NV5 Holdings, Inc. Form 4 December 18, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB APPROVAL

Number: 3235-0287

Synings January 31,

Estimated average

10% Owner

Other (specify

0.5

burden hours per response...

Expires:

Check this box if no longer subject to Section 16. Form 4 or

SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue.

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

Form 5

obligations

(Print or Type Responses)

1. Name and Address of Reporting Person *

O'Brien MaryJo

(Last)

(City)

Security

(Instr. 3)

2. Issuer Name **and** Ticker or Trading

Symbol

NV5 Holdings, Inc. [NVEE]

(First)

(Street)

(State)

(Month/Day/Year)

3. Date of Earliest Transaction
(Month/Day/Year)

(Month/Day/Year) 11/24/2014

200 SOUTH PARK RD., SUITE 350 11/24/2014

(Middle)

(Zip)

11/2 1/2011

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

below)

Issuer

Director

X_ Officer (give title

X Form filed by One Reporting Person ___ Form filed by More than One Reporting Person

5. Relationship of Reporting Person(s) to

(Check all applicable)

Ex VP, Chief Admin & Secretary

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

HOLLYWOOD, FL 33021

1.Title of 2. Transaction Date 2A. Deemed

2A. Deemed 3 Execution Date, if T

(Month/Day/Year)

3. 4. Securities
TransactionAcquired (A) or
Code Disposed of (D)
(Instr. 8) (Instr. 3, 4 and 5)

Code V Amount (D) Price

(A)

5. Amount of Securities Beneficially Owned Following Reported

Transaction(s) (Instr. 3 and 4)

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I) Ownership (Instr. 4) (Instr. 4)

(Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction of Derivative		Expiration Date
Security	or Exercise		any	Code	Securities	(Month/Day/Year)

7. Title and Amount of 8 Underlying Securities D (Instr. 3 and 4) S

Edgar Filing: NV5 Holdings, Inc. - Form 4

(Instr. 3)	Price of Derivative Security	(Month	/Day/Year) ((Instr. 8)	(A) Disj (D) (Ins	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					
			(Code V	V (1	A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Warrant (Right to Buy)	\$ 7.8	11/24/2014		P	1,0	000		11/24/2014	03/27/2018	Common Stock	1,000

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

O'Brien MaryJo 200 SOUTH PARK RD. SUITE 350 HOLLYWOOD, FL 33021

Ex VP, Chief Admin & Secretary

Signatures

/s/ MaryJo O'Brien 12/18/2014

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2