   |
| WEYERHAEUSER CO              | CMN | 962166104 | 122.53    | 3,917 SH     | DEFINED | 2       |   |
| WEYERHAEUSER CO              | CMN | 962166104 | 1368.23   | 43,740 SH    | DEFINED | 1       |   |
| WEYERHAEUSER CO              | CMN | 962166104 | 0.72      | 23 SH        | DEFINED | 9       |   |
| WHIRLPOOL CORP               | CMN | 963320106 | 34.04     | 288 SH       | DEFINED | 2       |   |
| WHIRLPOOL CORP               | CMN | 963320106 | 13701.13  | 115,905 SH   | DEFINED | 1       |   |
| WHIRLPOOL CORP               | CMN | 963320106 | 1.42      | 12 SH        | DEFINED | 9       |   |
| WHITING PETROLEUM CORP       | CMN | 966387102 | 0.05      | 1 SH         | DEFINED | 2       |   |
| WHITING PETROLEUM CORP       | CMN | 966387102 | 828.59    | 16,336 SH    | DEFINED | 1       |   |
| WILEY (JOHN) & SONS-CLASS A  | CMN | 968223206 | 19.40     | 500 SH       | DEFINED | 2       |   |
| WILEY (JOHN) & SONS-CLASS A  | CMN | 968223206 | 454.80    | 11,720 SH    | DEFINED | 1       |   |
| WILEY (JOHN) & SONS-CLASS A  | CMN | 968223206 | 4.27      | 110 SH       | DEFINED | 9       |   |
| WILLBROS GROUP INC           | CMN | 969203108 | 46.32     | 4,732 SH     | DEFINED | 2       |   |
| WILLBROS GROUP INC           | CMN | 969203108 | 285.87    | 29,207 SH    | DEFINED | 1       |   |
| WILLIAMS COS INC             | CMN | 969457100 | 23837.25  | 637,653 SH   | DEFINED | 8       |   |
| WILLIAMS COS INC             | CMN | 969457100 | 102.88    | 2,752 SH     | DEFINED | 2       |   |
| WILLIAMS COS INC             | CMN | 969457100 | 8285.71   | 221,645 SH   | DEFINED | 1       |   |
| WILLIAMS COS INC             | CMN | 969457100 | 1.83      | 49 SH        | DEFINED | 9       |   |
| WILLIAMS COS INC             | CMN | 969457100 | 167445.04 | 4,479,200 SH | CALL    | DEFINED | 1 |
| WINDSTREAM CORP              | CMN | 97381W104 | 25.07     | 3,143 SH     | DEFINED | 2       |   |
| WINDSTREAM CORP              | CMN | 97381W104 | 1852.59   | 232,237 SH   | DEFINED | 1       |   |
| WINDSTREAM CORP              | CMN | 97381W104 | 0.27      | 34 SH        | DEFINED | 9       |   |
| WINTRUST FINANCIAL CORP      | CMN | 97650W108 | 555.15    | 14,990 SH    | SOLE    | 1       |   |
| WISCONSIN ENERGY CORP        | CMN | 976657106 | 124.22    | 2,913 SH     | DEFINED | 2       |   |
| WISCONSIN ENERGY CORP        | CMN | 976657106 | 770.17    | 18,060 SH    | DEFINED | 1       |   |
| WISDOMTREE INVESTMENTS INC   | CMN | 97717P104 | 2.08      | 200 SH       | DEFINED | 2       |   |

