Edgar Filing: Global Water Resources, Inc. - Form 4

Global Water Form 4	Resources, Inc.										
March 02, 20	17										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSIO Washington, D.C. 20549									APPROVAL 3235-0287		
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). TATEMENT OF CHANGES IN BENEFICIAL OWNERS SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act Section 17(a) of the Public Utility Holding Company Act of 1935 30(h) of the Investment Company Act of 1940							e Act of 1934 1935 or Sect	Expires: Estimated burden ho response	urs per		
(Print or Type R	lesponses)										
1. Name and Address of Reporting Person <u>*</u> Fleming Ronnie L			2. Issuer Name and Ticker or Trading Symbol Global Water Resources, Inc. [GWRS]				ţ	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) C/O GLOBA RESOURCE AVENUE #2	AL WATER ES, INC., 21410	Middle) N 19TH	3. Date o	of Earliest Tr Day/Year)	ransaction			X Director X Officer (g below) Pt)% Owner ther (specify O	
PHOENIX,	4. If Amendment, Date Original Filed(Month/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 					
(City)	(State)	(Zip)				~ • •		Person			
1.Title of	(State) 2. Transaction Date (Month/Day/Year)	2A. Deemo	ed Date, if	3.	4. Securit nAcquired Disposed (Instr. 3, 4	ies (A) or of (D)	5. See Bo O Fo Re Tr	Amount of ecurities eneficially wned bllowing eported ransaction(s) nstr. 3 and 4)		7. Nature of Indirect	
Reminder: Repo	ort on a separate line	e for each cl	ass of sec	urities benef	ficially own	ned direc	ctly or in	ndirectly.			

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Code	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		(Month/Day/Year) crivative curities equired) or sposed (D)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)
			Code V	4, and 3	5) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Unit	<u>(1)</u>	02/28/2017	А	51		(2)	(2)	Common Stock	51	\$ 0

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Reporting Owners

Reporting Owner Name / Address		Relationships								
		Director	10% Owner	Officer	Other					
Fleming Ronnie L C/O GLOBAL WATER RESOURCES 21410 N 19TH AVENUE #220 PHOENIX, AZ 85027	, INC.	Х		President and CEO						
Signatures										
/s/ Jeff Risenmay, attorney-in-fact 03/02/2		.017								
<u>**</u> Signature of Reporting Person	Date									

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each phantom stock unit ("PSU") is the economic equivalent of one share of common stock of Global Water Resources, Inc. ("the Company").
- (2) The PSUs are fully vested upon grant and immediately exercisable. The PSUs do not have a set expiration date.
- (3) The total includes prior grants of PSUs that have different vesting and expiration dates, as previously reported by the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.