

NOMURA HOLDINGS INC
Form 6-K
February 09, 2018
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FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of February 2018

NOMURA HOLDINGS, INC.

(Translation of registrant's name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F X Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

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Information furnished on this form:

EXHIBIT

Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from January 1, 2018 to January 31, 2018.

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: February 9, 2018

By: /s/ Hajime Ikeda
Hajime Ikeda
Senior Managing Director

Table of Contents*[Translation of Share Buyback Report for the reporting month from January 1, 2018 to January 31, 2018**filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on February 9, 2018]***Class of Shares: Common Stock****1. Status of repurchase**

(1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders

Not applicable

(2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors (Board)

as of January 31, 2018

| | | Number of Shares | Total Repurchase Amount (JPY) |
|---|------------|------------------|-------------------------------|
| Authorization pursuant to the resolution of the Board (October 30, 2017) | | | |
| (Period of repurchase: from November 15, 2017 to March 30, 2018 (excluding the ten business days following the announcement of each quarterly financial results)) | | | |
| | | 70,000,000 | 50,000,000,000 |
| Repurchases during this reporting month | (Date) | 1,480,000 | 1,011,051,450 |
| (Date of repurchase) | January 4 | 1,400,000 | 987,011,670 |
| | January 5 | 1,200,000 | 863,767,750 |
| | January 9 | 1,200,000 | 869,226,280 |
| | January 10 | 1,180,000 | 856,626,440 |
| | January 11 | 1,200,000 | 874,611,000 |
| | January 12 | 1,280,000 | 948,348,220 |
| | January 15 | 1,270,600 | 942,335,980 |

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| | | | |
|--|------------|------------|----------------|
| | January 16 | 640,000 | 470,350,600 |
| | January 17 | | |
| Total | | 10,850,600 | 7,823,329,390 |
| Aggregate shares repurchased as of the end of this reporting month | | 70,000,000 | 46,728,784,860 |
| Progress of share repurchase (%) | | 100.0 | 93.5 |

Table of Contents**2. Status of disposition**

as of January 31, 2018

| | Number of shares disposed in the reporting month | Aggregate amount of disposition (JPY) | |
|--|---|--|-----------|
| Treasury shares disposed subject to procedures for issuance of new shares | (Date) | | |
| Subtotal | | | |
| Treasury shares canceled | (Date) | | |
| Subtotal | | | |
| Treasury shares transferred upon merger, share exchange or demerger | (Date) | | |
| Subtotal | | | |
| Other (upon request for purchasing less-than-a-full-unit shares) | (Date) | | |
| Subtotal | | | |
| Other (exercise of stock acquisition rights) | (Date) | 1,000 | 298,000 |
| | January 4 | 16,100 | 16,100 |
| | January 5 | 2,000 | 598,000 |
| | January 9 | 58,900 | 949,900 |
| | January 10 | 49,700 | 5,999,700 |
| | January 11 | 31,500 | 1,516,500 |
| | January 12 | 5,700 | 1,195,700 |
| | January 15 | 69,900 | 960,900 |
| | January 17 | 23,300 | 320,300 |
| | January 18 | 3,000 | 897,000 |
| | January 19 | 7,100 | 7,100 |
| | January 22 | 9,200 | 1,199,200 |
| | January 23 | 12,200 | 607,200 |
| | January 24 | 10,700 | 1,497,700 |
| | January 25 | 17,800 | 910,800 |

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| | | | |
|----------|------------|---------|------------|
| | January 26 | 87,400 | 87,400 |
| | January 29 | 6,000 | 1,794,000 |
| | January 30 | 12,100 | 2,544,600 |
| | January 31 | | |
| Subtotal | | 423,600 | 21,400,100 |
| Total | | 423,600 | 21,400,100 |

3. Status of shares held in treasury

as of January 31, 2018

| As of the end of the reporting month | Number of Shares |
|--------------------------------------|------------------|
| Total number of issued shares | 3,643,562,601 |
| Number of shares held in treasury | 253,340,571 |