

TOKICH MICHAEL J
Form 4
October 06, 2017

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
TOKICH MICHAEL J

2. Issuer Name and Ticker or Trading Symbol
Steris plc [STE]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
C/O CHANCERY HOUSE, 190
WATERSIDE ROAD, HAMILTON
INDUSTRIAL PARK

3. Date of Earliest Transaction
(Month/Day/Year)
10/04/2017

___ Director ___ 10% Owner
X Officer (give title below) ___ Other (specify below)
Sr. Vice Pres., CFO

(Street)
LEICESTER, X0 LE5 1QZ

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount or (D) Price		
Ordinary Shares, 10 pence par value	10/04/2017		M		12,000 ⁽¹⁾ A \$ 22.83	59,588	D
Ordinary Shares, 10 pence par value	10/04/2017		S		12,000 ⁽¹⁾ D \$ 89.75	47,588 ⁽²⁾	D
Ordinary Shares, 10 pence par						3,347	I See Footnote Below. ⁽³⁾

value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 22.83	10/04/2017		M	12,000	<u>(4)</u> 05/21/2019	Ordinary Shares	12,000

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

TOKICH MICHAEL J
C/O CHANCERY HOUSE, 190 WATERSIDE ROAD
HAMILTON INDUSTRIAL PARK
LEICESTER, X0 LE5 1QZ

Sr. Vice Pres., CFO

Signatures

/s/ Ronald E. Snyder, Authorized Representative under Power of Attorney

10/06/2017

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This exercise and sale of a total of 12,000 ordinary shares is pursuant to a Rule 10b5-1 Stock Trading Plan entered into by the Reporting Person on August 31, 2017.

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- (2) 26,200 of these ordinary shares are restricted. The restrictions on these ordinary shares lapse as follows: 4,500 on May 30, 2018; 7,000 on May 28, 2019; 4,000 on October 1, 2019; 4,700 on June 1, 2020 and 6,000 on June 1, 2021.
- (3) Units representing 3,347 ordinary share equivalents are held on behalf of the Reporting Person under the STERIS Corporation 401(k) Plan as of October 4, 2017.

This option to purchase 12,000 STERIS plc ordinary shares, which is fully vested, was received in the Merger in exchange for an option
- (4) to purchase 12,000 STERIS Corporation common shares for \$22.83 per share, subject to the same terms and conditions as the original STERIS Corporation stock option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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