SPDR INDEX SHARES FUNDS (Formerly streetTRACKS Index Shares Funds) Form SC 13G/A February 16, 2016

## **UNITED STATES**

## **Securities and Exchange Commission**

Washington, D.C. 20549

## **SCHEDULE 13G**

**Under the Securities Exchange Act of 1934** 

(Ammendment No. 2)\*

## SPDR INDEX SHS FDS

(Name of Issuer)

**S&P INTL SMLCP** 

(Title of Class of Securities)

78463X871

(CUSIP Number)

Dec 31, 2015

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

b Rule 13d-1(b)

- "Rule 13d-1(c)
- "Rule 13d-1(d)
- \* The remainder of this cover page shall be filled out for a reporting person s initial filing in this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934, as amended (the Act ), or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act.

## CUSIP No. 78463X871

1)	Name of Reporting	Person
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Bank Of Montreal

- 2) Check the Appropriate Box if a Member of a Group
  - (a) " (b) "
- 3) SEC Use Only
- 4) Citizenship or Place of Organization

Canada

5) Sole Voting Power:

Number of

1,438,155<sup>(1)</sup>

Shares 6) Shared Voting Power:

Beneficially

Owned by  $55,233^{(2)}$ 

Each 7) Sole Dispositive Power:

Reporting

Person 1,272,532<sup>(1)</sup>

8) Shared Dispositive Power:

With:

254,039(1)

9) Aggregate Amount Beneficially Owned by Each Reporting Person

 $1,526,570^{(1)}$ 

Edgar	Filing: SPDR INDEX SHARES FUNDS (Formerly streetTRACKS Index Shares Funds) - Form SC 13G/F
10)	Check if the Aggregate Amount in Row (9) Excludes Certain Shares [ ]
11)	Percent of Class Represented by Amount in Row (9)
12)	6.2821% Type of Reporting Person
	НС

- (1) Shares are held indirectly by the Reporting Person's subsidiaries, Stoker Ostler Wealth Advisors, Inc., Sullivan, Bruyette, Speros, & Blayney, Inc., CTC myCFO, LLC, BMO Harris Financial Advisors, Inc., and BMO Harris Bank N.A.
- (2) Beneficial ownership of 22,231 shares is specifically disclaimed. See Item 4

## CUSIP No. 78463X871

1) Name of Reporting Person

## BMO HARRIS BANK N.A.

- 2) Check the Appropriate Box if a Member of a Group
  - (a) " (b) "
- 3) SEC Use Only
- 4) Citizenship or Place of Organization

**United States** 

5) Sole Voting Power:

Number of

Shares 1,411,865

6) Shared Voting Power:

Beneficially

Owned by  $1.940^{(1)}$ 

Each 7) Sole Dispositive Power:

Reporting

Person 1,242,545

8) Shared Dispositive Power:

With:

176,730

9) Aggregate Amount Beneficially Owned by Each Reporting Person

1,419,275

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10)	Check if the Aggregate Amount in Row (9) Excludes Certain Shares [ ]
11)	Percent of Class Represented by Amount in Row (9)
12)	5.8406% Type of Reporting Person
	BK

(1) Beneficial ownership of 1,940 shares is specifically disclaimed. See Item 4

CUSIP No. 78463X871
1) Name of Reporting Person
SULLIVAN BRUYETTE SPEROS & BLANEY INC 2) Check the Appropriate Box if a Member of a Group
(a) " (b) "
3) SEC Use Only
4) Citizenship or Place of Organization
Virginia, United States Number of 5) Sole Voting Power:
Shares 6) Shared Voting Power: Beneficially
Owned by 7) Sole Dispositive Power:
Each
Reporting 3,697 8) Shared Dispositive Power: Person
With: 9) Aggregate Amount Beneficially Owned by Each Reporting Person
3,697 10) Check if the Aggregate Amount in Row (9) Excludes Certain Shares [

11) Percent of Class Represented by Amount in Row (9)

]

