**HEICO CORP** Form 4 March 20, 2017

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287

**OMB APPROVAL** 

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obligations

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

may continue. See Instruction 1(b).

(Last)

(City)

(Instr. 3)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Macau Carlos L

(First)

(Street)

(Middle)

3000 TAFT STREET

2. Issuer Name and Ticker or Trading Symbol

HEICO CORP [HEI, HEI.A]

3. Date of Earliest Transaction

(Month/Day/Year) 03/17/2017

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify

**EVP-CFO & Treasurer** 

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

HOLLYWOOD, FL 33021

1. Title of 2. Transaction Date 2A. Deemed Security

(Month/Day/Year)

(State)

(Zip)

Execution Date, if (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code (Instr. 8)

Disposed of (D) (Instr. 3, 4 and 5)

Code V Amount (D) Price

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership Form: Direct (T) (Instr. 4)

7. Nature of Indirect (D) or Indirect Beneficial Ownership (Instr. 4)

Transaction(s) (Instr. 3 and 4)

(A)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if

any

4. 5. Number of **Transaction**Derivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of **Underlying Securities** (Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option (Right to purchase Class A Common Stock)	\$ 74.95	03/17/2017		A	50,000		<u>(1)</u>	03/17/2027	Class A Common Stock	50,000
Option (Right to purchase Class A Common Stock)	\$ 48.65						<u>(2)</u>	06/08/2025	Class A Common Stock	25,000
Option (Right to purchase Class A Common Stock)	\$ 30.176						<u>(3)</u>	06/10/2023	Class A Common Stock	31,250
Option (Right to purchase Class A Common Stock)	\$ 43.36						<u>(4)</u>	12/14/2025	Class A Common Stock	25,000
Option (Right to purchase Class A Common Stock)	\$ 25.024						<u>(5)</u>	06/18/2022	Class A Common Stock	15,625
Option (Right to purchase Class A Common Stock)	\$ 25.008						<u>(6)</u>	06/01/2022	Class A Common Stock	62,500

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## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Macau Carlos L 3000 TAFT STREET HOLLYWOOD, FL 33021

**EVP-CFO & Treasurer** 

#### **Signatures**

/s/ Carlos L. 03/20/2017 Macau

\*\*Signature of Reporting Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These Options become exercisable 20% per year over five years from the date of grant.
- The Reporting Person owns directly Options entitling the Reporting Person to purchase an aggregate of 25,000 shares of Common Stock (2) at an exercise price of \$48.65 per share, as adjusted for stock splits and stock dividends. These Options become exercisable on various dates and expire on June 8, 2025.
- The Reporting Person owns directly Options entitling the Reporting Person to purchase an aggregate of 31,250 shares of Common Stock (3) at an exercise price of \$30.176 per share, as adjusted for stock splits and stock dividends. These Options become exercisable on various dates and expire on June 10, 2023.
- The Reporting Person owns directly Options entitling the Reporting Person to purchase an aggregate of 25,000 shares of Common Stock (4) at an exercise price of \$43.36 per share, as adjusted for stock splits and stock dividends. These Options become exercisable on various dates and expire on December 14, 2025.
- The Reporting Person owns directly Options entitling the Reporting Person to purchase an aggregate of 15,625 shares of Common Stock (5) at an exercise price of \$25.024 per share, as adjusted for stock splits and stock dividends. These Options become exercisable on various dates and expire on June 18, 2022.
- The Reporting Person owns directly Options entitling the Reporting Person to purchase an aggregate of 62,500 shares of Common Stock (6) at an exercise price of \$25.008 per share, as adjusted for stock splits and stock dividends. These Options become exercisable on various dates and expire on June 1, 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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