FORM 13F INFORMATION TABLE

COLUMN 1

COLUMN 2

COLUMN 3

COLUMN 4

COLUMN 5

COLUMN 6 CO

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

| NAME OF ISSUER              | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PRN | PUT/<br>CALL | INVESTMENT<br>DISCRETION | MA |
|-----------------------------|----------------|-----------|--------------------|--------------------|------------|--------------|--------------------------|----|
| WISDOMTREE INVESTMENTS INC  | CMN            | 97717P104 | 104.55             | 10,044             | SH         |              | DEFINED                  | 1  |
| WISDOMTREE JAP S/C DVD FUND | CMN            | 97717W836 | 425.41             | 8,765              | SH         |              | SOLE                     | 2  |
| WMS INDUSTRIES INC          | CMN            | 929297109 | 307.49             | 12,201             | SH         |              | DEFINED                  | 1  |
| WMS INDUSTRIES INC          | CMN            | 929297109 | 3.23               | 128                | SH         |              | DEFINED                  | 9  |
| WORTHINGTON INDUSTRIES      | CMN            | 981811102 | 5.50               | 177                | SH         |              | DEFINED                  | 2  |
| WORTHINGTON INDUSTRIES      | CMN            | 981811102 | 740.02             | 23,822             | SH         |              | DEFINED                  | 1  |
| WORTHINGTON INDUSTRIES      | CMN            | 981811102 | 1.93               | 62                 | SH         |              | DEFINED                  | 9  |
| WPP PLC                     | CMN            | 92937A102 | 319.13             | 4,000              | SH         |              | SOLE                     | 2  |
| WPX ENERGY INC              | CMN            | 98212B103 | 14.04              | 873                | SH         |              | DEFINED                  | 2  |
| WPX ENERGY INC              | CMN            | 98212B103 | 418.98             | 26,047             | SH         |              | DEFINED                  | 1  |
| WPX ENERGY INC              | CMN            | 98212B103 | 0.23               | 14                 | SH         |              | DEFINED                  | 9  |
| WRIGHT MEDICAL GROUP INC    | CMN            | 98235T107 | 2905.26            | 122,520            | SH         |              | DEFINED                  | 8  |
| WRIGHT MEDICAL GROUP INC    | CMN            | 98235T107 | 34.76              | 1,466              | SH         |              | DEFINED                  | 1  |
| WW GRAINGER INC             | CMN            | 384802104 | 25.16              | 112                | SH         |              | DEFINED                  | 2  |
| WW GRAINGER INC             | CMN            | 384802104 | 1654.91            | 7,367              | SH         |              | DEFINED                  | 1  |
| WW GRAINGER INC             | CMN            | 384802104 | 1.57               | 7                  | SH         |              | DEFINED                  | 9  |
| WYNDHAM WORLDWIDE CORP      | CMN            | 98310W108 | 113.52             | 1,777              | SH         |              | DEFINED                  | 2  |
| WYNDHAM WORLDWIDE CORP      | CMN            | 98310W108 | 702.41             | 10,995             | SH         |              | DEFINED                  | 1  |
| WYNDHAM WORLDWIDE CORP      | CMN            | 98310W108 | 1.34               | 21                 | SH         |              | DEFINED                  | 9  |
| WYNN RESORTS LTD            | CMN            | 983134107 | 86.44              | 692                | SH         |              | DEFINED                  | 2  |
| WYNN RESORTS LTD            | CMN            | 983134107 | 2852.85            | 22,839             | SH         |              | DEFINED                  | 1  |
| WYNN RESORTS LTD            | CMN            | 983134107 | 1.62               | 13                 | SH         |              | DEFINED                  | 9  |
| WYNN RESORTS LTD            | CMN            | 983134107 | 29828.88           | 238,800            | SH         | CALL         | DEFINED                  | 1  |
| XCEL ENERGY INC             | CMN            | 98389B100 | 90.79              | 3,070              | SH         |              | DEFINED                  | 2  |
| XCEL ENERGY INC             | CMN            | 98389B100 | 1639.83            | 55,450             | SH         |              | DEFINED                  | 1  |
| XEROX CORP                  | CMN            | 984121103 | 15.21              | 1,771              | SH         |              | DEFINED                  | 2  |
| XEROX CORP                  | CMN            | 984121103 | 3101.49            | 361,184            | SH         |              | DEFINED                  | 1  |
| XEROX CORP                  | CMN            | 984121103 | 0.58               | 68                 | SH         |              | DEFINED                  | 9  |
| XILINX INC                  | CMN            | 983919101 | 439.50             | 11,535             | SH         |              | DEFINED                  | 2  |
| XILINX INC                  | CMN            | 983919101 | 4874.55            | 127,935            | SH         |              | DEFINED                  | 1  |
| XILINX INC                  | CMN            | 983919101 | 0.57               | 15                 | SH         |              | DEFINED                  | 9  |
| XL GROUP PLC                | CMN            | G98290102 | 33.80              | 1,120              | SH         |              | SOLE                     | 2  |
| XL GROUP PLC                | CMN            | G98290102 | 1902.61            | 63,037             | SH         |              | SOLE                     | 1  |
| XL GROUP PLC                | CMN            | G98290102 | 0.39               | 13                 | SH         |              | SOLE                     | 9  |
| XOMA CORP                   | CMN            | 98419J107 | 17.45              | 5,000              | SH         |              | DEFINED                  | 2  |
| XOMA CORP                   | CMN            | 98419J107 | 23.14              | 6,631              | SH         |              | DEFINED                  | 1  |
| XYLEM INC                   | CMN            | 98419M100 | 6.80               | 246                | SH         |              | DEFINED                  | 2  |
| XYLEM INC                   | CMN            | 98419M100 | 999.55             | 36,180             | SH         |              | DEFINED                  | 1  |
| XYLEM INC                   | CMN            | 98419M100 | 0.61               | 22                 | SH         |              | DEFINED                  | 9  |
| YAMANA GOLD INC             | CMN            | 98462Y100 | 631.89             | 41,175             | SH         |              | DEFINED                  | 2  |
| YAMANA GOLD INC             | CMN            | 98462Y100 | 1.53               | 100                | SH         |              | DEFINED                  | 1  |
| YANDEX NV-A                 | CMN            | N97284108 | 945.85             | 40,750             | SH         |              | SOLE                     | 2  |
| YANDEX NV-A                 | CMN            | N97284108 | 1646.58            | 70,939             | SH         |              | SOLE                     | 1  |
| YONGYE INTERNATIONAL INC    | CMN            | 98607B106 | 574.03             | 108,700            | SH         |              | SOLE                     | 2  |
| YPF S.A.-D                  | CMN            | 984245100 | 378.21             | 26,261             | SH         |              | DEFINED                  | 2  |
| YPF S.A.-D                  | CMN            | 984245100 | 20.16              | 1,400              | SH         |              | DEFINED                  | 1  |
| YUM! BRANDS INC             | CMN            | 988498101 | 539.05             | 7,523              | SH         |              | DEFINED                  | 8  |
| YUM! BRANDS INC             | CMN            | 988498101 | 699.06             | 9,756              | SH         |              | DEFINED                  | 2  |
| YUM! BRANDS INC             | CMN            | 988498101 | 25.08              | 350                | SH         |              | DEFINED                  | 3  |
| YUM! BRANDS INC             | CMN            | 988498101 | 3250.01            | 45,357             | SH         |              | DEFINED                  | 1  |
| YUM! BRANDS INC             | CMN            | 988498101 | 5.09               | 71                 | SH         |              | DEFINED                  | 9  |
| ZAGG INC                    | CMN            | 98884U108 | 196.95             | 27,000             | SH         |              | DEFINED                  | 2  |
| ZAGG INC                    | CMN            | 98884U108 | 173.86             | 23,834             | SH         |              | DEFINED                  | 1  |
| ZAIS FINANCIAL CORP         | CMN            | 98886K108 | 1031.17            | 50,000             | SH         |              | SOLE                     | 2  |
| ZAZA ENERGY CORP            | CMN            | 98919T100 | 0.00               | 1                  | SH         |              | DEFINED                  | 2  |
| ZAZA ENERGY CORP            | CMN            | 98919T100 | 140.77             | 77,052             | SH         |              | DEFINED                  | 1  |
| ZEP INC                     | CMN            | 98944B108 | 197.68             | 13,008             | SH         |              | SOLE                     | 1  |
| ZHONGPIN INC                | CMN            | 98952K107 | 455.76             | 35,273             | SH         |              | SOLE                     | 2  |