0.0152%

12) Type of Reporting Person

IA

## CUSIP No. 78463X871

1) Name of Reporting Person

## BMO HARRIS FINANCIAL ADVISORS INC.

- 2) Check the Appropriate Box if a Member of a Group
  - (a) " (b) "
- 3) SEC Use Only
- 4) Citizenship or Place of Organization

Delaware, United States

5) Sole Voting Power:

Number of

14,632

Shares 6) Shared Voting Power:

Beneficially

Owned by  $53.293^{(1)}$ 

7) Sole Dispositive Power:

Reporting

Each

Person 14,632

8) Shared Dispositive Power:

With:

53,293

9) Aggregate Amount Beneficially Owned by Each Reporting Person

67,924

Edgar	Filing: SPDR INDEX SHARES FUNDS (Formerly streetTRACKS Index Shares Funds) - Form SC 13G/A
10)	Check if the Aggregate Amount in Row (9) Excludes Certain Shares [ ]
11)	Percent of Class Represented by Amount in Row (9)
12)	0.2795% Type of Reporting Person
	BD IA

(1) Beneficial ownership of 53,293 shares is specifically disclaimed. See Item 4.

CUSIP No. 78463X871
1) Name of Reporting Person
CTC MYCFO  2) Check the Appropriate Box if a Member of a Group  (a) " (b) "
3) SEC Use Only
4) Citizenship or Place of Organization
United States Number of 5) Sole Voting Power:
Shares 6) Shared Voting Power: Beneficially
Owned by 7) Sole Dispositive Power:
Each 8) Shared Dispositive Power: Reporting
Person 24,016 With: 9) Aggregate Amount Beneficially Owned by Each Reporting Person
24,016 10) Check if the Aggregate Amount in Row (9) Excludes Certain Shares [ ]

11) Percent of Class Represented by Amount in Row (9)

0.0988 %

12) Type of Reporting Person

IA

CUS	IP No. 7	7846	3X871	
1)	Name	of R	eporting Person	
2)		the .	OSTLER WEALTH ADVISORS, INC. Appropriate Box if a Member of a Group b) "	
3)	SEC U	Jse C	only	
4)	Citizer	nship	or Place of Organization	
Nun	Arizor		nited States Sole Voting Power:	
Sł	nares			
Bene	eficially	6)	11,658 Shared Voting Power:	
Ow	ned by			
Е	Each	7)	Sole Dispositive Power:	
Rep	orting			
Pe	erson	8)	11,658 Shared Dispositive Power:	
W	/ith:			
9)	Aggre	gate	Amount Beneficially Owned by Each Reporting Person	
10)	11,658 Check		e Aggregate Amount in Row (9) Excludes Certain Shares [	1

11) Percent of Class Represented by Amount in Row (9)

0.0479%

12) Type of Reporting Person

IA

CUSIP No. 78463X871

## ITEM 1(a). Name of Issuer.

SPDR INDEX SHS FDS

## ITEM 1(b). Address of Issuer s Principal Executive Offices.

SPDR Index Shares Funds

One Lincoln Street

CPH0326

Boston, MA 02111

## ITEM 2(a). Names of Persons Filing.

Bank Of Montreal

BMO HARRIS BANK N.A.

SULLIVAN BRUYETTE SPEROS & BLANEY INC

BMO HARRIS FINANCIAL ADVISORS, INC.

CTC MYCFO, LLC

STOKER OSTLER WEALTH ADVISORS, INC.

## ITEM 2(b). Address of Principal Business Office or, if none, Residence.

Bank Of Montreal

1 First Canadian Place

Toronto, Ontario, Canada M5X 1A1

BMO Harris Bank N.A. 111 W Monroe Street Floor 6E Chicago, IL 60603 Sullivan, Bruyette, Speros & Blaney, Inc 8444 Westpark Drive Suite 610 McLean, VA 22102 BMO Harris Financial Advisors, Inc. 311 West Monroe 14th Floor Chicago, IL 60603 CTC myCFO, LLC 2200 Geng Road, Suite 100 Palo Alto, CA 94303 Stoker Ostler Wealth Advisors, Inc. 4900 N. Scottsdale Road, Suite 2600 Scottsdale, AZ 85251

## ITEM 2(c). Citizenship or Place of Organization.

Bank Of Montreal is organized under the laws of Canada.

