

BAXTER INTERNATIONAL INC
Form 4
April 01, 2003

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

☐ Check this box if no
longer subject to Section 16.
Form 4 or Form 5
obligations may continue.
See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of
the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment
Company Act of 1940

Filed By
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Section 16 Filer
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1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol				6. Relationship of Reporting Person(s) to Issuer (Check all applicable)		
Riedel, Norbert G.			Baxter International Inc. BAX				☐ Director ☐ 10% Owner ☒ Officer (give title below) Other (specify below)		
(Last) (First) (Middle)			3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)				4. Statement for Month/Day/Year 03/28/2003		
Baxter International Inc. One Baxter Parkway									
(Street)			5. If Amendment, Date of Original (Month/Day/Year)				7. Individual or Joint/Group Filing (Check Applicable Line) ☒ Form filed by One Reporting Person ☐ Form filed by More than One Reporting Person		
Deerfield, IL 60015									
(City) (State) (Zip)			Table I Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned						
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 & 5)			5. Amount of Securities Beneficially Owned Following Reported Transactions(s) (Instr. 3 & 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, \$1 par value				Code	V	Amount	(A) or (D)	Price	
								14,041	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 & 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported	10. Ownership Form of Derivative Security:	11. of I Ber Ow (Ins
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		Year)	of (D)						Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)		
			(Instr. 3, 4 & 5)									
			Code	V	(A)	(D)	Date Exer-cisable	Expira- tion Date	Title	Amount or Number of Shares		
Deferred Compensation Plan - Baxter Stock Fund ⁽¹⁾	⁽²⁾	3/14/2003	A		2482 ⁽³⁾		⁽⁴⁾	⁽⁵⁾	Common Stock, \$1 par value ⁽⁶⁾	2482 ⁽⁷⁾	⁽⁸⁾ 6231 ⁽⁹⁾	D
Deferred Compensation Plan - Baxter Stock Fund ⁽¹⁰⁾	⁽¹¹⁾	3/28/2003	A		11.8 ⁽¹²⁾		⁽¹³⁾	⁽¹⁴⁾	Common Stock \$1 par value ⁽¹⁵⁾	11.8 ⁽¹⁶⁾	⁽¹⁷⁾ 6251.3 ⁽¹⁸⁾	D

Explanation of Responses:

(1) Reporting person allocated compensation deferred under Baxter's Deferred Compensation Plan ("Plan") to the Baxter Common Stock Fund notional investment alternative. The value of the reporting person's Plan account is based on the performance of the Baxter Common Stock Fund and any other benchmark investment funds selected by the reporting person. Amounts deferred are not actually invested in the Baxter Common Stock Fund or Baxter common stock.

(2) 1-for-1.

(3) Equal to the amount of compensation deferred under the Plan on March 14, 2003 allocated to the Baxter Common Stock Fund notional investment alternative, divided by \$19.65 which was the closing price of Baxter Common Stock as reported on the New York Stock Exchange on March 14, 2003.

(4) Reporting person's account balance under the Plan is payable in cash after termination of employment or on a future date designated in advance by the reporting person, subject to the terms of the Plan. The reporting person may change investment elections quarterly.

(5) Please see footnote 4.

(6) Please see footnote 1.

(7) Please see footnote 3.

(8) Please see footnote 3.

(9) Equal to the reporting person's Baxter Common Stock Fund account balance as of March 14, 2003, divided by \$19.65 which was the closing price of Baxter Common Stock on March 14, 2003, as reported by the New York Stock Exchange.

(10) Please see footnote 1.

(11) 1-for-1

(12) Equal to the amount of compensation deferred under the Plan on March 28, 2003 allocated to the Baxter Common Stock Fund notional investment alternative, divided by \$19.16 which was the closing price of Baxter Common Stock as reported on the New York Stock Exchange on March 28, 2003.

(13) Please see footnote 4.

(14) Please see footnote 4.

(15) Please see footnote 1.

(16) Please see footnote 12.

(17) Please see footnote 12.

(18) Equal to the reporting person's Baxter Common Stock Fund account balance as of March 28, 2003, divided by \$19.16 which was the closing price of Baxter Common Stock on March 28, 2003, as reported by the new York Stock Exchange.

By: /s/ **William M. Link, Attorney-in-Fact**
for **Norbert G. Riedel**

04/01/2003
Date

**Signature of Reporting Person

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations.
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.

If space is insufficient, See Instruction 6 for procedure.

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