

Edgar Filing: MEHTA BHARAT M - Form 3

MEHTA BHARAT M
Form 3
June 04, 2002

FORM 3

OMB NUMBER: 3235-0104
Expires: December 31, 2001
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Hours per response 0.5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935
or Section 30(f) of the Investment Company Act of 1940

(Print or Type Responses)

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1. Name and Address of Reporting Person*

| | | |
|--------|---------|----------|
| Mehta | Bharat | M. |
| (Last) | (First) | (Middle) |

c/o Genta Incorporated
Two Connell Drive

(Street)

| | | |
|------------------|---------|-------|
| Berkeley Heights | NJ | 07922 |
| (City) | (State) | (Zip) |

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2. Date of Event Requiring Statement (Month/Day/Year)

5/28/2002

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3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)

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4. Issuer Name and Ticker or Trading Symbol

Genta Incorporated (Nasdaq: GNTA)

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5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

| | |
|--|--|
| <input type="checkbox"/> Director | <input type="checkbox"/> 10% Owner |
| <input checked="" type="checkbox"/> Officer (give title below) | <input type="checkbox"/> Other (specify below) |

VP Manufacturing Operations

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6. If Amendment, Date of Original (Month/Day/Year)

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7. Individual or Joint/Group Filing (Check Applicable Line)

- Form filed by One Reporting Person
- Form filed by More than One Reporting Person

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Table I-- Non-Derivative Securities Beneficially Owned

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| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature (Instr.) |
|------------------------------------|---|--|-----------------------|
|------------------------------------|---|--|-----------------------|

Common Stock, par value \$.001

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

*If the form is filed by more than one reporting person, see Instruction 5(b) (v).

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(Over)
SEC 1473 (3-99)

FORM 3 (continued)

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Table II-- Derivative Securities Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security |
|--|--|--|---|
|--|--|--|---|

| Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
|---------------------|--------------------|-------|----------------------------------|
|---------------------|--------------------|-------|----------------------------------|

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Explanation of Responses:

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

| | |
|---------------------------------|--------------|
| /s/ Bharat M. Mehta | June 4, 2002 |
| ----- | ----- |
| **Signature of Reporting Person | Date |

Note: File three copies of this Form, one of which must be manually signed.
If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.