

ETHAN ALLEN INTERIORS INC

Form 3

August 11, 2006

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Paccione Tracy

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

08/11/2006

3. Issuer Name and Ticker or Trading Symbol

ETHAN ALLEN INTERIORS INC [ETH]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other
(give title below) (specify below)

VP Merchandising

6. Individual or Joint/Group Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person

ETHAN ALLEN, ETHAN ALLEN DRIVE

(Street)

DANBURY, CT 06811

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Ethan Allen Interiors Inc. common stock

0

D

A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)
Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Option to purchase Ethan Allen Common Stock	10/01/2000	10/01/2009	Ethan Allen Common Stock	375	\$ 30.75	D	Â
Option to purchase Ethan Allen Common Stock	10/01/2001	10/01/2009	Ethan Allen Common Stock	375	\$ 30.75	D	Â
Option to purchase Ethan Allen Common Stock	10/01/2002	10/01/2009	Ethan Allen Common Stock	375	\$ 30.75	D	Â
Option to purchase Ethan Allen Common Stock	10/01/2003	10/01/2009	Ethan Allen Common Stock	375	\$ 30.75	D	Â
Option to purchase Ethan Allen Common Stock	03/31/2004	03/31/2019	Ethan Allen Common Stock	40	\$ 25	D	Â
Option to purchase Ethan Allen Common Stock	04/14/2004	04/14/2013	Ethan Allen Common Stock	175	\$ 29.55	D	Â
Option to purchase Ethan Allen Common Stock	04/14/2005	04/14/2013	Ethan Allen Common Stock	175	\$ 29.55	D	Â
Option to purchase Ethan Allen Common Stock	04/14/2006	04/14/2013	Ethan Allen Common Stock	175	\$ 29.55	D	Â
Option to purchase Ethan Allen Common Stock	04/14/2007	04/14/2013	Ethan Allen Common Stock	175	\$ 29.55	D	Â
Option to purchase Ethan Allen Common Stock	05/27/2006	05/27/2015	Ethan Allen Common Stock	375	\$ 31.15	D	Â
Option to purchase Ethan Allen Common Stock	05/27/2007	05/27/2015	Ethan Allen Common	375	\$ 31.15	D	Â

				Stock			
Option to purchase Ethan Allen Common Stock	05/27/2008	05/27/2015	Ethan Allen Common Stock	375	\$ 31.15	D	Â
Option to purchase Ethan Allen Common Stock	05/27/2009	05/27/2015	Ethan Allen Common Stock	375	\$ 31.15	D	Â
Option to purchase Ethan Allen Common Stock	06/27/2006	06/27/2016	Ethan Allen Common Stock	250	\$ 36.56	D	Â
Option to purchase Ethan Allen Common Stock	06/27/2007	06/27/2016	Ethan Allen Common Stock	250	\$ 36.56	D	Â
Option to purchase Ethan Allen Common Stock	06/27/2008	06/27/2016	Ethan Allen Common Stock	250	\$ 36.56	D	Â
Option to purchase Ethan Allen Common Stock	06/27/2009	06/27/2016	Ethan Allen Common Stock	250	\$ 36.56	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Paccione Tracy ETHAN ALLEN ETHAN ALLEN DRIVE DANBURY, CT 06811	Â	Â	Â VP Merchandising	Â

Signatures

Tracy Paccione 08/11/2006

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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