#### Edgar Filing: BROOKS AUTOMATION INC - Form 3

#### **BROOKS AUTOMATION INC**

Form 3

February 19, 2008

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response...
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement BROOKS AUTOMATION INC [BRKS] A Steven A. Michaud (Month/Day/Year) 02/08/2008 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O BROOKS AUTOMATION, (Check all applicable) INC., 15 ELIZABETH DRIVE (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting SVP, Critical Components Group Person CHELMSFORD, MAÂ 01824 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock D 60,437 Common Stock 2,042 I By managed account (1) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Indirect Beneficial
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Non-Qualified Stock Option (right to buy)	01/26/2006(2)	10/26/2012	Common Stock	10,000	\$ 13.03	D	Â
Non-Qualified Stock Option (right to buy)	10/20/2001(3)	10/20/2010	Common Stock	5,550	\$ 23.32	D	Â
Non-Qualified Stock Option (right to buy)	02/21/2002(4)	02/21/2011	Common Stock	3,885	\$ 24.99	D	Â
Non-Qualified Stock Option (right to buy)	02/20/2003(5)	02/20/2012	Common Stock	5,550	\$ 18.11	D	Â
Non-Qualified Stock Option (right to buy)	04/28/2005(6)	04/28/2014	Common Stock	9,990	\$ 17.34	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	

Steven A. Michaud C/O BROOKS AUTOMATION, INC. 15 ELIZABETH DRIVE CHELMSFORD, MAÂ 01824

 $\hat{A}$   $\hat{A}$   $\hat{A}$  SVP, Critical Components Group  $\hat{A}$ 

### **Signatures**

/s/ Thomas S. Grilk, attorney-in-fact for Steven A. Michaud

02/19/2008

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are held by the reporting person's 401K Plan.
- (2) The option becomes exercisable in sixteen equal quarterly installments (of 625 shares each) beginning January 26, 2006.
- (3) The option became exercisable according to the following schedule: 1,388 shares on October 20, 2001; 1,388 shares on October 20, 2002; 1,387 shares on October 20, 2003; and 1,387 shares on October 20, 2004.
- (4) The option became exercisable according to the following schedule: 972 shares on February 21, 2002; 971 shares on February 21, 2003; 971 shares on February 21, 2004; and 971 shares on February 21, 2005.
- (5) The option became exercisable according to the following schedule: 1,388 shares on February 20, 2003; 1,388 shares on February 20, 2004; 1,387 shares on February 20, 2005; and 1,387 shares on February 20, 2006.
- The option becomes exercisable according to the following schedule: 2,498 shares on April 28, 2005; 2,498 shares on April 28, 2006; 2,497 shares on April 28, 2007; and 2,497 shares on April 28, 2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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