

Edgar Filing: SANTA CRUZ CARLOS - Form 3

SANTA CRUZ CARLOS

Form 3

May 29, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 3

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

1. Name and Address of Reporting Person
 SANTA CRUZ, CARLOS
 1700 LINCOLN STREET
 DENVER, CO 80203
 USA
2. Date of Event Requiring Statement (Month/Day/Year)
 5/15/02
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Issuer Name and Ticker or Trading Symbol
 NEWMONT MINING CORPORATION
 NEM
5. Relationship of Reporting Person(s) to Issuer (Check all applicable)
 () Director () 10% Owner (X) Officer (give title below) () Other
 (specify below)
 MANAGING DIRECTOR
6. If Amendment, Date of Original (Month/Day/Year)
7. Individual or Joint/Group Filing (Check Applicable Line)
 (X) Form filed by One Reporting Person
 () Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Beneficially Owned

| 1. Title of Security | 2. Amount of Securities Beneficially Owned | 3. Ownership Form: Direct (D) or Indirect (I) | 4. Nature of Beneficial |
|--------------------------------|--|---|-------------------------|
| Common Stock, \$1.60 par value | 1,052 | D | |

Table II -- Derivative Securities Beneficially Owned

| 1. Title of Derivative Security | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Underlying Securities | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security |
|---------------------------------|--|--|--|--|
| Stock Option (right to buy) | (1) 11/12/11 | Common Stock | 6,250 | \$23.67 |
| Stock Option (right to buy) | (2) 5/14/12 | Common Stock | 10,000 | \$27.87 |

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Explanation of Responses:

(1) This option vests in four equal annual installments beginning on November 12, 2002.

(2) This option vests in four equal annual installments beginning on May 14, 2003.

(3) Carlos Santa Cruz has executed a power of attorney, a copy of which has been previously filed, authorizing Britt D. Banks to execute this Form 3 on his behalf.

SIGNATURE OF REPORTING PERSON

Carlos Santa Cruz by Britt D. Banks, Attorney-in-Fact

DATE

May 28, 2002