BMO HARRIS BANK N.A. is organized under the laws of Delaware, United States.

SULLIVAN BRUYETTE SPEROS & BLANEY INC. is organized under the laws of Virginia, United States.

BMO HARRIS FINANCIAL ADVISORS INC. is organized under the laws of Delaware, United States.

CTC MYCFO, LLC. is organized under the laws of the United States.

STOKER OSTLER WEALTH ADVISORS, INC. is organized under the laws of Arizona, United States.

**ITEM 2(d).** Title of Class of Securities. S&P INTL

SMLCP

ITEM 2(e). CUSIP Number.

78463X871

# ITEM 3. If this statement is filed pursuant to Sections 240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:

- (a) b Broker or dealer registered under Section 15 of the Act (15 U.S.C. 780);
- (b) b Bank as defined in Section 3(a)(6) of the Act (15 U.S.C. 78c);
- (c) "Insurance company as defined in Section 3(a)(19) of the Act (15 U.S.C. 78c);
- (d) " Investment company registered under Section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8);
- (e) b An investment adviser in accordance with Section 240.13d-1(b)(1)(ii)(E);
- (f) " An employee benefit plan or endowment fund in accordance with Section 240.13d-1(b)(1)(ii)(F);
- (g) b A parent holding company or control person in accordance with Section 240.13d-1(b)(1)(ii)(G);
- (h) " A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
- (i) " A church plan that is excluded from the definition of an investment company under Section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
- (j) " A non-U.S. institution in accordance with Section 240.13d-1(b)(1)(ii)(J);
- (k) "Group, in accordance with Section 240.13d-1(b)(1)(ii)(K).

## ITEM 4. Ownership.

The information contained in Items 5 11 on the cover pages is incorporated herein by reference. The information included in Items 5-11 for Bank of Montreal, BMO Harris Financial Advisors, Inc., and BMO Harris Bank N.A. includes 55,233 shares held in one or more employee benefit plans where BMO Harris Financial Advisors, Inc., and BMO Harris Bank N.A., as directed trustees, may be viewed as having voting or dispositive authority in certain situations pursuant to SEC and Department of Labor regulations or interpretations. Pursuant to Rule 13d-4 under the Act, inclusion of such shares in this statement shall not be construed as an admission that the Reporting Person or its subsidiaries are, for purposes of Section 13(d) or 13(g) of the Act, the beneficial owners of such securities.

## ITEM 5. Ownership of Five Percent or Less of a Class.

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following ".

## ITEM 6. Ownership of More than Five Percent on Behalf of Another Person.

Bank of Montreal is reporting on this Schedule 13G securities held through its subsidiaries, BMO Harris Bank N.A., Sullivan, Bruyette, Speros, & Blayney, Inc., BMO Harris Financial Advisors, Inc., CTC myCFO LLC, and Stoker Ostler Wealth Advisors, Inc. as fiduciaries for certain employee benefit plans, trust and/or customer accounts. As a result, participants in the plans, trust beneficiaries and customers are entitled to receive, or have the power to direct the receipt of, dividends and proceeds from the sale of such securities. No such person is known to have such an interest relating to more than five percent of the class of subject securities.

# ITEM 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company or Control Person

Bank of Montreal is the ultimate parent company of BMO Harris Bank, N.A., ., a bank as defined in section 3(a)6 of the Act and a U.S. institution, Sullivan, Bruyette, Speros & Blayney, Inc., an investment adviser registered under Section 203 of the Investment Advisers Act of 1940 and a U.S. institution, BMO Harris Financial Advisors, Inc., a broker-dealer registered under Section 15 of the Securities Exchange Act of 1934 and an investment adviser registered under Section 203 of the Investment Advisers Act of 1940 and a U.S. institution, CTC myCFO LLC., an investment adviser registered under Section 203 of the Investment Advisers Act of 1940 and a U.S. institution, and Stoker Ostler Wealth Advisors, Inc. Bank of Montreal is the ultimate parent company of BMO Harris Bank, N.A., a U.S. institution, Sullivan, Bruyette, Speros & Blayney, Inc., an investment adviser registered under Section 203 of the Investment Advisers Act of 1940 and a U.S. institution, BMO Harris Financial Advisors, Inc., a broker-dealer registered under Section 203 of the Investment Advisers Act of 1940 and a U.S. institution, and BMO Asset Management, Inc., an investment adviser registered under Section 203 of the Investment Advisers Act of 1940 and a U.S. institution.

