**ESCALADE INC** Form 4 January 02, 2014

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* WHITE RICHARD

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

below)

ESCALADE INC [ESCA]

12/30/2013

(Check all applicable)

(First) (Middle) (Last)

3. Date of Earliest Transaction (Month/Day/Year)

X\_ Director 10% Owner Officer (give title Other (specify

C/O ESCALADE INCORPORATED, 817 MAXWELL

(Street)

(State)

12/30/2013

**AVE** 

(City)

4. If Amendment, Date Original

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

**EVANSVILLE, IN 47711** 

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

(Zip)

3. 4. Securities Acquired 5. Amount of Transaction(A) or Disposed of Code (D) (Instr. 3, 4 and 5) (Instr. 8)

6. Ownership 7. Nature of Securities Beneficially (D) or Owned Following Reported

Form: Direct Indirect Beneficial Ownership Indirect (I) (Instr. 4) (Instr. 4)

or Price

(A)

Transaction(s) (Instr. 3 and 4)

Code V Amount (D)

Common Stock

953 (1) A 116,072 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: ESCALADE INC - Form 4

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                | 5.                     | 5. 6. Date Exercisable and |       | 7. Title and       |       | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-------------------|------------------------|----------------------------|-------|--------------------|-------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber |                        | Expiration D               | ate   | Amount             | of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code              | of                     | (Month/Day                 | Year) | Underlyi           | ing   | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)        | Derivative             |                            |       | Securities         |       | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |                   | Securities<br>Acquired |                            |       | (Instr. 3 and 4)   |       |             | Own    |
|             | Security    |                     |                    |                   |                        |                            |       |                    |       | Follo       |        |
|             |             |                     |                    |                   | (A) or                 |                            |       |                    |       |             | Repo   |
|             |             |                     |                    |                   | Disposed               |                            |       |                    |       |             | Trans  |
|             |             |                     |                    |                   | of (D)                 |                            |       |                    |       |             | (Instr |
|             |             |                     |                    |                   | (Instr. 3,             |                            |       |                    |       |             |        |
|             |             |                     |                    |                   | 4, and 5)              |                            |       |                    |       |             |        |
|             |             |                     |                    |                   |                        |                            |       | ٨                  | mount |             |        |
|             |             |                     |                    |                   |                        |                            |       |                    |       |             |        |
|             |             |                     |                    |                   |                        | Date Expiration            |       | or<br>Title Number |       |             |        |
|             |             |                     |                    |                   |                        | Exercisable                | Date  | of                 |       |             |        |
|             |             |                     |                    | Code V            | (A) (D)                |                            |       |                    | hares |             |        |

# **Reporting Owners**

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other

WHITE RICHARD C/O ESCALADE INCORPORATED 817 MAXWELL AVE **EVANSVILLE, IN 47711** 

X

## **Signatures**

/s/ Richard 01/02/2014 White

\*\*Signature of Date Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The grant of stock is in lieu of cash compensation earned for services as a Director. The common stock is awarded pursuant to the Escalade Incorporated 2007 Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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