Ceres, Inc. Form 4 March 12, 2014

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

response...

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Check this box

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* ARTAL LUXEMBOURG S A

(First)

(Street)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

Ceres, Inc. [CERE]

(Check all applicable)

10-12 AVENUE PASTEUR

3. Date of Earliest Transaction (Month/Day/Year)

03/10/2014

X\_ Director 10% Owner Other (specify Officer (give title below)

(Middle)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

LUXEMBOURG, N4 L-2310

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

\$ 1

Α

1.Title of 2. Transaction Date 2A. Deemed 4. Securities Acquired (A) 5. Amount of Security (Month/Day/Year) Execution Date, if Transactionr Disposed of (D) (Instr. 3) Code (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8) (A) or

Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect Reported Transaction(s) (Instr. 4)

(Instr. 4)

7. Nature of

Indirect

Beneficial

Ownership

Code V Amount (D)

4,000,000

(Instr. 3 and 4) Price

8,192,167

 $D^{(2)}$ 

03/10/2014 Stock

Common

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

J(1)

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: Ceres, Inc. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	of Derivative	nNumber Expiration Date of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		7. Title Amoun Underly Securit (Instr. 3	nt of ying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	( ]	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
<b>F 8</b>	Director	10% Owner	Officer	Other			
ARTAL LUXEMBOURG S A 10-12 AVENUE PASTEUR LUXEMBOURG, N4 L-2310	X	X					
Minne Pascal PLACE STE. GUDULE, 19 BRUXELLES, C9 B-1000	X	X					
Artal International S.C.A. 10-12 AVENUE PASTEUR LUXEMBOURG, N4 L-2310	X	X					
Artal International Management S.A. 10-12 AVENUE PASTEUR LUXEMBOURG, N4 L-2310	X	X					
Artal Group S.A. 10-12 AVENUE PASTEUR LUXEMBOURG, N4 L-2310	X	X					
Westend S.A. 10-12 AVENUE PASTEUR LUXEMBOURG, N4 L-2310	X	X					
Stichting Administratiekantoor Westend DE BOELELAAN 7 AMSTERDAM, P7 NL 1083 HJ	X	X					

# **Signatures**

ARTAL LUXEMBOURG S.A., By: /s/ Anne Goffard, Name: Anne Goffard, Title: Managing Director

03/12/2014

\*\*Signature of Reporting Person

Date

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ARTAL INTERNATIONAL S.C.A., By: ARTAL INTERNATIONAL MANAGEMENT

S.A., its managing partner, By: /s/ Anne Goffard, Name: Anne Goffard, Title: Managing Director

03/12/2014

\*\*Signature of Reporting Person

Date

ARTAL INTERNATIONAL MANAGEMENT S.A., By: /s/ Anne Goffard, Name: Anne

Goffard, Title: Managing Director

03/12/2014

\*\*Signature of Reporting Person

Date

ARTAL GROUP S.A., By: /s/ Anne Goffard, Name: Anne Goffard, Title: Authorized Person

03/12/2014

\*\*Signature of Reporting Person

Date

WESTEND S.A., By: /s/ Pascal Minne, Name: Pascal Minne, Title: Director

03/12/2014

\*\*Signature of Reporting Person

Date

STICHTING ADMINISTRATIEKANTOOR WESTEND, By: /s/ Pascal Minne, Name:

Pascal Minne, Title: Sole Member of the Board

03/12/2014

\*\*Signature of Reporting Person

Date

PASCAL MINNE, /s/ Pascal Minne

03/12/2014

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On March 10, 2014, Artal Luxembourg S.A. acquired 4,000,000 shares of Issuer common stock from the underwriter in a public offering of common stock of the Issuer.

The reported shares of Issuer common stock are owned directly by Artal Luxembourg S.A., which is a wholly owned subsidiary of Artal International S.C.A. Artal International Management S.A. is the managing partner of Artal International S.C.A., which is a wholly

owned subsidiary of Artal Group S.A., which is a wholly owned subsidiary of Westend S.A, which is a wholly owned subsidiary of Stichting Administratiekantoor Westend (the "Stichting"). Mr Pascal Minne is the sole member of the board of the Stichting.

Accordingly, each of the Reporting Persons may be deemed to be beneficial owners of the reported securities. Each of the Reporting Persons disclaims beneficial ownership of such securities, except to the extent of its or his pecuniary interest therein.

#### Remarks:

For purposes of Section 16 of the Securities Exchange Act of 1934, as amended, the Reporting Persons may be deemed to be of Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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