Opko Health, Inc. Form 4 February 06, 2014

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 4 or
Form 5
obligations
may continue.
See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \*\*
FROST PHILLIP MD ET AL

(First) (Middle)

OPKO HEALTH, INC., 4400 BISCAYNE BLVD.

(Street)

2. Issuer Name **and** Ticker or Trading Symbol

Opko Health, Inc. [OPK]

3. Date of Earliest Transaction (Month/Day/Year)

02/05/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

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response...

Estimated average

burden hours per

(Check all applicable)

\_X\_ Director \_X\_ 10% Owner \_X\_ Officer (give title \_\_\_Other (specify below)

CEO & Chairman

 $6.\ Individual\ or\ Joint/Group\ Filing (Check$ 

Applicable Line)

\_\_\_ Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

MIAMI, FL 33137

(City)	(State)	(Zip) Tab	ole I - Non-	Derivative	Secui	rities Acqui	ired, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transacti Code (Instr. 8)	omr Dispos (Instr. 3,	ed of	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock							1,987,500	D	
Common Stock	02/05/2014		P	2,900	A	\$ 7.5397	138,828,819	I	See Footnote
Common Stock	02/05/2014		P	6,700	A	\$ 7.54	138,835,519	I	See Footnote
Common Stock	02/05/2014		P	600	A	\$ 7.545	138,836,119	I	See Footnote

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Common Stock	02/05/2014	P	900	A	\$ 7.5497	138,837,019	I	See Footnote
Common Stock	02/05/2014	P	30,500	A	\$ 7.55	138,867,519	I	See Footnote
Common Stock	02/05/2014	P	500	A	\$ 7.555	138,868,019	I	See Footnote
Common Stock	02/05/2014	P	200	A	\$ 7.5575	138,868,219	I	See Footnote
Common Stock	02/05/2014	P	9,700	A	\$ 7.56	138,877,919	I	See Footnote
Common Stock	02/05/2014	P	34,016	A	\$ 7.57	138,911,935	I	See Footnote (1)
Common Stock	02/05/2014	P	300	A	\$ 7.5797	138,912,235	I	See Footnote (1)
Common Stock	02/05/2014	P	75,868	A	\$ 7.58	138,988,103	I	See Footnote (1)
Common Stock	02/05/2014	P	1,400	A	\$ 7.585	138,989,503	I	See Footnote (1)
Common Stock	02/05/2014	P	26,292	A	\$ 7.59	139,015,795	Ι	See Footnote (1)
Common Stock	02/05/2014	P	300	A	\$ 7.595	139,016,095	I	See Footnote (1)
Common Stock	02/05/2014	P	1,600	A	\$ 7.5997	139,017,695	I	See Footnote (1)
Common Stock	02/05/2014	P	56,488	A	\$ 7.6	139,074,183	I	See Footnote (1)
Common Stock	02/05/2014	P	16,448	A	\$ 7.61	139,090,631	I	See Footnote (1)
Common Stock	02/05/2014	P	100	A	\$ 7.615	139,090,731	I	See Footnote

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								<u>(1)</u>
Common Stock	02/05/2014	P	17,600	A	\$ 7.62	139,108,331	I	See Footnote (1)
Common Stock	02/05/2014	P	12,563	A	\$ 7.63	139,120,894	I	See Footnote (1)
Common Stock	02/05/2014	P	2,200	A	\$ 7.6397	139,123,094	I	See Footnote (1)
Common Stock	02/05/2014	P	32,765	A	\$ 7.64	139,155,859	I	See Footnote (1)
Common Stock	02/05/2014	P	200	A	\$ 7.645	139,156,059	I	See Footnote (1)
Common Stock	02/05/2014	P	100	A	\$ 7.6475	139,156,159	I	See Footnote (1)
Common Stock	02/05/2014	P	3,950	A	\$ 7.6497	139,160,109	I	See Footnote (1)
Common Stock	02/05/2014	P	9,850	A	\$ 7.65	139,169,959	I	See Footnote (1)
Common Stock	02/05/2014	P	100	A	\$ 7.6575	139,170,059	I	See Footnote (1)
Common Stock	02/05/2014	P	5,600	A	\$ 7.66	139,175,659	I	See Footnote (1)
Common Stock						15,490,546	I	See Footnote (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	isable and	7. Title and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration Da	ate	Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Y	Year)	Underlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securities	(Instr. 5)	Bene
	Derivative				Securities	3		(Instr. 3 and 4)	)	Owne
	Security				Acquired					Follo
	•				(A) or					Repo
					Disposed					Trans
					of (D)					(Instr
					(Instr. 3,					
					4, and 5)					
				Code V	(A) (D)	Date	Expiration	Title Amoun	t	
					. , , ,		Date	or		
								Number	r	
								of		
								Shares		

## **Reporting Owners**

Reporting Owner Name / Address				
reporting of their remains a remained	Director	10% Owner	Officer	Other
FROST PHILLIP MD ET AL OPKO HEALTH, INC. 4400 BISCAYNE BLVD. MIAMI, FL 33137	X	X	CEO & Chairman	
Frost Gamma Investments Trust 4400 BISCAYNE BLVD. MIAMI, FL 33137		X		
Signatures				
Phillip Frost, M.D., Individually Trustee	and as	02	2/06/2014	

### **Explanation of Responses:**

\*\*Signature of Reporting Person

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The securities are held by Frost Gamma Investments Trust, of which Phillip Frost M.D., is the trustee. Frost Gamma L.P. is the sole and exclusive beneficiary of Frost Gamma Investments Trust. Dr. Frost is one of two limited partners of Frost Gamma L.P. The general partner of Frost Gamma, Inc., is Frost Gamma, Inc., and the sole shareholder of Frost Gamma, Inc. is Frost-Nevada Corporation. Dr. Frost is also the sole shareholder of Frost-Nevada Corporation. The reporting person disclaims beneficial ownership of these securities, except

Date

- to the extent of any pecuniary interest therein and this report shall not be deemed an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.
- These securities are owned directly by The Frost Group, LLC. Frost Gamma Investments Trust is a principal member of The Frost Group, LLC. The reporting person disclaims beneficial ownership of these securities, except to the extent of any pecuniary interest therein and this report shall not be deemed an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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