

FLOTEK INDUSTRIES INC/CN/

Form 4

August 30, 2010

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
DUMAS JERRY D SR

2. Issuer Name **and** Ticker or Trading
Symbol
FLOTEK INDUSTRIES INC/CN/
[FTK]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
2930 W. SAM HOUSTON PKWY.
N STE. 300

3. Date of Earliest Transaction
(Month/Day/Year)
07/30/2010

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☒ Other (specify
below) Retired

(Street)
HOUSTON, TX 77043

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock	07/30/2010		C	V Amount (A) or (D) Price 130,279 A \$ 0.85	549,187	D	
Common Stock	07/30/2010		F	96,294 D \$ 1.15	452,893	D	
Common Stock					18,096	I	Saxton River Corporation

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not
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SEC 1474
(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Security (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount Number of Shares
Stock Option	\$ 0.85	07/30/2010		X	130,279	09/21/2005	09/20/2014	Common	130,279
Stock Option	\$ 2.125	07/30/2010		J	66,940 ⁽¹⁾	12/10/2005	12/09/2014	Common	66,940
Stock Option	\$ 13.805	07/30/2010		J	54,348 ⁽¹⁾	03/13/2008	03/12/2013	Common	54,348
Stock Option	\$ 22.37	07/30/2010		J	66,600 ⁽¹⁾	05/18/2008	05/17/2013	Common	66,600
Stock Option	\$ 22.75	07/30/2010		J	27,776 ⁽¹⁾	03/28/2009	03/27/2014	Common	27,776
Stock Option	\$ 2.51	07/30/2010		J	200,000 ⁽¹⁾	02/16/2010	02/15/2015	Common	200,000
Preferred Stock	\$ 2.3					08/12/2009	⁽²⁾	Common	86,000
Exercisable Warrants	\$ 2.31					08/12/2014	08/11/2014	Common	31,000
Exercisable Warrants	\$ 2.45					11/09/2009	10/11/2017	Common	100,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DUMAS JERRY D SR 2930 W. SAM HOUSTON PKWY. N STE. 300 HOUSTON, TX 77043	X			Retired

Signatures

/s/ Jerry D.
Dumas, Sr.

08/30/2010

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Options granted terminated at retirement of beneficial owner.

(2) The Preferred stock is perpetual and has no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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