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

|                     |     |           |         |           |         |   |
|---------------------|-----|-----------|---------|-----------|---------|---|
| ZIMMER HOLDINGS INC | CMN | 98956P102 | 81.06   | 1,079 SH  | DEFINED | 2 |
| ZIMMER HOLDINGS INC | CMN | 98956P102 | 1308.83 | 17,422 SH | DEFINED | 1 |

FORM 13F INFORMATION TABLE

| COLUMN 1              | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6            | COLUMN 7                 |
|-----------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|
| NAME OF ISSUER        | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION |
| ZIMMER HOLDINGS INC   | CMN            | 98956P102 | 1.05               | 14 SH              |                     | DEFINED                  |
| ZIONS BANCORPORATION  | CMN            | 989701107 | 62.60              | 2,505 SH           |                     | DEFINED                  |
| ZIONS BANCORPORATION  | CMN            | 989701107 | 1219.67            | 48,803 SH          |                     | DEFINED                  |
| ZIONS BANCORPORATION  | CMN            | 989701107 | 0.20               | 8 SH               |                     | DEFINED                  |
| ZIOPHARM ONCOLOGY INC | CMN            | 98973P101 | 7.32               | 3,450 SH           |                     | DEFINED                  |
| ZIOPHARM ONCOLOGY INC | CMN            | 98973P101 | 60.71              | 28,600 SH          |                     | DEFINED                  |
| ZOETIS INC            | CMN            | 98978V103 | 1394.06            | 41,919 SH          |                     | SOLE                     |
| ZYNGA INC - CL A      | CMN            | 98986T108 | 674.41             | 201,013 SH         |                     | DEFINED                  |
| ZYNGA INC - CL A      | CMN            | 98986T108 | 321.92             | 95,949 SH          |                     | DEFINED                  |