Barlow Steven I. Form 4 July 03, 2018

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

2. Issuer Name and Ticker or Trading

Bank of Marin Bancorp [BMRC]

3. Date of Earliest Transaction

Code V

Check this box if no longer

subject to Section 16. Form 4 or Form 5

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, **OMB APPROVAL** 

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obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Barlow Steven I.

(First)

(State)

07/02/2018

504 REDWOOD BLVD.

(Street)

(Middle)

(Zip)

(Month/Day/Year) 07/02/2018

Symbol

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

X Director 10% Owner Other (specify Officer (give title

below) 6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(D) or

Indirect (I)

(Instr. 4)

Ι

Person

Securities

Following

Reported

Owned

Beneficially

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

NOVATO, CA

(City)

Common

Common

Stock

Stock

(Last)

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) any (Month/Day/Year)

4. Securities Acquired 5. Amount of Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)

Amount

(A)

Transaction(s) or (Instr. 3 and 4) (D) Price

J(1)99 D 128 81.4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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SEC 1474 (9-02)

By IRA

6. Ownership 7. Nature of

Beneficial

Ownership

(Instr. 4)

Form: Direct Indirect

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Dr.Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  | 8. Prio<br>Deriv<br>Secur<br>(Instr. |
|---|---|--------------------------------------|---|--|--|--|-----------------|---|--|--------------------------------------|
|   |   |                                      |   | Code V                                 | (A) (D)  | Date<br>Exercisable                                      | Expiration Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |                                      |
| Stock<br>Options<br>(Right to<br>Buy)               | \$ 81.4   |                                      |   |  |  | 07/02/2018   | 07/02/2028      | Common<br>Stock   | 479 <u>(2)</u>                         |                                      |
| Stock<br>Options<br>(Right to<br>Buy)               | \$ 67.8   |                                      |   |  |  | 01/02/2018   | 01/02/2028      | Common<br>Stock   | 159                                    |                                      |
| Stock<br>Options<br>(Right to<br>buy)               | \$ 27.04  |                                      |   |  |  | 11/21/2017   | 10/24/2024      | Common<br>Stock   | 307                                    |                                      |

## **Reporting Owners**

| Reporting Owner Name / Address                      | Relationships |           |              |  |  |  |  |
|---|---------------|-----------|--------------|--|--|--|--|
| r   | Director      | 10% Owner | Officer Othe |  |  |  |  |
| Barlow Steven I.<br>504 REDWOOD BLVD.<br>NOVATO, CA | X             |           |              |  |  |  |  |

## **Signatures**

Nancy R. Boatright, Attorney-in-Fact 07/02/2018

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares received in payment of Director fee
- (2) Option issued in payment of Director fee

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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