Edgar Filing: SENECA FOODS CORP /NY/ - Form 3

SENECA FOODS CORP/NY/

Form 3 June 09, 2010

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

Expires:

3235-0104 January 31,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average

1934, re

burden hours per response... 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement SENECA FOODS CORP /NY/ [SENEA] JOHN HANCOCK LIFE (Month/Day/Year) INSURANCE CO USA 12/31/2009 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) **601 CONGRESS STREET** (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) __X__ 10% Owner Director _X_ Form filed by One Reporting Officer Other Person (give title below) (specify below) BOSTON, Â MAÂ 02110-2805 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 5) (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	,	Amount or Number of Shares	Derivative Security	ve Security:	

Edgar Filing: SENECA FOODS CORP /NY/ - Form 3

(Instr. 5)

Convertible Participating Preferred Stock, Series 2006

 $\hat{A} \stackrel{(1)}{=} \hat{A} \stackrel{(1)}{=}$

Class A
Common 1,025,220 \$ (1)
Stock

D Â

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

JOHN HANCOCK LIFE INSURANCE CO USA 601 CONGRESS STREET BOSTON, MAÂ 02110-2805

Â X Â Â

Signatures

John Hancock Life Insurance Company (U.S.A.) By: /s/ S. Mark Ray, Senior Managing Director

06/08/2010

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The Convertible Participating Preferred Stock, Series 2006 is immediately convertible, at the holder's election, into Class A Common Stock on a 1-for-1 basis, subject to antidilution adjustment and has no expiration date. The Convertible Participating Preferred Stock, Series 2006 was acquired as a result of the mergers of John Hancock Variable Life Insurance Company and John Hancock Life Insurance Company into John Hancock Life Insurance Company (U.S.A.), which was effective as of December 31, 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2