## ITEM 8. Identification and Classification of Members of the Group.

Not Applicable

## ITEM 9. Notice of Dissolution of Group.

Not Applicable

#### ITEM 10. Certifications.

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

## **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated as of the 16th day of February, 2016.

BANK OF MONTREAL

/s/ Barbara Muir
Barbara Muir
SVP, Deputy General Counsel
Corporate Affairs & Corporate Secretary

BMO HARRIS BANK N.A.

\*

SULLIVAN, BRUYETTE, SPEROS & BLAYNEY, INC.

\*

BMO HARRIS FINANCIAL ADVISORS, INC.

\*

CTC myCFO, LLC

\*

STOKER OSTLER WEALTH ADVISORS, INC.

\*

\*Pursuant to Power of Attorney filed as Exhibit 2 to Schedule 13G filed on February 14, 2014 by Reporting Persons named herein (File No. 005-59405), which is incorporated by reference.

#### Exhibit 1

## JOINT FILING AGREEMENT

In accordance with rule 13d-1(k) under the Securities Act of 1934, as amended, each of the undersigned entities, as applicable, pursuant to a duly executed power of attorney, hereby agrees to this and any future joint filing of Schedule 13G (including any and all amendments thereto) to be made on their behalf and further agrees to the filing of this Agreement as an Exhibit thereto. In addition, each party to this Agreement consents to the filing of this and any future Schedule 13G (including any and all amendments thereto) by Bank of Montreal.

This Agreement may be executed in any number of counterparts all of which taken together shall constitute one and the same instrument.

IN WITNESS WHEREOF, the undersigned hereby execute this Agreement this 16th day of February, 2016.

BANK OF MONTREAL

By: /s/ Barbara Muir Barbara Muir

Senior Vice President, Deputy General

Counsel of Corporate Affairs, and

Corporate Secretary

BANK OF MONTREAL IRELAND PLC

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BMO ASSET MANAGEMENT CORP.

\*

BMO CAPITAL MARKETS CORP.

\*

BMO CAPITAL MARKETS CORP.

\*

BMO CAPITAL MARKETS LIMITED

BMO DELAWARE TRUST COMPANY

\*

BMO GLOBAL ASSET MANAGEMENT (ASIA)

BMO FINANCIAL CORP.

\*

**LIMITED** 

BMO HARRIS BANK N.A. BMO HARRIS FINANCIAL ADVISORS, INC. BMO HARRIS INVESTMENT MANAGEMENT INC. BMO INVESTORLINE, INC. BMO LIFE ASSURANCE COMPANY BMO NESBITT BURNS INC. F&C ASSET MANAGEMENT PLC HARRIS MY CFO, LLC LGM (BERMUDA) LTD. (formerly, LLOYD LGM INVESTMENTS LIMITED (formerly, LLOYD GEORGE MANAGEMENT (BERMUDA) LTD.) GEORGE MANAGEMENT (EUROPE) LTD.) MONEGY INC. PYRFORD INTERNATIONAL LIMITED SULLIVAN, BRUYETTE, SPEROS & BLAYNEY, STOKLER OSTLER WEALTH ADVISORS INC. INC. TAPLIN, CANIDA & HABACHT, LLC

- \* Pursuant to Power of Attorney filed as Exhibit 2 to Schedule 13G filed on February 14, 2014 by the Reporting Persons named herein (File No. 005-59405), which is incorporated by reference.
- \*\* Pursuant to Power of Attorney filed as Exhibit 2 to Schedule 13G filed on February 13, 2015 by the Reporting Person named herein (File No. 005-79749), which is incorporated by reference.